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New reactivity patterns of copper(I) and other transition metal NHC complexes: application to ATRC and related reactions

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Abstract

Pre-formed transition metal-NHC complex is shown to be an effective catalyst for Atom Transfer Radical Cyclisation (ATRC) reactions.

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The seminal discovery by Arduengo¹ of stable carbenes possessing the general structure 1 has spurred numerous investigations from physical and theoretical chemists.² More recently, the realisation that these intermediates could serve as phosphane surrogates in transition metal chemistry has been extensively explored by many groups, leading to the development of new catalysts which, in many cases, exhibit enhanced levels of stability or reactivity when compared to their phosphane counterparts.³ Recently the groups of Buchwald^{4a} and Nolan^{4b} have shown that the readily available, stable copper(I)-NHC complex 2 serves as a useful catalyst in hydrosilylation,^{4b,5a} C-H activation^{5b} and Huisgen dipolar cycloaddition ('click') reactions.^{5c} Homochiral variants of these complexes also prove to be efficient in facilitating enantioselective conjugate^{5d} addition and displacement reactions.^{5e}



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As a continuation of our interest in the synthetic application of Atom Transfer Radical Cyclisation (ATRC) reactions⁶ we recently disclosed⁷ that a catalyst system comprising the ligand **3a** in conjunction with a suitable source of Cu(I), promotes a novel, regiospecific, synthetically useful, benzannulation reaction of 2-allylphenyl trichloroacetate **4** to chloronaphthalene **6**, Scheme 1. We believe that this particular transformation proceeds via the intermediacy of lactone **5**, the result of an initial 8-*endo trig* ATRC reaction. Furthermore, circumstantial evidence⁸



Scheme 1. **ATRC** and benzannulation sequences. Reagents and conditions: (a) **3a** (5 mol %), CuCl (5 mol %), DCE, μ W, 200 °C, 2 h, 84%; (b) **2** (5 mol %), DCE, μ W, 200 °C, 2 h, 67%. DCE = 1,2-dichloroethane.

prompted us to consider whether the active catalytic species involved in these reactions was in fact the pincer carbene⁹ complex 7 rather than a pyridine–Cu(I) σ -complex.¹⁰ Unfortunately all attempts to validate this hypothesis have, to date, met with failure as we have been unable to prepare complex 7 using the standard methodology.¹¹ Undeterred by these difficulties, the recent reports by Buchwald^{4a} and Nolan^{4b} concerning the preparation of stable NHC copper(I) complexes¹² encouraged us to question whether these stable, isolable, complexes would themselves be capable of promoting **ATRC** reactions.¹³

In a preliminary experiment we were pleased to find that the thermolysis of the trichloroacetate **4** in the presence of the pre-formed carbene complex **2** under conditions of microwave irradiation⁷ (5 mol %; DCE; μ W; 200 °C, 2 h) afforded 1-chloronaphthalene **6** in 67% yield after column chromatography. Inspection of the ¹H NMR spectrum of the crude reaction mixture revealed that the transformation had proceeded cleanly with total consumption of the starting material **4** and no apparent sign of the intermediate lactone **5**. Lowering the reaction temperature to 150 °C during irradiation resulted in a slower conversion solely to the final aromatic product **6**.

Given the ability of **2** to catalyse the conversion of **4** into **6** we decided to compare its efficiency to that already established for the [CuCl·**3a**] combination. Consequently a number of aryl trichloroacetates, **8a**–**k**, were subjected to our standard benzannulation conditions (**2**, 5 mol %; DCE; μ W; 200 °C, 2 h). We were pleased to find that in most cases the adoption of such a regimen cleanly afforded the respective substituted naphthalene derivatives **9a**–**k** in yields which are comparable to, if not better than those obtained using the [CuCl·**3a**] catalyst system (Scheme 2).

From a practical standpoint we note that the present reaction conditions appear to be cleaner than those reported previously⁷ and that, except for **8b**, the yields of the isolated products are higher than with the $[CuCl\cdot 3a]$ catalyst system. When $[CuCl \cdot 3a]$ is employed as catalyst an intractable granular precipitate is usually deposited during the course of the reaction; a situation which is to be compared with those reactions catalysed with 2 where reaction mixtures remain homogeneous throughout. The beneficial effects of microwave heating^{7,14,15} are again apparent in this transformation given that simple thermolysis of a solution of 4 and catalyst 2 (5 mol %) in refluxing DCE initially leads to the formation of lactone 5 (over 48 h), which is then slowly converted to product 6 (ca. 50% conversion after 7 days). We also conclude that in situ generation¹² of 2 appears possible as addition of CuCl (5 mol %) and salt **3b** (5 mol %) to a solution of **4** in DCE immediately prior to irradiation affords the desired naphthalene 6, albeit in a slightly reduced isolated yield of 57% (Scheme 2).

Given the ability of carbene complex 2 to promote cyclisation of 4 into 6 its efficiency in other ATRC reactions was examined. In the case of the transformation of 4 into 6 performing the reaction at lower temperatures (refluxing



Scheme 2. Benzannulation reactions catalysed by carbene complex 2. Reagents and conditions: (a) 2 (5 mol %); DCE, μ W, 200 °C, 2 h. Yields in parentheses are those obtained using [CuCl·3a] as catalyst (CuCl, 5 mol %; 3a, 5 mol %; DCE, μ W, 200 °C, 2 h).⁷ DCE = 1,2-dichloroethane.

DCE, 48 h) interrupted the benzannulation reaction sequence enabling lactone 5 to be isolated in ca. 90% yield. Under these conditions the formation of the naphthalene was kept to a minimum (ca. 5%), Scheme 3.

Attempts to extend this regime to other substrates initially proved disappointing as the exposure of **10**, **12**, **14** or **16** to **2** (5 mol %) in either DCE or toluene at reflux afforded the desired cyclised products in only low to moderate yields (Scheme 3). Fortunately the outcome of these reactions improved dramatically when conducted in a microwave reactor¹⁷ (**2**, 5 mol %; DCE; μ W; 110 °C), and afforded the desired products **11**,¹⁶ **13**, **15** and **17** in good



Scheme 3. ATRC reactions catalysed by carbene complex 2. Reagents and conditions: (a) 2 (5 mol %); DCE, 83 °C, 48 h; (b) 2 (5 mol %); DCE, μ W, 110 °C, 3 h; (c) 2 (5 mol %); 2 (5 mol %); DCE, μ W, 110 °C, 17 h. Yields in parentheses are those obtained by the thermolysis of the substrate in the presence of 2 in DCE at 83 °C for 3 h. DCE = 1,2-dichloroethane. "Lactone 5 is unstable towards hydrolysis. This yield is based on purification by elution through Florisil[®]. Product contains ca. 5% of naphthalene 6.

yields after simple purification by filtration of the reaction mixture through silica, Scheme 3.

Apparently, irradiation in DCE at 110 °C in the microwave reactor proves to be optimal for these particular **ATRC** reactions: at lower temperatures the reaction appears to be sluggish, whilst temperatures in excess of 150 °C results in much reduced isolated yields. Reduction of the catalyst loading appears to have little effect on the overall yield of these **ATRC** reactions as cyclisation of **16** in the presence of **2** (1 mol %) afforded **17** in 63% isolated yield after a reaction time of 17 h. Notably, examination of the ¹H NMR spectrum of the crude reaction mixture from the **ATRC** reaction of amide **14** clearly established that the carbene complex **2** was still present and had suffered little decomposition during the course of the reaction.

Table 1
Benzannulation reactions catalysed by pre-formed carbene complexes

Cat.							
2	20	21	22 ^a	23	24	25	(2+23) ^b
6	6	6		6	6		
(66)	(69)	SM	ND	(49)	(23)	ND	ND
9e	9e	9e		9e	9e		
(67)	(52)	(36)	ND	(46)	(11)	ND	ND
9h	9h	9h	9h	9h	9h	9h	9h
(76)	(79)	SM	(18)	(55)	(38)	(16)	(73)
	2 6 (66) 9e (67) 9h (76)	2 20 6 6 (66) (69) 9e 9e (67) (52) 9h 9h (76) (79)	2 20 21 6 6 6 (66) (69) SM 9e 9e 9e (67) (52) (36) 9h 9h 9h (76) (79) SM	Z0 21 22 ^a 6 6 6 (66) (69) SM ND 9e 9e 9e (67) (52) (36) ND 9h 9h 9h 9h (76) (79) SM (18)	Cat. 2 20 21 22 ^a 23 6 6 6 6 6 (66) (69) SM ND (49) 9e 9e 9e 9e 9e (67) (52) (36) ND (46) 9h 9h 9h 9h 9h (76) (79) SM (18) (55)	Cat. 2 20 21 22 ^a 23 24 6 6 6 6 6 6 6 (66) (69) SM ND (49) (23) 9e 9	Cat. 2 20 21 22 ^a 23 24 25 6 6 6 6 6 6 6 (66) (69) SM ND (49) (23) ND 9e 9e 9e 9e 9e 9e 9e 9e (67) (52) (36) ND (46) (11) ND 9h 9h 9h 9h 9h 9h 9h 9h (76) (79) SM (18) (55) (38) (16)

Catalyst (5 mol %); DCE, μ W, 200 °C, 2 h. Figures in brackets refer to isolated yields of products.

^a Reaction time of 8 h at 200 °C.

 $^{\rm b}$ Catalyst comprising 5 mol % of 20 and 5 mol % 23 employed in this case.

Having demonstrated that the copper(I) NHC complex 2 can catalyse ATRC reactions we have briefly screened the use of other transition metal-NHC complexes in our benzannulation reaction, Table 1. Replacing 2 by the related copper complex 20^{19} has little overall effect upon the benzannulation reactions of 4, 8e and 8h under our standard benzannulation reaction conditions (200 °C; μ W; DCE; 2 h) whereas the nickel complex 21²⁰ is noticeably less efficient in catalysing these reactions. The recently described PEPPSI[™] palladium complex 22²¹ also effects the benzannulation of 8h into 9h, but reaction times have to be extended in this instance to achieve noticeable levels of conversion. Previously^{6a} we had shown that the Grubbs catalyst 23 proves to be efficient in promoting ATRC reactions, an observation which has now been extended to our newly discovered benzannulation reaction. Hence, exposure of 4, 8e and 8h to complex 23 under our usual



benzannulation conditions afforded **6**, **9e** and **9h** in 46–55% isolated yields. Interestingly we note that exchanging the phosphine ligand of **23** by a NHC ligand, as in the 2nd generation Grubbs catalyst **24**, apparently has a negative effect²² upon both the rate and isolated yields of representative benzannulation reactions (Table 1). Use of catalysts possessing a hemilabile ligand, as in the case of the Hoveyda–Grubbs catalyst **25**, also proves to be detrimental whilst a mixed catalyst system comprising of **2** and **23** has little effect on the overall efficiency of the benzannulation reaction leading to **9h**.

In conclusion we have discovered that readily available NHC-transition metal carbene complexes promote a variety of ATRC reactions. This study also demonstrates the potential benefits of microwave irradiation on metal-catalysed ATRC reactions. That several of these ATRC reactions do proceed more effectively under microwave heating may be indicative of a 'microwave effect'²³ an observation which is currently under scrutiny. The fact that the carbene complex 2 appears to be intact at the end of the reaction also suggests that recycling of the catalyst in these reactions is a distinct possibility.²⁴ We anticipate that tun ing^{25} (both electronic and steric) of the catalyst system will enable these reactions to proceed under milder conditions, a goal which is also the focus of current investigations. In addition we have yet to compare the reactivity of these pre-formed carbene complexes with the newly developed, and highly reactive, ruthenium²⁶ and copper²⁷ catalysts under our microwave conditions.

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- Representative experimental procedures: Benzannulation reactions: A solution of ester 8h (500 mg, 1.6 mmol) and the catalyst 2 (38.8 mg, 0.08 mmol) in dry DCE (6 mL) was heated in a microwave reactor¹⁸ at 200 °C under nitrogen for 2 h. Upon cooling to ambient temperature the solvent was removed in vacuo and the crude product purified by flash column chromatography (eluent petrol) to afford 1,8-dichloronaphthalene 9h. Yield 240.0 mg (76%). IR v_{max} (film): 1597, 1553, 1501, 1359, 1324, 1195, 1153, 970, 887 cm⁻¹; ¹H NMR (500 MHz, CDCl₃) δ 8.20 (2H, dd, J = 8, 1 Hz), 7.83 (2H, dd, J = 7.5, 1 Hz), 7.37 (2H, dd, J = 8, 7.5 Hz) ppm; ¹³C NMR (125.75 MHz, CDCl₃): 137.2, 130.9, 130.4, 128.5, 127.5, 126.2 ppm; m/z (EI/CI): 195/197/199, 161/163, 125. Accurate mass: C1₀H₆O³⁵Cl₂ (M⁺) requires 195.9841; found 195.9844. *ATRC reactions*: A solution of *N*-allyl-2,2,2-trichloro-*N*-phenylacetamide, 16 (500 mg, 1.9 mmol) and catalyst 2 (45.5 mg, 0.09 mmol) in DCE (6 mL) was heated in a microwave reactor¹⁸ at 110 °C under nitrogen for 3 h. Upon cooling to ambient temperature the solvent was removed in vacuo and the

crude product purified by flash column chromatography (eluent: petrol–AcOEt 9:1 v/v) to afford lactam **19**. Yield 409.3 mg (82%), mp 138–139 °C. IR v_{max} (film): 3061, 1709, 1495, 1410, 1305, 817, 762 cm⁻¹; ¹H NMR (500 MHz, CDCl₃) δ 7.55 (2H, br d, J = 8 Hz, ArH), 7.34 (2H, app. tr., J = 8 Hz), 7.18 (1H, app. tr., J = 8 Hz), 3.97–4.03 (2H, m), 3.75 (1H, app. tr., J = 10 Hz), 3.64 (1H, dd, J = 10, 9 Hz), 3.13–3.20 (1H, m) ppm; ¹³C NMR (125.75 MHz, CDCl₃): 164.4, 137.9, 129.6, 126.2, 120.2, 84.1, 50.9, 49.1, 41.0 ppm, m/z (EI/CI): 277/278/279/280/281/282. Accurate mass: C₁₁H₁₁O³⁵-Cl₃N ([M+1]⁺) requires 277.9901; found 277.9909.

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