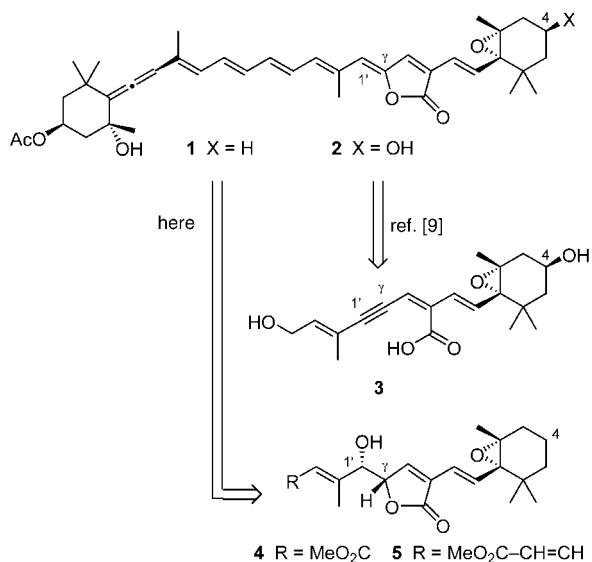


## Novel Strategy for the Synthesis of the Butenolide Moiety of Peridinin\*\*

Thomas Olpp and Reinhard Brückner\*

Peridinin (**2**,<sup>[1]</sup> Scheme 1) is one of the most common biosynthesized carotenoids on earth.<sup>[2]</sup> Its polyene chain contains an  $\alpha$ -alkenyl- $\gamma$ -alkylidenebutenolide unit, which



**Scheme 1.** Strategies for the syntheses of the butenolide moieties of peridinin (**2**) and deoxyperidinin (**1**).

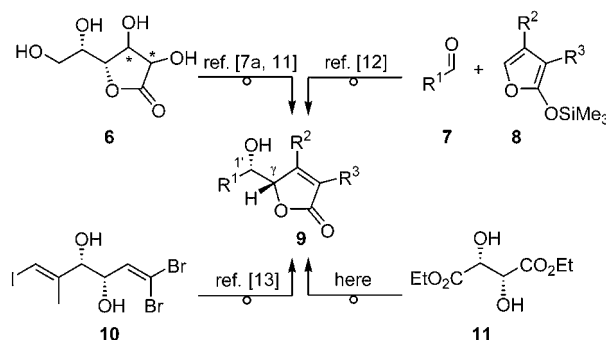
has a *Z* configuration at the C<sup>1</sup>=C<sup>γ</sup> bond, as is typical for naturally occurring  $\gamma$ -alkylidenebutenolides.<sup>[3]</sup> Peridinin (**2**) plays a key role in marine photosynthesis<sup>[4]</sup> and displays considerable antitumor activity.<sup>[5]</sup> The fact that these roles are assumed solely by peridinin (**2**) and not by related carotenoids *may* be due or *supposedly*<sup>[5]</sup> is due to its butenolide ring, which, among carotenoids, is almost unique to **2**.<sup>[6]</sup>

As part of our study of the light-harvesting and cancerostatic properties of peridinin (**2**) and analogues such as deoxyperidinin (**1**, Scheme 1), we have developed a novel approach towards their  $\alpha$ -alkenyl- $\gamma$ -alkylidenebutenolide cores. In this communication we demonstrate this approach

with the stereoselective syntheses of compounds **36** and **37** (Scheme 5), in which the last step is the *anti*-selective dehydration of the  $\alpha$ -alkenyl- $\gamma$ -( $\alpha$ -hydroxyalkyl)butenolides **4** and **5**, respectively (Scheme 1). We have employed dehydrations of this type en route to a number of stereodefined  $\gamma$ -alkylidenebutenolides.<sup>[7,8]</sup>

It should be possible to convert compounds **4**, **5**, **36**, and **37** into deoxyperidinin (**1**) by modification of the respective ester group. Likewise, appropriately hydroxylated analogues of compounds **4**, **5**, **36**, and **37** would be appropriate precursors for synthesizing peridinin (**2**). Two laboratory syntheses of **2** have been achieved so far. One was based on the stereocontrolled cyclization of enynoic acid **3** (Katsumura et al.<sup>[9]</sup>), the other used older, sophisticated, but stereorandom methodology (Ito et al.<sup>[10]</sup>).

Prior to the present study, we had established three different routes to diastereomerically pure  $\gamma$ -( $\alpha$ -hydroxyalkyl)butenolides **9**, which, through *anti* elimination, furnished pure *Z*-configured  $\gamma$ -(alkylidene)butenolides (Scheme 2). These routes were based on: modification of sugar lactones **6**,<sup>[7a,11]</sup> vinylogous Mukaiyama aldol additions of siloxyfurans **8** and aldehydes **7**,<sup>[12]</sup> and sequential C-Hal→C-C conversions of trihalodienediol **10**.<sup>[13]</sup> Here, in a fourth approach we started from (–)-diethyl tartrate (**11**; Scheme 2).

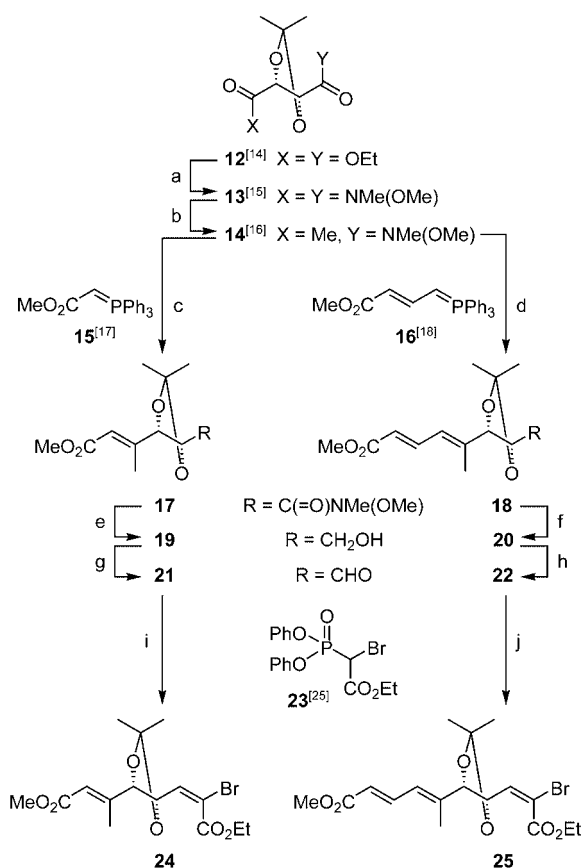


**Scheme 2.** Routes to  $\gamma$ -( $\alpha$ -hydroxyalkyl)butenolides **9**, which correspond to structures of type **4/5** in Scheme 1 and are precursors of  $\gamma$ -alkylidenebutenolides of type **1/2** structures in Scheme 1.

After acetalization of **11** (→**12**,<sup>[14]</sup> Scheme 3), formation of the double Weinreb amide furnished **13**; the yield (99%) was better than that of the published procedure (77%),<sup>[15]</sup> provided that the temperature was kept below  $-15^{\circ}\text{C}$  throughout reaction and workup. Bis(amide) **13** thereby became available on the 40-g scale. Treatment of **13** with 1.0 equiv of MeMgBr gave rise to the monoketone **14** (66%).<sup>[16]</sup> Wittig olefination of this compound with ylides **15**<sup>[17]</sup> and **16**<sup>[18]</sup> delivered the unsaturated esters **17**<sup>[19]</sup>—as an 86:14 mixture of *E* and *Z* isomers<sup>[20]</sup> (pure *E* isomer was obtained in 77% yield from 10-g batches after separation by flash chromatography on silica gel<sup>[21]</sup>)—and **18** (90% yield, which was isomerically pure with a *trans,E* configuration),<sup>[22]</sup> respectively. Compounds **17** and **18** both contain C(=O)-NMe(OMe) and C(=O)OMe units but reacted exclusively at the former upon treatment with NaBH<sub>4</sub> (optimally 8 equiv) in methanol. To the best of our knowledge, these are the first

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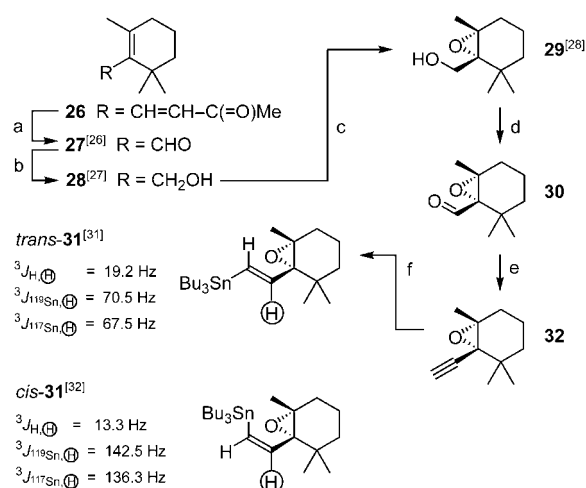
[\*\*] This work was generously supported by the Fonds der Chemischen Industrie through a Kekulé fellowship for T.O. and by the Deutsche Forschungsgemeinschaft. We thank Alexandra Müller for skilled technical assistance and Dr. Thomas Netscher (DSM Nutritional Products) for a donation of (*R,R*)-4-hydroxy-2,2,6-trimethyl-1-cyclohexanone.



**Scheme 3.** Syntheses of bromoacrylate intermediates **24** and **25**. a) HNMe(OMe)·HCl (4 equiv), Me<sub>3</sub>Al (4 equiv), CH<sub>2</sub>Cl<sub>2</sub>, –15 °C, 1 h, 99%; b) MeMgBr (1.0 equiv), THF, 0 °C, 1 h, 66%; c) **15** (2.0 equiv), toluene, reflux, 27 h, 77%, *E:Z* = 86:14; d) **16** (2.0 equiv), toluene, reflux, 30 h, 90%, *E:Z* > 99:1; e) NaBH<sub>4</sub> (8.0 equiv), MeOH, 25 °C, 18 h, 98%; f) same as (e) but 20 h, 92%; g) (COCl)<sub>2</sub> (2.0 equiv), DMSO (4.0 equiv), NEt<sub>3</sub> (6.0 equiv), –78 °C → 0 °C, 30 min, 90%; h) same as (g) but –78 °C, 90 min, 79%; i) **23** (1.2 equiv), NaH (1.0 equiv), THF, 0 °C, 30 min, 75%, *E:Z* = 95:5; j) same as (i) but 90 min, 82%, *E:Z* = 98:2. DMSO = dimethyl sulfoxide.

reductions of Weinreb amides effected with this reagent. The resulting hydroxy esters—**19** (98% yield) and **20** (92% yield)—were oxidized under Swern conditions<sup>[23]</sup> to afford the corresponding aldehyde esters (**21**, 90%; **22**, 79%). These were carried on to the  $\alpha$ -bromoacrylates **24** (75%) and **25** (82%) with *E* stereoselectivities of 95:5 and 98:2, respectively, by using the Ando-type<sup>[24]</sup> bromophosphonate **23**,<sup>[25]</sup> which we developed to this end.

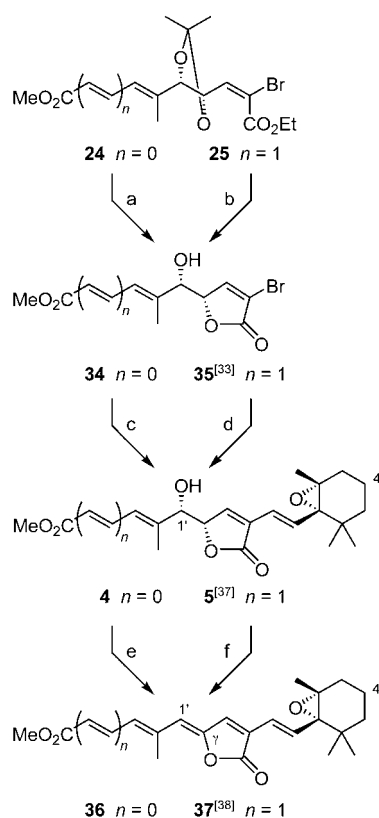
The preparation of the epoxycyclohexyl moiety of targets **4** and **5** started from  $\beta$ -ionone (**26**), which underwent ozonolysis and workup with Zn/HOAc to provide cyclocitral (**27**) in 93% yield (Scheme 4).<sup>[26]</sup> Subsequent reduction with NaBH<sub>4</sub> led to cyclogeraniol (**28**) in 76% yield.<sup>[27]</sup> This two-step procedure was two times more efficient than the one-step version in which the ozonolysis mixture was treated directly with NaBH<sub>4</sub> ( $\rightarrow$ **28** in 30% yield). Asymmetric Sharpless epoxidation of **28** furnished the epoxy alcohol **29** in 67% yield.<sup>[28a,b]</sup> The *ee* value of **29** was 99.8% according to GC analysis of the trimethylsilyl ether. This surpasses the



**Scheme 4.** a) O<sub>3</sub>, MeOH, –78 °C, 2.5 h; Zn (1.5 equiv), HOAc/H<sub>2</sub>O (1:1), 93%; b) NaBH<sub>4</sub> (1.5 equiv), MeOH, 0 °C, 1 h, 25 °C, 12 h, 76%; c) *t*BuOOH (2.0 equiv), Ti(O*i*Pr)<sub>4</sub> (0.1 equiv), (–)-DIPT (0.1 equiv), 4 Å MS, CH<sub>2</sub>Cl<sub>2</sub>, –25 °C, 12 h, 67%, 99.8% *ee*; d) DMSO (3.0 equiv), (COCl)<sub>2</sub> (1.5 equiv), NEt<sub>3</sub> (4.5 equiv), –78 °C, 1 h, 99%; e) Bu<sub>3</sub>SnH (1.1 equiv), [Pd(PPh<sub>3</sub>)<sub>4</sub>] (0.05 equiv), THF, 25 °C, 2 h, 83%; f) Me<sub>3</sub>SiCH=N<sub>2</sub> (1.2 equiv), LDA (1.2 equiv), –78 °C, 30 min, 57%. DIPT = diisopropyl tartrate, LDA = lithium diisopropylamide.

previously determined enantiopurities of **29**, regardless of whether it was synthesized in the same way (ref. [28a]: 95% *ee*; ref. [28b]:  $\geq$  98% *ee*) or by a different approach (ref. [28c]: 97.1% *ee*). Swern oxidation<sup>[23]</sup> delivered aldehyde **30** (99%). Because of the tendency of **30** to decompose, it was immediately C<sub>1</sub>-extended with Shioiri's lithiodiazomethane<sup>[29]</sup> affording, after flash chromatography,<sup>[21]</sup> the volatile epoxyalkyne **32** in 57% yield. Pd-catalyzed hydrostannylation<sup>[30]</sup> gave the desired alkenylstannane *trans*-**31**<sup>[31]</sup> regio- and stereoselectively. The *trans* configuration of its C=C bond was deduced by comparison of the *H*–C=C–*H* and *Sn*–C=C–*H* coupling constants with those in the *cis* isomer.<sup>[32]</sup> For the *trans* isomer the first coupling constant is larger, for the *cis* isomer the second is larger (Scheme 4).

Scheme 5 shows the concluding steps of our syntheses. The next reaction was acetal cleavage of the bromodiester acetone **24** and **25** mediated by Amberlyst 15 or preferably TsOH, which was followed by spontaneous formation of the butenolide rather than pentenolide unit. The resulting brominated  $\gamma$ -( $\alpha$ -hydroxyalkyl)butenolides **34** and **35**<sup>[33]</sup> were obtained in nearly quantitative yields. The ensuing step, a Stille coupling<sup>[34]</sup> with alkenylstannane *trans*-**31**, was catalyzed by bis(trifurylphosphane)palladium (generated *in situ*)<sup>[35]</sup> and cocatalyzed by CuI.<sup>[36]</sup> The final step was the *anti*-selective dehydration to form the *Z*-configured C<sup>1</sup>=C<sup>2</sup> bond. It was realized under Mitsunobu conditions, i.e., by treatment of  $\gamma$ -( $\alpha$ -hydroxyalkyl)butenolides **4** and **5**<sup>[37]</sup> with 2 equiv of both of PPh<sub>3</sub> and DEAD, at –30 °C. These conditions were gleaned from earlier experience in our group.<sup>[12,13]</sup> While  $\gamma$ -alkylidenebutenolide **36** was obtained in isomerically pure form from reaction in anhydrous THF followed by aqueous workup and standard flash chromatography on silica gel,<sup>[21]</sup> the vinylologous  $\gamma$ -alkylidenebutenolide



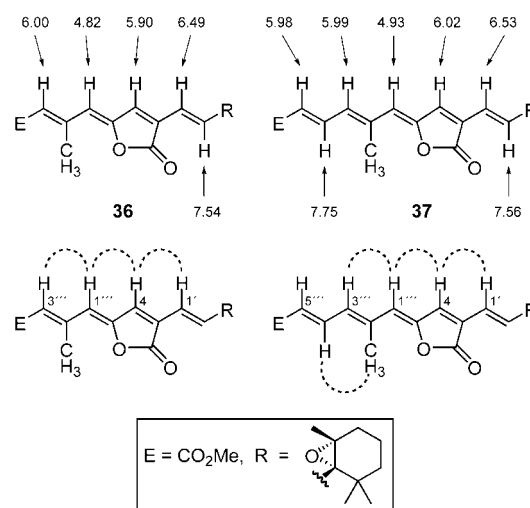
**Scheme 5.** Butenolide syntheses. a) MeOH, Amberlyst 15, reflux, 28 h, 95%; b) MeOH, TsOH (0.05 equiv), reflux, 1 h, 94%; c) *trans*-**31** (1.2 equiv), CuI (1.65 equiv), [Pd<sub>2</sub>dba<sub>3</sub>]:CHCl<sub>3</sub> (0.05 equiv), P(2-furyl)<sub>3</sub> (0.3 equiv), NMP, 25 °C, 19 h, 84%; d) same as (c), 82%; e) DEAD (2.0 equiv), PPh<sub>3</sub> (2.0 equiv), THF, -30 °C, 90 min, 62%; f) same as (e) except for THF (degassed, 250 ppm di-*tert*-butylcresol) and exclusion of light, 90%. DEAD = diethyl azodicarboxylate, NMP = *N*-methylpyrrolidone, Ts = *para*-toluenesulfonyl.

**37** was just one constituent of a mixture of the four 1,3-diene isomers. Compound **37**<sup>[38]</sup> could be prepared free from isomers only when:

- daylight was excluded throughout the reaction and chromatography,
- the solvent (THF) was degassed and contained di-*tert*-butylcresol as a radical scavenger,
- no aqueous workup was performed but rather the solvent was removed by vacuum distillation at -30 °C,
- and the cyclohexane/ethyl acetate mixture used as the eluent in flash chromatography was degassed. Remarkably, the yield of **37** was then 90%.<sup>[39]</sup>

The configurational assignments of the double bonds in our target structures **36** and **37** were based on the magnitudes of the olefinic <sup>3</sup>J<sub>H,H</sub> couplings (for the configurations of the disubstituted C=C bonds) and on the NOEs indicated in Scheme 6 (for the configurations of the trisubstituted C=C bonds).

In summary, the present study establishes that diethyl tartrate is a viable precursor of stereopure *Z*- $\gamma$ -alkylidenebutenolides. Moreover, extensions of this approach should make



**Scheme 6.** <sup>1</sup>H NMR experiments (500 MHz): NOEs (---); **36** in CDCl<sub>3</sub> and **37** in C<sub>6</sub>D<sub>6</sub>; characteristic chemical shifts for alkylidenebutenolides **36** and **37** (both in C<sub>6</sub>D<sub>6</sub>).

both deoxyperidinin (**1**) and peridinin (**2**) accessible by total synthesis.

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- [31] (*trans*)-1-[(1*S*,2*R*)-1,2-Epoxy-2,6,6-trimethylcyclohexyl]-2-(tributylstannyl)ethene (*trans*-**31**):  $^1\text{H}$  NMR (500.0 MHz,  $\text{CDCl}_3$ ):  $\delta$  = 0.88 (t,  $J_{4',3''} = 7.3$  Hz,  $3 \times 4''\text{-H}_3$ ), superimposed in part with 0.87–0.91 (m,  $3 \times 1''\text{-H}_2$ ), 0.93, 1.09 and 1.15 [ $3 \times$  s,  $2'\text{-CH}_3$ ,  $6'\text{-}(\text{CH}_3)_2$ ], 1.00–1.06 (m,  $5'\text{-H}^1$ ), 1.30 (qt,  $J_{3'',4''} = J_{3'',2''} = 7.3$  Hz,  $3 \times 3''\text{-H}_2$ ), 1.39–1.53 ppm (m,  $4'\text{-H}_2$ ,  $5'\text{-H}^2$ ,  $3 \times 2''\text{-H}_2$ ), AB signal ( $\delta_A = 1.72$ ,  $\delta_B = 1.87$ ,  $^2J_{AB} = 15.2$  Hz, additionally split by  $J_{A,4'} = 5.7$  Hz and  $J_{B,4'} = 7.9$  Hz,  $3'\text{-H}_2$ ), AB signal ( $\delta_A = 6.151$ ,  $\delta_B = 6.166$ ,  $J_{AB} = 19.2$  Hz; accompanying Sn isotope satellites as 2 d per signal branch:  $^3J_{\text{H(A)},^{119}\text{Sn}} = 70.5$  Hz,  $^3J_{\text{H(A)},^{117}\text{Sn}} = 67.5$  Hz,  $^2J_{\text{H(B)},^{119}\text{Sn}} = 74.9$  Hz,  $^2J_{\text{H(B)},^{117}\text{Sn}} = 71.7$  Hz; A: 1-H, B: 2-H).
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- [33] (5*S*)-3-Bromo-5-[(2*trans*,4*E*,1*S*)-1-hydroxy-5-(methoxycarbonyl)-2-methyl-2,4-pentadienyl]-2(5*H*)-furanone (**35**):  $^1\text{H}$  NMR (500.0 MHz,  $\text{CDCl}_3$ ):  $\delta$  = 1.97 (d,  $^4J_{2\text{Me},3'} = 1.2$  Hz,  $2'\text{-CH}_3$ ), 2.91 (brs, OH), 3.77 (s,  $\text{OCH}_3$ ), 4.30 (br d,  $J_{1,5} = 5.5$  Hz,  $1'\text{-H}$ ), 5.08 (dd,  $J_{5,1'} = 5.6$  Hz,  $J_{5,4} = 1.8$  Hz, 5-H), 5.94 (d,  $J_{5,4'} = 15.2$  Hz,  $5'\text{-H}$ ), 6.27 (dm,  $J_{3',4'} = 11.5$  Hz,  $3'\text{-H}$ ), 7.44 (d,  $J_{4,5} = 1.9$  Hz, 4-H), 7.55 ppm (dd,  $J_{4',5'} = 15.4$  Hz,  $J_{4',3'} = 11.5$  Hz,  $4'\text{-H}$ ).
- [34] V. Farina, V. Krishnamurthy, W. J. Scott, *Org. React.* **1997**, *50*, 1–652.
- [35] Method: V. Farina, B. Krishnan, *J. Am. Chem. Soc.* **1991**, *113*, 9585–9595.
- [36] Method: L. S. Liebeskind, R. W. Fengl, *J. Org. Chem.* **1990**, *55*, 5359–5364.
- [37] (5*S*)-3-[(*E*)-2-[(1*S*,2*R*)-1,2-Epoxy-2,6,6-trimethylcyclohexyl]ethenyl]-5-[(2*E*,4*trans*,1*S*)-1-hydroxy-5-(methoxycarbonyl)-2-methyl-2,4-pentadienyl]-2(5*H*)-furanone (**5**):  $^1\text{H}$  NMR (500.0 MHz,  $\text{CDCl}_3$ ):  $\delta$  = 0.93, 1.13 and 1.15 [ $3 \times$  s,  $2''\text{-CH}_3$ ,  $6''\text{-}(\text{CH}_3)_2$ ], 1.06–1.10 (m,  $5''\text{-H}^1$ ), ca. 1.39–1.49 (m,  $4''\text{-H}^1$ ,  $5''\text{-H}^2$ ), 1.61–1.68 (m,  $4''\text{-H}^2$ ), AB signal ( $\delta_A = 1.75$ ,  $\delta_B = 1.90$ ,  $^2J_{AB} = 15.1$  Hz, additionally split by  $J_{A,4''\text{-H}(1)} = J_{A,4''\text{-H}(2)} = 5.2$  Hz,  $J_{B,4''\text{-H}(1)} = J_{B,4''\text{-H}(2)} = 7.6$  Hz,  $3''\text{-H}_2$ ), 1.99 (d,  $^4J_{2\text{Me},3''} = 1.4$  Hz,  $2''\text{-CH}_3$ ), 2.57 (brs, OH), 3.77 (s,  $\text{OCH}_3$ ), 4.18 (d,  $J_{1,5} = 6.2$  Hz,  $1''\text{-H}$ ), 5.01 (m, approximately interpretable as dd,  $J_{5,1''} = 6.3$  Hz,  $J_{5,4} = 1.9$  Hz, 5-H), 5.95 (d,  $J_{5,4'} = 15.3$  Hz,  $5''\text{-H}$ ), 6.28 (m, approximately interpretable as ddq,  $J_{3'',4''} = 11.6$  Hz,  $^4J_{3'',5''} \approx 1.4$  Hz,  $^4J_{3'',2''\text{Me}} \approx 0.8$  Hz,  $3''\text{-H}$ ), 6.29 (d,  $J_{1,2} = 15.7$  Hz,  $1''\text{-H}$ ), 6.95 (d,  $J_{4,5} = 2.1$  Hz, 4-H), 7.22 (d,  $J_{2',1'} = 15.6$  Hz,  $2'\text{-H}$ ), 7.57 ppm (dd,  $J_{4'',5''} = 15.1$  Hz,  $J_{4'',3''} = 11.5$  Hz,  $4''\text{-H}$ ).
- [38] (5*Z*)-3-[(*E*)-2-[(1*S*,2*R*)-1,2-Epoxy-2,6,6-trimethylcyclohexyl]ethenyl]-5-[(2*E*,4*trans*)-5-(methoxycarbonyl)-2-methyl-2,4-pentadienylidene]-2(5*H*)-furanone (**37**): To a solution of  $\gamma$ -( $\alpha$ -hydroxyalkyl)butenolide **5** (22.4 mg, 55.7  $\mu\text{mol}$ ) in THF (3 mL); the solvent contained 250 mg 2,6-di-*tert*-butyl-4-cresol per L and was degassed prior to use) was added DEAD (17.6  $\mu\text{L}$ , 19.4 mg, 111  $\mu\text{mol}$ , 2.0 equiv) at  $-30^\circ\text{C}$  under argon atmosphere and exclusion of light. After 10 min  $\text{PPh}_3$  (29.2 mg, 111  $\mu\text{mol}$ , 2.0 equiv) was added, and the reaction mixture was stirred at  $-30^\circ\text{C}$  for another 2 h. Two-thirds of the solvent was removed under reduced pressure at  $-30^\circ\text{C}$ . A small portion of chromatography eluent (1 mL) was added, and this mixture was subjected to flash chromatography (cyclohexane:EtOAc 10:1 with 0.7 vol%  $\text{NEt}_3$ ; degassed) which rendered the product (19.2 mg, 90%) as an intensely yellow solid. For selected  $^1\text{H}$  NMR (500.0 MHz,  $\text{C}_6\text{D}_6$ ) data see Scheme 6.
- [39] Following the suggestion of a referee, we also conducted the Stille coupling of the (dienoic ester)-containing bromobuteno-

lide **35** and the (4*S*)-4-hydroxy analogue of *trans*-**31**.<sup>[30]</sup> This provided the (4*S*)-4-hydroxy analogue of **5**, a precursor of the hydroxylated butenolide moiety of natural peridinin with unaltered yield (83 %). Because of the presence of the 4-hydroxy group, which had to be conserved, the subsequent activation of the 1'-hydroxy group was best carried out under modified conditions: treatment of (4*S*)-4-hydroxy-**5** at  $-10^{\circ}\text{C}$  in THF with 9 equiv each of DEAD and  $\text{PPh}_3$  (71 % yield). In the same way, when we processed the aldehyde analogue of the ester-substituted bromobutenolide **35**, we could swap the steps, i.e., start with the elimination and couple with the (4*S*)-4-hydroxy analogue of *trans*-**31**. The detailed results will be reported in a full paper.