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# Tandem Pd and Isothiourea Relay Catalysis: Enantioselective Synthesis of $\alpha$ -Amino Acid Derivatives via Allylic Amination and [2,3]-Sigmatropic Rearrangement

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**ABSTRACT:** A tandem relay catalytic protocol using both Pd and isothiourea catalysis has been developed for the enantioselective synthesis of  $\alpha$ -amino acid derivatives containing two stereogenic centers from readily accessible *N,N*-disubstituted glycine aryl esters and allylic phosphates. The optimized process uses a bench-stable succinimide-based Pd-precatalyst (FurCat) to promote Pd-catalyzed allylic ammonium salt generation from the allylic phosphate and the glycine aryl ester. Subsequent in situ enantioselective [2,3]-sigmatropic rearrangement catalyzed by the isothiourea benzotetramisole forms *syn*- $\alpha$ -amino acid derivatives with high diastereo- and enantioselectivity. This methodology is most effective using 4-nitrophenyl glycine esters and tolerates a variety of substituted cinnamic and styrenyl allylic ethyl phosphates. The use of challenging unsymmetrical *N*-Me-*N*-allyl glycine esters is also tolerated under the catalytic relay conditions without compromising stereoselectivity.

## 1. INTRODUCTION

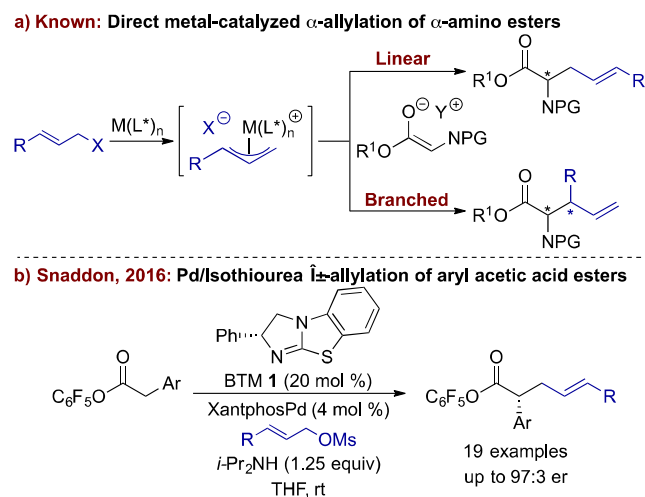
The functionalization of  $\alpha$ -amino acids through enantioselective  $\alpha$ -alkylation is an enduring challenge in synthetic chemistry.<sup>1</sup> For example, the direct stereoselective transition metal-catalyzed  $\alpha$ -alkylation of amino acid ester derivatives through allylic substitution has received considerable attention.<sup>2</sup> In such processes, the use of palladium-based catalysts typically results in formation of the linear substitution product,<sup>3,4</sup> whereas catalysts based on either molybdenum,<sup>5</sup> ruthenium,<sup>6</sup> rhodium,<sup>7</sup> or iridium<sup>8</sup> can be branched selective (Scheme 1a). In reactions with achiral allylic precursors and prochiral amino acid enolates, product stereochemistry is usually derived from either chiral ligands on the metal center, or from the use of chiral enolate counterions. Alternatively, Snaddon and co-workers reported that chiral ammonium enolates, derived from the reaction of isothiourea catalyst BTM **1** with aryl acetic esters, undergo enantioselective linear  $\alpha$ -allylation with achiral Pd-allyl complexes in a dual-catalytic process (Scheme 1b).<sup>9</sup> This methodology uses pentafluorophenyl arylacetic esters as ammonium enolate precursors, demonstrating that an isothiourea/phenoxide-rebound strategy for Lewis base catalyst turnover is compatible with Pd-catalysis. Hartwig and co-workers have reported a related enantioselective, stereodivergent branched allylic substitution of aryl acetic esters using synergistic Ir/isothiourea catalysis.<sup>10,11</sup>

A conceptually different way of preparing branched  $\alpha$ -allyl  $\alpha$ -amino acid derivatives has been reported by Tambar and co-workers (Scheme 2a).<sup>12</sup> The process uses a Pd-catalyzed linear allylic amination reaction between allylic carbonates **4** and glycine esters **5** to generate quaternary allylic ammonium salts in situ, which undergo stoichiometric Brønsted base-promoted [2,3]-rearrangement to form racemic *anti*- $\alpha$ -amino acid derivatives **6** with high diastereoselectivity.

However, despite the synthetic potential, the development of enantioselective [2,3]-rearrangements of allylic ammonium

ylides for the synthesis of  $\alpha$ -amino acid derivatives has remained a significant challenge.<sup>13,14</sup> Previous strategies towards such processes have traditionally relied on substrate control and/or the use of chiral auxiliaries.<sup>15</sup> Alternatively, Somfai and

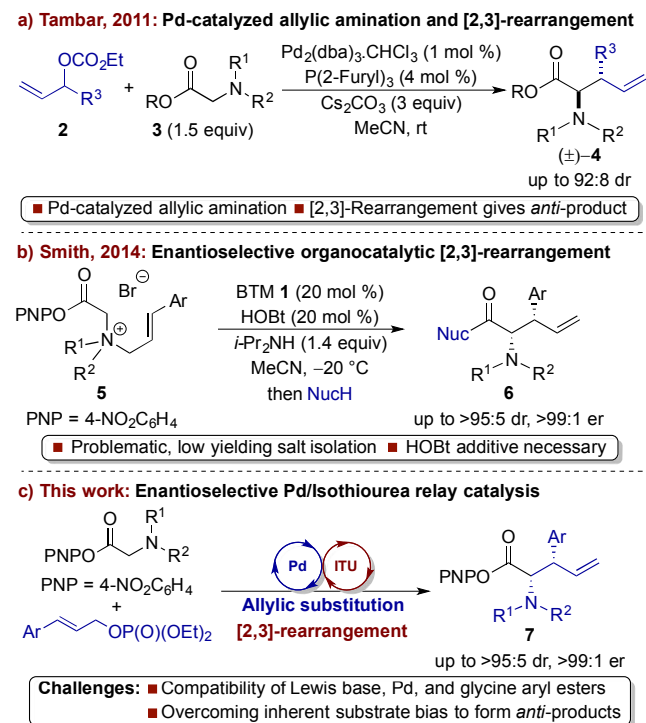
### Scheme 1. Direct $\alpha$ -allylation of ester enolates.



co-workers reported the use of a stoichiometric chiral Lewis acid for the enantioselective synthesis of  $\alpha$ -amino amide derivatives.<sup>16</sup> In 2014, we reported the first catalytic enantioselective [2,3]-rearrangement of allylic ammonium ylides **1** using the isothiourea BTM **1** as a Lewis base and co-catalytic hydroxybenzotriazole (HOBt) to form *syn*- $\alpha$ -amino acid derivatives **3** with excellent stereoselectivity (Scheme 2b).<sup>17</sup> In this process the HOBt additive (i) aids catalyst turnover through interception of a post-[2,3]-rearrangement acyl ammonium species and (ii) leads to increased diastereo- and enantioselectivity of the [2,3]-rearrangement products.<sup>18</sup> A recognized challenge encountered by ourselves and others<sup>19</sup> for such [2,3]-rearrangement processes is the problematic synthesis and

isolation of the required allylic quaternary ammonium salts. In our case,<sup>17</sup> only limited ammonium salts were amenable to isolation, typically being obtained in moderate yields (*ca.* 30–90%) from the corresponding allylic amine and 4-nitrophenyl

## Scheme 2. Catalytic [2,3]-rearrangements of allylic ammonium ylides



bromoacetate. Although an *in situ* one-pot salt-formation/[2,3]-rearrangement protocol was developed, the products were formed in moderate overall yields and with reduced enantioselectivity compared with the use of the isolated salts.

Building upon the precedent of Tambar, we questioned the feasibility of merging a palladium-catalyzed allylic amination with an enantioselective isothiurea-catalyzed [2,3]-rearrangement (Scheme 2c). Such a process would allow for the rapid generation of complex enantiomerically enriched  $\alpha$ -amino acids **7** bearing two new stereocenters from readily available allylic alcohol derivatives and glycine esters, avoiding the problematic isolation of ammonium salts. To proceed effectively, this relay catalytic system must overcome the inherent challenges associated with combining transition metal and organo-catalyzed processes,<sup>20,21</sup> with all reactants compatible with each independent catalytic cycle. Notably, the inherent substrate bias for [2,3]-rearrangement under the basic Pd-catalyzed conditions developed by Tambar generates *anti*- $\alpha$ -amino acid derivatives **6**,<sup>12</sup> whereas the isothiurea-catalyzed process forms the opposite *syn*-diastereoisomer **3**. The proposed relay system must therefore undergo minimal Brønsted base-catalyzed [2,3]-rearrangement (*anti*-selective) to allow the desired products from the tandem isothiurea-catalyzed pathway to be formed with high *syn*-diastereoselectivity. The desired process must also be tolerant of glycine derivatives bearing labile phenol esters that are required both for initiation of the Lewis base-catalyzed process and to generate the phenoxide necessary to facilitate catalyst turnover.<sup>22</sup> The nucleophilic isothiurea catalyst<sup>23</sup> and generated phenoxide must also

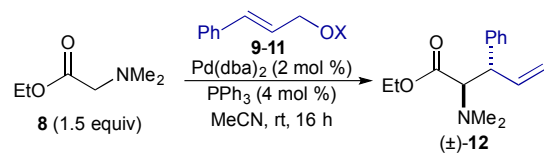
not interfere with, or inhibit, the Pd-catalyzed allylic substitution process.<sup>24</sup>

In this context, this manuscript documents the merger of transition metal and Lewis base catalysis for an unprecedented tandem relay catalytic allylic amination followed by enantioselective [2,3]-rearrangement. The methodology uses a bench-stable succinimide-based Pd-precatalyst (FurCat) to promote allylic substitution and an isothiurea catalyst to perform the enantioselective [2,3]-rearrangement, forming functionalized  $\alpha$ -amino acid derivatives in good yields with high stereoselectivity. The scope and limitations of this new process have been fully explored, including the use of unsymmetrical *N,N*-disubstituted glycine esters. The utility of the products has been demonstrated through various derivatizations, while crossover and control experiments are used to probe the mechanism of the allylic amination step.

## 2. RESULTS AND DISCUSSION

**2.1. Reaction Optimization.** **2.1.1. Identification of a suitable allylic precursor.** To achieve high levels of diastereo- and enantioselectivity during the proposed relay catalysis it is imperative that any base-promoted [2,3]-rearrangement of the *in situ* generated allylic ammonium salt into racemic product is minimized. We hypothesized that the counterion generated from Pd-promoted allylic ammonium salt formation could play a key role in this area. With this in mind, a series of control experiments based upon Tambar's original report<sup>12</sup> was performed to identify a suitable allylic precursor for the proposed relay catalysis (Table 1). First, *N,N*-dimethyl glycine

**Table 1. Identifying suitable allylic precursors**



entry	X	Cs <sub>2</sub> CO <sub>3</sub>	yield (%)	dr <sup>a</sup>
1	C(O)OEt ( <b>9</b> )	3 equiv	88	65:35
2	C(O)OEt ( <b>9</b> )	–	75	68:32
3	C(O)OPh ( <b>10</b> )	3 equiv	0	N/A
4	C(O)OPh ( <b>10</b> )	–	0	N/A
5	P(O)(OEt) <sub>2</sub> ( <b>11</b> )	3 equiv	80	66:34
6	P(O)(OEt) <sub>2</sub> ( <b>11</b> )	–	0	N/A

<sup>a</sup> Determined by <sup>1</sup>H NMR analysis of the crude material.

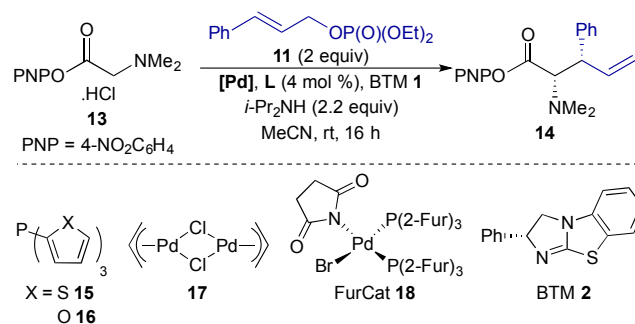
ethyl ester **8** was reacted with cinnamyl ethyl carbonate **9** in the presence of Pd(dba)<sub>2</sub> (2 mol %) and PPh<sub>3</sub> (4 mol %) using excess Cs<sub>2</sub>CO<sub>3</sub> as base (Table 1, entry 1). This gave [2,3]-rearrangement product **12** in good 88% yield and a 65:35 dr in favor of the *anti*-diastereoisomer, consistent with the literature for such base-mediated processes.<sup>12</sup> In the absence of Cs<sub>2</sub>CO<sub>3</sub> the reaction still proceeded to give product **12** in 75% yield (Table 1, entry 2). This suggests that the ethyl carbonate and/or ethoxide released during allylic substitution is sufficiently basic to promote the [2,3]-rearrangement step, and that ethyl carbonates are not suitable precursors for a catalytic enantioselective relay process. To reduce the basicity of the released counterion cinnamyl phenyl carbonate **10** was investigated, however this did not lead to product formation in either

the presence or absence of external base with the starting materials mostly returned in both cases (Table 1, entries 3 and 4).<sup>25</sup> Next, cinnamyl ethyl phosphate **11** was tested and, as required, only led to product formation in the presence of external base (Table 1, entries 5 and 6), consistent with no phosphate-mediated [2,3]-rearrangement under these conditions.

**2.1.2. Development of Pd/isothiourea relay catalysis.** Having identified easily accessible allylic phosphates<sup>25</sup> as potentially suitable precursors, efforts were focused on developing a catalytic enantioselective relay allylic substitution/[2,3]-rearrangement process (Table 2). Readily accessible *N,N*-dimethyl 4-nitrophenyl ester hydrochloride salt **13** was chosen as a suitable glycine derivative that would allow for Lewis base incorporation, while the released 4-nitrophenoxide should also be capable of facilitating catalyst turnover. However, initial attempts at reacting **13** and cinnamyl ethyl phosphate **11** with Pd(dba)<sub>2</sub> (2 mol %) and PPh<sub>3</sub> (4 mol %) in the presence of the isothiourea BTM **1** (20 mol %) using *i*-Pr<sub>2</sub>NH as base in MeCN at rt led to <5% product formation (Table 2, entry 1). The use of electron-withdrawing heteroaryl phosphines **15** and **16** gave the first sign of the desired reactivity,<sup>26</sup> giving [2,3]-rearrangement product **14** in low conversion by <sup>1</sup>H NMR (Table 2, entries 2 and 3). Altering the source of palladium led to significant improvements in reactivity. Using Pd<sub>2</sub>(dba)<sub>3</sub>·CHCl<sub>3</sub> (1 mol %) and P(2-furyl)<sub>3</sub> (4 mol %) allowed product **14** to be isolated in 47% yield and 95:5 dr (Table 2, entry 4), while using [Pd(allyl)Cl]<sub>2</sub> **17** (1 mol %) under the same conditions gave **14** in 70% yield as a single diastereoisomer (Table 2, entry 5). In these cases, the *syn*-configured diastereoisomer is favored and was formed with excellent enantioselectivity (up to >99:1 er),<sup>27</sup> providing proof-of-principle for the desired catalytic relay process. The high stereoselectivity observed is consistent with competitive racemic [2,3]-rearrangement processes having been completely suppressed without recourse to the addition of additives such as HOBt.<sup>17,18</sup> The use of the defined, bench-stable succinimide-based Pd-complex **18** (FurCat, 5 mol %), first developed by Fairlamb and co-workers for use in Stille cross-coupling<sup>28</sup> gave further improvement while simplifying the catalytic system, allowing *syn*-**14** to be isolated in 79% yield as a single diastereoisomer in 99:1 er (Table 2, entry 6). Decreasing the catalyst loading of BTM **1** led to reduced yields and stereoselectivity (Table 2, entries 7 and 8). Control experiments in the absence of either the Pd-catalyst **18** or BTM **1** led to no product formation under the otherwise optimal conditions (Table 2, entries 9 and 10). Alternatively the free base of 4-nitrophenyl ester **13** and *i*-Pr<sub>2</sub>NH (1.2 equiv) can be used in this protocol, giving *syn*-**14** in reduced 58% yield, 92:8 dr and 97:3 er (Table 2, entry 11).<sup>29</sup> Screening alternative *N,N*-dimethyl glycine aryl esters under the optimized conditions showed that the 3,5-bis-trifluoromethylphenyl ester gave good conversion into the corresponding rearrangement product with high stereoselectivity (Table 2, entry 12). However, use of either 2,4,6-trichlorophenyl, 2,3,5,6-tetrafluorophenyl, or pentafluorophenyl esters resulted in low conversions into the respective products.<sup>25</sup> This contrasts the findings of both Snaddon<sup>9</sup> and Hartwig,<sup>10</sup> who showed that pentafluorophenyl arylacetic esters were optimal in their enantioselective  $\alpha$ -allylation protocols using isothioureas in combination with either Pd or Ir catalysis, respectively. To further probe the effect of the allylic leaving group a range of alternative cinnamyl alcohol derivatives was also tested under the previously optimized conditions. While both cinnamyl acetate and cinnamyl methyl carbonate gave poor conversion into

product **14**,<sup>17</sup> use of cinnamyl trifluoroacetate gave **14** in good yield with high stereoselectivity (Table 2, entry 13).

**Table 2. Optimization of the enantioselective relay process**



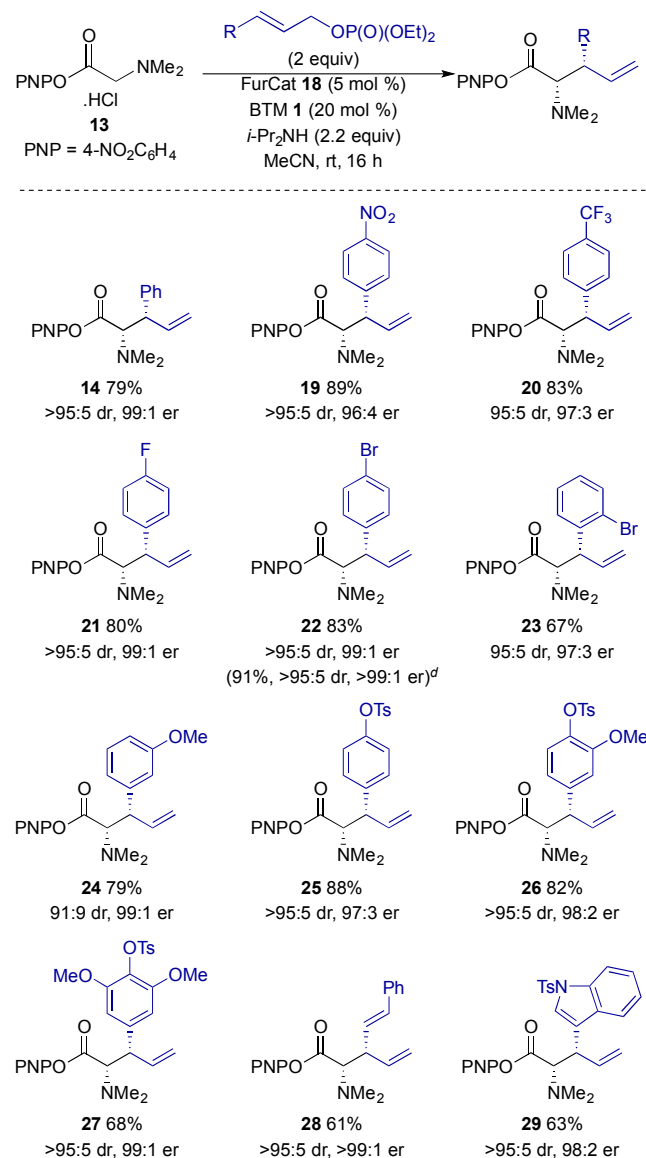
entry	[Pd] (mol %)	L	<b>1</b> (mol %)	yield (%) <sup>a</sup>	dr <sup>b</sup>	er <sup>c</sup>
1	Pd(dba) <sub>2</sub> (2)	PPh <sub>3</sub>	20	(<5)	N/A	N/A
2	Pd(dba) <sub>2</sub> (2)	<b>15</b>	20	(11)	N/D	N/D
3	Pd(dba) <sub>2</sub> (2)	<b>16</b>	20	(13)	N/D	N/D
4	Pd <sub>2</sub> (dba) <sub>3</sub> ·CHCl <sub>3</sub> (1)	<b>16</b>	20	47	95:5	98:2
5	<b>17</b> (1)	<b>16</b>	20	70	>95:5	>99:1
6	<b>18</b> (5)	–	20	79	>95:5	99:1
7	<b>18</b> (5)	–	10	60	94:6	97:3
8	<b>18</b> (5)	–	5	56	88:12	89:11
9	<b>18</b> (5)	–	–	0	N/A	N/A
10	–	–	20	0	N/A	N/A
11 <sup>d</sup>	<b>18</b> (5)	–	20	58	92:8	97:3
12 <sup>e</sup>	<b>18</b> (5)	–	20	65	>95:5	>99:1
13 <sup>f</sup>	<b>18</b> (5)	–	20	60	>95:5	96:4

<sup>a</sup> Yields in parentheses determined by <sup>1</sup>H NMR using 1,4-dinitrobenzene as an internal standard. <sup>b</sup> Determined by <sup>1</sup>H NMR analysis of the crude material. <sup>c</sup> Determined by HPLC analysis after derivatization into the corresponding benzyl amide. <sup>d</sup> Free base of **13** and *i*-Pr<sub>2</sub>NH (1.2 equiv) used in place of **13**·HCl and *i*-Pr<sub>2</sub>NH (2.2 equiv). <sup>e</sup> *N,N*-Dimethyl 3,5-bis-trifluoromethylphenyl glycine ester used in place of **13**. <sup>f</sup> cinnamyl trifluoroacetate (2 equiv) used in place of **11**.

**2.2. Scope and Limitations of Pd/Isothiourea Relay Catalysis.** **2.2.1. Variation of the allylic phosphate.** The scope of this process was next assessed through variation of the cinnamic aryl substituent within the allylic phosphate component (Table 3). Aryl rings bearing electron-withdrawing substituents (4-NO<sub>2</sub> and 4-CF<sub>3</sub>) were well tolerated, forming rearranged products **19** and **20** in high yield with excellent stereoselectivity (up to >95:5 dr and 97:3 er). Halogen substituted aryl rings, including sterically demanding 2-BrC<sub>6</sub>H<sub>4</sub> substitution, were also well tolerated, forming **21–23** as single diastereoisomers with high enantioselectivity (up to 99:1 er). The reaction of the allylic phosphate bearing a 4-BrC<sub>6</sub>H<sub>4</sub> substituent was also performed on a preparative laboratory scale (3.8 mmol) to give 1.5 g of **22** as a single stereoisomer in 91% yield. The presence of a 3-MeOC<sub>6</sub>H<sub>4</sub> substituent led to a slight reduction in diastereoselectivity (91:9 dr), but the major product **24** was still obtained in high 99:1 er. The methodology was

also applicable to allylic phosphates bearing oxygenated aryl rings that can be synthesized from the three monolignols, 4-coumaryl alcohol, coniferyl alcohol and sinapyl alcohol, which are the building-blocks of lignin biopolymers.<sup>30</sup> The relay catalysis allowed amino acid derivatives **25-27** to be isolated in good yields with excellent stereoselectivity (up to >95:5 dr and 99:1 er), demonstrating that complex enantiomerically pure products can be expediently accessed from renewable lignin resources. Alkenyl and heteroaromatic substituents could also be tolerated, forming **28** and **29** in slightly reduced yields but with excellent diastereo- and enantioselectivity. Notably, the yields and stereoselectivity of this relay Pd/isothiourea catalysis generally exceed those obtained from the previously reported isothiourea-catalyzed [2,3]-rearrangement of isolated allylic ammonium yields.<sup>17</sup> The reactions of non-aryl substituted allyl phosphate with **13** under the standard relay conditions gave no [2,3]-rearrangement products, with the major product obtained being the corresponding aryl ether formed from allylic substitution with 4-nitrophenoxide.<sup>25</sup>

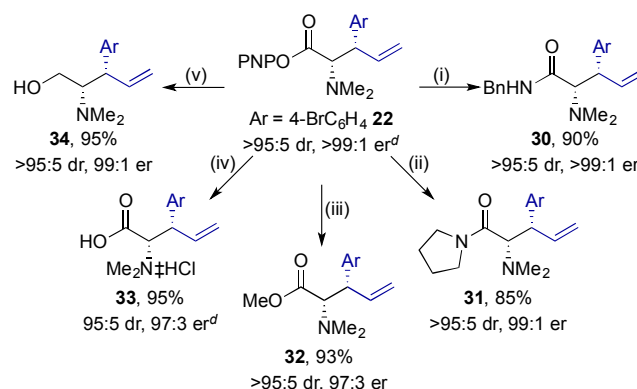
**Table 3. Scope of allylic ethyl phosphates<sup>a-c</sup>**



<sup>a</sup> Reactions performed on a 0.5 mmol scale. <sup>b</sup> dr determined by <sup>1</sup>H NMR analysis of the crude material. <sup>c</sup> er determined by HPLC analysis after derivatization into the corresponding benzyl amide. <sup>d</sup> Reaction performed on a 3.8 mmol scale.

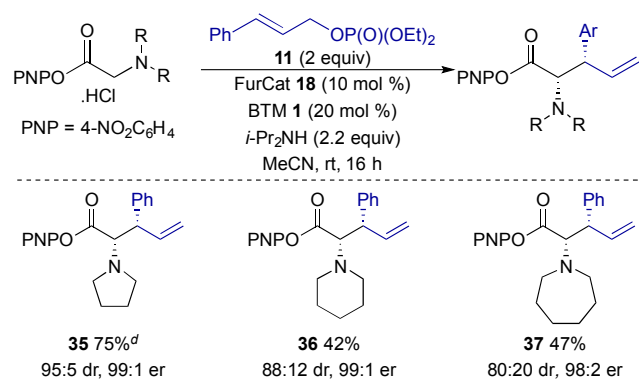
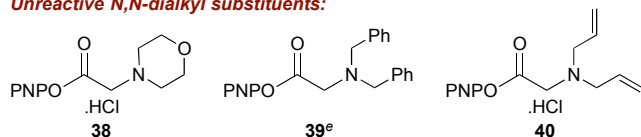
The presence of a 4-nitrophenyl ester within the [2,3]-rearrangement products allows facile derivatization into a range of  $\alpha$ -amino acid derivatives through reaction with suitable nucleophiles (Scheme 2). For example, reacting isolated **22** (>95:5 dr, >99:1 er) with either primary or secondary amines gave the corresponding amides **30** and **31** in high yields with no erosion of stereointegrity. Transesterification with methoxide provided  $\alpha$ -amino ester **32** in 93% yield and a single diastereoisomer in 97:3 er. The corresponding  $\alpha$ -amino acid **33** could be readily obtained as its hydrochloride salt upon hydrolysis, while reduction with LiAlH<sub>4</sub> provided enantiomerically pure amino alcohol **34** in excellent yield.<sup>31</sup>

**Scheme 2. Product derivatizations<sup>a-c</sup>**



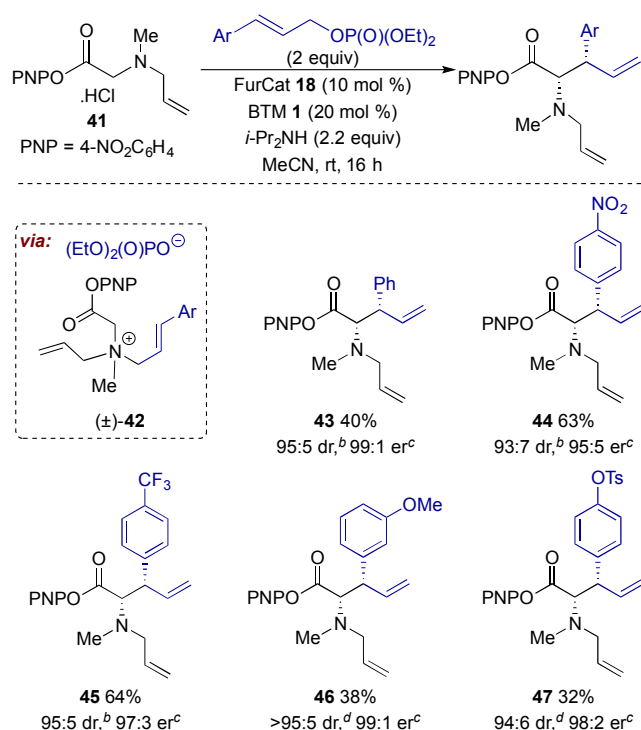
<sup>a</sup> Reaction conditions: (i) BnNH<sub>2</sub> (5.0 equiv), CH<sub>2</sub>Cl<sub>2</sub>, rt, 16 h; (ii) Pyrrolidine (5.0 equiv), CH<sub>2</sub>Cl<sub>2</sub>, rt, 16 h; (iii) NaOMe (1.5 equiv), MeOH, 0 °C to rt, 1 h; (iv) H<sub>2</sub>O/HCl, 110 °C, 16 h; (v) LiAlH<sub>4</sub> (1.5 equiv), THF, 0 °C to rt, 1 h. <sup>b</sup> dr determined by <sup>1</sup>H NMR analysis of the crude material. <sup>c</sup> er determined by HPLC analysis. <sup>d</sup> er determined after derivatization into the corresponding benzyl amide.

**2.2.2. Variation of the glycine ester *N*-substituents.** Next, variation of the *N*-substituents within the glycine ester were investigated in the Pd/isothiourea relay catalysis (Table 4). Cyclic *N*-pyrrolidinyl substitution was tolerated under the previously optimized conditions, forming **35** in 75% yield as a single stereoisomer. However, increasing the ring-size to either *N*-piperidinyl or *N*-azepanyl resulted in lower yields (33% **36** and 38% **37**) and reduced diastereoselectivity (75:25 dr and 73:27 dr, respectively) under the standard reaction conditions. Increasing the Pd catalyst loading to 10 mol% gave products **36** and **37** in improved yields and although these reactions again proceeded with lower diastereoselectivity (88:12 and 80:20 dr, respectively) the enantioselectivity of the major *syn*-diastereoisomer remained high (>98:2 er). Limitations of the relay process include the use of *N*-morpholinyl glycine ester **38**, which was unreactive under both the standard reaction conditions and with an increased 10 mol % loading of FurCat **18**. The use of glycine esters bearing symmetrical *N,N*-dialkyl substituents such as *N,N*-dibenzyl glycine ester **39** and *N,N*-diallyl glycine ester **40** was also unsuccessful, with unreacted starting materials returned in both cases.

Table 4. Use of symmetrical *N,N*-dialkyl glycine esters<sup>a-c</sup>**Unreactive *N,N*-dialkyl substituents:**

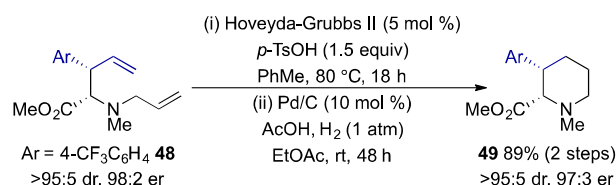
<sup>a</sup> Reactions performed on a 0.5 mmol scale. <sup>b</sup> dr determined by <sup>1</sup>H NMR analysis of the crude material. <sup>c</sup> er determined by HPLC analysis after derivatization into the corresponding benzyl amide. <sup>d</sup> Reaction performed using 5 mol % FurCat **18**. <sup>e</sup> Reaction performed using *i*-Pr<sub>2</sub>NH (1.2 equiv).

Previous studies found that isolated allylic quaternary ammonium salts bearing *N,N*-dialkyl substituents undergo isothiurea-catalyzed [2,3]-rearrangement,<sup>32</sup> therefore it is likely that this represents a limitation within the Pd-catalyzed allylic substitution step in the relay procedure using **40**. The use of unsymmetrical *N*-Me-*N*-allyl glycine ester **41** was then studied in the Pd/isothiurea relay catalysis (Table 5).<sup>33</sup> Such a substrate is particularly challenging as the proposed Pd-catalyzed allylic substitution would lead to an intermediate ammonium salt **42** containing a stereogenic nitrogen atom, which may impact upon the stereoselectivity of the subsequent [2,3]-rearrangement. Furthermore, there is the potential for rearrangement via either the *N*-cinnamyl or *N*-allyl substituent in this case. Initial investigations found that the Pd/isothiurea relay [2,3]-rearrangement of **41** required 10 mol % of Pd-precatalyst **18** for good conversion into product. Exclusive [2,3]-rearrangement through the *N*-cinnamyl substituent gave  $\alpha$ -amino ester **43** in 40% yield with excellent stereoselectivity (95:5 dr, 99:1 er). The high chemoselectivity of this process is in contrast to the observations of Tambar and co-workers who reported an 80:20 mixture of *N*-cinnamyl versus *N*-allyl rearrangement for the base-promoted reaction of an ammonium salt generated from *N*-Me-*N*-allyl glycine *tert*-butyl ester and cinnamyl carbonate.<sup>12a</sup> The relay reaction of **41** was further explored through variation of the allylic ethyl phosphate. The use of allylic phosphates bearing electron-withdrawing aryl substituents (4-NO<sub>2</sub>C<sub>6</sub>H<sub>4</sub> and 4-CF<sub>3</sub>C<sub>6</sub>H<sub>4</sub>) led to improved reactivity, forming products **44** and **45** in higher yields (63% and 64%, respectively), while maintaining excellent stereoselectivity. Conversely, the presence of oxygenated aryl substituents led to decreased yields of **46** and **47**, although stereoselectivity remained high. The relative and absolute configuration of the products from this series was confirmed by X-ray crystallographic analysis of the benzyl amide of **47**.<sup>34</sup>

Table 5. Use of unsymmetrical *N,N*-dialkyl glycine esters<sup>a</sup>

<sup>a</sup> Reactions performed on a 0.5 mmol scale. <sup>b</sup> dr determined by <sup>1</sup>H NMR analysis of the crude material. <sup>c</sup> er determined by HPLC analysis after derivatization into the corresponding benzyl amide. <sup>d</sup> dr of isolated material.

The presence of the *N*-allyl substituent within the products allowed for further derivatization of **45** into a stereodefined piperidine (Scheme 3). Facile methanolysis of **45** generated *N*-Me-*N*-allyl amino ester **48**, which undergoes catalytic ring-closing metathesis in the presence of Hoveyda-Grubbs II (5 mol %) followed by Pd/C-catalyzed hydrogenation to form substituted piperidine **49** in 89% yield (over two-steps) as a single diastereoisomer in 97:3 er.

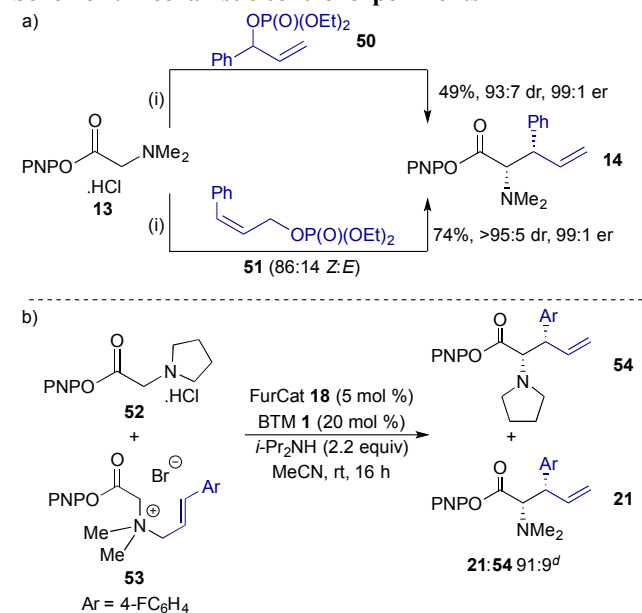
**Scheme 3. Product derivatization<sup>a-b</sup>**

<sup>a</sup> dr determined by <sup>1</sup>H NMR analysis of the crude material. <sup>b</sup> er determined by HPLC analysis.

**2.3. Mechanistic control experiments.** The relay protocol is thought to proceed via a Pd-catalyzed allylic substitution of an allylic phosphate with a glycine ester to form an intermediate allylic ammonium salt, which undergoes an enantioselective isothiurea-catalyzed [2,3]-rearrangement to give the observed  $\alpha$ -amino ester products. Having previously reported detailed investigations into the mechanism of the isothiurea-catalyzed [2,3]-rearrangement of isolated allylic ammonium salts,<sup>18</sup> control experiments were performed to probe the Pd-

catalyzed allylic substitution step within this relay methodology.<sup>12,35</sup> The reaction of branched cinnamyl phosphate **50** with glycine ester **13** under the standard reaction conditions gave rearranged product **14** (Scheme 4a), albeit in slightly reduced yield (49%) and lower diastereoselectivity (93:7 dr, 99:1 er) compared with the use of linear cinnamyl phosphate **11** (79%, >95:5 dr, 99:1 er).<sup>36</sup> This suggests that the proposed Pd- $\pi$ -allyl intermediate preferentially reacts at the least sterically hindered terminal position to give the required ammonium salt for [2,3]-rearrangement.<sup>3,37</sup> Reacting (*Z*)-cinnamyl phosphate **51** (86:14 *Z:E*) with glycine ester **13** under the relay conditions led to the formation of the same *syn*-diastereoisomer of **14** (>95:5 dr and 99:1 er) in 74% yield (Scheme 4a), which is comparable to the result obtained starting from (*E*)-**11**. As (*Z*)-cinnamyl ammonium salts formed in situ are only poorly reactive in the isothiurea-catalyzed [2,3]-rearrangement,<sup>17a</sup> this suggests that  $\eta^3$ -Pd- $\pi$ -allyl complex **56** formed from (*Z*)-**51** undergoes  $\pi$ - $\sigma$ - $\pi$  isomerization into the more favorable  $\eta^3$ -Pd- $\pi$ -allyl complex **55** prior to ammonium salt formation and [2,3]-rearrangement.<sup>38</sup> Further analysis of the <sup>1</sup>H NMR spectrum of the crude material showed that the *Z/E* ratio of the unreacted allylic phosphate **51** had not changed, while a control experiment reacting (*Z*)-**51** with only FurCat **18** also showed no isomerization into (*E*)-**11**. This demonstrates that isomerization of (*Z*)-**51** is unlikely to occur prior to the initial oxidative addition.

#### Scheme 4. Mechanistic control experiments<sup>a-c</sup>



<sup>a</sup> Reaction conditions: (i) Allylic phosphate (2 equiv), FurCat **18** (10 mol %), BTM **1** (20 mol %), *i*-Pr<sub>2</sub>NH (2.2 equiv), MeCN, rt, 16 h. <sup>b</sup> dr determined by <sup>1</sup>H NMR analysis of the crude material. <sup>c</sup> er determined by HPLC analysis after derivatization into the corresponding benzyl amide. <sup>d</sup> Product ratio determined by <sup>19</sup>F{<sup>1</sup>H} NMR analysis.

Next, a 50:50 mixture of isolated allylic ammonium salt **53** and *N*-pyrrolidiny glycine ester **52** was reacted under the relay catalysis conditions (Scheme 4b). The major product obtained was from the expected [2,3]-rearrangement of **53** into **21**, however small amounts of crossover rearrangement product **54** were also observed (91:9 **21:54**). In the absence of Fur-

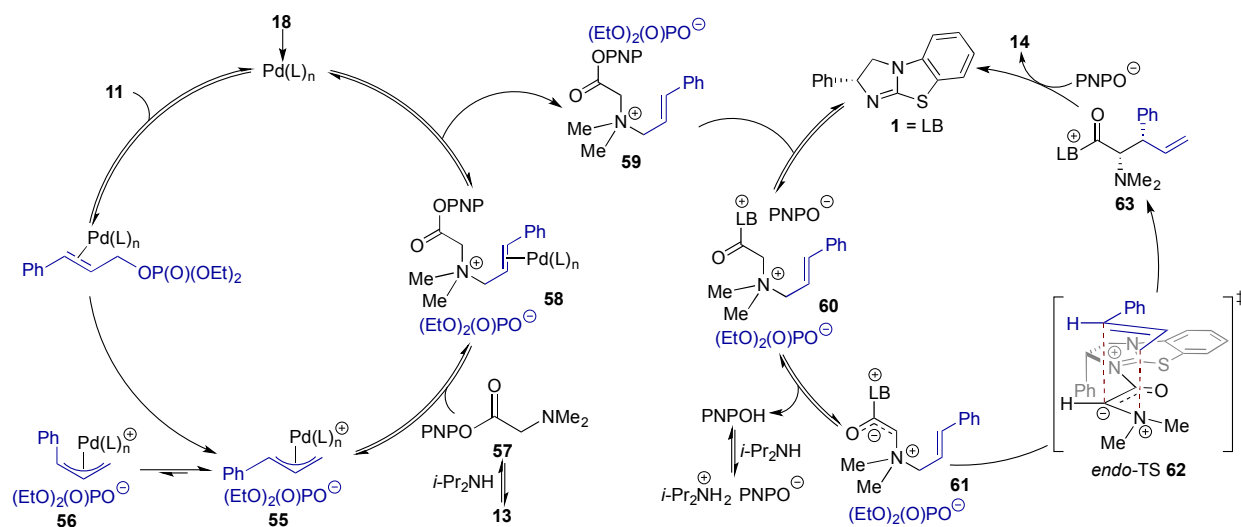
Cat **18** no crossover product **54** was obtained, suggesting that **53** is a suitable substrate for Pd- $\pi$ -allyl complex formation and that allylic ammonium salt formation is at least partially reversible under the reaction conditions.

The proposed overall relay catalytic cycle for the reaction of cinnamyl phosphate **11** with glycine ester **13** is depicted in Scheme 5. The active Pd catalyst is generated in situ from FurCat **18**,<sup>28</sup> although the specific ligands associated with the Pd species and its oxidation state have not been determined. Coordination, followed by oxidative addition into allylic phosphate **11**, generates  $\eta^3$ -Pd- $\pi$ -allyl complex **55**. Nucleophilic attack of free-base glycine ester **57** reversibly generates coordinated ammonium salt **58**, which can dissociate to form the key allylic ammonium salt **59** that links the two tandem catalytic cycles. Acylation of the isothiurea BTM **1** with **59** forms dication **60**,<sup>39</sup> with subsequent deprotonation into ammonium ylide **61** using 4-nitrophenoxide (PNPO<sup>-</sup>). Stereoselective [2,3]-sigmatropic rearrangement affords acyl ammonium **63**, which reacts with PNPO<sup>-</sup> to affect isothiurea turnover and release product **14**. The observed diastereo- and enantioselectivity can be rationalized by the [2,3]-rearrangement proceeding via *endo*-TS **62**.<sup>18</sup> Ammonium ylide **61** is thought to have significant enolate character, favoring a (*Z*)-conformation that is further stabilized by a non-bonding 1,5-S $\cdots$ O interaction resulting from  $n_O$  to  $\sigma^*_{C-S}$  overlap between the carbonyl and the isothiurea sulfur atom.<sup>40-42</sup> Rearrangement occurs on the opposite face to the stereodirecting phenyl substituent on the catalyst, with an *endo*-conformation preferred due to a  $\pi$ -cation interaction between the cinnamyl substituent and the isothiurea core. The presence of this favorable interaction may account for the selective rearrangement through the *N*-cinnamyl substituent over the unsubstituted *N*-allyl terminus in the reaction of unsymmetrical *N,N*-dialkyl glycine esters.

### 3. CONCLUSIONS

In conclusion, a tandem Pd/isothiurea relay catalysis has been developed for the synthesis of functionalized  $\alpha$ -amino acid derivatives from readily available glycine ester derivatives and allylic phosphates. The process is thought to proceed via Pd-catalyzed allylic ammonium salt formation followed by an isothiurea-catalyzed enantioselective [2,3]-rearrangement reaction to form the  $\alpha$ -amino acid products with high levels of stereoselectivity. The methodology works for a range of substrates, including unsymmetrical *N*-allyl-*N*-methyl glycine derivatives that would contain a stereogenic nitrogen atom in the intermediate ammonium salt. The  $\alpha$ -amino acid products undergo a series of derivatization reactions to further demonstrate the synthetic utility of this process. Ongoing studies within this laboratory are aimed at developing further catalytic, enantioselective rearrangement processes.<sup>43</sup>

Scheme 5. Proposed relay-catalytic mechanism



## ASSOCIATED CONTENT

Experimental procedures, characterization data, copies of NMR spectra and HPLC chromatograms. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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(32) BTM-catalyzed [2,3]-rearrangement of isolated *N,N*-diallyl ammonium salts gives chemoselective rearrangement through the cinnamyl substituent. See ref 17b.

(33) The use of an unsymmetrical *N*-Me-*N*-Bn glycine ester was unsuccessful under the previously optimized conditions.

(34) The absolute configuration of the corresponding benzyl amide of **47** was confirmed by X-ray crystallographic analysis. CCDC 1549468 contains the supplementary crystallographic data for this

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