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Structure-Odor Relationships of (Z)-3-Alken-1-ols, (Z)-3-Alkenals, and (Z)-3-Alkenoic acids

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1 **Abstract**

2 (Z)-3-Unsaturated volatile acids, alcohols, and aldehydes are commonly found in foods
3 and other natural sources, playing a vital role in the attractiveness of foods but also as
4 compounds with chemo-communicative function in entomology. However, a systematic
5 investigation of their smell properties, especially regarding humans, has not been
6 carried out until today. To close this gap, the odor thresholds in air and odor qualities of
7 homologous series of (Z)-3-alken-1-ols, (Z)-3-alkenals, and (Z)-3-alkenoic acids were
8 determined by gas chromatography-olfactometry. It was found that the odor qualities in
9 the series of the (Z)-3-alken-1-ols and (Z)-3-alkenals changed, with increasing chain
10 length, from grassy, green to an overall fatty and citrus-like, soapy character. On the
11 other hand, the odor qualities of the (Z)-3-alkenoic acids changed successively from
12 cheesy, sweaty via plastic-like to waxy in their homologous series. With regard to their
13 odor potencies, the lowest thresholds in air were found for (Z)-3-hexenal, (Z)-3-octenoic
14 acid, and (Z)-3-octenal.

15

16 **Keywords**

17 gas chromatography-olfactometry; odor threshold in air; odorant; chemo-
18 communicative; retention index; odor activity; odor intensity

19 Introduction

20 From the vast number of odor-active compounds, a number of fatty acid-derived
21 odorants are formed as products during lipid oxidation, mostly autoxidation or enzymatic
22 oxidation of linoleic or linolenic acid, but also by other biosynthetic pathways.^{1, 2}
23 Corresponding to the (*E*)-3-alkenoic acids, (*E*)-3-alken-1-ols, and (*E*)-3-alkenals that
24 have been described in our previous study,³ the corresponding (*Z*)-3-alkenoic acids, (*Z*)-
25 3-alken-1-ols, and (*Z*)-3-alkenals are commonly found as odorants or chemo-
26 communicative substances for humans and other species in diverse foods and other
27 natural sources. (*Z*)-3-Heptenoic acid, (*Z*)-3-octenoic acid, (*Z*)-3-nonenoic acid, (*Z*)-3-
28 decenoic acid, (*Z*)-3-undecenoic acid, and (*Z*)-3-dodecenoic acid are volatile
29 decomposition products of various triglycerides like trilinolein and triolein, or oils (corn
30 oil, hydrogenated cottonseed oil) built during thermal oxidization by (simulated) deep-fat
31 frying.^{4, 5} Further, (*Z*)-3-hexen-1-ol is a bioconversion product of (*E*)-3-hexenoic acid,
32 and (*Z*)-3-octen-1-ol of (*E*)-3-octenoic acid by the highly metabolically active fungus
33 *Botrytis cinerea*.⁶ In previous studies, the odor of (*Z*)-3-hexen-1-ol has been described
34 as *herbal/green*⁷, *green/cut grass*⁸, or *green leaves-like*⁹, while (*Z*)-3-octen-1-ol has
35 been reported to elicit *toasted nut/smoky/dusty*⁷ or *pungent/rancid*¹⁰ odor qualities. A
36 number of the compounds investigated in this study have previously been identified in
37 diverse food materials, often playing a vital role in their attractiveness: (*Z*)-3-penten-1-ol
38 has been detected in black tea¹¹ and lamb's lettuce¹². (*Z*)-3-Hexen-1-ol was, among
39 others, identified in lamb's lettuce¹², different melon types^{13, 14}, and oyster leaf⁸. (*Z*)-3-
40 Octen-1-ol is, for example, part of the aroma profiles of banana¹⁰ and chili pepper¹⁵.
41 With the exception of (*Z*)-3-undecen-1-ol and (*Z*)-3-dodecen-1-ol, all compounds in the

42 homologous series of the (Z)-3-alken-1-ols have been identified in food. The same
43 holds true for the series of (Z)-3-alkenals whereas (Z)-3-undecenal and (Z)-3-dodecenal
44 have not yet been reported as food constituents. Just a few examples of the (Z)-3-
45 alkenals reported so far are highlighted here: (Z)-3-pentenal was identified in black
46 tea¹⁶, (Z)-3-hexenal in lamb's lettuce¹², and oyster leaf⁸, in all cases accompanied by
47 (Z)-3-hexen-1-ol. Moreover, (Z)-3-hexenal could be identified as important odorant in
48 fresh squeezed citrus juices,^{17, 18} but also as constituent of an off-odor in German
49 rainbow trout.¹⁹ (Z)-3-Heptenal, (Z)-3-octenal, (Z)-3-nonenal, and (Z)-3-decenal have
50 been detected in peanut butter oil.²⁰ Most of the (Z)-3-alkenoic acids have also been
51 reported before but not in such a large variety of foods as the (Z)-3-alken-1-ols and (Z)-
52 3-alkenals. For instance, (Z)-3-hexenoic acid has shown to be part of the volatile
53 spectrum of different types of tea.^{21, 22} These are just a few examples of the occurrence
54 of these structurally related compounds in food. For the interested reader, these and
55 several more examples together with their reported odor qualities and odor threshold
56 values are given in the supporting information.

57 Apart from that, two of the acids have been identified in non-food matrices: (Z)-3-
58 pentenoic acid is a volatile in cigarette smoke²³, and (Z)-3-hexenoic acid in the absolute
59 of *Gardenia jasminoides Ellis*²⁴.

60 It is possible, maybe even likely, that compounds from these homologous series exist in
61 nature even if they have not yet been reported. Their current lack of detection might be
62 due to their potentially low concentrations, instability, or the difficulty of extraction, even
63 if they might be highly potent odorants.

64 Besides their occurrence in food, consumables or plants, some of these compounds
65 also play a role in entomology, comparable to the corresponding (*E*)-3-alkene
66 compounds as we reported in our previous study.³ (*Z*)-3-Hexen-1-ol and (*Z*)-3-hexenal
67 are part of the defensive secretion from male Florida woods cockroaches, *Eurycotis*
68 *floridana*,²⁵ and the secretion of the ventral glands in *Nematus* sawfly larvae, as
69 protection from predation.²⁶ (*Z*)-3-Hexenal is a plant attractant that stimulates the
70 antennae of male fall webworm moths, *Hyphantria cunea*.²⁷ (*Z*)-3-Octenal was also
71 detected in the already mentioned ventral glands of *Nematus* sawfly larvae²⁶ and,
72 likewise, in the ventral glands of the *Cladius*, *Priophorus* and *Trichiocampus* sawfly
73 larvae.²⁸ (*Z*)-3-Decenoic acid is one compound of the anal secretion from different
74 species of idolothripine thrips acting as a repellent.²⁹ Further, it has been reported as
75 sex pheromone in the furniture carpet beetle, *Anthrenus flavipes* LÉCONTE.³⁰
76 Despite the fact that various compounds from the homologous series of (*Z*)-3-alken-1-
77 ols, (*Z*)-3-alkenals, and (*Z*)-3-alkenoic acids have been identified in foods, plants, or
78 insects, there has been no systematic investigation of their odor properties in terms of
79 their odor thresholds and smell qualities. In view of these important analytical
80 parameters, only a few data have been published so far (**Table 1 A-C** and **Table 2 A-**
81 **C**). Therefore, the aim of this work was to provide comprehensive sensory and
82 analytical data on these compounds to support future research on these important
83 substance classes. Further, odor qualities and odor properties of the corresponding
84 saturated compounds were determined, to provide a comprehensive understanding of
85 the impact of the characteristic (*Z*)-3-moiety on relation to the chain length and oxidation
86 status of the target substances, and to establish a comprehensive substance library

87 comprising their analytical and sensory characteristics. This offers the possibility to
88 compare the previously published data related to the (*E*)-3-alkene compounds,³ with
89 data on the (*Z*)-3-alkene compounds and their saturated analogs of the present
90 investigation.

91 **Materials and Methods**

92 **Chemicals.** Lindlar's catalyst, quinoline, n-butyl lithium solution (2.7 M in THF),
93 ethylene oxide, hexamethylphosphoramide (HMPA), nonyne, decyne, 3-dodecyn-1-ol,
94 calcium carbonate, chromium trioxide, periodic acid, hydrochloric acid, diethyl ether,
95 sodium sulfate dibasic, sodium bisulfite, sodium chlorite, magnesium sulfate, Dess-
96 Martin periodinane, sodium bicarbonate, acetonitrile, toluene, tetrahydrofurane
97 anhydrous, and sodium thiosulfate were purchased from Sigma-Aldrich (Steinheim,
98 Germany). Silica gel (Normasil 60, 40 – 63 μm), sodium chloride, dichloromethane, n-
99 hexane, and ethyl acetate were purchased from VWR International GmbH (Darmstadt,
100 Germany). (Z)-3-Penten-1-ol was purchased from abcr (Karlsruhe, Germany), (Z)-3-
101 hexen-1-ol, (Z)-3-hepten-1-ol and (Z)-3-nonen-1-ol were from Sigma-Aldrich (Steinheim,
102 Germany), and (Z)-3-octen-1-ol from TCI Europe (Zwijndrecht, Belgium). All chemicals
103 were used without further purification.

104 **Nuclear Magnetic Resonance (NMR) Spectra.** ^1H and ^{13}C NMR spectra were
105 recorded in CDCl_3 on an Avance 360 spectrometer, 360 MHz, and an Avance 600
106 spectrometer, 600 MHz (Bruker Biospin, Rheinstetten, Germany), at room temperature
107 operated at 360 or 600 MHz (^1H) and 90 or 150 MHz (^{13}C), with tetramethylsilane (TMS)
108 as internal standard.

109 **Preparative High-Performance Liquid Chromatography (Prep-HPLC).** The
110 preparative HPLC separation (AS 2057plus autosampler, two PU 2087plus gradient
111 pumps, a mixing chamber, DG 98050 degasser, and UV 2077plus UV/vis detector, all
112 by JASCO, Gross-Umstadt, Germany) was performed using a C18-material preparative

113 column (Nucleodur 100-5 C18ec, 10 × 250 mm, 5 µm particle size, with inline-filter,
114 Macherey-Nagel, Düren, Germany) with gradient elution program (A: acetonitrile, B:
115 water; flow rate 3 mL/min, gradient A/B: 20:80 to 100:0 in 60 min) and detection by UV
116 at 254, 280, and 300 nm. Fractions from several runs containing the target compound
117 were collected manually, combined, dried over MgSO₄, and evaporated.

118 **GC-FID, GC-Olfactrometry (GC-O) and GC-Electron Impact-Mass Spectrometry**

119 **(GC-EI-MS)**. GC-FID and GC-O analyses were performed with a Trace GC Ultra
120 (Thermo Fisher Scientific GmbH, Dreieich, Germany) by using the following capillaries:
121 FFAP (30 m x 0.32 mm i.d. fused silica capillary, free fatty acid phase FFAP, 0.25 µm;
122 Chrompack, Mühlheim, Germany) and DB5 (30 m x 0.32 mm i.d. fused silica capillary
123 DB-5, 0.25 µm; J & W Scientific, Fisons Instruments). The samples were applied by the
124 cool-on-column injection technique at 40 °C. After 2 minutes, the temperature of the
125 oven was raised at 10 °C/min to 240 °C, then raised at 40 °C/min to 280 °C (DB5), or at
126 10 °C/min to 240 °C (FFAP), respectively, and held for 5 minutes. The flow rate of the
127 carrier gas helium was 2.5 mL/min. At the end of the capillary, the effluent was split in a
128 ratio 1:1 (by volume) into an FID and a sniffing port using two deactivated but uncoated
129 fused silica capillaries (50 cm x 0.32 mm). The FID and the sniffing port were held at
130 250 °C, respectively. GC-EI-MS analyses were performed with an Agilent MSD 5975C
131 (Agilent Technologies, Waldbronn, Germany) and a Thermo ITQ 900 (Thermo Fisher
132 Scientific, Dreieich, Germany) with the capillaries described above, using the same
133 temperature programs as for the GC-FID and GC-O measurements, with a flow rate of
134 the carrier gas helium of 1.0 mL/min. Mass spectra in the electron impact mode (EI-MS)
135 were generated at 70 eV.

136 **Retention indices (RI).** Retention indices were determined according to the method
137 previously described by Van den Dool and Kratz (1963).³¹

138 **Panelists.** Panelists were trained volunteers from the University of Erlangen-Nürnberg
139 (Erlangen, Germany), exhibiting no known illness at the time of examination and with
140 audited olfactory function. In preceding weekly training sessions, the assessors were
141 trained for at least half a year in recognizing orthonasally about 150 selected known
142 odorants at different concentrations according to their odor qualities, and in naming
143 these according to an in-house developed flavor language. Furthermore, the panel was
144 trained every two weeks on specific attributes with the help of specifically developed
145 sniffing sticks; in the course of this training, all panelists also had to fill the same
146 questionnaire (hedonic, intensity) to obtain insights into their specific sensitivities or
147 insensitivities which were systematically recorded. Based on these tests, panelists were
148 regularly tested if they complied with the established flavor language.

149 **Odor threshold values.** Thresholds in air were determined by GC-O with (*E*)-2-decenal
150 as internal standard.^{1, 32, 33} Of every dilution, 2 μ L were applied for injection into the GC
151 system. The thresholds were determined by five panelists (one male, four female), with
152 each experiment being conducted once. GC analyses were performed on capillary
153 FFAP as described above. The purity of all commercially available and synthesized
154 compounds was taken into account in the GC-O experiments. All synthesized
155 compounds were further checked for potential olfactorily active impurities by sniffing
156 each single substance on both capillaries of different polarity, to exclude any
157 interferences.

158 **Odor quality determination.** The odor qualities, determined during GC-O evaluation,
159 were related in comparison to odor qualities of commercially available reference
160 compounds. Thereby, panelists were asked to freely choose the respective odor quality
161 descriptors based on the in-house developed flavor language that is related to these
162 references (cf. panelists). No additional descriptors were supplied to the panelists. The
163 panelists determined the qualities during sniffing of the solution corresponding to FD 1
164 (injection of 2 μ L). The panelists were instructed to record any changes in odor qualities
165 in all following dilutions.

166

167 **Syntheses, general procedures:**

168 **3-Alkyn-1-ols (Figure 1 A).** n-Buthyllithium (2.7 M in hexane, 1 eq) was injected into a
169 cooled solution (≤ 0 °C) of the corresponding 1-alkyne (1 eq) in dry THF (approx. 10
170 mL/12 mmol alkyne) under nitrogen atmosphere. Ethylene oxide (1.2 eq) was injected
171 from a precooled syringe, and then HMPA (ca. 2 eq) was injected. The resulting mixture
172 was stirred over night at room temperature and then worked up by dilution with water
173 and extraction with hexane. After evaporation of the solvent, the crude product was
174 purified by column chromatography (silica gel, eluent: hexane/EtOAc = 4/1) to give the
175 pure 3-alkyn-1-ol as a colorless to pale yellow oily liquid.^{34, 35}

176 **(Z)-3-Alken-1-ols (6 to 8, Figure 1 B).** 3-Alkyn-1-ol was added to a slurry of Lindlar's
177 catalyst (5% Pd on CaCO₃ poisoned with Pb) in pentane and quinoline at room
178 temperature. The reaction flask was swept with H₂ gas, evacuated three times and
179 stirred under H₂ atmosphere overnight. The reaction mixture was filtered through a plug
180 of CaCO₃ and rinsed with Et₂O twice. The filtrate was partitioned against 1.0 M HCl

181 saturated with NaCl, washed with saturated brine and dried over MgSO₄. After filtration
182 and evaporation of the solvent the crude product was purified by column
183 chromatography (silica gel, eluent: hexane/EtOAc = 4/1) to give the pure (Z)-3-alken-1-
184 ol as a colorless oily liquid.³⁵

185 **(Z)-3-Alkenals (9 to 16, Figure 1 C).** A solution of (Z)-3-alken-1-ol (1 eq) in CH₂Cl₂ (1
186 mL/mmol alcohol) was added dropwise to a suspension of Dess-Martin periodinane (1.1
187 eq) in CH₂Cl₂ (2 mL/mmol Dess-Martin periodinane). After a few minutes, in some cases
188 the reaction mixture started to boil and was allowed to do so for about five minutes. The
189 obtained suspension was stirred for three hours at room temperature. It was then
190 filtered through a glass frit and the filtrate was washed with saturated aqueous NaHCO₃
191 solution containing Na₂S₂O₃ (25%) (3.5 mL/mmol alcohol). The resulting clear solution
192 was dried over MgSO₄, filtered and the solvent was removed under reduced pressure to
193 give the corresponding (Z)-3-alkenal as a colorless to pale yellow oily liquid.³⁶ (Z)-3-
194 Decenal and (Z)-3 dodecenal were purified by preparative HPLC.

195 **(Z)-3-Alkenoic acids (17, 22 to 24, Figure 1 D).** By dissolving H₅IO₆ (11.4 g, 50 mmol),
196 and CrO₃ (23 mg, 1.2 mol %) in wet MeCN (0.75 v % water) to a volume of 114 mL a
197 stock solution of H₅IO₆/CrO₃ was prepared (complete dissolution took 1 – 2 hours). The
198 H₅IO₆/CrO₃ solution (11.4 mL) was added to a solution of the (Z)-3-alken-1-ol **1**, **6**, **7** or **8**
199 (2.0 mmol) in wet MeCN (10 mL, 0.75 v % water) over 30 to 60 minutes while
200 maintaining the reaction temperature at 0-5 °C. The mixture was aged at 0 °C for 30
201 minutes and quenched by adding an aqueous solution of Na₂HPO₄ (0.6 g in 10 mL
202 H₂O). Toluene (15 mL) was added, the organic layer was separated, washed with 10
203 mL of a 1/1 brine/water mixture twice, then with 5 mL of an aqueous NaHSO₃ (0.22 mg

204 in 5 mL water) once, and finally with 5 mL of brine once. The solvent was removed
205 under reduced pressure to give the corresponding (Z)-3-alkenoic acid **17**, **22**, **23** or **24**
206 as a colorless oily liquid or solid.³⁷

207 **(Z)-3-Alkenoic acids (18 to 21, Figure 1 D)**. To a solution of (Z)-3-alkenal (1 eq) in
208 DMSO (5 eq) an aqueous solution of NaClO₂ (1.1 to 1.2. eq) with a pH of 4.3 (set with
209 NaH₂PO₄) was added in portions and stirred over night at room temperature. The two
210 layers were extracted with EtOAc thrice, and the combined organic layers were washed
211 with brine. The resulting clear solution was dried over anhydrous MgSO₄. After
212 evaporation of the solvent the residue was purified by column chromatography (silica
213 gel, eluent: hexane/EtOAc = 4/1) to give the pure (Z)-3-alkenoic acid as a colorless oily
214 liquid.³⁸⁻⁴⁰

215 **Results and Discussion**

216 The 3-alkyn-1-ols that were required as intermediates for the subsequent synthetic
217 steps were successfully obtained following to the method reported by Heath et al. 1988
218 and Argenti et al. 2006.^{34, 35} The (Z)-3-alken-1-ols were generated therefrom by
219 selective hydrogenation of the corresponding 3-alkyn-1-ol with poisoned Lindlar's
220 catalyst, and the corresponding (Z)-3-alkenals were obtained via mild oxidation of the
221 corresponding (Z)-3-alken-1-ols with Dess-Martin periodinane as oxidizing agent.^{35, 36}
222 The respective (Z)-3-alkenoic acids were synthesized following two different methods.
223 Compounds 17 and 22 to 24 were obtained by oxidation with H₅IO₆/CrO₃ in wet MeCN
224 according to Zhao et al. 1998,³⁷ whereas compounds 18 to 21 were synthesized
225 applying a Pinnick oxidation reaction (**Figure 1 A-C**).³⁸⁻⁴⁰

226 The synthesized compounds were then used to determine their retention indices, and
227 their mass spectrometric and NMR data, thereby unambiguously confirming their
228 chemical identity. Following the analytical characterization, the individual odor threshold
229 values in air were determined by a panel using GC-O, thereby yielding the median odor
230 threshold of the panel as well as the odor threshold distribution amongst the group, and
231 the main odor qualities of the homologous (Z)-3-alken-1-ols, (Z)-3-alkenals, and (Z)-3-
232 alkenoic acids; all data are compiled in **Table 1** and **Figure 2**.

233 As in our previously published study on the structure–odor relationships of the related
234 (*E*)-3-alkenoic acids, (*E*)-3-alken-1-ols, and (*E*)-3-alkenals, the geometric mean values
235 are given in addition to the median odor threshold values reported in **Table 3**.³ In the
236 following, however, only the median values will be discussed. In view of this it is
237 important to note that strong deviations between the single values would influence the

238 geometric mean more significantly than the median. However, when comparing the
239 median and geometric mean values, it becomes evident that both values showed the
240 same tendencies in the present study, and that the differences between both values
241 were generally low, if any.

242 When evaluating the odor qualities of the investigated compounds it was found that the
243 main odor quality in the series of (Z)-3-alken-1-ols was *grassy-green* for (Z)-3-penten-1-
244 ol, (Z)-3-hexen-1-ol and (Z)-3-hepten-1-ol, then changing to *fatty* for (Z)-3-octen-1-ol,
245 (Z)-3-nonen-1-ol and (Z)-3-decen-1-ol, and finally to *soapy, citrus-like* for (Z)-3-
246 undecen-1-ol and (Z)-3-dodecen-1-ol (**Table 1 A-C**).

247 The (Z)-3-alkenals revealed very similar main odor qualities and the smell changes
248 followed a comparable pattern with increasing chain length: starting with *grassy, green*
249 for (Z)-3-pentenal and (Z)-3-hexenal, changing to *fatty* for (Z)-3-heptenal, (Z)-3-octenal,
250 (Z)-3-nonenal and (Z)-3-decenal, and finally reaching *citrus-like, soapy* impressions for
251 (Z)-3-undecenal and (Z)-3-dodecenal.

252 In contrast to this, the (Z)-3-alkenoic acids were associated with odor qualities that are
253 typical for fatty acids: (Z)-3-pentenoic acid, (Z)-3-hexenoic acid and (Z)-3-heptenoic acid
254 were found to mainly elicit a *sweaty* odor impression. With increasing chain length, a
255 *plastic-like* note developed for (Z)-3-octenoic acid and (Z)-3-nonenoic acid, whereas (Z)-
256 3-decenoic acid, (Z)-3-undecenoic acid and (Z)-3-dodecenoic acid were primarily
257 described as smelling *waxy* and *acidic*.

258 Nevertheless, some variations were observed in the individual naming of odor attributes
259 between panelists (**Table 2 A-C**). (Z)-3-dodecen-1-ol, for example, was described as
260 *citrus-like* and *soapy*, but also *musty* and *earthy, metallic, or pungent, tangy*. This

261 variance in selection of descriptors was especially pronounced in the group of (Z)-3-
262 alcohols with smell attributes ranging from *grassy*, *citrus-like* to *waxy*, *metallic*, or
263 *pungent*.

264 In contrast to the alcohols, the selected odor qualities of the (Z)-3-alkenals were much
265 more consistent as most panelists reported the terms *grassy*, *green* for (Z)-3-pentenal
266 and (Z)-3-hexenal, *fatty* for (Z)-3-heptenal and (Z)-3-octenal, and *fatty*, *citrus-like* for (Z)-
267 3-nonenal. However, the attributes named for (Z)-3-decenal, (Z)-3-undecenal and (Z)-3-
268 dodecenal differed to some extent. These compounds were reported as smelling *citrus-*
269 *like*, *soapy*, *fresh* but also *metallic-waxy*.

270 The (Z)-3-alkenoic acids were perceived as *acidic*, *sweaty* or *cheesy* in case of the
271 short-chain (Z)-3-alkenoic acids changing to *sweaty*, *plastic-like* or *waxy* with increasing
272 chain length. Interestingly, panelist 3 consistently reported *fatty* impressions for all
273 acids, accompanied by *soapy* for (Z)-3-pentenoic, (Z)-3-octenoic, (Z)-3-decenoic and
274 (Z)-3-undecenoic acid, or *green* for (Z)-3-heptenoic, (Z)-3-octenoic and (Z)-3-
275 dodecenoic acid.

276 When regarding the odor thresholds, the lowest median value was determined for (Z)-3-
277 hexenal with 0.27 ng/L_{air}, followed by (Z)-3-octenoic acid with 0.42 ng/L_{air}, (Z)-3-octenal
278 with 0.93 ng/L_{air}, and (Z)-3-heptenoic acid with 0.94 ng/L_{air}. Overall, comparison of all
279 three homologous series shows, that the (Z)-3-alkenals were the compounds with the
280 lowest median odor threshold values, ranging between 0.27 and 2.32 ng/L_{air}. However,
281 for the alcohol and acid derivatives, ranging between 9.5 and 191 ng/L_{air}, or 0.42 and 77
282 ng/L_{air}, respectively, no clear trend is observable: for the compounds containing five
283 carbon atoms, (Z)-3-pentenoic acid (median OT 21 ng/L_{air}) showed a lower value by a

284 factor of about 3 than (Z)-3-penten-1-ol (median OT 57 ng/L_{air}), whereas it was the other
285 way around for the C6-derivatives. On the other hand, (Z)-3-heptenoic acid (median OT
286 0.94 ng/L_{air}) and (Z)-3-octenoic acid (median OT 0.42 ng/L_{air}) showed significantly lower
287 median values than the corresponding (Z)-3-alken-1-ols, and somewhat lower median
288 OTs than the related aldehydes (Z)-3-heptenal (1.08 ng/L_{air}) and (Z)-3-octenal (0.93
289 ng/L_{air}).

290 Regarding the individual odor threshold values, the extent of the spreading of the
291 individual OT values was inconsistent for substances of the same substance class: as
292 an example, the OT ranges of (Z)-3-penten-1-ol and (Z)-3-hexen-1-ol were notably
293 narrower with a factor of 16 between their minimum and maximum threshold values of
294 both substances than those of the related (Z)-3-hepten-1-ol or (Z)-3-octen-1-ol
295 comprising a factor of 64 (**Table 1 A**, **Table 3 A** and **Figure 2 A**). For (Z)-3-hexen-1-ol,
296 it is especially interesting to note that the individual odor threshold values were
297 distributed in a relatively narrow range in comparison to all other compounds of the
298 homologous series of (Z)-3-alken-1-ols (lowest individual odor threshold value for four of
299 five panelists), potentially indicating a somewhat exceptional biological meaning of this
300 substance. This observation might be related to the fact that this compound is
301 commonly produced in the course of lipid oxidation processes, for example when plant
302 tissue is disrupted. Likewise, a second narrow distribution of the individual threshold
303 values was observed for (Z)-3-nonen-1-ol, which is potentially also linked to its
304 preferential formation in nature. Further, regarding the quotient of highest and lowest
305 value, (Z)-3-hepten-1-ol and (Z)-3-octen-1-ol showed the highest factor of 64 (cf. **Table**

306 **3 A**), thus the broadest variance in individual threshold levels, followed by (Z)-3-
307 undecen-1-ol and (Z)-3-decen-1-ol with factors of 33 and 32, respectively.

308 In contrast to that, much more consistent data were obtained for the individual panelists
309 when regarding the (Z)-3-alkenals with a variance that was commonly only in the range
310 of approximately a factor 8 to 16 between extremes (**Table 1 B** and **Figure 2 B**).
311 Nevertheless, the spreading of the values followed a comparable pattern, albeit in a
312 narrower range than for the corresponding alcohols. Highly reproducible values could
313 be observed for the individual OT values of (Z)-3-hexenal, with a factor of only 4
314 between minimum and maximum value. Further, (Z)-3-dodecenal showed a threshold
315 range from 0.03 ng/L_{air} to 1.06 ng/L_{air} which corresponds to a factor of 35 (**Table 3 B**),
316 spanning two orders of magnitude.

317 While the single values of the (Z)-3-alkenals from all panelists were quite reproducible,
318 single values of some of the (Z)-3-alkenoic acids varied to a higher extent between the
319 lowest and the highest individual threshold value (**Table 1 C**, **Table 3 C** and **Figure 2**
320 **C**). In case of (Z)-3-heptenoic acid and (Z)-3-octenoic acid, the minimum and maximum
321 values even spanned 6 and 5 orders of magnitude, respectively. Neither the (Z)-3-
322 alken-1-ols, nor the (Z)-3-alkenals showed such high variation in threshold levels.
323 Nevertheless, despite these differences, the ranking of the OTs of the individual
324 panelists of a specific homologous series was comparable (cf. **Table 3 A-C**). For
325 instance, the lowest individual odor threshold of the (Z)-3-alken-1-ols was that of (Z)-3-
326 hexen-1-ol for four out of five panelists as discussed above.

327 Further, we did not observe any correlation between the individual odor descriptors and
328 the individual odor threshold values.

329 The variations in odor thresholds between panelists appear, at first sight, as being huge,
330 especially when being compared to other studies: in the odor threshold determination
331 study of Czerny et al.³³, for example, a maximum factor of 8 has been reported.
332 However, the number of panelists was commonly comparatively lower in other studies
333 with e.g. only two panelists in the study of Czerny et al. and only aromatic compounds
334 having been investigated but no open-chained substances. Accordingly, data are only
335 comparable to a limited extent.

336 There may be several reasons for inter-individual variance in odor thresholds and odor
337 qualities. First, the receptor repertoire might be different between individuals as has
338 been reported in several studies on receptor expression in humans.^{41, 42} Second, it is
339 known that a large number of odorants activate not only one but often a number of
340 different receptors whereas one receptor can be activated by a range of different
341 compounds, obviously resulting in a complex coding of smell.^{43, 44} The potential
342 variation in this code between individuals is not yet resolved. Third, odorants may be
343 bio-transformed during their passage through the nasal cavity, for example by
344 cytochrome P450 metabolism; such processes are also termed *peri-receptor*
345 *events*.⁴⁵⁻⁵⁰ This can modify the structure and quantity of a substance, and might,
346 accordingly, lead to differences in odor threshold and quality. In view of this it is
347 especially interesting to note that the (Z)-3-compounds investigated in this study might
348 be prone to e.g. oxidative attack potentially leading to epoxides and a series of other
349 derivatives as described previously.^{45, 49, 50}

350 To translate the data of the present study into a comparison with other structurally
351 related odorants, we listed the median values of the OTs of the (Z)-3-alkene

352 compounds, (*E*)-3-alkene compounds, and the corresponding saturated compounds in
353 **Table 4 A-C** for direct comparison. Overall, the investigated (*Z*)-3-compounds showed
354 lower odor thresholds in air than the corresponding saturated compounds, followed by
355 the (*E*)-3-analoga. Accordingly, the (*Z*)-3-configuration obviously represents an
356 important stereo-chemical feature in these substance groups.

357 Our study demonstrates that the investigated compounds of the series of the (*Z*)-3-
358 alken-1-ols, (*Z*)-3-alkenals and (*Z*)-3-alkenoic acids show a broad variance of odor
359 qualities, and partially very low odor thresholds corresponding to high odor potencies.
360 This study is important insofar as it not only provides data on the smell properties of
361 these substances, but as it also highlights the at times relatively high variance in
362 olfactory perception between individuals; this latter aspect is, in our opinion, not
363 sufficiently regarded in odorant research. More detailed studies would be required but
364 the laborious and time consuming analyses as applied in this study are commonly just
365 executed by one or two panelists only in other investigations in this field.

366 Another relevant aspect of our study is the fact that several of the 24 investigated
367 substances have already been identified in foods or generally in nature; accordingly,
368 some of the remaining substances may be also promising candidates to be discovered
369 as natural compounds in future studies. The analytical data compiled in this study, such
370 as retention indices, mass spectra, odor threshold data in air and odor qualities are
371 intended to aid their future discovery. Moreover, this study aims at raising attention to
372 this substance class not only in terms of some of the compounds being potentially
373 important odorants in food but also with regard to other biological meaning, for example
374 as compounds with chemo-communicatory function, like pheromones, attractants or

375 repellents in the research field of entomology. Substance libraries as generated in the
376 present study will support their future discovery and systematic investigation.

377 **Abbreviations**

378 GC-O Gas Chromatography - Olfactometry

379 **Acknowledgments**

380 We thank all members of our working group for their participation in the sensory
381 analyses.

382 **Associated content**

383 **Supporting Information**

384 Spectroscopic data (MS-EI, NMR), yield and purity of all synthesized compounds as
385 well as a table including the entry numbers and structures of all investigated (Z)-3-
386 compounds, a table of foods / consumables the substances have been identified in,
387 besides tables of literature data on odor qualities and odor threshold values, and a table
388 comprising the concentrations of the FD1 solutions of the respective odorants and
389 retention indices of the investigated saturated compounds are given in the
390 supplementary material, together with figures visualizing the individual odor thresholds.
391 This material is available free of charge via the Internet at <http://pubs.acs.org>.

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513 **Figure captions**

514 **Figure 1 A:** General synthetic route leading to the 3-alkyn-1-ols

515 **Figure 1 B:** General synthetic route leading to the (Z)-3-alken-1-ols (compounds 6 to 8)

516 **Figure 1 C:** General synthetic route leading to the (Z)-3-alkenals (compounds 9 to 16)

517 **Figure 1 D:** General synthetic route leading to the (Z)-3-alkenoic acids (left: compounds
518 17, 22 to 24; right: compounds 18 to 21)

519 **Figure 2 A:** Influence of the chain length on the odor thresholds of the (Z)-3-alken-1-ols.
520 Mean value (\pm SD), markers at minimum and maximum OT, box perc. 25-75%.

521 **Figure 2 B:** Influence of the chain length on the odor thresholds of the (Z)-3-alkenals.
522 Mean value (\pm SD), markers at minimum and maximum OT, box perc. 25-75%.

523 **Figure 2 C:** Influence of the chain length on the odor thresholds of the (Z)-3-alkenoic
524 acids. Mean value (\pm SD), markers at minimum and maximum OT, box perc. 25-75%.

Table 1 A. Retention Indices (RI), Odor Thresholds (OT) and Odor Qualities of (Z)-3-Alken-1-ols

Compound	Odorant	RI ^a		OT [ng/L _{air}] ^b		Odor qualities ^{c,d}
		DB5	FFAP	median	range	
1	(Z)-3-Penten-1-ol	768	1304	57	28 – 455	fresh cut grass, fatty, green
2	(Z)-3-Hexen-1-ol	857	1385	9.5	2.38 – 38	grassy, green , fatty
3	(Z)-3-Hepten-1-ol	959	1480	45	5.7 – 361	grassy, clover, green, fatty, soapy
4	(Z)-3-Octen-1-ol	1057	1577	40	5 – 319	fatty , citrus, fresh
5	(Z)-3-Nonen-1-ol	1157	1678	40	10 – 160	fatty , green
6	(Z)-3-Decen-1-ol	1256	1780	191	12 – 382	fatty
7	(Z)-3-Undecen-1-ol	1357	1892	107	13 – 426	citrus, soapy
8	(Z)-3-Dodecen-1-ol	1459	1985	71	35 – 564	citrus, soapy , musty

^a Retention indices were determined as described by Van den Dool and Kratz (1963).³¹

^b Odor thresholds in air were determined as described by Ullrich and Grosch (1987).¹

^c Odor qualities as perceived at the sniffing port.

^d Attributes given in bold are the main odor qualities. These were named by the majority of the panel.

Table 1 B. Retention Indices (RI), Odor Thresholds (OT) and Odor Qualities of (Z)-3-Alkenals

Compound	Odorant	RI ^a		OT [ng/L _{air}] ^c		Odor qualities ^{c,d}
		DB5	FFAP	median	range	
9	(Z)-3-Pentenal	712	1096	1.68	0.42 – 3.35	grassy, green , clover
10	(Z)-3-Hexenal	801	1133	0.27	0.14 – 0.54	grassy, green
11	(Z)-3-Heptenal	899	1227	1.08	0.27 – 4.34	clover, fatty
12	(Z)-3-Octenal	997	1323	0.93	0.47 – 3.73	fatty , green
13	(Z)-3-Nonenal	1096	1421	1.33	0.66 – 2.66	fatty , cucumber, citrus
14	(Z)-3-Decenal	1193	1518	2.32	0.58 – 4.63	fatty, fresh
15	(Z)-3-Undecenal	1297	1618	1.93	0.21 – 3.87	citrus , soapy
16	(Z)-3-Dodecenal	1398	1753	1.06	0.03 – 1.06	soapy, citrus

^a Retention indices were determined as described by Van den Dool and Kratz (1963).³¹

^b Odor thresholds in air were determined as described by Ullrich and Grosch (1987).¹

^c Odor qualities as perceived at the sniffing port.

^d Attributes given in bold are the main odor qualities. These were named by the majority of the panel.

Table 1 C. Retention Indices (RI), Odor Thresholds (OT) and Odor Qualities of (Z)-3-Alkenoic acids

Compound	Odorant	RI ^a		OT [ng/L _{air}] ^b		Odor qualities ^{c,d}
		DB5	FFAP	median	range	
17	(Z)-3-Pentenoic acid	911	1849	21	11 – 85	acidic, cheesy , sweaty
18	(Z)-3-Hexenoic acid	1016	1936	17	0.27 – 549	sweaty
19	(Z)-3-Heptenoic acid	1101	2036	0.94	0.0074 – 1933	sweaty , pungent
20	(Z)-3-Octenoic acid	1193	2139	0.42	0.0065 – 858	plastic, sweaty, acidic
21	(Z)-3-Nonenoic acid	1276	2240	48	12 – 387	sweaty , fatty, plastic
22	(Z)-3-Decenoic acid	1370	2348	18	9 – 72	musty, waxy, acidic, fatty
23	(Z)-3-Undecenoic acid	1464	2467	42	2.63 – 84	sweaty, acidic, pungent, waxy
24	(Z)-3-Dodecenoic acid	1562	2571	77	2.4 – 153	waxy, plastic, fatty

^a Retention indices were determined as described by Van den Dool and Kratz (1963).³¹

^b Odor thresholds in air were determined as described by Ullrich and Grosch (1987).¹

^c Odor qualities as perceived at the sniffing port.

^d Attributes given in bold are the main odor qualities. These were named by the majority of the panel.

Table 2 A. Odor Qualities (GC-O) of all five Panelists (P 1 to P 5) of (Z)-3-Alken-1-ols

Odorant	Odor qualities				
	P 1	P 2	P 3	P 4	P 5
(Z)-3-Penten-1-ol	fresh cut grass, pungent	fresh cut grass	fatty, citrus	green, leaves, fatty	green
(Z)-3-Hexen-1-ol	grassy, fresh cut grass	grassy green	green, fatty	green, leaves fatty	green, fatty, grassy, green banana skin
(Z)-3-Hepten-1-ol	grassy, clover, sweet	clover, green	fatty, soapy	fatty, rubber	green, grassy, green banana skin
(Z)-3-Octen-1-ol	fatty	fatty, pungent	citrus, fresh, fatty	fatty, fresh, citrus	green, grassy, fresh,
(Z)-3-Nonen-1-ol	fatty, musty	fatty, green, pungent	green, fatty	fatty, waxy	green, fatty
(Z)-3-Decen-1-ol	fatty	fatty, sweet, tangy	fatty	fresh, waxy	fatty,
(Z)-3-Undecen-1-ol	citrus, soapy	citrus, soapy	fatty, soapy	metallic, sweet	soapy, coriander
(Z)-3-Dodecen-1-ol	citrus, soapy, musty	citrus, soapy, earthy, musty	fresh, soapy, fatty	soapy, waxy, metallic	pungent, tangy, herb, green banana skin

Table 2 B. Odor Qualities (GC-O) of all five Panelists (P 1 to P 5) of (Z)-3-Alkenals

Odorant	Odor qualities				
	P 1	P 2	P 3	P 4	P 5
(Z)-3-Pentenal	grassy, green, clover	green, clover	citrus, soapy	Grassy, green fatty	green, grassy, clover
(Z)-3-Hexenal	grassy, green, fresh cut grass	green, clover	cucumber, fatty	Grassy, green, fresh cut grass, fatty	grassy, green, clover
(Z)-3-Heptenal	grassy, sweet, clover	clover, fatty	cucumber, fatty	green, fatty	grassy, green, clover, vinegar acidic
(Z)-3-Octenal	fatty, fresh	fatty, green	soapy, citrus	citrus, fatty	green, clover, acidic, floral
(Z)-3-Nonenal	fatty, cucumber	fatty	citrus, cucumber, soapy	waxy, citrus, fatty	green, clover, citrus
(Z)-3-Decenal	fatty, fresh	fatty, citrus	soapy, fresh	metallic, waxy, sweet	clover, soapy, citrus
(Z)-3-Undecenal	citrus, soapy	citrus, cleanser	soapy, citrus, fatty	metallic, waxy	soapy, citrus
(Z)-3-Dodecenal	soapy, citrus	citrus, cleanser, fatty	citrus, soapy	waxy, soapy	soapy, citrus

Table 2 C. Odor Qualities (GC-O) of all five Panelists (P 1 to P 5) of (Z)-3-Alkenoic acids

Odorant	Odor qualities				
	P 1	P 2	P 3	P 4	P 5
(Z)-3-Pentenoic acid	acidic, pungent	cheesy, sweaty	fatty, soapy	sweaty, cheesy	vinegar acidic, cheesy, sweaty
(Z)-3-Hexenoic acid	green, fresh, sweet, cheesy	sweaty	fatty	sweaty	acidic, pungent, plastic, sweaty
(Z)-3-Heptenoic acid	musty, waxy, pungent, sweaty	sweaty, cardboard	fatty, green	sweaty	acidic, plastic, pungent
(Z)-3-Octenoic acid	plastic, musty, waxy	sweaty, pungent	fatty, green, soapy	sweaty	acidic, plastic, pungent
(Z)-3-Nonenoic acid	cheesy, waxy, sweaty, musty	fatty, sweaty, pungent	fatty, rancid	sweaty, plastic	acidic, plastic, rubber
(Z)-3-Decenoic acid	musty, waxy, acidic	fatty, sweaty	fatty, soapy	waxy	plastic, acidic, musty
(Z)-3-Undecenoic acid	sweaty, acidic, pungent, waxy	perfume, harsh	fatty, rancid, soapy	waxy, metallic	plastic, rubber, phenolic
(Z)-3-Dodecenoic acid	musty, waxy, plastic	floral, fatty	fatty, green	sweaty, sweet, waxy	plastic, pungent, rubber, acidic

Table 3 A. Odor Thresholds (OT, GC-O) of all five Panelists (P 1 to P 5) of (Z)-3-Alken-1-ols

Odorant	OT in air (ng/L _{air}) ^a						
	Geometric mean	Factor (Quotient high/low)	P 1	P 2	P 3	P 4	P 5
(Z)-3-Penten-1-ol	86	16	28	57	57	114	455
(Z)-3-Hexen-1-ol	8	16	2.38	19	2.38	9.51	38
(Z)-3-Hepten-1-ol	45	64	5.65	361	181	45	11
(Z)-3-Octen-1-ol	46	64	4.99	40	319	20	160
(Z)-3-Nonen-1-ol	46	16	10	80	40	40	160
(Z)-3-Decen-1-ol	96	32	12	48	191	382	191
(Z)-3-Undecen-1-ol	97	33	426	267	107	53	13
(Z)-3-Dodecen-1-ol	107	16	35	564	71	71	141

^a Odor thresholds in air were determined as described by Ullrich and Grosch (1987).¹

Table 3 B. Odor Thresholds (OT, GC-O) of all five Panelists (P 1 to P 5) of (Z)-3-Alkenals

Odorant	OT in air (ng/L _{air}) ^a						
	Geometric mean	Factor (Quotient high/low)	P 1	P 2	P 3	P 4	P 5
(Z)-3-Pentenal	1.27	8	0.84	1.68	3.35	0.42	1.68
(Z)-3-Hexenal	0.27	4	0.14	0.54	0.54	0.14	0.27
(Z)-3-Heptenal	1.08	16	0.27	4.34	2.17	1.08	0.54
(Z)-3-Octenal	1.23	8	0.47	3.73	3.73	0.93	0.47
(Z)-3-Nonenal	1.53	4	1.33	1.33	2.66	2.66	0.66
(Z)-3-Decenal	2.32	8	2.32	2.32	4.63	4.63	0.58
(Z)-3-Undecenal	0.94	18	3.87	0.48	0.97	1.93	0.21
(Z)-3-Dodecenal	0.19	35	1.06	0.03	0.26	1.06	0.03

^a Odor thresholds in air were determined as described by Ullrich and Grosch (1987).¹

Table 3 C. Odor Thresholds (OT, GC-O) of all five Panelists (P 1 to P 5) of (Z)-3-Alkenoic acids

Odorant	OT in air (ng/L _{air}) ^a						
	Geometric mean	Factor (Quotient high/low)	P 1	P 2	P 3	P 4	P 5
(Z)-3-Pentenoic acid	28	8	85	21	43	11	21
(Z)-3-Hexenoic acid	7.49	2033	17	17	549	0.54	0.27
(Z)-3-Heptenoic acid	2.50	261216	0.94	60	1933	0.12	0.0074
(Z)-3-Octenoic acid	2.54	13200	0.42	107	858	0.42	0.0065
(Z)-3-Nonenoic acid	56	32	387	48	193	12	12
(Z)-3-Decenoic acid	24	8	72	18	72	9.05	9.05
(Z)-3-Undecenoic acid	21	32	84	42	42	2.63	11
(Z)-3-Dodecenoic acid	22	64	153	77	77	2.40	2.40

^a Odor thresholds in air were determined as described by Ullrich and Grosch (1987).¹

Table 4 A. Odor Thresholds in Air (OT in air) of (*Z*)-3-Alken-1-ols Compared to Odor Thresholds of (*E*)-3-Alken-1-ols and 1-Alkanols

Number carbon atoms	OT in air (ng/L _{air}) ^a		
	(<i>Z</i>)-3-alken-1-ols ^{a,b}	(<i>E</i>)-3-alken-1-ols ^{a,c}	1-alkanols ^{a,b}
5	57	414	131
6	9.5	69	116
7	45	114	133
8	40	62	53
9	40	57	4.3
10	191	61	21
11	107	23	106
12	71	104	197

^a Odor thresholds in air were determined as described by Ullrich and Grosch (1987).¹

^b In this study determined median odor threshold values.

^c In our previous study determined median odor threshold values (Lorber and Buettner 2015).³

Table 4 B. Odor Thresholds in Air (OT in air) of (*Z*)-3-Alkenals Compared to Odor Thresholds of (*E*)-3-Alkenals and 1-Alkanals

Number carbon atoms	OT in air (ng/L _{air}) ^a		
	(<i>Z</i>)-3-alkenals ^{a,b}	(<i>E</i>)-3-alkenals ^{a,c}	1-alkanals ^{a,b}
5	1.68	3	21
6	0.27	5	4.3
7	1.08	14	30
8	0.93	12	6.7
9	1.33	12	24
10	2.32	9	9.1
11	0.97	11	11
12	0.26	8	4.8

^a Odor thresholds in air were determined as described by Ullrich and Grosch (1987).¹

^b In this study determined median odor threshold values.

^c In our previous study determined median odor threshold values (Lorber and Buettner 2015).³

Table 4 C. Odor Thresholds in air (OT in air) of (Z)-3-Alkenoic Acids Compared to Odor Thresholds of (E)-3-Alkenoic acids and Saturated Carboxylic Acids

Number carbon atoms	OT in air (ng/L _{air}) ^a		
	(Z)-3-alkenoic acids ^{a,b}	(E)-3-alkenoic acids ^{a,c}	saturated carboxylic acids ^{a,b}
5	21	28	2.7
6	17	4.13	69
7	0.94	3.60	30
8	0.42	34	27
9	48	68	48
10	18	66	9
11	42	24	11
12	77	34	19

^a Odor thresholds in air were determined as described by Ullrich and Grosch (1987).¹

^b In this study determined median odor threshold values.

^c In our previous study determined median odor threshold values (Lorber and Buettner 2015).³

Figure 1 A:

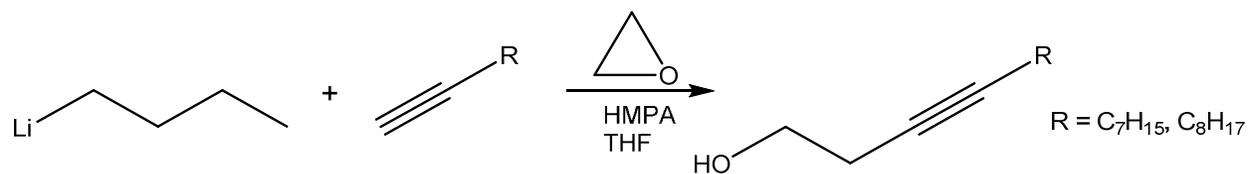


Figure 1 B:

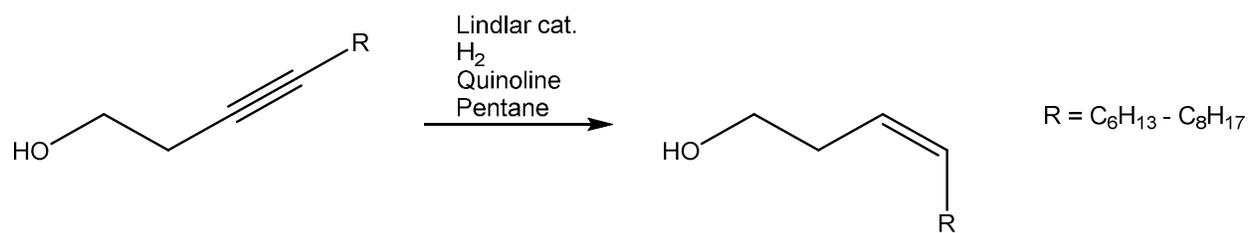


Figure 1 C:

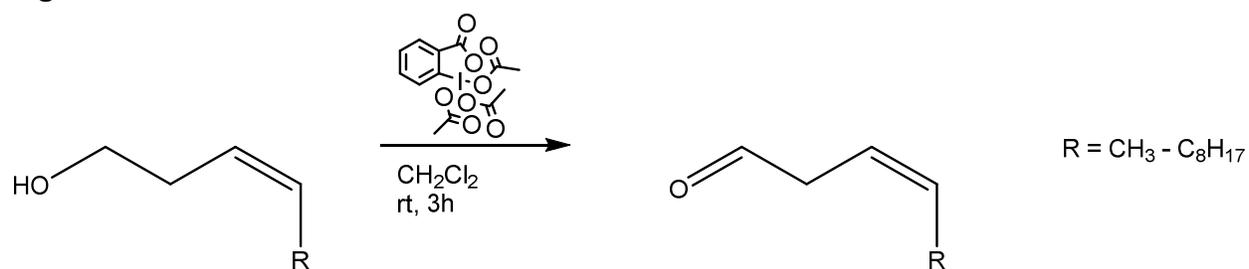


Figure 1 D:

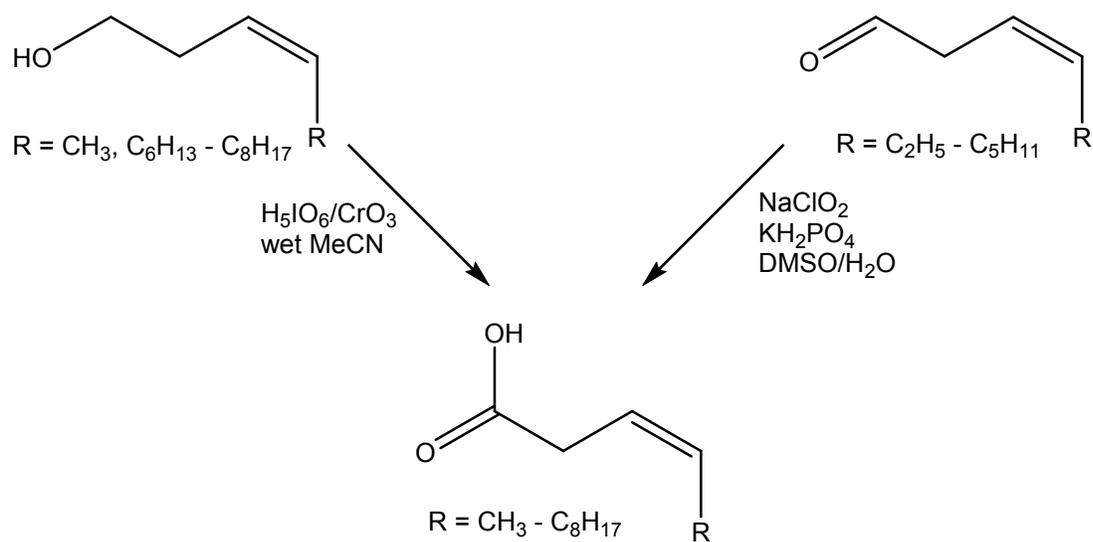


Figure 2 A

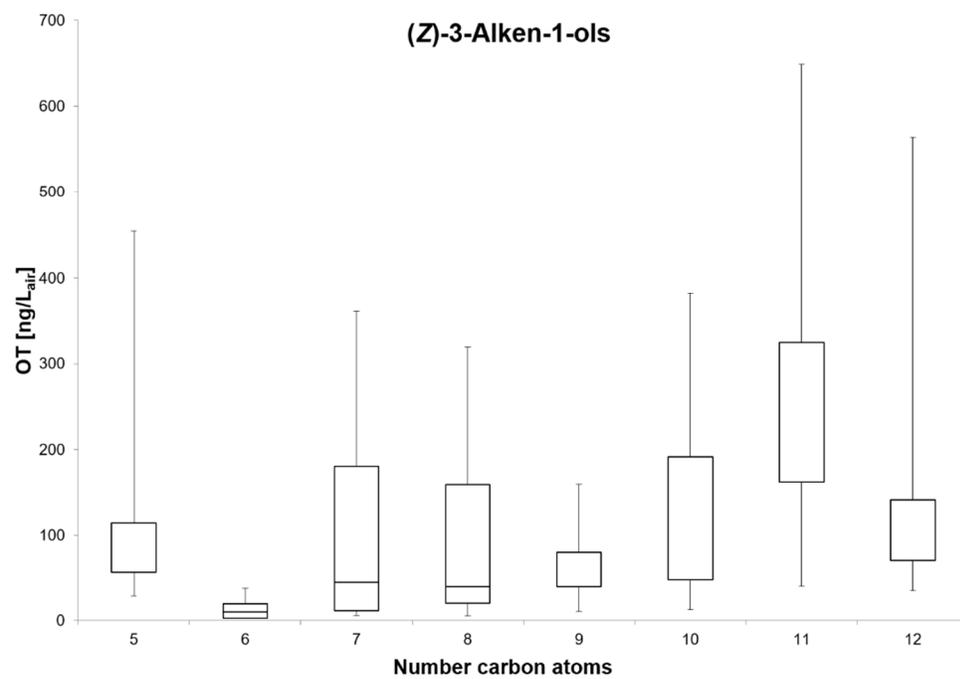


Figure 2 B

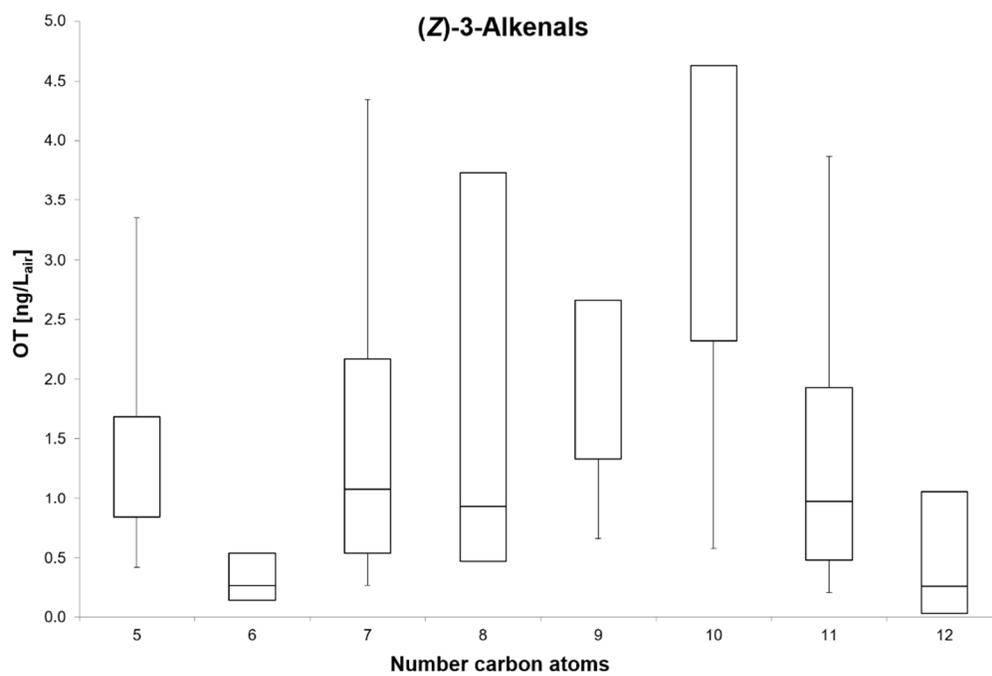
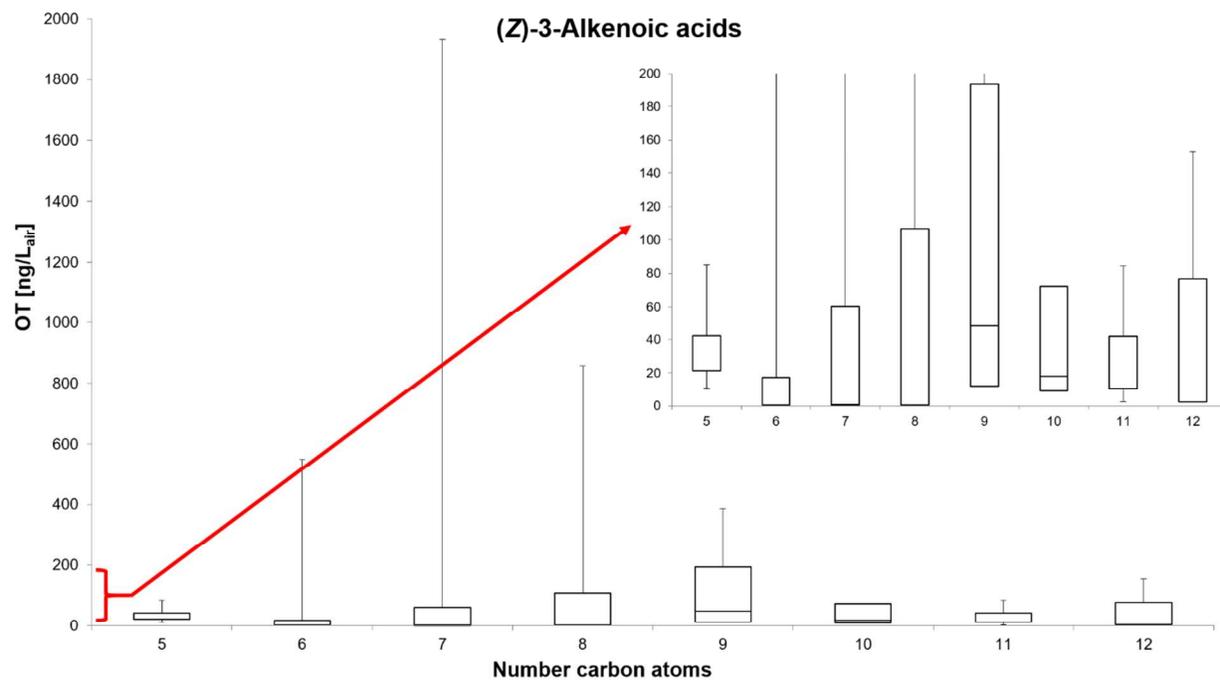


Figure 2 C



TOC graphic

