



15 β -Hydroxysteroids (Part V).^{*} Steroids of the human perinatal period: The synthesis of 3 β , 15 β , 17 α -trihydroxy-5-pregnen-20-one from 15 β , 17 α -dihydroxy-4-pregnen-3,20-dione

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A simple three-step synthetic method is reported on the conversion of Δ^4 -3-ketosteroids to the corresponding 3 β -hydroxy- Δ^5 -steroid analogues. 17 α -Hydroxy-4-pregnen-3,20-dione (**10a**) was used as a model to develop a method for the synthesis of 3 β ,17 α -dihydroxy-5-pregnen-20-one (**16**). The major problem being the synthesis of 3,17 α -diacetoxy-3,5-pregnadien-20-one (**14**) was solved by acetylating using a mixture of acetic anhydride and perchloric acid. The conversion of 15 β ,17 α -dihydroxy-4-pregnen-3,20-dione (**8**), product of *Penicillium citrinum* fermentation, to the desired 3 β ,15 β ,17 α -trihydroxy-5-pregnen-20-one (**1**), is described using a modification of this method. Reaction of **8** with acetic anhydride and perchloric acid in ethyl acetate gave 3,15 β ,17 α -triacetoxy-3,5-pregnadien-20-one (**17**) which on reduction with sodium borohydride gave 5-pregnen-3 β ,15 β ,17 α , 20(S + R)-tetrols (**18a** and **18b**); however, reduction of **17** with a mixture of sodium borohydride and potassium bicarbonate gave after basic hydrolysis with methanolic sodium hydroxide the desired product 3 β ,15 β ,17 α -trihydroxy-5-pregnen-20-one (**1**) in good yield (54%). (*Steroids* **61**:18–21, 1996)

Keywords: 15 β -hydroxysteroids; Δ^4 -3-ketosteroids; 3 β -hydroxy- Δ^5 -steroids; synthesis

Introduction

Steroids of the human perinatal and neonate are characterised by the 3 β -hydroxy- Δ^5 -steroid configuration and hydroxylations at C-15 and C-16. Identification of such steroids has been slow forthcoming due to the unavailability of simple chemical means for their synthesis. Enzyme-mediated steroid transformations have provided an alternative to laborious chemical synthesis. Cholesterol oxidases

from *Nocardia erythropolis* and 3 β -hydroxysteroid oxidases from *Brevibacterium sterolicum* are two of the enzyme systems employed in the conversion of Δ^5 -3 β -hydroxysteroids to their corresponding Δ^4 -3-ketones in good yield¹ and in the identification of steroids of the human neonate.² Similarly, microbial fermentations employed in the synthesis of 15 β ,17 α -dihydroxy-4-pregnen-3,20-dione (**8**) from *Penicillium citrinum* proved useful in the identification and characterization of 3 β ,15 β ,17 α -trihydroxy-5-pregnen-20-one (**1**), 3 α ,15 β ,17 α -trihydroxy-5 β -pregnan-20-one (**2**),² and more recently of 3 α ,15 β ,17 α -trihydroxy-5 α -pregnan-20-one (**3**), 3 β ,15 β ,17 α -trihydroxy-5 α -pregnan-20-one (**4**), 3 β ,15 β ,17 α -trihydroxy-5 β -pregnan-20-one (**5**), 5 α -pregnane-3 α ,15 β ,17 α ,20 α -tetrol (**6**) and 5 β -pregnane-3 α ,15 β ,17 α -20 α -tetrol (**7**)³ (Figure 1). A direct synthesis of 3 β -hydroxy- Δ^5 -steroids is restricted in that enzyme and microbial steroid conversions favor Δ^4 -3-ketosteroids.

The significant roles of **1** and **2** in the monitoring of

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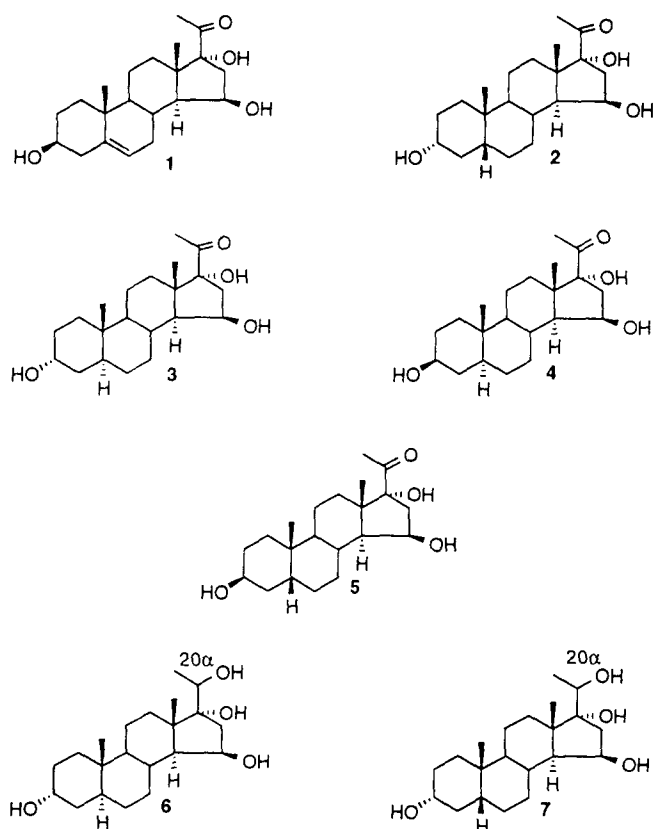


Figure 1 The structure of compounds 1–7.

healthy newborn infants and in recognition of congenital adrenal hyperplasia (CAH) was demonstrated early in our studies² and supported more recently by the identification and characterization of compounds 3–7 in a newborn infant affected with CAH due to 21-hydroxylase deficiency.³

The availability of **8** from microbiological transformations² and by chemical synthesis from 3 β -hydroxy-5-androsten-17-one (**9**),⁴ identified this as an important intermediate in the synthesis 15 β -hydroxy-C-21-steroids. However, its conversion to the 3 β -hydroxy- Δ^5 -steroid analog has proved difficult. In this investigation, we report a simple procedure for the conversion of 17 α -hydroxy-4-pregnen-3,20-dione (**10a**) to 3 β ,17 α -dihydroxy-5-pregnen-20-one (**16**) and its application to the conversion of **8** to **1** in a 3-step chemical procedure and in good yield.

Experimental

The starting material 15 β ,17 α -dihydroxy-4-pregnen-3,20-dione, was obtained as a product of microbial transformation of 17 α -hydroxyprogesterone from *P. citrinum*² and the structural identity of which was confirmed by gas chromatography (GC) and GC-mass spectroscopy (MS)² and NMR⁴ data.

Solvents were laboratory grade or better. Melting points were determined on a Gallenkamp Melting Point Apparatus and are uncorrected. Ultraviolet spectra were determined on a Varian Techtron ultraviolet-visible spectrophotometer. ¹H NMRs were recorded at 200 MHz using a Bruker AC-200F spectrometer using TMS as internal reference and deuteriochloroform as solvent. $W_{1/2}$ refers to the peak width at its half height. Gas chromatography was performed on a Hewlett Packard 5710A flame ionization gas chro-

matograph using a glass solid injector and interpreted using a Shimadzu CR-4A chromatopac utilising EurekaSoft analytical software (EurekaSoft, Camperdown, Australia), results are expressed as methylene units (MU).⁶ A 30 m capillary column from Heliflex Capillaries (RSL-150 polydimethylsiloxane; ID 0.25 mm) was used with helium as the carrier gas (2.0 mL/min), temperature programming from 197–270°C at 1°C/min with the detector and injector block temperatures were 300°C and 250°C, respectively. Mass spectra were recorded on a Finnigan MAT TSQ-70 mass spectrometer scanning from 80–800 d at 70 eV. CIMS was obtained using methane (CICH₄) or methane/ammonia (CINH₃) as plasma and with a reagent gas pressure of 5–10 torr. High resolution mass spectra were run on a Finnigan MAT-90. Silica gel H (Merck, type 60) was used for chromatography.⁷ Steroid derivatization for GC and GC-MS analyses were as reported earlier.²

3,17 α -Diacetoxy-3,5-pregnen-20-one (**14**)

To a slurry of 17 α -hydroxy-4-pregnen-3,20-one (**10a**; 1 g, 3.03 mmol) in ethyl acetate (80 mL), acetic anhydride (10 mL) was added with a solution of ethyl acetate (40 mL) and perchloric acid (40 μ L, 70%). After 6 min the organic phase was washed with saturated aqueous sodium bicarbonate, water and dried (Na₂SO₄). Evaporation to dryness and crystallization from methanol gave 1.15 g (92%) of **14**: m.p. 180–182°C (lit.⁸ m.p. 179–184°C); λ_{\max} 234 nm (Log ϵ = 4.30); ¹H NMR δ 5.80 (1 H, $W_{1/2}$ = 5 Hz, H-4), 5.39 (1 H, $W_{1/2}$ = 10 Hz, H-6), 2.13 (3 H, s, H-3 OAc), 2.11 (3 H, s, H-21), 2.05 (3 H, s, H-17 OAc), 1.00 (3 H, s, H-19), 0.67 (3 H, s, H-18).

17 α -Acetoxy-3 β -hydroxy-5-pregnen-20-one (**15**)

a) To a solution of **14** (110 mg, 0.265 mmol) in ethanol (20 mL), sodium borohydride (20 mg) was added. After stirring overnight at room temperature, formic acid was added dropwise until the evolution of gas ceased. The solution was then evaporated to dryness and the residue extracted with ethyl acetate. The organic phase was washed with water twice, dried (Na₂SO₄), evaporated to dryness, and recrystallized from methanol to give 89 mg (89%) of **15**: m.p. 224–226°C (lit.⁹ m.p. 225–227°C); ¹H NMR δ 5.40 (1 H, $W_{1/2}$ = 10 Hz, H-6), 3.56 (1 H, $W_{1/2}$ = 26 Hz, H-3), 2.11 (3 H, s, H-21), 2.05 (3 H, s, H-17 OAc), 1.04 (3 H, s, H-19), 0.68 (3 H, s, H-18).

b) To a solution of **14** (20 mg, 4.38 \times 10⁻² mmol) in methanol (10 mL), potassium bicarbonate (100 μ L, 1.2 M) and sodium borohydride (2 mg) was added. After 2 h at room temperature, the excess reagent was destroyed with acetic acid and the mixture evaporated to dryness. The residue was extracted with ethyl acetate and the organic phase was washed with water, dried (Na₂SO₄) and evaporated to dryness. Recrystallization of the residue from methanol gave 16 mg (88%) of **15** identical to that above: m.p. 224–226°C (lit.⁹ m.p. 225–227°C).

17 α -Acetoxy-4-pregnen-3,20-dione (**10b**)

To a solution of **14** (10 mg, 2.41 \times 10⁻² mmol) in methanol (4 mL), aqueous potassium bicarbonate (100 μ L, 1.5 M) was added. The mixture was stirred at room temperature for 2 h and evaporated to dryness. The residue extracted with ethyl acetate and the organic phase was washed with water, dried (Na₂SO₄) and evaporated to dryness. Crystallization from dichloromethane/methanol gave 8 mg (88%) of **10b**: m.p. 241–243°C (lit.¹⁰ m.p. 243–244.5°C); ¹H NMR δ 5.80 (1 H, $W_{1/2}$ = 3 Hz, H-4), 2.11 (3 H, s, H-21), 2.04 (3 H, s, H-17 OAc), 1.20 (3 H, s, H-19), 0.68 (3 H, s, H-18).

3 β ,17 α -Dihydroxy-5-pregnen-20-one (**16**)

To a solution of **15** (130 mg, 0.348 mmol) in methanol (10 mL), aqueous sodium hydroxide (1 M, 2 mL) was added. The mixture

was refluxed for 3 h and evaporated to dryness after cooling. The residue extracted with ethyl acetate and the organic phase washed with water, dried (Na_2SO_4), and evaporated to dryness to give after recrystallization from aqueous methanol 110 mg (96%) of **16**: m.p. 264–267°C (mixed m.p. 264–266°C) (lit.¹¹ m.p. 265–267°C).

3,15 β ,17 α -Triacetoxy-3,5-pregnadien-20-one (**17**)

To a slurry of **8**⁴ (50 mg, 0.145 mmol) in ethyl acetate (5 mL), acetic anhydride (625 μL) was added together with 250 μL aliquot of a solution of perchloric acid (20 μL , 70%) in ethyl acetate (20 mL). After 6 min at room temperature, a saturated aqueous solution of sodium bicarbonate (2 mL) was added and the mixture was diluted with ethyl acetate (50 mL). The organic phase was washed with sodium bicarbonate (saturated), water, dried (Na_2SO_4), and evaporated to give 55 mg (81%) of **17** as a gum: ¹H NMR δ 5.80 (1 H, $W_{1/2}$ = 5 Hz, H-4), 5.39 (1 H, $W_{1/2}$ = 10 Hz, H-6), 5.15 (1 H, $W_{1/2}$ = 15 Hz, H-15), 2.14 (3 H, s, H-3 OAc), 2.11 (3 H, s, H-21), 2.05 (3 H, s, H-17 OAc), 2.03 (3 H, s, H-15 OAc); λ_{max} = 234 (log 4.25).

GC and GC/EIMS (as MOTMS) MU = 32.37; m/z (%): 472 (14) [M^+], 430 (16), 412 (14), 370 (100), 354 (9), 311 (1), 295 (17), 267 (11), 225 (52), 209 (10); GC-CIMS/ CH_4 m/z (%): 473 (11) [$\text{M}+1$]⁺, 431 (13), 413 (10), 371 (38), 353 (56), 311 (16), 295 (10).

5-Pregnene-3 β ,15 β ,17 α ,20 (R + S)-tetrol (**18a**, **18b**)

Sodium borohydride (2 mg) was added to a solution of **17** (30 mg, 6.35×10^{-2} mmol) in ethanol (10 mL). The reaction mixture was stirred at room temperature for 7 h then the excess reagent was destroyed with acetic acid and the mixture evaporated to dryness. The residue was extracted with ethyl acetate and the organic phase washed with water, dried (Na_2SO_4), and evaporated to dryness. The residue was dissolved in aqueous ethanol (10 mL, 90%) and sodium hydroxide (1 mL, 2 M) was added. The solution was refluxed for 20 min, evaporated to dryness, extracted with ethyl acetate, and the organic phase was washed with water, dried (Na_2SO_4), and evaporated to dryness to give **18a** and **18b** (as a mixture of two isomers). Chromatography of the residue on silica gel and eluting with ethyl acetate/hexane (3:1, v/v) gave after recrystallization from acetone/hexane 18 mg (78%) of **18a**: m.p. 212–214°C; ¹H NMR δ 5.36 (1 H, $W_{1/2}$ = 10 Hz, H-6), 4.28 (1 H, $W_{1/2}$ = 12 Hz, H-15), 4.11 (1 H, q J = 6 Hz, H-20), 3.50 (1 H, $W_{1/2}$ = 26 Hz, H-3), 1.16 (3 H, d J = 6 Hz, H-21), 1.06 (3 H, s, H-18), 1.05 (3 H, s, H-19); SP-EIMS m/z (%): 350 (6) [M^+], 332 (7), 305 (100), 287 (25), 271 (16), 269 (20), 251 (11), 215 (20), 211 (16), 200 (15); SP-CIMS/ CH_4 m/z (%): 349 (12) [$\text{M}-1$]⁺, 333 (92), 315 (100), 287 (37), 269 (13); Calculated for $\text{C}_{21}\text{H}_{34}\text{O}_4$: C, 71.96; H, 9.78. Found: C, 72.28; H, 9.82. GC: (as tetraTMS; molecular weight = 638) MU = 29.71; GC-EIMS m/z (%): 638 (1) [M^+], 521 (100), 431 (72), 391 (22), 333 (23), 251 (13), 191 (40), 147 (35), 117 (78).

Further elution gave after recrystallization from acetone/hexane 4 mg (17%) of **18b**: m.p. 223°C; ¹H NMR δ 5.36 (1 H, m $W_{1/2}$ = 10 Hz, H-5), 4.28 (1 H, m $W_{1/2}$ = 15 Hz, H-15), 3.91 (1 H, q J = 6 Hz, H-20), 3.50 (1 H, m $W_{1/2}$ = 26 Hz, H-3), 1.19 (3 H, d J = 6 Hz, H-21), 1.05 (3 H, s, H-19), 0.99 (3 H, s, H-18); SP-EIMS m/z (%): 350 (ND), 305 (100), 287 (6), 269 (5), 211 (16); SP-CIMS/ CH_4 m/z (%): 349 (13) [$\text{M}-1$]⁺, 333 (100), 315 (83), 297 (14), 271 (13), 245 (3); Calculated for $\text{C}_{21}\text{H}_{34}\text{O}_4 \cdot \text{H}_2\text{O}$: C, 68.44; H, 9.84. Found: C, 68.43; H, 9.90.

GC: (as tetraTMS; molecular weight = 638) MU = 29.84; GC-EI/MS m/z (%): 638 (1) [M^+], 521 (100), 431 (72), 391 (22), 333 (23), 251 (13), 191 (40), 147 (35), 117 (78);

3 β ,15 β ,17 α -Trihydroxy-5-pregnen-20-one (**1**)

To a solution of **17** (20 mg, 4.24×10^{-5} mol) in ethanol (10 mL), potassium bicarbonate (100 μL : 1.5 M) and sodium borohydride (2 mg) were added. The mixture was stirred at room temperature for 2 h. Excess reagent was then destroyed with acetic acid and the mixture evaporated to dryness. The residue was extracted with ethyl acetate and the organic phase washed with water, dried (Na_2SO_4), and evaporated to dryness. GC and GC-MS analysis of the residue identified 15 β ,17 α -diacetoxy-3 β -hydroxy-5-pregnen-20-one (**19**) as the major product of synthesis: GC (as TMS) MU = 31.45; GC-EIMS m/z (%): 504 (1), 458 (1), 444 (18), 384 (7), 369 (14), 294 (64), 279 (38), 251 (100), 237 (25), 224 (30), 209 (59), 157 (11); GC-CIMS/ CH_4 m/z (%): 503 (3), 489 (22), 445 (93), 385 (100), 355 (57), 295 (41).

To the crude residue dissolved in methanol (60 mL), sodium hydroxide (2 M, 1 mL) was added and refluxed for 6 h. After neutralization with hydrochloric acid (1 M, 4 mL), the mixture was evaporated to low volume and diluted with ethyl acetate. The organic phase was washed with water, dried (Na_2SO_4), and evaporated to dryness. Chromatography of the residue on silica gel eluting with dichloromethane/acetone (2:1, v/v) gave after crystallization from acetone 10 mg (66%) of **1**: m.p. 258–260°C; ¹H NMR δ 5.37 (1 H, m $W_{1/2}$ = 10 Hz, H-6), 4.36 (1 H, m $W_{1/2}$ = 14 Hz, H-15), 3.50 (1 H, m $W_{1/2}$ = 24 Hz, H-3), 2.28 (3 H, s, H-21), 1.04 (3 H, s, H-19), 0.94 (3 H, s, H-18); SP-CIMS/ CH_4 m/z (%): 349 (6) [$\text{M}+1$]⁺, 347 (21), 332 (37), 331 (100), 313 (93), 295 (57), 271 (9), 253 (5). SP-CIMS/ NH_3 m/z (%): 366 (100) [$\text{M}+18$]⁺, 348 (39), 331 (49), 313 (10), 295 (3); high resolution MS expected 348.2300; found = 348.231.

GC and GC-EIMS (as MOTMS) MU = 29.11; m/z (%): 593 (26) [M^+], 562 (76), 503 (10), 472 (38), 362 (19), 258 (100), 231 (12), 188 (31), 129 (29).

Results and discussion

We have shown that **8** can be obtained readily by either microbiological hydroxylation² or chemical synthesis.⁴ More recently the synthesis of **2** was achieved from **8** by chemical transformation of 15 β ,17 α ,21-trihydroxy-4-pregnen-3,20-dione (**12a**), the latter a product of microbial conversion from 17 α ,21-dihydroxy-4-pregnen-3,20-dione (**11**) by *Bacillus megaterium* (Figure 2; data submitted for publication). As such, **8** became an invaluable intermediate in the synthesis of 15 β -hydroxylated steroids. However, deconjugation of the C-4 olefin followed by reduction of the C-3 ketone to yield 3 β -hydroxy- Δ^5 -C-21-steroids proved difficult.

Initial attempts at the preparation of 3,17 α -diacetoxy-3,5-pregnadien-20-one (**14**) by hot acid acetylation resulted in poor yields due to D-homo rearrangement.^{12,13} On the other hand, acetylation of 17 α -hydroxy-4-pregnen-3,20-dione (**10a**) using acetic anhydride with a trace of perchloric acid at room temperature gave **14**^{14,16} in high yield (92%). Reduction of **14** with sodium borohydride in ethanol gave 17 α -acetoxy-3 β -hydroxy-5-pregnen-20-one (**15**) in good yield (89%) which on subsequent hydrolysis with aqueous sodium hydroxide in methanol 3 β ,17 α -dihydroxy-5-pregnen-20-one (**16**) also in good yield (96%).

Acetylation of **8** with acetic anhydride and perchloric acid gave 3,15 β ,17 α -triacetoxy-3,5-pregnadien-20-one (**17**) in high yield (79%) which on reduction with sodium borohydride gave, after basic hydrolysis, 5-pregnen-3 β ,15 β ,17 α ,20R-tetrol (**18a**) and 5-pregnene-

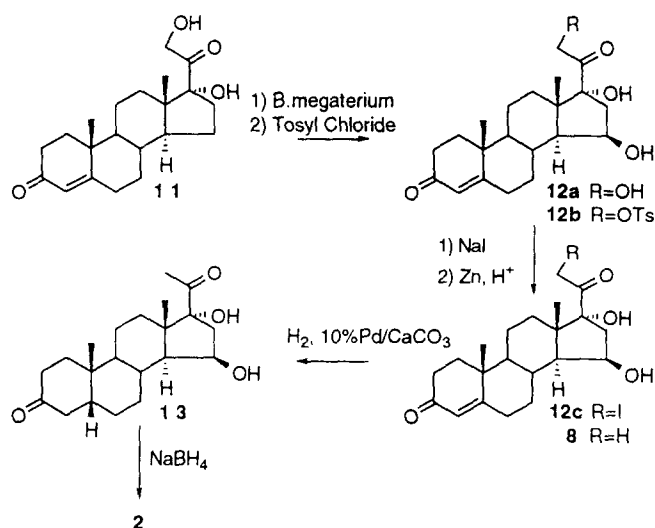


Figure 2 The synthesis of 3 α ,15 β ,17 α -trihydroxy-5 β -pregnan-20-one.

3 β ,15 β ,17 α ,20S-tetrol (**18b**; ratio 4.5:1; the stereochemistry at C-20 in **18a** and **18b** was determined on the basis of GC retention times and by microbiological oxidation using an enzyme specific for one isomer. Unambiguous assignment requires a more thorough NMR study; Figure 3). It was evident that the rate of reduction of the C-20 ketone was similar to the rate of the reduction of the C-3,5-dienyl-3-acetate.

Addition of a weak base thereby increasing the rate of the hydrolysis reaction enhanced the selectivity of the reduction. Reaction of **14** with 1.5 M aqueous potassium bicarbonate gave 17 α -acetoxy-4-pregnen-3,20-dione (**10b**) in good yield (89%). Reduction of **14** with sodium borohydride and 1.5 M aqueous potassium bicarbonate gave **15** in 2 h. In contrast, the reduction of **14** without the addition of base required 16 h to go to completion. Reaction of **17** with

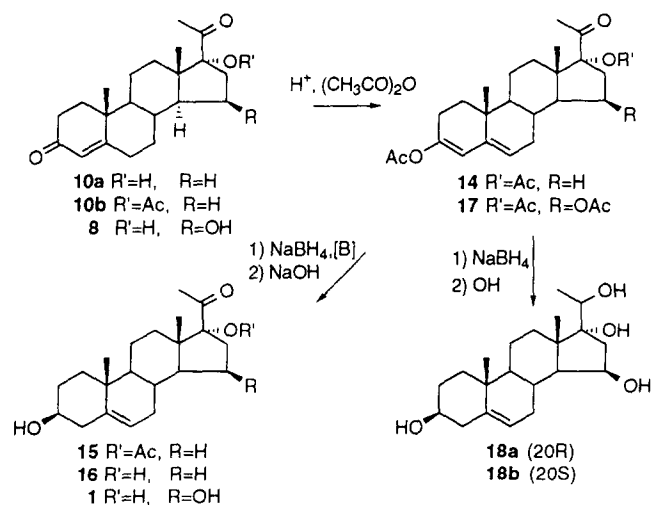


Figure 3 The synthesis of 3 β ,15 β ,17 α -trihydroxy-5-pregnen-20-one.

sodium borohydride and 1.5 M aqueous potassium bicarbonate gave after basic hydrolysis gave **1** in good yield 68% (Figure 3).

Microbiological 15 β -hydroxylations are widely accepted as the most commercially viable methods of production. However these are confined to Δ^4 -3-ketosteroids. The significance of our results is that the method employed here would allow the conversion of such steroid products to their corresponding 3 β -hydroxy- Δ^5 -steroids rapidly and in good yields.

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