

## A Lipophilic Pt(IV) Oxaliplatin Derivative Enhances Antitumor Activity

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3 **A Lipophilic Pt(IV) Oxaliplatin Derivative Enhances Antitumor activity**  
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**ABSTRACT**

Side effects and acquired resistance by cancer cells limit the use of Platinum (Pt) anticancer drugs. Modification of oxaliplatin (OXA) into a lipophilic Pt(IV) complex [Pt(DACH)(OAc)(OPal)(ox)] (**1**), containing both lipophilic and hydrophilic axial ligands, was applied to improve performance and facilitate incorporation into polymeric nanoparticles. Complex **1** exhibited unique potency against a panel of cancer cells, including cisplatin-resistant tumor cells. [Pt(DACH)(OAc)(OPal)(ox)] incorporated nanoparticles (**2**) presented a mean diameter of 146 nm with encapsulation yields above 95% as determined by HPLC. **1** and **2** showed enhanced *in vitro* cellular Pt accumulation, DNA platination and anti-proliferative effect compared to OXA. Results of an orthotopic intraperitoneal model of metastatic ovarian cancer (SKOV-3) and a xenograft subcutaneous model of colon (HCT-116) tumor in SCID-bg mice showed that the activity of **1** and **2** significantly decreased tumor growth rates compared to control and OXA treatment groups. Consequently, these findings warrant further development towards clinical translation.

**Keywords:** Nanoparticles; Oxaliplatin; Lipophilicity; Prodrug; Pt(IV) derivative

## 1. INTRODUCTION

Cancer is considered to be one of the main causes of death in the world. While chemotherapy continues to be the mainstay treatment for cancer, it is closely linked with considerable mortality and morbidity rates especially due to non-specific damage to normal cells<sup>1</sup>. The clinical success of cisplatin has played an instrumental role in the development of Pt anticancer compounds. With the purpose of searching for safer and more effective Pt-based compounds, numerous efforts have been made in the past decades. However, only a few of these complexes have entered clinical trials. Two of these, carboplatin and oxaliplatin (OXA) have been approved by the FDA and are currently being used worldwide for malignant tumor chemotherapy<sup>2</sup>.

OXA is a diaminocyclohexane (DACH) derivative of cisplatin however, the spectrum of activity of OXA differs from cisplatin and includes several lines of colon, ovarian, and lung cancer, as well as cell lines that are resistant to Pt therapy. OXA was the first Pt-based drug approved for use against metastatic colorectal cancer in combination with fluorouracil and leucovorin. OXA interferes with DNA replication and transcription systems through nuclear DNA adduct formation. Despite its better tolerability compared to other Pt compounds, OXA is also associated with side effects such as neurotoxicity, hematologic and gastrointestinal tract toxicities, neutropenia, nausea, and vomiting that limit the range of usable doses<sup>3</sup>. Hence, novel Pt complexes displaying increased anti-tumor activity and reduced cross-resistance are still being sought.

Pt(IV) complexes are a new generation of Pt-based drugs, possessing markedly different properties from those of Pt(II) compounds. The kinetic inertness prevents the conversion to off-target biological substances before the Pt complex can reach the

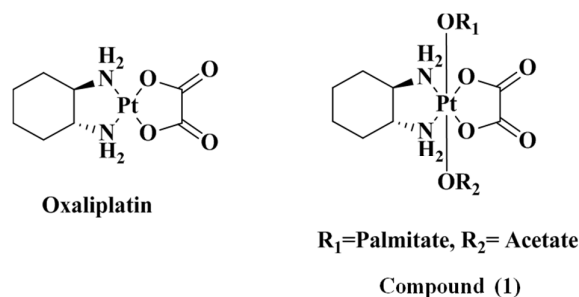
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3 nuclear DNA. Furthermore, the two additional ligands can be utilized and tuned to  
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5 adjust the chemical properties and facilitate the incorporation of Pt compounds into  
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7 nanoparticles. These features can potentially improve both the efficacy and  
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9 tolerability profile of Pt complexes <sup>4</sup>. The strategy for the preparation of Pt(IV)  
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11 complexes involves oxidative addition of the square planar Pt(II) complexes, usually  
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13 with hydrogen peroxide, to yield axial hydroxide ligands which can be carboxylated  
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15 or carbamated with the aim of introducing a versatile axial ligands <sup>5-7</sup>. The reduction  
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17 to Pt(II) is a paramount demand for binding to the targeted biological sites. Thus, the  
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19 reduction potential of the Pt(IV) complexes as well as the redox status of the tumor  
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21 environment have a strong impact on the activity of Pt(IV) anticancer drugs <sup>8</sup>. Pt(IV)  
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23 drugs are generally regarded as prodrugs due to the disparity in reactivity prior to and  
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25 following reduction<sup>4</sup>. Solubility and lipophilicity have a crucial role in designing new  
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27 Pt(IV) compounds. Numerous studies have shown a good correlation between  
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29 lipophilicity and cytotoxicity <sup>9-11</sup>. Even though it has a critical role in enhancing the  
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31 cytotoxic activity of the prodrug, lipophilicity is not the main factor, which  
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33 determines the overall activity. Moreover, high lipophilicity usually leads to low  
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35 water solubility, making the drug formulation in an aqueous solution difficult. The  
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37 low aqueous solubility has been always considered a stumbling block to the  
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39 development and clinical use of anticancer compounds, due to the intravenous (i.v.)  
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41 infusion route of their administration. Poorly water-soluble anticancer drugs need to  
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43 be formulated in co-solvents and/or surfactant solutions to enhance their solubility,  
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45 but many of these solutiouons, which have been used for solubilization such drugs, are  
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47 responsible for the severe side effects. The classic examples for these formulations are  
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49 the marketed taxane products, paclitaxel and docetaxel which have had a profound  
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51 effect in a wide variety of malignancies. In the case of paclitaxel, a polyoxyl-35 castor  
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3 oil surfactant vehicle is used in the commercial formulation. Its presence triggers  
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5 severe hypersensitive reactions in patients and it has been reported that 30–40% of the  
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7 patients receiving paclitaxel suffer from abnormal lipoprotein patterns, aggregation of  
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9 erythrocytes, severe hypersensitive reactions and peripheral neuropathy<sup>12-14</sup>.  
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11 Similarly, ethanol and polysorbate 80, used for docetaxel solubilization also lead to  
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13 adverse effects<sup>15-17</sup>. Thus, there is a clear need to compromise between lipophilicity  
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15 and solubility. Well-designed drug delivery systems are essential if we wish to  
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17 continue to clinical practice<sup>18</sup>.  
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21 Nanoparticles (NPs) are particulate dispersions or solid particles possessing  
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23 dimensions of 1-1000 nm, with the capability to transport and deliver medicinal  
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25 substances to selected targets within biological systems<sup>19</sup>. A large number of  
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27 nanotherapeutics have been designed and evaluated over the years, relying on  
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29 liposomes, polymers, micelles, NPs and antibodies as carrier materials<sup>20</sup>. Due to their  
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31 miniscule and colloidal nature, polymer-based NPs are considered an ideal transport  
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33 vehicle for drugs and other therapeutic agents as the agent can be encapsulated within  
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35 or conjugated onto the NP's surface<sup>21</sup>. Several methods for polymeric NP preparation  
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37 have been developed. Amongst them, the nanoprecipitation technique, also known as  
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39 the solvent displacement method, exhibits manifest advantages, essentially because it  
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41 is a one-step process and easy to perform at room temperature<sup>22</sup>. Owing to their size,  
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43 NPs preferentially target solid tumors rather than healthy tissues by exploiting  
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45 vasculature inadequacy<sup>23</sup>. One of the clinically successful candidates was the  
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47 albumin-based formulation of paclitaxel NPs. It was developed with the aim of  
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49 overcoming the very low solubility of paclitaxel, to form nab-paclitaxel NPs with a  
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51 mean particle size of 130 nm. The resulting marketed paclitaxel nanoparticulate  
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3 formulation is water-dispersible and was first approved by the FDA in 2005 for the  
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5 treatment of metastatic breast cancer.  
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8 This study presents the development and characterization of a drug delivery system  
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10 loaded with a potent Pt(IV) prodrug of OXA, [Pt(DACH)(OAc)(OPal)(ox)] (**1**)  
11 (Scheme 1), as well as handling properties of NPs before and after freeze drying and  
12 storage. The cytotoxicity, Pt accumulation, and DNA platination of **2** was evaluated *in*  
13 *vitro* in comparison to **1** and OXA. Furthermore, two *in vivo* studies were conducted  
14 in SCID-bg mice including: an orthotopic intraperitoneal (i.p.) model of metastatic  
15 ovarian cancer, and a subcutaneous xenograft model of colon cancer.  
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**Scheme 1.** Structure of OXA and lipophilic Pt(IV) compound **1**.

## 2. RESULTS

### 2.1. *In vitro* cytotoxic activity

The anti-proliferative activities of **1** and OXA were tested in PC-3, PC-3-luc (human prostate cancer), BxPC-3 (human pancreatic cancer), OVCAR-8, SKOV-3 (human ovarian cancer), CT-26-luc (murine colorectal cancer) cell lines by the MTT assay. The cells were treated continuously over 120h. The 50% growth inhibitory concentration (IC<sub>50</sub>) values were calculated and summarized in Table 1. Complex **1** showed a unique potency against different cancer cell lines, with higher cytotoxicity than OXA.

The activity of OXA and **1** against a pair of cisplatin-sensitive and resistant ovarian cancer cell lines, A2780 and A2780-cisR, was determined as a function of the concentration. The resistance of A2780cisR to cisplatin is achieved by reducing the uptake, improving DNA repair and increasing glutathione levels.

**Table 1.** IC<sub>50</sub> values of OXA and **1** in various cancer cell lines.

Cell line	IC <sub>50</sub> (μM) <sup>[a]</sup>	
	OXA	<b>1</b>
PC-3	9.2±0.4	0.35±0.01
PC-3-luc	27.7±9.1	0.46±0.11
BxPC-3	3.4±0.9	0.35±0.01
OVCAR-8	9.4±4.4	0.46±0.03
SKOV-3	58.3±6.3	0.54±0.03
CT-26-luc	5.6±0.6	0.62±0.24

<sup>[a]</sup> Values are means ± SD obtained from three independent experiments.

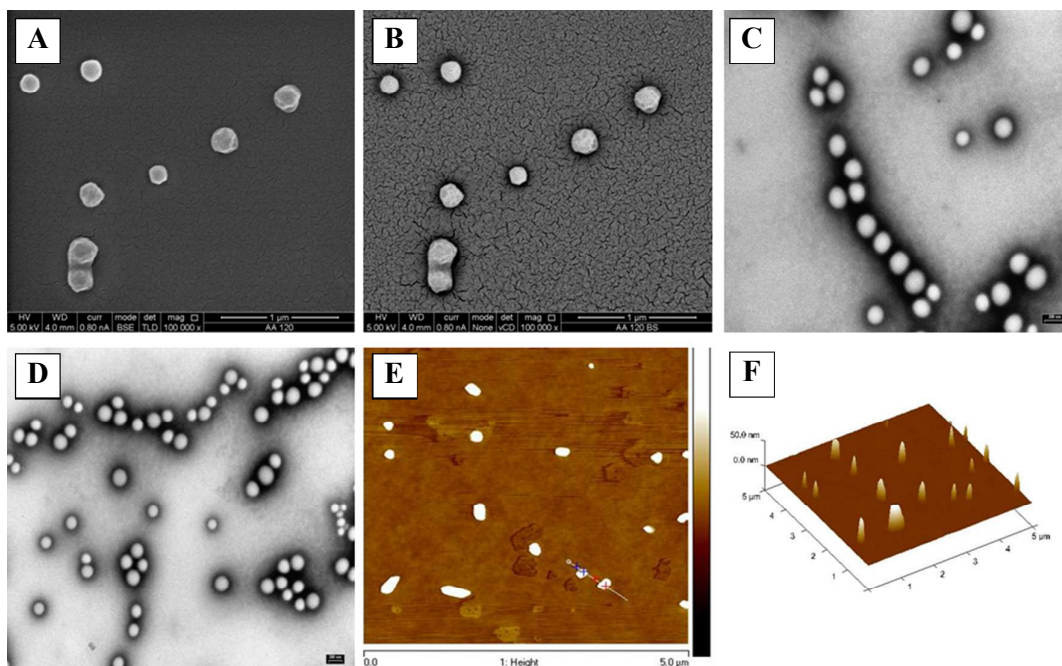
The resistance factor (RF) is defined as the ratio of IC<sub>50</sub> value in the resistant cell line to that in the parent cell line. The RF values of cisplatin, OXA, and **1** were 15.53, 5.21, and 0.93, respectively (Table S1). The results show that **1** has the lowest RF values, which indicates that **1** can overcome acquired resistance to cisplatin and exhibit efficient activity against the A2780-cisR line and probably against other cancer cell lines that are typically resistant to Pt therapy.

## 2.2. Preparation and characterization of **2**

The preparation of **2** was carried out using the well-established solvent interfacial deposition method resulting in the formation of spherical NPs (Figure 1). The mean



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3 diameter of **2** was about 146nm with polydispersity index (PDI) of 0.1, and the zeta  
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5 potential value of **2** was approximately -49mV. Furthermore, optimal encapsulation  
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7 yields were achieved (>95% as determined by HPLC) and incorporation into the NPs  
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9 ranged from 21.5% to 22.7% w/w. However, because NPs are unstable within  
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11 aqueous mediums, their clinical use in delivery systems is significantly limited.  
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13 Freeze-drying is a reliable method to maintain long-term stability for a wide range of  
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15 biological products such as proteins, peptides or drug-loaded NPs or liposomes <sup>24</sup>.  
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17 Therefore, after drug encapsulation, formulation **2** was freeze-dried using five cryo-  
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19 and lyo-protectants; sucrose, (+) trehalose, D (-) mannitol, hydroxypropyl- $\beta$ -  
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21 cyclodextrin (HP $\beta$ CD), and xylitol at different concentrations. Among these,  
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23 lyophilization of **2** using sucrose (5% w/v) resulted in physically stable NPs. The  
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25 lyophilized formulation of **2** was stable following incubation at 4°C over a period of 4  
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27 weeks, and following incubation at -20°C over a period of 16 weeks (Figure S3).  
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29 Considering possible clinical issues, the procedures established to freeze-dry **2** appear  
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31 to be appropriate for the successful development of drug-loaded nanoparticulate  
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33 formulations intended for parenteral administration. Each batch of **2** was triplicated  
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35 and the results were similar indicating a good reproducibility of the manufacturing  
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37 process of the nanoparticles and control of the experimental parameters.  
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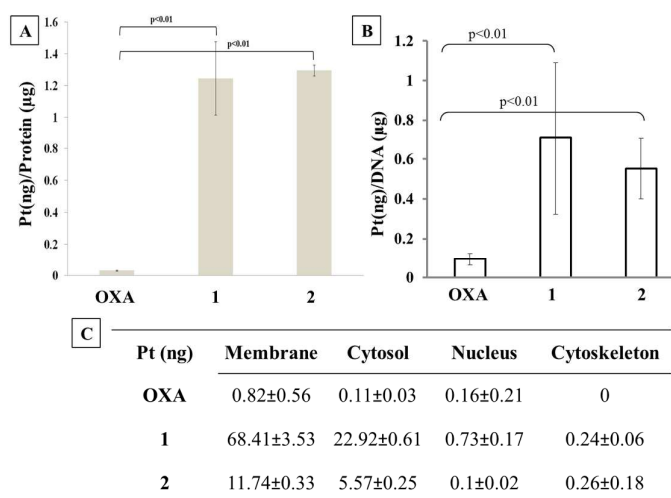
**Figure 1.** Morphological evaluation of **2**: (A) SEM secondary electron image. (B) SEM backscattered electron image. (C,D) TEM micrographs of uranyl acetate negatively stained NPs at different areas of the grid, scale bar = 200 nm. (E,F) 2D and 3D AFM images of NPs.

Once **1** was successfully encapsulated into NPs, the cytotoxic activity was evaluated in SKOV-3 ovarian cancer cells. The results showed that the cytotoxic activity of **1** and **2** were more pronounced than the toxic activity of OXA, as depicted in Figure S1 and Table S2.

### 2.3. Cellular uptake, DNA platination and intracellular localization

To examine the cellular accumulation of platinum of OXA, **1** and **2** in cancer cells, the total Pt content was measured by ICP-MS in SKOV-3 cells following their 24h exposure to the compounds at a concentration of 35 $\mu$ M. The data, normalized to the total cellular protein, are presented in Figure 2A. Moreover, the platination of DNA was assessed. Analysis of the extent of DNA platination measured after 24h exposure of SKOV-3 cells to 25 $\mu$ g/mL of OXA (62.5 $\mu$ M), **1** and **2** (35 $\mu$ M), indicated higher

levels of DNA-platination for **1** and **2** in comparison to OXA (Figure 2B). Further cellular uptake studies were carried out to measure the distribution of Pt species in different subcellular fractions. SKOV-3 cells were treated with 35 $\mu$ M of OXA, **1** and **2** for 24h and the cells were fractionated into four compartments: membrane, cytosol, nucleus, and cytoskeleton. The Pt levels in each compartment were measured by ICP-MS. The results are presented in Figure 2C.

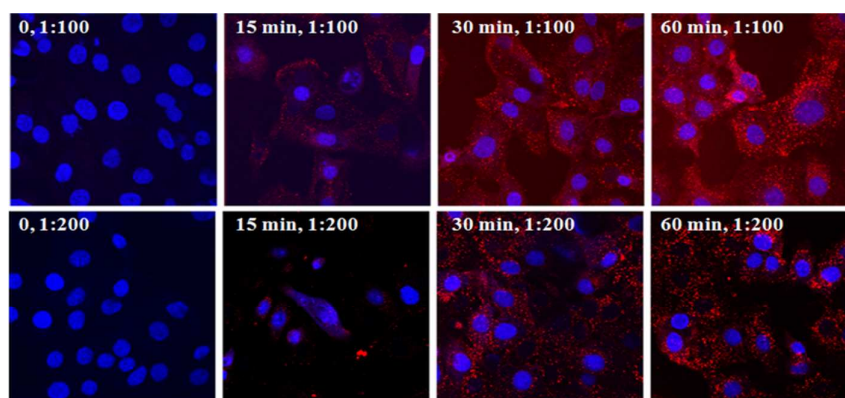


**Figure 2.** (A) Platinum accumulation in whole cells following incubation with 35 $\mu$ M of OXA, **1** and **2** following a 24h exposure to SKOV-3 cells as measured by ICP-MS. Values are the means  $\pm$  SD obtained from three independent experiments. Statistical analysis revealed that the observed difference in platinum accumulation values was significant ( $p < 0.01$ , one-way ANOVA). (B) The extent of DNA platination following 24h exposure to SKOV-3 cells as measured by ICP-MS. Values are mean  $\pm$  SD obtained from seven independent experiments. Statistical analysis revealed that the observed difference in DNA platination values was significant ( $p < 0.01$ , one-way ANOVA). (C) Cellular distribution of Pt compounds in different fractions. The amount of Pt per  $50 \times 10^3$  cells in cell membrane, cytosol, nucleus and cytoskeleton fractions. Cells were exposed to 35 $\mu$ M of Pt compounds for 24h. Values are means  $\pm$  SD. Nuclear fraction described by the manufacturer as follows: Total nucleus soluble proteins, including the nuclear membrane proteins. Cytosol Fraction: Total cellular soluble proteins from cytoplasm. Membrane/Particulate: Total cellular membrane proteins including cellular organelles and organelle membrane proteins (excluding the nuclear membrane proteins). Cytoskeletal Fraction: Total cellular insoluble proteins, genomic DNA.

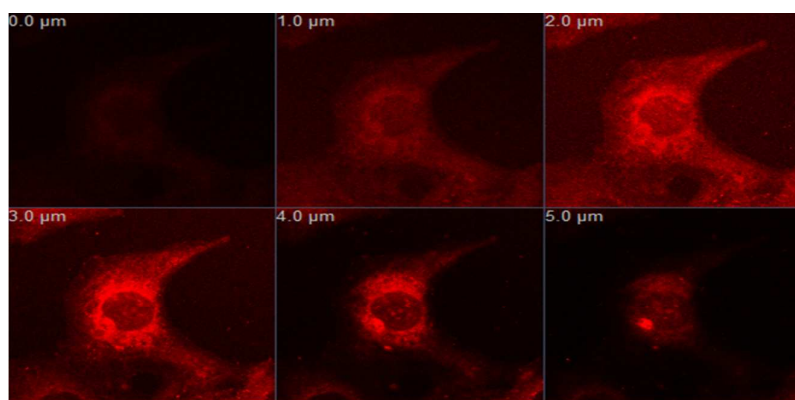
## 2.4. Cellular uptake of NPs in SKOV-3 cells by CLSM

Localization and cellular uptake of the NPs were evaluated 15 min following incubation (Figure 3A) and was most evident after 6h (Figure 3B). The nucleus was stained by DAPI (blue) whereas the NPs, fluorescently labeled using PE Lissamine Rhodamine B (red), were easily visualized within the cytoplasm. Indeed, increased cytoplasmic accumulation of NPs was observed as a function of dilution (1:100 versus 1:200) and time, with a more pronounced perinuclear and nuclear localization pattern (Figure 3B).

(A)



(B)



**Figure 3.** Intracellular uptake of NPs visualized by CLSM. (A) Intracellular uptake of PE Lissamine Rhodamine B-loaded NPs (red) by SKOV-3 cells was evaluated following 0-60min. incubation with two formulation dilutions 1:100 and 1:200, nuclei were stained with DAPI (blue). (B) Z-stack confocal

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3 imaging of SKOV-3 cells incubated with PE Lissamine Rhodamine B-loaded NPs (red) for 6h (dilution  
4 1:100).  
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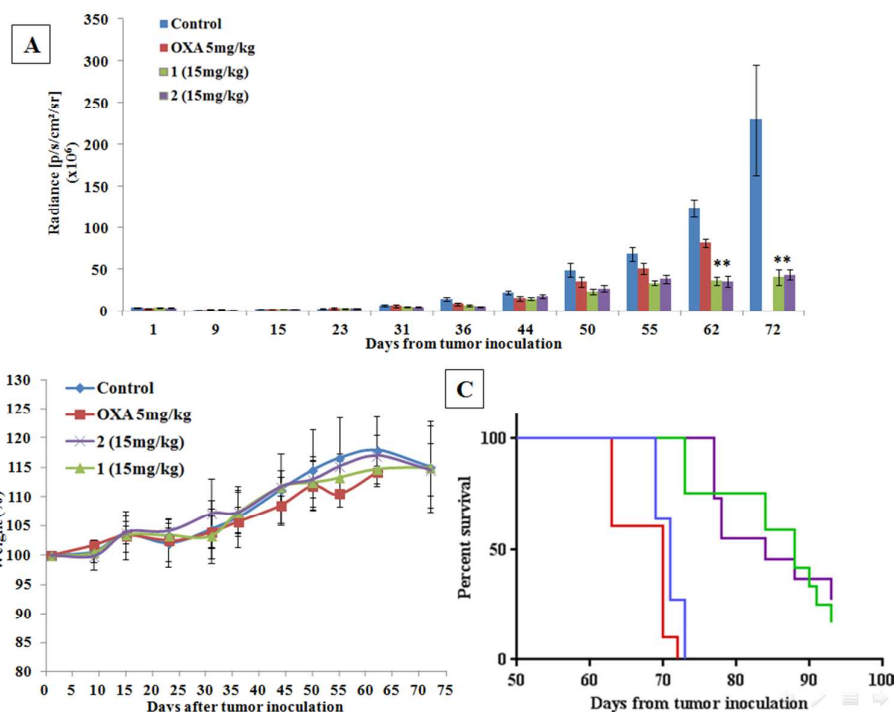
## 7 **2.5. *In vivo* evaluation of 1 and 2 for the treatment of solid tumors**

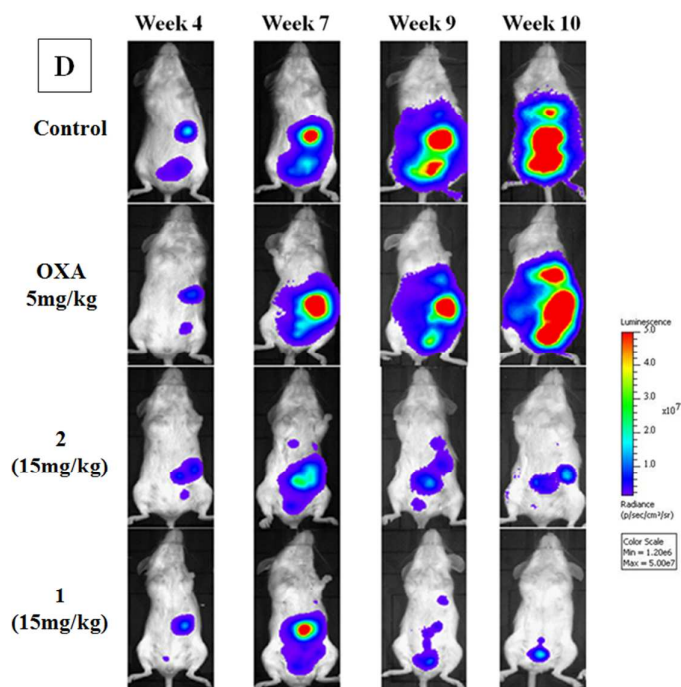
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10 For *in vivo* evaluation of 1, 2 and OXA, the mice were divided into three treatment  
11 groups including: solution of 1 in polyoxyl-35 castor oil /ethanol diluted by 10 in  
12 water for injection, free OXA and control (polyoxyl-35 castor oil /ethanol diluted by  
13 10 in water for injection), and 2. In fact, when diluted in 90% water for injection,  
14 solution of 1 in polyoxyl-35 castor oil / ethanol produces micelles of approximately  
15 13 nm diameter, indicating that the administered solution could behave like a  
16 transparent tiny suspension of micelle-type nanocarrier, with potential accumulation  
17 ability at RES organs and tumor tissue (Figure S2). A pharmacological evaluation was  
18 performed to verify the therapeutic superiority of 1 and 2 compared to OXA using a  
19 CCCD camera to detect the luminescence emitted from the tumor following luciferin  
20 i.p. administration. The tumor bioluminescence evaluation (at longitudinal view) of  
21 the tumor within the same groups of animals exhibit both sensitive and quantitative  
22 measurement of tumor response *in vivo*.  
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40 Metastatic ovarian tumors were induced by luciferase transfected SKOV3-luc-D3  
41 cells injected into the peritoneal cavity of SCID-bg mice. Tumor development and  
42 progression was validated by bioluminescent imaging. Tumor signals from the images  
43 were quantified using LivingImage software version 4.3.1, and luminescence group  
44 averages were plotted over time. Thirty days following cells' injection, treatments  
45 were injected into the tail vein once a week over four weeks. It can be clearly seen  
46 from Figure 4A and D, that 1 and 2 were significantly most efficient in ovarian tumor  
47 growth inhibition in comparison to OXA and the control. Although the tumor was not  
48 completely eradicated, 1 and 2 reached the tumor more efficiently than the OXA, and  
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3 the subsequent therapeutic effect was significantly greater. Ventral images of a  
4 representative mouse from each group taken on weeks 4, 7, 9 and 10 following tumor  
5 inoculation are presented in Figure 4D. Importantly, no signs of systemic toxicities  
6 [weight loss (Figure 4B), weakness, pain or discomfort] and no local reactions at the  
7 injection site were observed following **1** and **2** administrations.

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14 We were interested in evaluating the effect of larger tolerated doses of **1** than with  
15 OXA based on molar calculations. The molar equivalence dose of OXA (5mg/kg) for  
16 **1**, is 9mg/kg while the dose injected was 15mg/kg. However, the results of a small  
17 pilot study where 15mg/kg of **1** was compared to 9mg/kg equivalent to the dose  
18 injected of OXA of 5mg/kg, showed no significant difference in tumor growth  
19 inhibition and therefore it was decided to proceed with only 9mg/kg of **1** either in free  
20 solution or in NPs for the other cancer models.  
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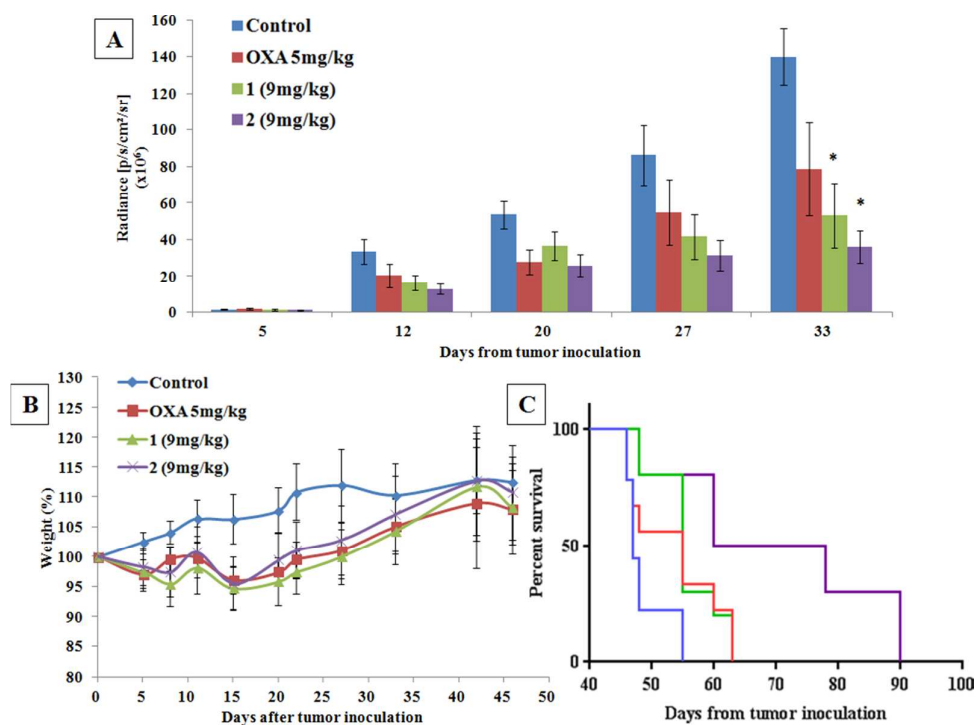
**Figure 4.** Efficacy study. (A) Longitudinal detection and quantification of SKOV3-luc-D3 ovarian tumor growth in live mice by the bioluminescent luciferase imaging assay using a CCD camera. Tumor size is designated by the total luminescence intensity of the dorsal and ventral images, expressed in radiance units (photons/s/cm<sup>2</sup>/sr). Arrows indicate the treatment schedules on the 30<sup>th</sup>, 37<sup>th</sup>, 45<sup>th</sup> and 52<sup>nd</sup> day. Results are presented as mean  $\pm$  SE. Statistical analysis revealed that the observed differences at days 62 and 72 were significant ( $p < 0.01$ , one-way ANOVA). Post hoc analysis showed that there are significant differences between the control group and **1** and **2** treatment groups [ $p < 0.01$ , Dunnett test (2-sided)]. (B) Body weight follow-up beginning from tumor inoculation (day 0) through the study period. Changes were recorded as a percentage of the initial body weight observed on the day of tumor cells injection (100% at day 0). Results are presented as mean  $\pm$  SD. (C) Kaplan-Meier survival curve from day of tumor cells injection until death. Death was recorded once animals fulfilled predetermined euthanasia criteria. \*\*\*\*  $p < 0.0001$ . The study was terminated on the 93<sup>rd</sup> day. (D) Representative ventral images of mice taken on weeks 4, 7, 9 and 10 following tumor inoculation are given.

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3 Animals treated with **1** and **2** exhibited the longest survival rate compared to OXA  
4 and control groups (Figure 4C). On the 73<sup>rd</sup> day, 100% of mice in the **2** group were  
5 still alive, whereas all mice in the control and OXA groups had died and 75% survival  
6 proportions were recorded for the **1** group. The mean survival time in the **1** and **2**  
7 groups, as analyzed by the Kaplan–Meier statistics, was 88 and 84 days, respectively,  
8 whereas for OXA and the control the mean survivals were 70 and 71 days,  
9 respectively. Survival differences were statistically significant.

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12 We next monitored the effect of **1**, **2** and OXA on tumor growth over time in a mouse  
13 xenograft model of colon cancer by noninvasive *in vivo* luminescence imaging. Mice  
14 were subcutaneously injected with HCT 116-luc2 cells, which stably expressed firefly  
15 luciferase, and treatment was administered once every fourth day by i.v. injection  
16 (tail) as described previously. There was an increase in tumor growth in the groups of  
17 the control and OXA. However, in the groups of **1** and **2** in an equivalent  
18 concentration to OXA, tumor growth was significantly impaired with a decrease in  
19 tumor volume as compared to the control (Figure 5A). In contrast to the other animal  
20 studies, the animals in the treated groups initially lost weight but recovered and even  
21 increased in weight with time (Figure 5B).

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24 Animals treated with **2** exhibited the longest survival rate compared to other treatment  
25 groups (Figure 5C). On the 63<sup>rd</sup> day, 50% of mice in the **2** treatment group were still  
26 alive, whereas all mice in the **1**, control and OXA groups had died. The mean survival  
27 time in the **2** treatment group, as analyzed by the Kaplan–Meier statistics, was 69  
28 days, whereas for **1**, OXA and control the mean survivals were 55, 55, and 47 days,  
29 respectively. Survival differences were statistically significant, even when **2** treated  
30 mice were only compared to **1** treated mice, reinforcing the added value provided by  
31 the nano-delivery system (Figure 5C).





**Figure 5.** Efficacy study. (A) Longitudinal detection and quantification of HCT 116-luc2 colon tumor growth in live mice by the bioluminescent luciferase imaging assay using a CCD camera. Tumor size is designated by the luminescence intensity of the dorsal images, expressed in radiance units (photons/s/cm<sup>2</sup>/sr). Results are presented as mean  $\pm$  SE. Statistical analysis revealed that the observed differences in radiance values on the 33<sup>rd</sup> day were significant ( $p < 0.01$ , one-way ANOVA). Post hoc analysis showed significant differences between **1**, **2** and control on the 33<sup>rd</sup> day [ $p < 0.01$ , Dunnett test (2-sided)], whereas there was no significant difference between OXA and control. (B) Body weight follow-up beginning from tumor inoculation (day 0) through the study period. Changes were recorded as a percentage of the initial body weight observed on the day of tumor cells injection (100% at day 0). Results are presented as mean  $\pm$  SD. (C) Kaplan-Meier survival curve from tumor cells injection day until death. Death was recorded once animals fulfilled predetermined euthanasia criteria. \*\*\*\*  $p < 0.0001$ .

### 3. DISCUSSION

Pt-based complexes are widely used in the treatment of various solid tumors, although exhibiting serious side-effects. Furthermore, it is well known from the literature that the therapeutic outcome of Pt-based chemotherapy can be impaired by acquired

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3 resistance which is one of the major limitations of Pt anticancer chemotherapy. The  
4 present study aimed to develop and characterize a drug delivery system loaded with a  
5 novel potent Pt(IV) prodrug of OXA for improved treatment of solid tumors.  
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10 Because of the drawbacks of Pt(II) drugs, there is an incentive to synthesize lipophilic  
11 Pt(IV) complexes in an attempt to improve efficacy and overcome resistance as  
12 already reported by other authors, while trying to enhance the lipophilicity of cisplatin  
13 <sup>25-28</sup> and OXA <sup>29-31</sup>. Due to their octahedral coordination geometry, platinum(IV)  
14 complexes are preferred over their platinum(II) counterparts. The presence of two  
15 additional ligands facilitates their ability to detect and attach to specified targets. In  
16 contrast to previous approaches, in which symmetric Pt(IV) compounds were  
17 designed with the aim of tuning lipophilicity <sup>32-34</sup>, in the present study efforts  
18 concentrated on enhancing the lipophilicity of OXA using a different approach based  
19 on combining adversative ligands, by means of aqueous solubility and lipophilicity on  
20 the same Pt(IV) scaffold resulting in an asymmetric compound, namely **1**.  
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36 The antiproliferative activities of the OXA and **1** were assessed in several cancer cell  
37 lines, as depicted in Table 1. The cytotoxicity of **1** was substantially greater (more  
38 than two orders of magnitude) than that of OXA in both Pt sensitive and resistant cell  
39 lines, perhaps as a result of its enhanced accumulation because of its lipophilic  
40 character, as shown previously with other Pt compounds <sup>29, 35-37</sup>.  
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48 Formulation **2** formed using the interfacial deposition method in the presence of lipid  
49 E80 and OCA. PLGA was selected for this study because of its biocompatibility,  
50 biodegradability and FDA approval for biomedical applications <sup>38</sup>. The formed  
51 particles typically displayed a remarkable loading rate in contrast to other  
52 nanoparticulate formulations where low loading rates (less than 6%w/w) of the active  
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3 ingredients were obtained, confirming the adequate optimization of the actual  
4 formulation. Fatemeh *et al.* reported preparation of cisplatin and carboplatin-loaded  
5 polybutylecyanoacrylate NPs with loading percentages of 4 and 6 %, respectively <sup>39</sup>.  
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8 Indeed, the low drug loading is common for Pt NPs, and can be attributed to their  
9 physico-chemical characteristics <sup>40,41</sup>.  
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14 It should be emphasized that in contrast to other studies <sup>2, 42, 43</sup> where the  
15 incorporation of Pt-based agents within NPs enhanced their cytotoxic activity owing  
16 to improved internalization, in the present case **1** displayed similar activity to **2**. This  
17 is apparently due to its lipophilic character and intrinsic properties of the free active  
18 molecule allowing for significant penetration within the cytosol as shown in Figure 2  
19 where the amounts of **1** in the various cellular fractions were much higher than the  
20 amount of **2**, with the exception of the cytoskeletal fraction where the amount was not  
21 significantly different. A plausible explanation for why these differences in  
22 concentrations in the various cellular fractions are not reflected in the cytotoxic  
23 activity should be attributed to the gradual release of **1** from NPs over a prolonged  
24 period of time into the target nucleus and cytoskeletal fraction compensating for the  
25 smaller concentration which remains active. Moreover, an alternative explanation  
26 cannot be excluded, i.e. the formation of free **1** molecules assembling into large  
27 micelles following the preparation of **1** solution and dilution by cell culture media.  
28  
29 Nevertheless, it is still worth continuing the development of **2** because the presence of  
30 two additional ligands in **1** modified the chemical properties compared with the parent  
31 drug (OXA), making it more lipophilic and difficult to formulate in an aqueous  
32 solution.  
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55 Delivery of a lipophilic drug to its target has always been a challenge and a subject of  
56 active research. The reduced solubility of drug molecules may hinder their ability to  
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3 cross the physiological aqueous environments encompassing a cell and to penetrate  
4 the cell membrane. Furthermore, complications such as embolisms and local toxicity  
5 are likely to occur if the lipophilic or hydrophobic molecules tend to precipitate once  
6 i.v. injected at the site of administration<sup>44</sup>. Thus, such compounds need to be  
7 formulated in surfactant solutions to enhance the solubility and prevent potential  
8 precipitation. However, it is known from literature that surfactant agents such as  
9 polyoxyl-35 castor oil and polysorbate 80, widely used in the marketed cytotoxic  
10 products, can cause many adverse effects including pain, inflammation, neutropenia,  
11 hypersensitivity and even anaphylactic shock<sup>12, 15, 17</sup>. Therefore, in the present case  
12 and in order to avoid the adverse effects normally elicited by these excipients as well  
13 as future complications, it was decided to formulate the novel compound in PLGA  
14 NPs. This is a promising approach to overcome the poor water solubility of a  
15 lipophilic drug, by encapsulation in a NP-based carrier. Additionally, in the present  
16 study it was anticipated that the incorporation of **1** in NPs would not enhance the  
17 cytotoxic activity by accumulation of drug-loaded NPs in the cancer cells via  
18 endocytosis, as already reported in other studies<sup>45-47</sup>, because it was assumed that like  
19 other lipophilic prodrugs under dilution of the parent drug, it would form micellar  
20 nanocarriers per se<sup>48</sup>. Nevertheless, cellular internalization of NPs into cancer cells  
21 was observed within minutes and gradually increased (Figure 3).  
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46 Pt(II) complexes are kinetically labile, and one advantage of Pt(IV) prodrugs is their  
47 relatively greater kinetic inertness<sup>4</sup>. This reduced reactivity is expected to translate  
48 into prolonged circulation time *in vivo*, because of the protection of Pt(II)  
49 pharmacophore from deactivation by biological nucleophiles. Once the complex  
50 enters the reducing environment of the cancer cell, it should reduce, forming the  
51 active Pt(II) agent<sup>49</sup>. We propose that incorporation of **1** into NPs further protects it  
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3 from reduction by physically preventing interaction between reducing agents and the  
4 encapsulated **1**. It was recently reported that encapsulation of the Pt(IV) anticancer  
5 compound, mitaplatin, prolonged retention of Pt in the bloodstream more than  
6 unencapsulated mitaplatin. Moreover, while both mitaplatin alone and mitaplatin-  
7 loaded NPs displayed the same long-term tumor growth inhibition in mice carrying  
8 MDA-MB-468 triple-negative breast cancer xenografts, the nanodelivery of the Pt  
9 agent significantly shifted the biodistribution of Pt in treated animals away from  
10 accumulation in the kidneys, a traditional site of Pt-induced toxicity<sup>50</sup>.

21 In the present study, an *in vivo* experiment was conducted, in which SKOV-3-luc cells  
22 were inoculated in SCID-bg mice. Due to the lack of an anatomical barrier between  
23 the ovaries and the peritoneal cavity, metastatic resistance of patients with ovarian  
24 cancer is severely reduced, and cancer cells are able to escape from the ovaries and  
25 form tumor nodules on the peritoneal surface<sup>51</sup>. To closely mimic the disease, we  
26 established an i.p. disease model by injecting SKOV-3 cells intraperitoneally and  
27 evaluating the efficacy of **1**, **2** and OXA accordingly. The antitumor activity of **1** and  
28 **2** indicated significantly decreased tumor growth compared to the control and OXA  
29 treatment groups (Figure 4).

41 The similar antitumor activity exhibited by **1** and **2** irrespective of the animal cancer  
42 model might be due to micelles formation resulting from dilution of **1** in polyoxyl-35  
43 castor oil / ethanol solution in 90% water for injection, suggesting that the  
44 administered solution is in fact a micellar solution displaying an EPR effect (Figure  
45 S2). Additionally, *in vivo* findings reinforce *in vitro* results obtained for the tested cell  
46 lines (Table 1 and Figure 2), in which IC<sub>50</sub> values of **1** and **2** were lower than those of  
47 OXA, and respective Pt accumulation values were significantly greater than OXA  
48 elicited values.

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3 Moreover, animals treated with **1** and **2** exhibited the longest survival rate compared  
4 to OXA and control groups in animal models. In ovarian cancer model, on the 73<sup>rd</sup>  
5 day, 100% of mice in **2** treatment group were still alive, whereas all mice in the  
6 control and OXA groups had died and 75% survival proportions were recorded for **1**  
7 treatment group (Figure 4C). Moreover, on the 63<sup>rd</sup> day, 50% of mice in the **2**  
8 treatment group were still alive, whereas all mice in the **1**, control and OXA groups  
9 had died in the subcutaneous model of colon cancer (HCT-116) in SCID-bg mice  
10 (Figure 5C). These findings reinforce the advantage of **1** molecule per se and **2** over  
11 OXA in the treatment of ovarian and colon cancer. Tumor development and  
12 progression were also validated by bioluminescent imaging. The results revealed that  
13 **1** and **2** were equally and significantly more efficient in tumor growth inhibition  
14 compared to OXA and the control (Figure 5). It should be emphasized that **2**  
15 exhibited a remarkable survival advantage over other groups in the colon cancer  
16 animal model since the final surviving mouse lived for 90 days, whereas all the mice  
17 in the other groups survived up to 63 days, indicating the added value of **2** (Figure  
18 5C). The findings of this study support the improved treatment hypothesis and  
19 substantially enhance tumor growth inhibition elicited by **1** and **2** over OXA, although  
20 no complete tumor eradication was achieved. This could be attributed to several  
21 factors, one being that the cancer cells applied in the *in vivo* experiments are of human  
22 origin, yielding very aggressive tumor models with a rapid growth rate, which is  
23 unlikely to be seen in the clinics. Indeed, while the efficacy of the novel complex as a  
24 single-agent therapy was demonstrated, combination therapy is the mainstay treatment  
25 of cancer in clinical practice, particularly for advanced stages of the disease.  
26 Moreover, the results of this study are encouraging and reveal considerable potential  
27 for further development of this formulation.  
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3 So far, only a few reports of nano delivery systems of OXA have been reported <sup>52-55</sup>.  
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5 Among them, to the best of our knowledge, there are no reports describing the  
6  
7 asymmetric Pt(IV) prodrug of OXA incorporated into PLGA nanospheres.  
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#### 10 **4. CONCLUSIONS**

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13 Pt drugs are still among the most essential drugs in chemotherapy, but their lack of  
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15 cancer-cell selectivity leads to toxicity issues. Here we report a novel Pt(IV) prodrug  
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17 of OXA, namely **1**, containing both lipophilic and hydrophilic axial ligands, with  
18  
19 increased efficiency in killing cancer cells. The overall results indicate that **1** and **2**  
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21 lead to significant tumor growth inhibition in comparison to OXA, and were effective  
22  
23 in both orthotopic and xenograft tumor models. Moreover, complex **1** was  
24  
25 incorporated in PLGA NPs delivery vehicles with the aim of mitigating the high  
26  
27 systematic toxicity and severe side effects associated with Pt drugs. Such a NP  
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29 treatment could become an attractive candidate for further investigation as a  
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31 chemotherapeutic treatment for solid tumors.  
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#### 36 **5. EXPERIMENTAL SECTION**

##### 37 **5.1. Materials**

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39 Oxaliplatin was acquired from AK Scientific, Inc. (Union City, CA, US); Cisplatin,  
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41 Palmitic acid, polyoxyl-35 castor oil (Cremophor<sup>®</sup>EL) and Thiazolyl Blue  
42  
43 Tetrazolium Bromide were purchased from Sigma-Aldrich (Jerusalem, Israel). Lipoid  
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45 E80 was acquired from Lipoid GmbH (Ludwigshafen, Germany). PLGA (MW 50 kD,  
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47 Resomer RG 504 H) was purchased from Boehringer Ingelheim GmbH (Ingelheim,  
48  
49 Germany). Oleyl cysteineamide (OCA) was synthesized as published previously <sup>48</sup>.  
50  
51 Solutol<sup>®</sup> HS 15 was donated by BASF (Ludwigshafen, Germany). Firefly D-luciferin,  
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53 potassium salt was obtained from Regis Technologies, Morton Grove, IL, USA. All  
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3 organic solvents were HPLC grade and purchased from J.T Baker (Deventer,  
4 Holland). The PC-3, BxPC-3, OVCAR-8 and SKOV-3 cell lines were purchased from  
5 American Type Culture Collection (ATCC), Rockville, MD, USA. PC-3-luc,  
6 SKOV3-luc-D3, and HCT 116-luc2 were purchased from Caliper Life Sciences  
7 (Hopkinton, MA, USA). CT-26-luc cell line was kindly supplied by Dr. Gilad  
8 Bachrach from Hebrew University. A2780 and A2780-cisR cells line were kindly  
9 supplied by Prof. B. Keppler from University of Vienna. Most of the cells were  
10 purchased during the last three years and normally when received, they were de-  
11 frozen, subcultured according to the manufacturer protocol to the appropriate  
12 passage and stored as aliquots in liquid nitrogen. Every two months, the cells were  
13 tested for mycoplasma contamination according to the manufacturer protocol. All  
14 tissue culture products were from Biological Industries Ltd (Beit Ha Emek, Israel).  
15 Luciferase transfected cell lines were obtained from Caliper Life Sciences  
16 (Hopkinton, MA). Pt concentrations were determined by an Agilent 7500ce  
17 inductively coupled plasma mass spectroscopy (ICP-MS). Tumor signals from the  
18 images of *in vivo* studies were quantified using LivingImage software version 4.3.1.  
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## 39 **5.2. Instrumentation**

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41 All NMR data were collected on a Bruker AVANCE IIIITM HD 500 MHz  
42 spectrometer using a 500 Mhz SmartProbe. <sup>195</sup>Pt NMR data were collected at 107.47  
43 MHz using sw= 217391.3 Hz aq=0.002sec and rd=0.001sec. The data were processed  
44 using MestreNova with a 300 Hz line broadening and backward linear prediction. <sup>1</sup>H  
45 spectra were recorded with standard parameters. Analytical HPLC analysis was  
46 performed on a standard Dionex UPLC system equipped with a Kinetex 5μ XB-C18  
47 100A New Column 250x4.6mm with detection at 220nm. Preparative purification  
48 was carried out on a Dionex semi- preparative HPLC using Phenomenex Luna 10μ  
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3 C18 100A 250x21.20mm column at 220nm. Platinum concentrations were determined  
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5 by an Agilent 7500ce inductively coupled plasma mass spectroscopy (ICP-MS). The  
6  
7 mean diameter and the zeta potential of the NPs were characterized using Malvern's  
8  
9 Zetasizer (Nano series, Nanos-ZS, UK) at 25°C and using water as diluent.  
10  
11 Morphological evaluation was performed on carbon-coated Cu grids (300-mesh),  
12  
13 using an electron microscope (CM12 TEM, Philips) at 100 kV, after negative staining  
14  
15 using 2% uranyl acetate. Cellular uptake evaluation of NPs was done by Zeiss LSM  
16  
17 710 Spectral Confocal Laser Scanning Microscope (CLSM). MS analysis was  
18  
19 performed with a TSQ Quantum Access Max mass spectrometer with a heated  
20  
21 electrospray ionization (H-ESI) interface (Thermo Scientific, San Jose, CA, USA).  
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### 26 **5.3. Synthesis and characterization of 1**

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28 *5.3.1. Synthesis of [Pt(DACH)(OAc)(OH)(ox)]:* OXA (1.5g, 3.8mmol) was taken in  
29  
30 100mL acetic acid and 50μL of 30% H<sub>2</sub>O<sub>2</sub> was added. The reaction mixture was  
31  
32 stirred at room temperature for 4-5h and the reaction was monitored by <sup>195</sup>Pt NMR.  
33  
34 Excess acetic acid was removed by vacuum evaporation and the resulting white solid  
35  
36 was washed with diethyl ether and acetone and dried under vacuum. The product was  
37  
38 further purified by preparative HPLC (5%ACN:95% H<sub>2</sub>O as mobile phase), RT=  
39  
40 7.6min. Yield: 1.456g, 80.94%. <sup>195</sup>Pt nmr (in acetic acid), δ (ppm)= +1389. <sup>1</sup>H NMR  
41  
42 (D<sub>2</sub>O) δ = 1.02-1.17(b,2H), 1.32-1.54(b,4H), 1.89(s,3H), 2.06-2.18 (B,2H), 2.66-  
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44 2.75(b, 2H)  
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48 *5.3.2. Synthesis of Palmitic anhydride:* Palmitic acid (500mg, 1.95mmol) was  
49  
50 dissolved in 20mL dichloromethane and N,N'-Dicyclohexylcarbodiimide (0.5eq.,  
51  
52 201.2mg, 0.975mmol) was added. The reaction mixture was stirred at room  
53  
54 temperature for 12h. Progress of the reaction was monitored by TLC with ethyl  
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56 acetate as the mobile phase. N,N'-Dicyclohexylurea that formed was filtered off from  
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3 the reaction mixture and excess dichloromethane was removed by evaporation. The  
4  
5 white solid obtained after the removal of dichloromethane was re-dissolved in a small  
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7 amount of dichloromethane and the N,N'-Dicyclohexylurea that precipitated was  
8  
9 filtered off again. This step was repeated four times to remove N,N'-Dicyclohexylurea  
10  
11 completely. Palmitic anhydride was characterized by  $^1\text{H}$  NMR. Yield: 858 mg 89%.  
12  
13  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$ = 0.8-0.9(t,6H), 1.16-1.36(m,48H), 1.56-1.69(q,4H), 2.30-2.46(t,  
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15 4H).  
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19 5.3.3. *Synthesis of 1*:  $[\text{Pt}(\text{DACH})(\text{OAc})(\text{OH})(\text{ox})]$  (1g, 2.1mmol) was dissolved in  
20  
21 5mL DMF and palmitic anhydride (1.57g, 3.17mmol) was added. The reaction  
22  
23 mixture was stirred at 40°C overnight and monitored by  $^{195}\text{Pt}$  NMR. Unreacted  
24  
25 anhydride (precipitated upon cooling) was filtered off from the reaction mixture and  
26  
27 DMF was removed by vacuum evaporation yielding a light-yellow solid. In order to  
28  
29 purify the compound, 1mL methanol was added to the solid obtained after the  
30  
31 evaporation of DMF and the mixture was shaken well. The insoluble white precipitate  
32  
33 was collected by centrifugation and washed twice with small portions of methanol and  
34  
35 dried in vacuum. The compound obtained was characterized by  $^{195}\text{Pt}$  NMR and  $^1\text{H}$   
36  
37 NMR. Yield: 1.156g, 76.97%,  $^{195}\text{Pt}$  NMR (DMF)  $\delta$ = 1589.  $^1\text{H}$  NMR ( $\text{DMSO}-d_6$ )  $\delta$   
38  
39 =: 0.79-0.87(t,3H), 1.13-1.27(b,24H), 1.30-1.54(b,5H), 1.93(s,3H), 2.02-2.15(m,2H),  
40  
41 2.17 -2.28(m,2H), 2.76-2.94(b,2H), 8.20-8.6(b,4H).  $m/z$  (calculated) = 711.75,  $m/z$   
42  
43 (found)=712.19. The calculated elemental analysis for **1** is C, 43.87; H, 6.80; N, 3.94.  
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46 The actual analysis of the prepared material was C, 43.69; H, 6.61; N, 3.89. HPLC  
47  
48 chromatogram of **1** (100%Acetonitrile), RT= 3.6 min. According to these findings,  
49  
50 the purity of **1** is higher than 98%. The purity of **1** was further confirmed by the  
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52 HPLC method previously described in the instrumentation section following injection  
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3 of high concentrations (200  $\mu\text{g}/\text{ml}$ ). The purity was higher than 95% based on the  
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5 various peaks areas detected in the chromatogram.  
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#### 8 **5.4. Cell lines and cell incubation conditions**

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11 All cell culture mediums and all the cell lines were tested and authenticated from any  
12 mycoplasma contamination. A Mycoplasma contamination test was done prior to the  
13 use of cells by a polymerase chain reaction (PCR) method (EZ-PCR Mycoplasma kit,  
14 Biological Industries, Israel). The *in vitro* experiments were performed in human cell  
15 lines of prostate cancer (PC-3 and PC-3-luc), pancreatic cancer (BxPC-3), ovarian  
16 cancer (SKOV-3, A2780, A2780-cisR and OVCAR-8), and murine colorectal cancer  
17 CT-26-luc cells. BxPC-3 and OVCAR-8 cells were grown in RPMI medium  
18 supplemented with 10% fetal bovine serum (Gibco), 1% L-glutamine, 100U/mL  
19 penicillin, 100 $\mu\text{g}/\text{mL}$  streptomycin and 0.13% w/w gentamycin. PC-3 and PC-3-luc  
20 cells were cultured in DMEM plus 10% fetal bovine serum, 1% L-glutamine,  
21 100U/mL penicillin, 100 $\mu\text{g}/\text{mL}$  streptomycin and 1% pyrovate. SKOV-3 cells were  
22 cultured in McCoy's 5A medium supplemented with 10% fetal bovine serum,  
23 100U/mL penicillin, and 100 $\mu\text{g}/\text{mL}$  streptomycin. A2780 and A2780-cisR cells were  
24 grown in RPMI medium supplemented with 10% fetal bovine serum, 1% L-glutamine  
25 and 0.1% w/w gentamycin, and the acquired resistance in A2780-cisR cell line was  
26 maintained by supplementing the medium with 1 $\mu\text{M}$  of cisplatin every second  
27 passage. CT-26-luc cells were grown in RPMI supplemented with 10% fetal bovine  
28 serum, 1% L-glutamine, 100U/mL penicillin, 100 $\mu\text{g}/\text{mL}$  streptomycin, and 100 $\mu\text{g}/\text{mL}$   
29 neomycin. SKOV3-luc-D3 and HCT 116-luc2 cells were cultured in McCoy's 5A  
30 medium supplemented with 10% heat inactivated fetal bovine serum, 100U/mL  
31 penicillin, and 100 $\mu\text{g}/\text{mL}$  streptomycin.  
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3 The cell lines were maintained at 37°C under a moist atmosphere containing 5% CO<sub>2</sub>  
4 and 95% air. All cell culture products were obtained from Biological Industries (Beit  
5 Ha Emek, Israel).  
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## 9 10 **5.5. Preparation and characterization of 2**

### 11 12 *5.5.1. Preparation of 2*

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16 Formulation **2** was prepared using the solvent deposition method<sup>22</sup>. Briefly, 7mg of **1**,  
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18 14mg PLGA 50kDa, 7mg lipoid E80 and 1.5mg Oleyl cysteineamide (OCA) were  
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20 dissolved in 4mL (1:20) ethanol/acetone mixture. The organic phase was added to  
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22 2.5mL aqueous solution containing 0.1% w/v Solutol<sup>®</sup> HS 15. The suspension was  
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24 stirred at 900rpm over 15min, and then concentrated by solvents' evaporation to a  
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26 final volume of 2.5mL, followed by centrifugation for 10min at 4400rpm.  
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30 The resulting drug-loaded NPs consisted of spherical nanomatrices of PLGA, lipoid  
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32 E-80 and OCA embedding **1**, and dispersed in 0.1% of Solutol HS15, solution were  
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34 entitled **2**.  
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### 37 38 *5.5.2. Content determination of 1 in NPs*

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41 To determine the amount of drug loaded in the NPs, formulation **2** was dissolved in  
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43 acetonitrile and the drug concentration was determined using analytical Dionex HPLC  
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45 system equipped with a Kinetex 5u XB-C18 100A Column 250x4.6mm. **1** was  
46  
47 detected at a wavelength of 220nm. Acetonitrile 100% was used as a mobile phase  
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49 and the flow rate was 1mL/min.  
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### 52 53 *5.5.3. Physicochemical and morphological characterization*

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3 The particle size distribution and zeta potential of **2** were characterized by dynamic  
4 light scattering (DLS, Malvern Zetasizer Nano ZS, UK). Morphological and size  
5 evaluation of **2** was carried out using XHR SEM (model: Magellan 400L, FEI,  
6 Germany). The samples were fixed on a SEM-stub using double-sided adhesive tape  
7 and then made electrically conductive following standard coating by gold sputtering  
8 (Pilaron E5100) under vacuum. Morphological evaluation of the NPs was performed  
9 on carbon-coated Cu grids (300-mesh), using an electron microscope (CM12 TEM,  
10 Philips) at 100kV, after negative staining using 2% uranyl acetate. A diluted  
11 suspension of **2** ( $1:1 \times 10^6$ ) in water (HPLC grade, Baker) was placed onto the surface  
12 of cover glass (Marienfeld cover glass, 100, 22 x 22 mm). Samples were left to dry.  
13 The morphology and surface states were investigated using Scanning Probe  
14 Microscope Dimension 3100, Nanoscope V (Veeco, CA, USA).  
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#### 29 30 *5.5.4. Lyophilization of 2*

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32 Finally, to ensure the prolonged physical stability of the NPs, five types of sugars  
33 were evaluated as cryo- and lyoprotectants for the freeze-drying process of **2**: sucrose,  
34 (+) trehalose, D (-) mannitol, xylitol, and hydroxypropyl- $\beta$ -cyclodextrin (HP $\beta$ CD).  
35 The NP suspension was freeze-dried using an Epsilon 2-6d Martin Christ lyophilizer  
36 (Gef., Germany) to obtain a dry powder.  
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#### 45 *5.6. In vitro cytotoxicity assay*

46  
47 Cytotoxicity was determined by the colorimetric MTT (3-(4,5-dimethylthiazol-2-yl)-  
48 2,5 diphenyltetrazolium bromide) assay as described previously<sup>48</sup>. In brief, cells were  
49 seeded in a sterile, 24-well plate and incubated in the presence of the drug for 120h at  
50 37°C, 5% CO<sub>2</sub>. In the first 24h the cells were allowed to settle and resume exponential  
51 growth. Then, cells were incubated for 120h with treatments dispersed in full growth  
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3 medium at different drug concentrations (0-50 $\mu$ g/mL). Cells were extensively washed  
4  
5 with HBSS and incubated with MTT solution (0.5mg/ml) in HBSS, 2, 37°C).  
6  
7 Following another washing step, the dye was solubilized with DMSO and the  
8  
9 absorbance was determined at 540nm.  
10

### 11 12 13 14 15 **5.7. Determination of platinum accumulation in whole cell** 16

17  
18 SKOV-3 cells were seeded in triplicate 24-well plates (50x10<sup>3</sup> cell/well), allowed to  
19  
20 reach 80–90% confluence, and treated with OXA, **1** and **2** at concentrations of 35 $\mu$ M  
21  
22 for 24h at 37°C. Following incubation, the drug-containing medium was removed,  
23  
24 and cells were rinsed twice with cold PBS. The cells were harvested and centrifuged  
25  
26 into a pellet. 1mL of concentrated nitric acid was added to cell pellets, and incubated  
27  
28 at 70°C on a hot plate until the samples dried. The samples were dissolved in 0.1%  
29  
30 SDS and 1% nitric acid, and analyzed for Pt content by ICP-MS. Protein  
31  
32 concentration analysis was conducted by BCA assay. Briefly, the cells were incubated  
33  
34 in the same mentioned conditions and concentrations. Following incubation, the drug-  
35  
36 containing medium was removed, and each well was rinsed twice with cold PBS,  
37  
38 trypsinized, and the cells were centrifuged into a pellet (4400rpm, 5min). The pellet  
39  
40 was rinsed one more time with PBS and NaOH (0.1N) was added. After diluting the  
41  
42 samples using water, they were incubated at 70°C for 1h and the absorbance was  
43  
44 measured at 562nm. The protein concentration of the sample was calculated according  
45  
46 to the BSA standard curve.  
47  
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### 50 51 52 **5.8. Determination of platinum content binding to DNA (DNA platination)** 53 54

55  
56 SKOV-3 cells were seeded in triplicate 24-well plates (50x10<sup>3</sup> cell/well), allowed to  
57  
58 reach 80–90% confluence, and treated with OXA, **1** and **2** at concentrations of  
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3 25µg/mL for 24h at 37°C. Following incubation, the drug-containing medium was  
4 removed, and cells were rinsed twice with cold PBS. The cells were harvested and  
5 centrifuged into a pellet (4400rpm, 5min). Genomic DNA was isolated using Qiagen  
6 DNeasy® Blood & Tissue Kit (Qiagen, Valencia, CA, USA) as per the  
7 manufacturer's instructions. The quantity of DNA was determined by Uvikon XS  
8 spectrophotometer (Secomam, France) from the absorption at 260nm. Triplicate runs  
9 were performed to determine the content of Pt in DNA.

10  
11 Upon determination of the DNA concentration, the remaining solution was overnight  
12 wet ashed in 1% nitric acid at 70°C and analyzed for Pt content by ICP-MS. DNA  
13 platination was expressed as ng Pt/µg DNA.

#### 24 25 26 **5.9. Cellular distribution into different subcellular compartments**

27  
28 SKOV-3 cells were seeded in triplicate 24-well plates at a density of  $50 \times 10^3$  cell/well,  
29 and allowed to reach 80–90% confluence, then treated with the compounds (35µM)  
30 for 24h. Following incubation, the drug-containing medium was removed, and cells  
31 were rinsed twice with cold PBS. Cellular distribution into the different cell fractions  
32 (cytosol, nucleus, membrane/particulate, and cytoskeleton) was performed using a  
33 Fraction PREP cell fractionation kit from BioVision (Mountain View, CA), as per the  
34 manufacturer's instructions. Final Pt content was determined by inductively coupled  
35 plasma mass spectroscopy (ICP-MS).

#### 36 37 38 39 40 41 42 43 44 45 46 47 **5.10. Cellular Uptake Evaluation of NPs by Confocal Laser Scanning Microscopy** 48 49 50 **(CLSM)**

51  
52 NPs were prepared as described earlier, with the addition of 200µg Egg Liss Rhod PE  
53 into the organic phase. SKOV-3 cells were seeded in full growth medium on µ-Slide 8  
54 well (ibidi GmbH, Germany) (50,000 cells/0.2mL/well). Cells were grown for 24h  
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3 until sub-confluence and washed with PBS (37°C, 2 mM MgCl<sub>2</sub>). Cells were  
4  
5 incubated with the formulations at 37°C in serum-free medium over 0, 15, 30 and  
6  
7 60min, then washed extensively using PBS with MgCl<sub>2</sub> and fixated with 4%  
8  
9 formaldehyde in PBS for 15min at room temperature (0.2mL/well). Cells were  
10  
11 washed again, and nucleus stained using 4',6-diamidino-2-phenylindole (DAPI)  
12  
13 10µg/mL in PBS for 5min (0.2mL/well), washed by PBS and then mounted with 20µl  
14  
15 fresh DABCO solution in glycerol and visualized by CLSM.  
16  
17

### 18 19 **5.11. In vivo evaluation of 1 and 2 for the treatment of solid tumors**

20  
21  
22 Strict animal care procedures set forth by The Authority for Biological and  
23  
24 Biomedical Models of the Hebrew University of Jerusalem based on guidelines from  
25  
26 the NIH guide for the Care and Use of Laboratory Animals were followed (approval  
27  
28 No. MD-13-13707-5).  
29  
30

#### 31 32 **5.11.1. Bioluminescent imaging and efficacy determination in an orthotopic** 33 34 **intraperitoneal model of metastatic ovarian cancer in SCID-bg mice**

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36  
37 Mice used were severe combined immunodeficiency female (SCID)-bg, 5-6 weeks  
38  
39 old (Harlan, Jerusalem, Israel). Luciferase transfected SKOV3-luc-D3 cells ( $2 \times 10^6$   
40  
41 cells in 100 µL PBS) were injected directly into i.p. cavity of mice. For tumor  
42  
43 validation and tumor growth follow up, bioluminescent imaging was performed every  
44  
45 7 days using a CCCD camera (IVIS, Caliper Life Sciences, Xenogen Corporation) as  
46  
47 previously described<sup>48</sup>. Firefly D-luciferin was injected intraperitoneally and mice  
48  
49 were anesthetized using 3% isoflurane. Animals were placed onto black paper in the  
50  
51 IVIS imaging box and imaged dorsally and ventrally. Total luminescence (dorsal and  
52  
53 ventral) was recorded in radiance units (photons/s/cm<sup>2</sup>/sr). Thirty days following cells'  
54  
55 injection and validation of tumor inoculation, mice were divided into four groups with  
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1  
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3 equal average radiance values (n = 10-12). The following 4 formulations were tested:  
4  
5 Control (polyoxyl-35 castor oil /ethanol diluted by 10 in water for injection), free  
6  
7 OXA at a dose of 5mg/kg, **1** in polyoxyl-35 castor oil /ethanol diluted by 10 in water  
8  
9 for injection (15 mg/kg) and **2** (15 mg/kg). Treatments were injected into the tail vein  
10  
11 once a week for four weeks.  
12

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15 ***5.11.2. Bioluminescent imaging and efficacy determination in a subcutaneous***  
16  
17 ***xenograft model of colon cancer in SCID-bg mice.***  
18

19  
20 Mice used were severe combined immunodeficiency male (SCID)-bg, 5-6 weeks old  
21  
22 (Harlan, Jerusalem, Israel). Luciferase transfected HCT 116-luc2 cells ( $1 \times 10^6$  cells  
23  
24 in 100  $\mu$ L PBS) were subcutaneously injected in the dorsal flank of mice. For tumor  
25  
26 validation and tumor growth follow up, bioluminescent imaging was performed every  
27  
28 7 days using a CCD camera (IVIS, Caliper Life Sciences, Xenogen Corporation).  
29  
30 Firefly D-luciferin was injected intraperitoneally and mice were anesthetized by 3%  
31  
32 isoflurane. Animals were placed onto black paper in the IVIS imaging box and  
33  
34 imaged dorsally. Luminescence was recorded in radiance units (photons/s/cm<sup>2</sup>/sr).  
35  
36 One day following tumor inoculation, mice were randomly divided into the following  
37  
38 treatment groups (n = 9-10). The same four formulations were tested: Control  
39  
40 (polyoxyl-35 castor oil /ethanol diluted by 10 in water for injection), free OXA at a  
41  
42 dose of 5mg/kg, **1** in polyoxyl-35 castor oil /ethanol diluted by 10 in water for  
43  
44 injection (9 mg/kg) and **2** (9 mg/kg). Treatments were injected into the tail vein once  
45  
46 every fourth day, four treatments in total.  
47  
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52 In the two animal studies, mice were euthanized according to strict pre-determined  
53  
54 criteria set by the Ethical Committee of the Animals' Authority.  
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57 ***5.12. Statistical analysis***  
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3 Statistical analysis was performed with IBM SPSS Statistics Software version 22.  
4  
5 One-way ANOVA was used to determine the difference between treatments, followed  
6  
7 by the Tukey or Dunnett (2-sided) test. Statistical analysis of Kaplan–Meier statistics  
8  
9 was performed using GraphPad Prism software version 6.07. Differences were  
10  
11 considered significant if  $p < 0.05$ .  
12  
13

## 14 ASSOCIATED CONTENT

### 15 Supporting Data

16  
17  
18  $IC_{50}$  ( $\mu\text{M}$ ) and resistant factor values for OXA and **1** against A2780, A2780-cisR cell  
19  
20 lines are presented, as well as  $IC_{50}$  values of OXA, **1**, and **2** in cancer cell lines used in  
21  
22 the efficacy animal studies. Furthermore, DLS particle size distribution of **1** NPs  
23  
24 spontaneously formed following dilution of **1** solution in DMSO by DDW at the same  
25  
26 concentration as used for the cell culture experiments is shown. Moreover, the freeze  
27  
28 drying parameters and characterization of lyophilized NPs after various time periods  
29  
30 are also depicted. Finally, the  $^1\text{H}$  and  $^{195}\text{Pt}$  NMR spectra, HPLC chromatograms and  
31  
32 ESI-MS spectra of complexes **1** used in this study are provided herein.  
33  
34  
35

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41 +97226757620, E-mail: [tahern@ekmd.huji.ac.il](mailto:tahern@ekmd.huji.ac.il).  
42  
43

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45  
46

### 47 Notes

48  
49  
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51  
52

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7  
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### 11 **Abbreviations**

12  
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15 CLSM, Confocal Laser Scanning Microscopy; DDW, Double-distilled water; DLS,  
16 Dynamic Light Scattering; IC<sub>50</sub>, The 50% growth inhibitory concentration; ICP-MS;  
17 Inductively coupled plasma mass spectroscopy; OCA, Oleyl cysteineamide; OXA,  
18 Oxaliplatin; PDI, Polydispersity Index; SD, Standard Deviation; SE, Standard Error  
19 of the Mean; TEM, Transmission Electron Microscopy.  
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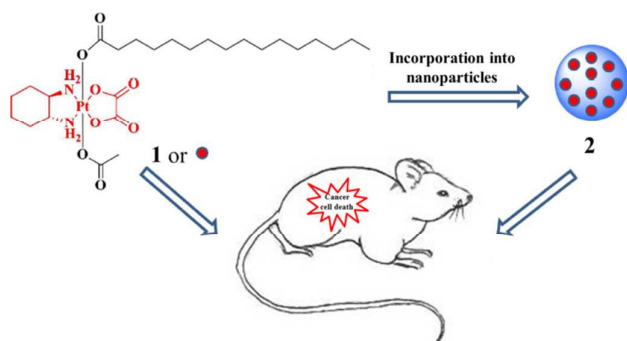
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