

Nanoscale coordination polymers co-deliver carboplatin and gemcitabine for highly effective treatment of platinum-resistant ovarian cancer

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4 **effective treatment of platinum-resistant ovarian cancer**
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12 **Abstract:**

13
14 Due to the ability of ovarian cancer (OCa) to acquire drug resistance, it has been difficult to
15 develop efficient and safe chemotherapy for OCa. Here, we examined the therapeutic use of a
16 new self-assembled core-shell nanoscale coordination polymer nanoparticle (NCP-Carbo/GMP)
17 that delivers high loadings of carboplatin (28.0±2.6 wt.%) and gemcitabine monophosphate
18 (8.6±1.5 wt.%). A strong synergistic effect was observed between carboplatin and gemcitabine
19 against platinum-resistant OCa cells, SKOV-3, and A2780/CDDP *in vitro*. The co-administration
20 of carboplatin and gemcitabine in the NCP led to prolonged blood circulation half-life (11.8 ±
21 4.8 h) and improved tumor uptake of the drugs (10.2 ± 4.4 %ID/g at 24 h), resulting in 71%
22 regression and 80% growth inhibition of SKOV-3 and A2780/CDDP tumors, respectively,
23 compared to the control group. Our findings demonstrate that NCP particles provide great
24 potential for the co-delivery of multiple chemotherapeutics for treating drug-resistant cancer.
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28 **Keywords:** Nanoscale coordination polymers, Carboplatin, Gemcitabine, Synergistic Effect,
29 Platinum-resistant ovarian Cancer
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Introduction

Ovarian cancer (OCa) is the deadliest of all gynecological cancers, accounting for 5% of all cancer deaths among women.¹ Despite progress made with the introduction of a platinum-taxane combination as first-line treatment for OCa, the development of platinum (Pt) resistance often leads to relapse, and patients' eventual succumb to tumors.²⁻⁴ The ability of OCa cells to resist multiple chemotherapeutics hinders successful treatment of OCa, with 85% of OCa patients relapsing following their successful first-line treatment.^{5, 6} Pt-resistant disease is often seen when patients develop recurrence within six months of the initial Pt treatment.^{7, 8} Treating the disease with Pt/taxane or other Pt based therapies again following first relapse has shown to be ineffectual.⁹ The mechanisms of drug resistance include the decreased metabolism of drugs to the active chemotherapeutics,¹⁰ cell uptakes and efflux of the drugs,^{11, 12} cellular tolerance to the drug,¹³ or increased DNA repair.¹⁴⁻¹⁶ Combination therapy can counter these mechanisms of resistance without increasing dosages to the point of toxicity.

The combination of carboplatin (carbo) and gemcitabine was approved by the FDA in 2006 for the treatment of advanced recurrent ovarian cancer occurring at least 6 months after completion of Pt-based (e.g., carbo or cisplatin) therapy. Gemcitabine, a nucleotide analog, induces apoptosis by replacing cytidine during DNA replication.¹⁷ Together with carbo, this combination therapy has been shown to work effectively against drug-resistant tumors without the added toxicity of other Pt cytotoxins (e.g., cisplatin).¹⁸⁻²¹ However, gemcitabine is often limited by its poor pharmacokinetics and rapid metabolic deactivation.²²⁻²⁴ Furthermore, the combination with Pt often enhances gemcitabine's hematological toxicity.^{25, 26} Therefore, there is an urgent need to develop a delivery system for combination drugs that can overcome the limitations imposed by free platinum drugs and gemcitabine.

Nanoparticle-based drug delivery systems can more easily control the many relevant properties of drugs than traditional small molecule agents. These include physical properties such as size and surface charge that are dictated by nanoparticle synthesis or assembly, and important pharmacokinetic parameters such as circulation half-life and drug release kinetics.²⁷⁻³³ In particular, nanoparticle drug delivery systems that are able to deliver multiple therapeutics agents have shown to enhance antitumor efficacy, overcome multidrug resistance, and reduce addictive toxicity.³⁴⁻⁴² We have developed a novel delivery vehicle, nanoscale coordination polymers (NCPs), for various cancer therapies,^{31, 43-45} including a combination therapy delivery system carrying oxaliplatin and gemcitabine for the synergistic treatment of pancreatic cancer.⁴⁶ These nanoparticles combine the organic and inorganic properties of nanoparticles, as well as their intrinsic biodegradability, to prolong blood circulation half-lives and effectively treat tumors with minimal side effects.^{27, 28} Herein, we report that NCPs can co-deliver carbo and gemcitabine for synergistic therapy of Pt-resistant OCa. More importantly, a superior synergistic effect was found *in vitro* against two Pt-resistant OCa cell lines, SKOV-3 and A2780/CDDP. NCP-Carbo/GMP shows enhanced cellular uptake, prolonged blood circulation, and elevated tumor uptake, resulting in improved antitumor efficacy in Pt-resistant OCa tumor xenograft models.

Results

Synthesis and characterization of NCP-Carbo/GMP. The carbo prodrug, carbo-bis(phosphonic acid), was synthesized and characterized by NMR spectroscopy and mass

spectrometry (Figures S1-S6). DOPA-capped NCP-Carbo/GMP nanoparticles containing the carbo prodrug and gemcitabine monophosphate (GMP) were initially synthesized by reverse microemulsion (Figure S7). The drug-containing core was capped by DOPA via Zn-phosphate interactions. DOPA further self-assembled into a monolayer via hydrophobic-hydrophobic interactions, making the particles dispersible in organic solvents. The surface was further coated with DSPC, cholesterol, and DSPE-PEG2k at a 1:1:0.75 molar ratio. The Z-average, number-average, PDI, and zeta-potential for NCP-Carbo/GMP were 85.3 ± 0.7 nm, 64.9 ± 1.7 nm, 0.069 ± 0.013 , and -6.02 ± 0.55 mV, respectively, by dynamic light scattering (DLS) measurement (Figure 1B and Table 1). The near-neutral surface charge indicated that PEG chains were successfully coated on the particle surface. Transmission electronic microscopy (TEM) showed uniform spherical nanoparticles with a diameter of ~ 20 nm (Figure 1C), implying that the lipid coating did not disrupt the NCP core. DOPA-NCP-Carbo/GMP gave carbo loadings of 28.0 ± 2.6 wt.% (54.4 ± 5.1 wt.% prodrug loading) by ICP-MS. Gemcitabine monophosphate (GMP) loadings were found to be 8.6 ± 1.5 wt.% by UV-Vis (Figure S12). No change was seen in the particle size upon exposure in 30 nM bovine serum albumin in phosphate buffer saline at 37°C after 24 h (Figure S13). The *in vitro* study showed that NCP-Carbo/GMP exhibited excellent stability.

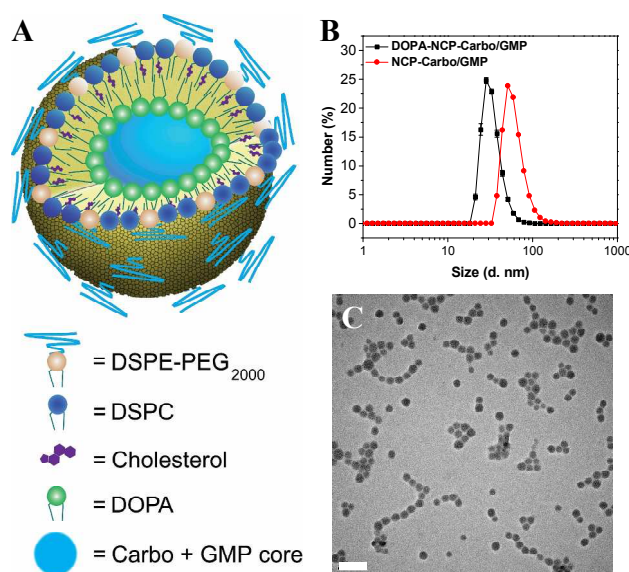


Figure 1. (A) Schematic of NCP-Carbo/GMP (B) Number-average size distribution of NCP-Carbo/GMP particles. Bare and lipid coated particles were measured in THF and PBS, respectively. (C) TEM micrographs of NCP-Carbo/GMP. Scale bar = 100 nm.

Table 1. Sizes, polydispersities, and zeta potentials of NCP-Carbo/GMP particles.

NCPs	Z-Ave diameter (nm)	Number-Ave diameter (nm)	PDI	Zeta Potential (mV)
DOPA-NCP-Carbo/GMP	$56.9 \pm 0.2^{\#}$	$37.1 \pm 0.4^{\#}$	0.112 ± 0.003	NA
NCP-Carbo/GMP	$85.3 \pm 0.7^{\$}$	$64.9 \pm 1.7^{\$}$	$0.069 \pm 0.013^{\$}$	$-6.02 \pm 0.55^{\$}$

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3 #Measured in THF. §Measured in PBS. Data are expressed as means±SD.
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5 ***In vitro* drug release.** The release profiles of carbo and GMP from DOPA-NCP-Carbo/GMP
6 and NCP-Carbo/GMP were investigated in PBS with or without 5 mM cysteine at 37 °C at pH
7 7.4 (Figure S14). In the absence of cysteine, DOPA-NCP-Carbo/GMP revealed rapid burst
8 release due to the dissociation of phosphate groups with Zn^{2+} , with 57% and 33% cumulative
9 release of Pt and GMP before 2 h, respectively. In contrast, only 19% Pt and 21% GMP release
10 were observed for NCP-Carbo/GMP after 24 h. 5 mM cysteine was added to PBS in order to
11 investigate drug release from DOPA-NCP-Carbo/GMP in a simulated intracellular environment.
12 These conditions accelerated the drug release of DOPA-NCP-Carbo/GMP with 82% of Pt and
13 57% of GMP release after 2 h, indicating that cysteine can penetrate into the NCP core and
14 reduce the carbo prodrug into active carbo. Both the reduction of Pt-O bonds and the dissociation
15 of phosphate groups with Zn^{2+} accelerated the drug release. However, NCP-Carbo/GMP
16 exhibited similarly slow drug release patterns in PBS and PBS supplemented with 5 mM
17 cysteine. The lipid coating on the particle surface prevented the penetration of cysteine into the
18 NCP core, thereby rendering the particle more stable. The external lipid layer may be
19 incorporated into the cell or plasma membrane during and after endocytosis, disrupting the lipid
20 coatings, allowing cysteine to penetrate the particle core, and triggering the reductive
21 degradation of NCPs to release the therapeutic payload.
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26 ***In vitro* cytotoxicity and the synergistic effect.** To evaluate the potency of NCP-
27 Carbo/GMP, *in vitro* cytotoxicity was performed on SKOV-3 (Figure 2A, B) or A2780/CDDP
28 (Figure 2D, E) ovarian cancer cells treated with nanoparticles or free drugs at different carbo or
29 gemcitabine concentrations for 72 h. The cell viability was measured by MTS assay. As seen in
30 Figure 2 and Table 2, the IC_{50} of carbo and GMP against SKOV-3 were $24.21 \pm 0.96 \mu M$ and
31 $1.89 \pm 0.26 \mu M$, respectively. When free carbo and GMP were combined (Carbo&GMP), the
32 carbo and GMP IC_{50} values were dramatically decreased by 12-fold and 2.5-fold, respectively
33 (carbo $IC_{50} = 2.01 \pm 0.62 \mu M$ or GMP $IC_{50} = 0.74 \pm 0.23 \mu M$). NCP-Carbo/GMP showed
34 comparable cytotoxicity as their corresponding free drug counterparts, with carbo $IC_{50} = 1.91 \pm$
35 $0.55 \mu M$ and GMP $IC_{50} = 0.70 \pm 0.20 \mu M$. In contrast, monotherapeutic NCPs IC_{50} values of
36 carbo and GMP were $22.82 \pm 2.49 \mu M$ and $1.98 \pm 0.34 \mu M$, respectively. Similar results were
37 shown with A2780/CDDP cells with NCP-Carbo/GMP, with IC_{50} values that are about 7.6-fold,
38 14.4-fold, 2.3-fold, and 2.4-fold lower than free carbo, NCP-Carbo, free GMP, and NCP-GMP,
39 respectively.
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44 The CI provides a quantitative measure of synergism ($CI < 1$), additivity ($CI = 1$), or
45 antagonism ($CI > 1$) for the drug combinations. The CI was around 0.5 for NCP-Carbo/GMP
46 against the monotherapeutic NCP and free drugs over a large range of drug effect level for both
47 SKOV-3 (Figure 2C) and A2780/CDDP (Figure 2F). Synergy was thus seen between carbo and
48 GMP in both Pt-resistant ovarian cancer cells. These results suggest that co-delivery of carbo and
49 gemcitabine could overcome drug resistance, leading to much enhanced anticancer efficacy
50 against ovarian tumor models.
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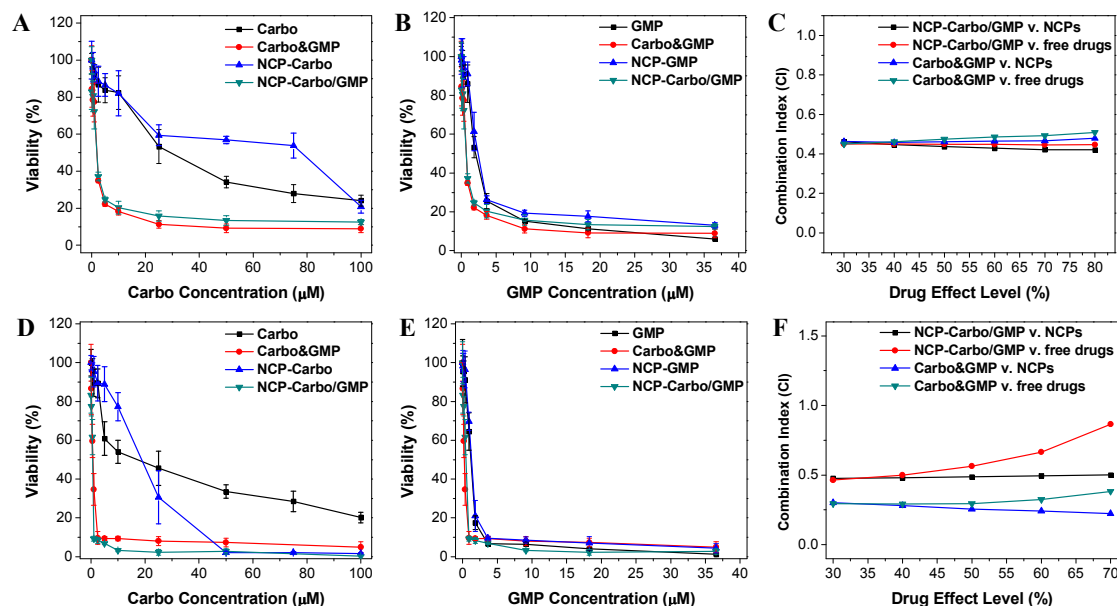


Figure 2. *In vitro* cytotoxicity plots and combination indices (CI) of carbo/GMP combinations on SKOV-3 (A-C) and A2780/CDDP (D-F) cells. The cell viabilities on SKOV-3 and A2780/CDDP cells were measured after a 72 h exposure to NCP-Carbo, NCP-GMP, NCP-Carbo/GMP, or free drugs (carbo, or GMP). Data are mean \pm S.D. (n=6).

Table 2. Carbo IC₅₀ values of carbo, GMP, NCP-Carbo, NCP-GMP, NCP-Carbo/GMP against SKOV-3, and A2780/CDDP cells (the numbers in parenthesis refer to GMP concentrations).

	Carbo(μ M)	GMP (μ M)	Carbo&GMP (μ M)	NCP- Carbo (μ M)	NCP- GMP (μ M)	NCP- Carbo/GMP (μ M)
SKOV-3	24.2 \pm 1.0	(1.9 \pm 0.3)	2.0 \pm 0.6 (0.7 \pm 0.2)	22.8 \pm 2.5	(2.0 \pm 0.3)	1.9 \pm 0.6 (0.7 \pm 0.2)
A2780/CDDP	9.9 \pm 2.2	(1.1 \pm 0.2)	0.7 \pm 0.2 (0.3 \pm 0.1)	18.7 \pm 1.0	(1.2 \pm 0.2)	1.3 \pm 0.2 (0.5 \pm 0.1)

Data are expressed as means \pm SD.

***In vitro* cell apoptosis.** To investigate the synergistic effect of carbo and GMP on cell apoptosis, Annexin V staining and flow cytometry analysis were performed to investigate cell apoptosis induced by free drugs or nanoparticle formulations. After incubation for 24 h, SKOV-3 and A2780/CDDP cells were stained with Alexa Fluor 488 conjugated Annexin V and observed using CLMS. NCP-Carbo/GMP induced highest level of cell apoptosis, as evidenced by the presence of the most and brightest green fluorescence. Carbo&GMP, NCP-GMP and GMP also induced high level of cell apoptosis, while carbo and NCP-Carbo resulted in much less cell apoptosis (Figures S16 and S17).

We further quantified cell apoptosis by flow cytometry (Figure S18). Similar to the cytotoxicity assay and CLSM analysis, NCP-Carbo/GMP showed the highest ability to induce cell apoptosis, resulting in 62.05% and 65.94% apoptosis for SKOV-3 and A2780/CDDP cells, respectively. Carbo&GMP also showed significantly increased cell apoptosis in both ovarian cancer cell lines with 52.67% and 35.43% of cells undergoing apoptosis in SKOV-3 and A2780/CDDP, respectively. Free GMP and NCP-GMP showed comparable apoptotic cells,

ranging from 47-49% in SKOV-3 and 26-35% in A2780/CDDP. Little or no apoptotic cells were seen in PBS, carbo, and NCP-carbo for both ovarian cancer cell lines.

***In vitro* cellular uptakes.** Rhodamine-B-doped NCP-Carbo/GMP (RhB-NCP-Carbo/GMP) nanoparticles were synthesized for confocal microscopy studies. As seen in the DLS (Table S3) and TEM images (Figures S19 and S20), no difference was observed in the size distribution and morphology between dye-doped particles and NCP-Carbo/GMP. To directly observe the NCP-Carbo/GMP uptake, Rhodamine B-dyed nanoparticles were incubated with either SKOV-3 (Figure 3A) or A2780/CDDP (Figure 3B) human ovarian cancer cells and then observed under CLSM. After a 1 h incubation, the nanoparticles were readily taken up by the cells, as evidenced by the co-localization of green fluorescence (LysoTracker Green-stained endosome) and red fluorescence (Rhodamine B-dyed nanoparticle) seen around the exterior of the nucleus. The red fluorescence signal was greatly enhanced after 4 h and 24 h, indicating an increased uptake of this nanoparticle over time.

This result was further supported by time-dependent cellular uptake of NCP-Carbo/GMP in SKOV-3 (Figure 3C, D) and A2780/CDDP (Figure 3E, F) as determined by ICP-MS. Free carbo showed highest cellular uptake at 1 h, but it decreased by ~50% at 24 h, indicating that the uptake of free carbo is rapid. In contrast, the cellular uptake of NCP-Carbo/GMP increased gradually over time and was significantly higher compared to the free combination drugs. At 24 h, the Pt uptake was 4 times and 5 times higher than the free combination treatments in SKOV-3 and A2780/CDDP, respectively. The cellular uptake of free GMP remained constant throughout the 24-h experiment in A2780/CDDP cells, but decreased by ~50% at 24 h in SKOV-3 cells. The uptake of NCP-Carbo/GMP, in term of GMP, also showed a slight gradual increase over time, but no significant difference was observed.

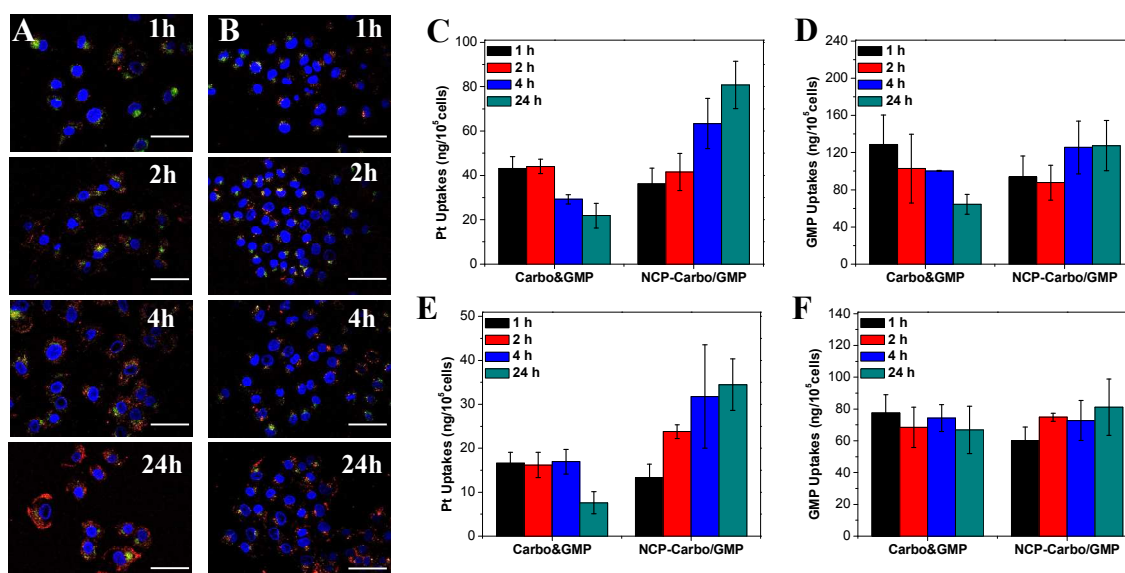


Figure 3. CLSM images of co-localization of RhB (red) from carbo/GMP particles with a late endosome and lysosome marker, LysoTracker (green), in SKOV-3 (A) and A2780/CDDP (B) cells after incubation for different times. Scale bars: 50 μ m. Cellular uptake of carbo/GMP and NCP-Carbo/GMP in SKOV-3 (C,D) and A2780/CDDP (E,F) cells determined by ICP-MS (Pt uptake) and UV-Vis (GMP uptake). Data expressed as means \pm SD (N=3).

Pharmacokinetics and biodistribution. The pharmacokinetics and biodistribution of NCP-Carbo/GMP were investigated to determine the extent of MPS clearance and the relative uptake of major MPS organs versus tumor on CT26-tumor bearing mice (Figure 4A). The Pt distribution was quantified by ICP-MS and the GMP amount in the blood was quantified by HPLC-MS/MS. By i.p. injection, the blood circulation half-lives were fitted with a one-compartment model using PK solver. NCP-Carbo/GMP resulted in Pt and GMP blood circulation half-lives of 11.8 ± 4.8 h (Figure 4B) and 9.4 ± 1.4 h (Figure 4C), respectively. There were low percentages of injected dose per gram tissue (% ID/g) in organs associated with MPS activity such as the liver (3.8 ± 2.8 % ID/g), spleen (3.7 ± 3.6 % ID/g), and kidney (4.8 ± 2.2 % ID/g), supporting the ability of NCP-Carbo/GMP to evade MPS clearance. The slow blood clearance and low MPS uptake led to the high tumor accumulation of the drug. The Pt distribution in tumor tissue increased over time, reaching a maximum at 24 h (10.2 ± 4.4 %ID/g), which indicated the high accumulation and long retention of NCP-Carbo/GMP in tumor tissue.

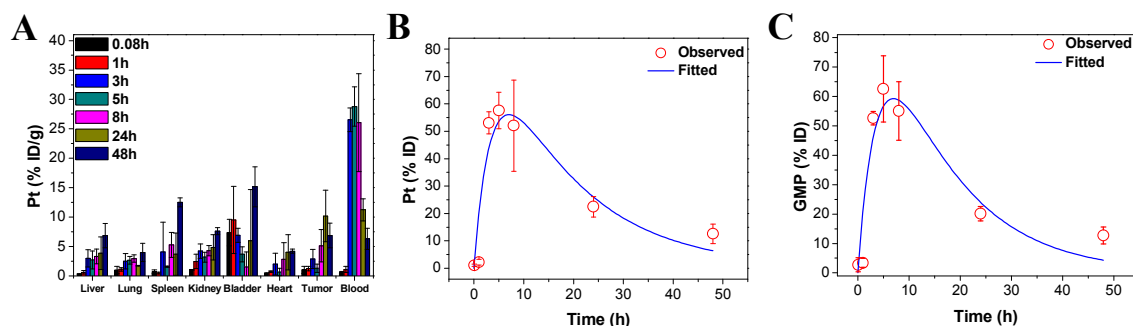


Figure 4. Percentage injected dose per gram (% ID/g) (A) of Pt in tissues after intraperitoneal administration of NCP-Carbo/GMP in CT26 tumor-bearing mice at time points 5 min, 1 h, 3 h, 5 h, 8 h, 24 h, and 48 h. Data are mean \pm S.D. (n=3). Average observed and predicted time-dependent Pt (B) and GMP (C) distributions in blood after administration of NCP-Carbo/GMP (n=3). The one-compartment model was used in determining the Pt and GMP distribution in blood.

Anti-tumor activity *in vivo*. The anti-tumor activity of NCP-Carbo/GMP was evaluated in SKOV-3 and A2780/CDDP subcutaneous xenograft murine models. Mice bearing SKOV-3 or A2780/CDDP tumors were treated once every three days for a total of three i.p. injections at a dose of 10 mg carbo/kg and 2.4 mg GMP/kg. All of the mice were sacrificed at day 19 for SKOV-3 and day 8 for A2780/CDDP, when the control tumors reached above 2000 mm³. As shown in Figure 5A, NCP-Carbo/GMP showed significant tumor regression in SKOV-3 tumors, with a reduction of 71% compared to the initial tumor volume. For the more aggressive A2780/CDDP tumor, NCP-Carbo/GMP also demonstrated dramatic tumor inhibition, with a tumor inhibitory rate (TIR) of 80% (Figure 5C). The tumor weight of NCP-Carbo/GMP-treated group was 90-fold and 12-fold smaller than that of control in SKOV-3 (Figure 5B) and A2780/CDDP (Figure 5E) tumors, respectively. On the contrary, mice treated with Carbo&GMP showed a similar tumor growth pattern as PBS, suggesting that free combination drugs caused no

antitumor efficacy, possibly because free carbo and GMP do not significantly accumulate in tumor tissue.

TUNEL assay was performed on the resected tumors to further substantiate and quantify the *in vivo* apoptosis. Apoptotic cells showing high fluorescence intensity indicative of DNA fragmentation were seen at a higher proportion of total cells in tumors treated with NCP-Carbo/GMP (Figure 6B and 6D). NCP-Carbo/GMP induced $92.7\pm 2.4\%$ and $89.3\pm 2.8\%$ tumor cell apoptosis for SKOV-3 and A2780/CDDP, respectively, whereas Carbo&GMP caused $4.0\pm 0.4\%$ and $3.6\pm 0.5\%$ apoptosis for SKOV-3 and A2780/CDDP, respectively. NCP-Carbo/GMP thus exhibits superior anticancer efficacy as compared to free drugs. Further analysis of the tumor tissue by H&E staining supported the TUNEL results of NCP-Carbo/GMP inducing the most apoptosis and necrosis compared to low or no apoptosis and necrosis in Carbo&GMP and PBS-treated tumors (Figure 6A and 6C).

No obvious signs of toxicity were observed *in vivo* after treatment with NCP-Carbo/GMP, as evaluated by body weight evolution, immunogenic response, and histology of major organs. No significant weight loss was observed in NCP-Carbo/GMP treated group, indicating the absence of severe systemic toxicity (Figures S24 and S25). Negligible differences were observed across treatment groups in the levels of pro-inflammatory cytokines assessing acute immunogenic response (Figure S26) and histological assessments (Figure S27). No statistically significant differences were observed between the control and NCP-Carbo/GMP group in terms of the pro-inflammatory cytokine production. From the H&E-stained sectioned tissues of the heart, liver, lung, spleen, and kidney, no acute pathological change was detected in NCP-Carbo/GMP-treated groups.

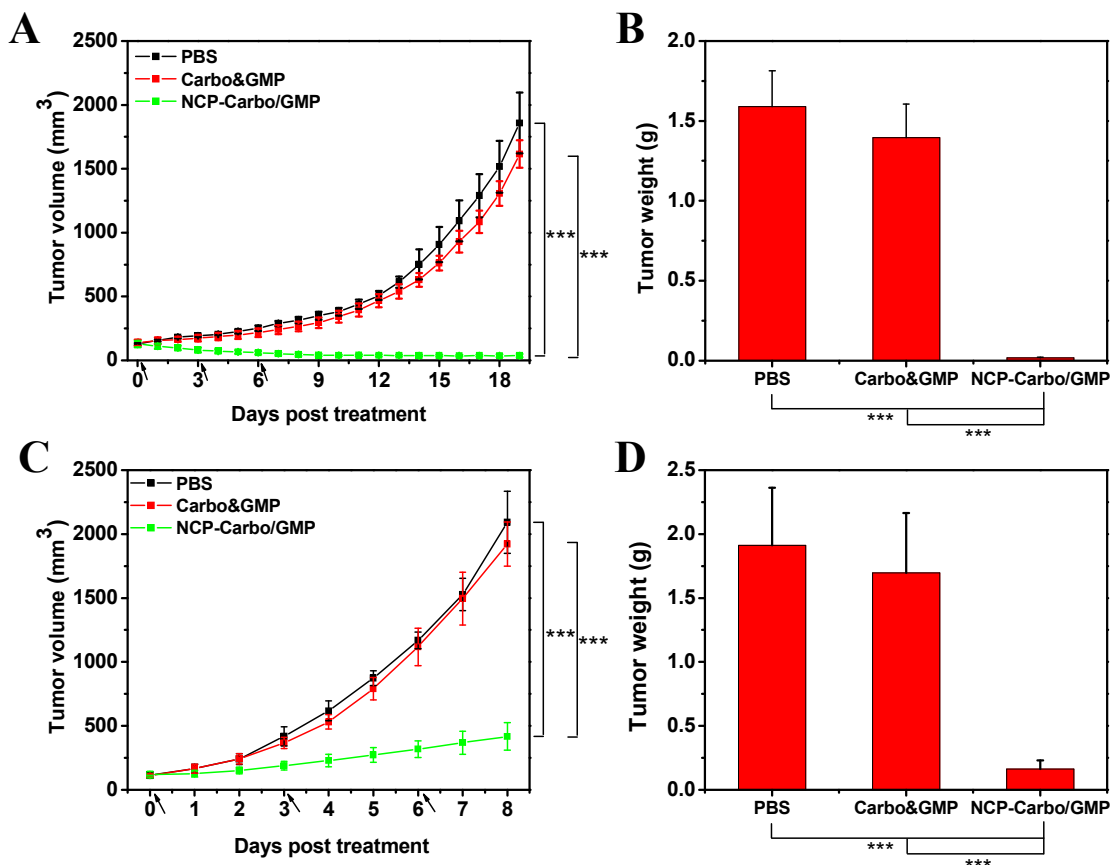


Figure 5. Subcutaneous SKOV-3 xenografts: (A) *In vivo* tumor growth inhibition. Carbo (dose, 10 mg/kg) and gemcitabine (4.6 mg/kg) and NCP-Carbo/GMP (doses, 10 mg/kg and 4.6 mg/kg) were administered on day 0, 3, and 6. Data are expressed as means±S.D. (n=5). (B) End-point tumor weights. Data are expressed as means±S.D. (n=5). Subcutaneous A2780/CDDP xenografts: (C) *In vivo* tumor growth inhibition. Carbo (dose, 10 mg/kg) and gemcitabine (4.6 mg/kg) and NCP-Carbo/GMP (doses, 10 mg/kg and 4.6 mg/kg) were administered on days 0, 3, and 6. Data are expressed as means±S.D. (n=5). (D) End-point tumor weights. Data are expressed as means±S.D. (n=5), ***p<0.001.

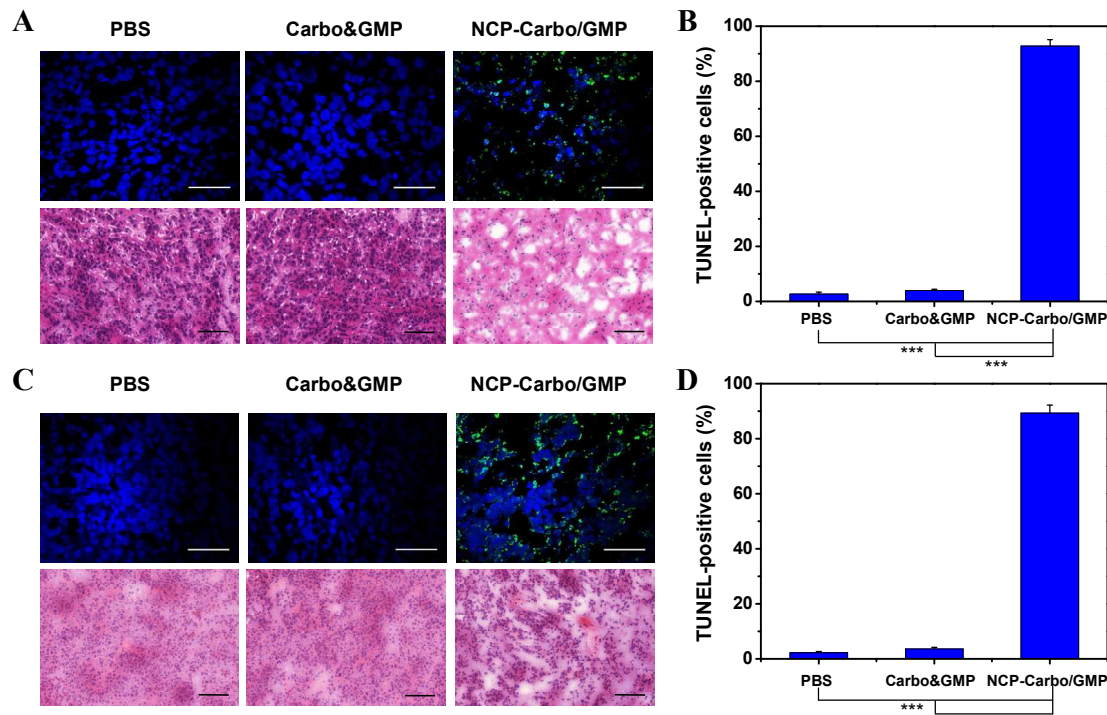


Figure 6. (A) Representative CLSM images of TUNEL assays and H&E staining of SKOV-3 tumor tissues. DNA fragment in apoptotic cells was stained with fluorescein-conjugated deoxynucleotides (green) and the nuclei were stained with DAPI (blue). Top bar = 50 μ m. Bottom bar = 100 μ m. (B) The percentages of TUNEL-positive cells in SKOV-3 tumor tissues. (C) Representative CLSM images of TUNEL assays and H&E staining of A2780/CDDP tumor tissues. DNA fragment in apoptotic cells was stained with fluorescein-conjugated deoxynucleotides (green) and the nuclei were stained with DAPI (blue). Top bar = 50 μ m. Bottom bar = 100 μ m. (D) The percentages of TUNEL-positive cells in A2780/CDDP tumor tissues. Data are expressed as means±S.D. (n=3), ***p<0.001.

Discussion

Combinations of two or more chemotherapeutic agents are often employed as first-line therapy in the clinical treatment of a variety of cancers. The different drugs can either affect distinct molecular targets in order to delay molecular and genetic mutations by cancer cells to

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3 resist the chemotherapy, or target similar cellular mechanisms for synergistically enhanced
4 therapy.^{47, 48} However, significant differences in physical and chemical properties of
5 chemotherapeutic drugs cause difficulties in optimizing treatment regimens. The
6 pharmacokinetics and pharmacodynamics of each combination therapy component is unique,
7 which results in inconsistent drug concentrations in tumor sites and suboptimal efficacy.^{49, 50} Co-
8 delivering multiple chemotherapeutics within a single nanocarrier provides distinct advantages
9 over administering multiple single-agent nanoparticles or free drugs. Physical and chemical
10 differences in solubility and charge of individual drugs are nullified when incorporated together
11 onto a nanoparticle, allowing for a consistent ratio of drug at the tumor site.⁵¹⁻⁵³ Specifically, the
12 modular synthesis of NCP allows for highly reproducible drug loadings and the core-shell
13 structure endows nanoparticle stability and controlled release kinetics for both drugs.

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15 Here, we utilized the NCP-platform that combines the high drug loadings of
16 chemotherapeutics carboplatin and gemcitabine at a precise ratio to elicit therapeutic effect in a
17 single nanocarrier for Pt-resistant OCa treatment. A major benefit of using nanoparticles of about
18 10-100 nm in size for drug delivery is their intrinsic ability to target tumors by the enhanced
19 permeability and retention (EPR) effect.^{54, 55} NCP-Carbo/GMP are an optimal size that takes
20 advantage of the leaky vasculature to accumulate in the tumor, while sufficiently avoiding both
21 renal filtration which removes particles less than 40 nm and mononuclear phagocytic system
22 (MPS)-mediated clearance of particles over 200 nm. In addition, the near-neutral surface charge
23 of NCP-Carbo/GMP provides the possibility for the particles to resist opsonization. NCP-
24 Carbo/GMP also showed very high stability under physiological conditions, such that drug
25 leakage during systemic circulation was minimized and drug effects were primarily focused at
26 the tumor site to reduce side effects.⁵⁶⁻⁵⁸ All of these characteristics contribute to the particles'
27 prolonged circulation time and improved tumor accumulation.

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29 Once the particles enter the tumor cells, the lipid bilayer on the surface likely incorporates
30 into the lipid assemblies of cell and plasma membranes, exposing the core of the particle to
31 endogenous reducing agents, such as cysteine, for degradation of the particle to release the
32 therapeutic payloads. The incorporation of lipid bilayer into the cell membranes might be able to
33 prevent the efflux of the released drugs, as shown by gradual increased cellular uptake over time.
34 While the cellular uptake rapidly decreased for free drugs over time due to the efflux effect of
35 cells. As a result, NCP-Carbo/GMP has 5-times higher cellular uptake as compared to the free
36 drugs. The enhanced tumor uptake led to effectively regression of the Pt resistant SKOV-3
37 tumors and dramatically inhibition of the aggressive A2780/CDDP tumors *in vivo*. The tumor
38 weights were ~90-fold and ~12-fold lower as compared to the control and free combination
39 drugs, respectively.

40 41 42 43 44 45 46 **Conclusion**

47
48 We developed an NCP platform that combines carbo and gemcitabine for successful
49 treatment of Pt-resistant OCa. We show here that carbo and gemcitabine produce a synergistic
50 effect in SKOV-3 and A2780/CDDP, and this synergy is retained when this combination is co-
51 delivered in an NCP. NCP-Carbo/GMP showed a long blood circulation half-life and high drug
52 accumulation in the tumor, resulting in superior tumor regression *in vivo* when compared to free
53 combination drugs. Equipped with high antitumor therapeutic potential and low systemic
54 toxicity, NCPs possess the ideal characteristics to co-deliver multiple chemotherapies to tumors
55 for enhanced cancer therapy.
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Experimental Procedures

Materials, cell lines, and animals. All starting materials were purchased from Sigma-Aldrich (Louis, MO) and Fisher Scientific (Pittsburgh, PA) unless otherwise noted and used without further purification. 1,2-dioleoyl-sn-glycero-3-phosphate (DOPA), 1,2-distearoyl-sn-glycero-3-phosphocholine (DSPC), cholesterol, and 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N-[amino(polyethylene glycol)2000] (DSPE-PEG2k) were purchased from Avanti Polar Lipids (Alabaster, AL). Gemcitabine monophosphate (GMP) was synthesized from gemcitabine following the literature⁵⁹.

¹H NMR spectra were recorded on a Bruker NMR 400 DRX Spectrometer at 400 MHz. The mass spectra of Carbo prodrug, Carbo-bis(phosphonic acid), and its intermediates were determined on electrospray ionization mass spectrometry (ESI-MS, Agilent 6130) by dissolving the prodrug in water, deprotonated with excess 3 M NaOH, and then filtered through 0.2 μm syringe filter. ESI-MS was taken in positive mode, with a fragmentation voltage of 100 V from 620-720 MW/z.

Human ovarian cancer cells SKOV-3 and murine colon cancer cells CT26 were purchased from the American Type Culture Collection (Rockville, MD) and grown in McCoy's 5A and RPMI 1640, respectively, supplemented with 10% fetal bovine serum (FBS, Gibco, Grand Island, NY). Cisplatin-resistant human ovarian cancer cells A2780/CDDP were obtained from Developmental Therapeutics Core, Northwestern University, and cultured in RPMI 1640 containing 10% FBS. All cells were cultured in a humidified atmosphere containing 5% CO₂ at 37 °C.

BALB/c female mice (6 weeks, 18-22 g) and athymic female nude mice (6 weeks, 18-22 g) were provided by Harlan Laboratories, Inc (USA). The study protocol was reviewed and approved by the Institutional Animal Care and Use Committee (IACUC) at the University of Chicago.

Carbo prodrug synthesis. Carbo synthesis was based on previous literatures.⁶⁰⁻⁶² Carbo was synthesized by adding equivalent amounts of Ag salt of cyclobutanedicarboxylic acid (Ag-carbo, 1.51 g) to Pt(NH₃)₂I₂ (2.05 g) in minimal H₂O and stirred at room temperature and in darkness for 48 h. The solution was filtered, and the filtrate was evaporated, resulting in a white solid. The product cis-diammine(1, 1-cyclobutanedicarboxylato)-platinum(II) (Carbo) was recrystallized in 1:1 H₂O : EtOH. Yield: 80%. ¹H NMR in D₂O: δ 2.8 (t, 4H); δ 1.8 (quintet, 2H).

Carbo (1.04 g) was reacted with 30% H₂O₂ (8 mL) in H₂O (16 mL) at 70 °C for 5 h in darkness. Addition of EtOH precipitated a white solid, Carbo-(OH)₂, which was washed twice with EtOH. Yield: 80%. ¹H NMR in D₂O: δ 2.67 (t, 4H); δ 2.0 (quintet, 2H). The M/Z of [M+H]⁺ was determined to be 406.1 (expected M/Z= 406.1).

Carbo-(OH)₂ (0.8 g) was dissolved in minimal DMF, to which was added 4 equivalents of diethoxyphosphinyl isocyanate (1.2 mL). The mixture was allowed to react overnight in darkness. The solution was filtered, and the resulting lightly yellow Carbo-bis(phosphoester) product was precipitated by addition of Et₂O. The product was then washed twice with Et₂O. Yield: 78%. ¹H NMR in D₂O: δ 4.05 (m, 8H); δ 2.60 (m, 3H); δ 2.39 (m, 1H); δ 1.93 (m, 2H). The M/Z of [M+H]⁺ was determined to be 764.2 (expected M/Z= 764.1).

Trimethylsilyl bromide (TMSBr, 800 μL) was added slowly to the Carbo-bis(phosphoester) (0.8 g) dissolved in minimal DMF at 4 °C, and the solution was stirred for 18 h at r.t. in darkness

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3 under N₂ protection. A lightly yellow solid was collected after addition of DCM and then washed
4 twice with additional DCM. The solid was dissolved in MeOH and stirred overnight to hydrolyze
5 the acid. The final product, cis,trans-[Pt(1,1-
6 cyclobutanedicarboxylato)(NH₃)₂(OCONHP(O)(OH)₂)₂], [Carbo-bis(phosphonic acid) prodrug],
7 was collected by precipitation by DCM and then washed twice with additional DCM. Yield:
8 73%. ¹H NMR in D₂O: δ 2.57 (t, 3H), 2.43 (m, 1H), and 1.91 (m, 2H). ¹³C NMR in D₂O: δ
9 179.9; 179.5; 55.7; 35.1; 28.0; and 15.4. ¹⁹⁵Pt in D₂O: δ 174 using K₂PtCl₄ as reference. The M/Z
10 of [M+MeOH]⁺ was determined to be 684.2 (expected M/Z= 684.0).
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13 **Particle synthesis and characterization.** DOPA-NCP-Carbo/GMP was synthesized in
14 reverse microemulsions. To synthesize DOPA-NCP-Carbo/GMP, carbo prodrug (331.3 μL, 11.5
15 μmol), GMP sodium salt solution (68.7 μL, 5.0 μmol), and DOPA (44 μmol) were added to a 10
16 mL aliquot of Triton-X-100 (0.3 M in 1.5 M hexanol/cyclohexane) solution to form a *W*=7.4
17 microemulsion. Another microemulsion of 10 mL Triton-X-100 (0.3 M, 1.5 M
18 hexanol/cyclohexane) containing Zn(NO₃)₂·6H₂O (262 μmol) was also prepared. The two
19 microemulsions were stirred vigorously at room temperature for 15 min. The microemulsion
20 containing the Zn complex was added to the other microemulsion dropwise. The combined
21 emulsion was stirred for an additional 30 min at room temperature. After the addition of 20 mL
22 ethanol, the particles were washed once with ethanol and twice with 50% (v/v)
23 ethanol/tetrahydrofuran (THF) before being redispersed in THF.
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26 Carbo-loaded nanoparticles were dried, weighed, digested in concentrated nitric acid
27 overnight, and diluted with water to determine the carbo loading by inductively coupled plasma-
28 mass spectrometry (ICP-MS, Agilent 7700x ICP-MS). GMP loading was determined by UV-Vis
29 spectroscopy and thermogravimetric analyses (TGA). Particles were digested overnight in 6 M
30 hydrochloric acid, and the concentration of GMP in the solution was determined by the
31 absorbance at 275 nm using a Shimadzu UV-2401PC UV-Vis Spectrophotometer. A baseline
32 spectrum was recorded using 6 M hydrochloric acid. Using the Pt-drug loading from ICP-MS
33 and corresponding to the standards of carboplatin, the absorbance from Pt was subtracted from
34 the total absorbance to determine the GMP-drug loading.
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37 DOPA-capped NCP-Carbo/GMP nanoparticles were further coated with DSPC, cholesterol,
38 and DSPE-PEG2k to increase their stability and allow them to circulate longer in the blood. A
39 THF solution of DSPC, cholesterol, DSPE-PEG2k (molar ratio 1:1:0.75), and DOPA-capped
40 NCP nanoparticles was added dropwise to 500 μL of 30% (v/v) ethanol/H₂O at 60 °C under
41 strong stirring. THF was evaporated, and the dispersion was allowed to cool to room temperature
42 before use. NCP-Carbo nanoparticle carrying only carbo, and NCP-GMP particles carrying
43 gemcitabine monotherapy were prepared similarly as NCP-Carbo/GMP.
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46 ***In vitro* stability studies.** Particle stability was evaluated *in vitro* in phosphate buffered
47 saline (PBS) buffer with bovine serum albumin (BSA)-binding and time-dependent drug release.
48 BSA binding analysis was done by dispersing 0.45 mg of NCP-Carbo/GMP in 1 mL PBS
49 containing BSA (30 nM) at 37 °C. DLS measurements were detected every hour for 24 hours to
50 determine the size of nanoparticles in suspension over time.
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53 ***In vitro* drug release.** *In vitro* release profiles of Carbo and GMP from NCP-Carbo/GMP
54 were performed in 250 mL 1× PBS buffer with or without 5 mM cysteine at 37 °C and pH 7.4.
55 DOPA-NCP-Carbo/GMP or NCP-Carbo/GMP (0.75 mg) were suspended in 4 mL of 1x PBS
56 buffer solution with or without 5 mM cysteine in a 10,000 MWCO pleated dialysis bag. The
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dialysis bag containing the nanoparticle suspension was added into the beaker containing 250 mL of 1x PBS buffer, incubated at 37 °C at pH 7.4, while stirring. Periodically, 1 mL aliquots of solution were taken from the solution, and a fresh 1 mL of buffer solution with or without cysteine was added to the beaker. The removed aliquot was digested in nitric acid or HCl and analyzed by ICP-MS for Pt or UV-Vis for GMP, respectively.

***In vitro* cytotoxicity and synergistic effect.** *In vitro* cytotoxicity assays were carried out on SKOV-3 and A2780/CDDP ovarian cancer cells. In 96-well plates, SKOV-3 or A2780/CDDP ovarian cancer cells were seeded at a density of 1000 cells/well and 750 cells/well, respectively, in a total of 100 µL McCoy or RPMI-1640 containing 10% FBS. The cells were incubated at 37 °C for 24 h prior to drug treatment. The culture medium was replaced by fresh medium. Different concentrations of carbo, GMP, free carbo plus GMP (Carbo&GMP) mixture (at the same NCP-Carbo and NCP-GMP drug dose), NCP-Carbo, NCP-GMP, and NCP-Carbo/GMP were added and incubated at 37 °C and 5% CO₂ for 72 h, and cell viability was measured by MTS assay (Promega, USA) based on the manufacturer's manual. IC₅₀ values were calculated from curves constructed by plotting cell viability (%) versus drug concentration (µM).

An additional *in vitro* cytotoxicity was performed on A2780/CDDP with similar conditions above in which different concentrations of free drugs or particles were added for 4 h. The culture medium was replaced with fresh medium, and the cells were incubated for an additional 44 h. Cell viability was measured by MTS assay, and the IC₅₀ values were determined.

The combination index (CI) was calculated using the following equation

$$CI = \frac{D_1}{D_{m1}} + \frac{D_2}{D_{m2}}$$

where D₁ and D₂ are concentrations of drug 1 and drug 2 in combination at a specific drug effect level (eg. 50% inhibition concentration), while D_{m1} and D_{m2} are the concentrations of single agent to reach the same drug effect level. CI values were plotted against drug effect level (IC_x values). CI values lower than 1 indicate synergism.

Cell apoptosis by confocal microscopy. SKOV-3 and A2780/CDDP cells were seeded in 6-well plates (5 × 10⁴ cells/well), and the cells were treated with free drugs or particles at a concentration of 1.9 µM carbo or 0.7 µM GMP for 24 h. Treated cells were harvested, washed twice with ice-cold PBS, stained with Alexa Fluor 488 conjugated Annexin V and propidium iodide (PI) for 15 min at room temperature in the dark, and then analyzed by flow cytometry.

For CLSM imaging, cells were seeded on 10 mm² glass coverslips placed in 6-well plates at a density of 5 × 10⁴ cells per well and incubated with free drugs or particles at a concentration of 1.9 µM carbo or 0.7 µM GMP for 24 h. After fixing with 4% paraformaldehyde, cells were stained with 10 µg/mL of DAPI and Alexa Fluor 488 conjugated Annexin V and observed using CLSM at excitation wavelengths of 405 and 488 nm to visualize nuclei (blue fluorescence) and cell apoptosis (green fluorescence), respectively.

Cell apoptosis by flow cytometry. SKOV-3 or A2780/CDDP were seeded at 500,000 cells/well in 6-well plate containing 2 mL total volume of cell culture medium for 24 h at 37 °C and 5% CO₂. The culture medium was replaced with fresh medium containing different drug treatments at carbo concentration of 1.9 µM for SKOV-3 and 1.3 µM for A2780/CDDP and/or a GMP concentration of 0.7 µM for SKOV-3 and 0.5 µM for A2780/CDDP. Following a 24 h incubation, the floating and adherent cells were collected and stained with Annexin V/dead cell

apoptosis kit with Alexa Fluor 488 annexin V and propidium iodide (PI, Invitrogen, USA) based on manufacturer's instructions. The apoptosis was analyzed on a flow cytometer (LSRII 3-8, BD, USA).

***In vitro* cellular uptakes and intercellular distribution.** SKOV-3 or A2780/CDDP cells were seeded at a cell density of 5×10^5 cells per well and 2 mL total volume media containing 10% FBS. Following 24 h of incubation at 37 °C and 5% CO₂, the culture medium was replaced by 2 mL of fresh media containing aliquots of free carbo and GMP or NCP-Carbo/GMP at 0.96 μM carbo (or 0.35 μM GMP) for SKOV-3 and 0.65 μM carbo (or 0.24 μM GMP) for A2780/CDDP. The cells were cultured for different time points (1 h, 2 h, 4 h, and 24 h) at 37 °C and 5% CO₂. Media were removed and the cells were washed with 1× PBS three times. The adherent cells were collected by trypsinization and washed further with 1× PBS three times. The cell numbers were counted prior to the last wash. The cells were digested with concentrated nitric acid or 6 M HCl and analyzed using ICP-MS for Pt content or UV-Vis for GMP content. Known cell numbers of SKOV-3 or A2780/CDDP with no drug treatments were used as baseline to subtract any interference from cellular components.

To directly observe the internalization and intracellular distribution of particles under CLSM, Rhodamine B (RhB), a fluorescence marker, was doped into the particle by adding it to the prodrug microemulsion during particle preparation. Cells were seeded on 10 mm² glass coverslips placed in 6-well plates and incubated with RhB-doped NCP-Carbo/GMP for 1 h, 2 h, 4 h, and 24 h. Cells were washed with PBS three times, fixed with 4% paraformaldehyde, stained with DAPI and LysoTracker Green, and observed under CLSM (FV1000, Olympus, Japan).

***In vivo* pharmacokinetic and biodistribution studies.** To evaluate the pharmacokinetics and biodistribution of NCP-Carbo/GMP, balb/c mice bearing CT-26 tumors were intraperitoneally injected with NCP-Carbo/GMP at 5 mg/kg carbo dose (or 1.5 mg/kg GMP dose). Mice were sacrificed at 5 min, 1h, 3 h, 5h, 8 h, 24 h, and 48 h post-injection. Their liver, lung, spleen, kidney, heart, bladder, tumor, and blood were harvested and digested in concentrated nitric acid for 24 h, and the Pt concentrations were analyzed by ICP-MS.

Pt concentration were analyzed using ICP-MS. GMP concentrations in plasma were further analyzed using high-performance liquid chromatography-tandem mass spectrometry (HPLC-MS/MS, Agilent 6460 QQQ MS-MS). The extraction method followed a previous literature procedure. To a 50 μL plasma, 200 μL ice-cold acetonitrile was added, vortexed, mixed, and centrifuged. The resulting supernatant was evaporated and reconstituted in 100 μL of water. An injection volume of 20 μL of sample was used. The autosampler and column temperatures were kept at 4 and 30 °C, respectively. The samples were separated via a PGC Hypercarb column (100 x 2.1 ID, 5 μm, Thermo Fisher Scientific) fitted with a guard column (Hypercarb 10 x 2.1, 5 μm, Thermo Fisher Scientific). A gradient mobile phase of (A) 10 mM ammonium acetate at pH 10 and (B) acetonitrile were used with the initial mobile phase of 95% solvent A and 5% solvent B at a flow rate of 0.3 mL/min. After 2 min, solvent A was gradually decreased to 80% over 0.2 min and held at this condition for 5.6 min. The gradient was returned to 95% solvent A over 0.2 min, and this condition was held for an additional 7 min, for a total run time of 15 min. The mass to charge transition was monitored from 342 to 231.

Anti-tumor activity *in vivo*. The anti-tumor activity was conducted on two subcutaneous xenograft mouse models. SKOV-3 cells (5×10^6 cells in 100 μL medium/matrix gel (v/v 1:1)) or

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3 A2780/CDDP cells (5×10^6 cells in 100 μL medium) were subcutaneously injected in the right
4 flank of mice. When the tumor volume reached around 100 mm^3 , mice were randomly divided
5 into 3 groups ($n = 5$), and i.p. injected with PBS, Carbo&GMP, and NCP-Carbo/GMP at a dose
6 of 10 mg carbo/kg and 2.4 mg Gem/kg every three days. Tumor sizes were measured every day
7 by a caliper and calculated as follows: $(\text{length} \times \text{width}^2)/2$. Body weight was also recorded every
8 day as an indicator of systemic toxicity. All mice were sacrificed when the tumor volume of PBS
9 group reached 2000 mm^3 .
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12 ***In vivo* immunogenic response, hypersensitivity, and general toxicity evaluation of NCP.**

13 At the endpoint of the *in vivo* efficacy, blood was collected, and the serum was separated for
14 immunogenic response analysis. TNF- α , IFN- γ , and IL-6 production was determined by ELISA
15 (R&D Systems, USA). Blood from the control group was also analyzed under the same treatment
16 above for comparison. Organs (heart, liver, spleen, lung and kidney) were also harvested,
17 sectioned at 5- μm thickness, stained with H&E, and observed for histological examination of
18 toxicity with light microscopy (Panoramic Scan Whole Slide Scanner, PerkinElmer, USA).
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22 ***In vivo* tumor cell apoptosis.** SKOV-3 and A2780/CDDP tumors were excised and
23 embedded in optimal cutting temperature (OCT) medium, sectioned at 5- μm thickness. TdT-
24 mediated dUTP nick end labeling (TUNEL) assay was performed using DNA Fragmentation
25 Detection Kit (Invitrogen, USA) and observed under CLSM to quantify *in vivo* apoptosis. Nuclei
26 were stained with DAPI (10 $\mu\text{g}/\text{mL}$), and DNA fragments in apoptotic cells were stained with
27 fluorescein-conjugated deoxynucleotides (green). The number of TUNEL-positive cells was
28 divided by the total number of cells to calculate the percentage of apoptotic cells in the sample.
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32 **Statistical analysis.** Results were expressed as means \pm standard deviation (S.D.). ANOVA
33 was used to determine statistical significance. A P value < 0.05 was considered statistically
34 significant.
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43 **Author Contributions**

44 [†]These authors contributed equally.
45

46 **Abbreviations**

47 OCa, ovarian cancer; Pt, platinum; Carbo, carboplatin; NCP, nanoscale coordination
48 polymer; DOPA, 1,2-dioleoyl-sn-glycero-3-phosphate; GMP, gemcitabine monophosphate; THF,
49 tetrahydrofuran; PBS, phosphate buffered saline; CI, combination index; RhB, rhodamine B; TUNEL,
50 TdT-mediated dUTP nick end labeling
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