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ARTICLE TYPE

Organocatalyzed asymmetric vinylogous Michael addition of α , β -unsaturated γ -butyrolactam

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Highly efficient asymmetric vinylogous 1,6-Michael addition of α , β -unsaturated γ -butyrolactam with 3-methyl-4-nitro-5alkenyl-isoxazoles and Michael addition to trichloromethyl ketones by using a chiral quinine-derived squaramide 10 organocatalyst were described, giving products with high diastereo- and enantioselectivities (up to >25:1 dr and 96% ee).

- The optically active chiral butyrolactams are present in a variety of pharmaceuticals, natural products and versatile building blocks that can undergo synthetically useful transformations. 15 Owing to their synthetic significance, intense efforts have been made on the development of various elegant methods to access the structurally diverse family of 5-substituted butyrolactam derivatives.² Not surprisingly, the direct vinylogous additon of α,β -unsaturated γ -butyrolactam is still highly valuable from the 20 standpoint of atom economy which has attracted much research interest.³ The Shibasaki group has employed their dinuclear nickel catalytic system for the efficient asymmetric vinylogous Mannich reaction and Michael reaction.^{4a} Later, asymmetric vinylogous Michael and Aldol reactions were well developed, which use 25 metal-based chiral complex catalysts or organocatalysts.⁴ However, to the best of our knowledge, electrophiles have generally been limited to nitroolefins, α,β -unsaturated aldehydes, α , β -unsaturated ketones, alkylidene malonates, imines and aryl α ketoesters, no reports on catalytic asymmetric Michael reaction to $_{30} \alpha, \beta$ -unsaturated esters. Moreover, there is no example of direct
- catalytic asymmetric reactions of α,β -unsaturated γ -butyrolactam to the δ -position of electro-deficient olefins. Thus, the development of catalytic asymmetric vinylogous reactions of α,β unsaturated γ -butyrolactam to a new 1,6-Michael acceptors and a
- ³⁵ suitably activated carboxylic acid derivatives is highly desirable and particularly attractive. 3-methyl-4-nitro-5-alkenyl-isoxazoles, developed by Adamo and coworkers, are able to be regarded as 1,6-Michael acceptors that show high reactivities toward stabilized nucleophiles.⁵ Trichloromethyl ketones not only serve as attractive
- ⁴⁰ ester and amide synthetic equivalents, but also enable unique transformations due to trichloromethyl group is a good leaving group with strong inductive effect.⁶ Herein, we describe our efforts devoted to the direct asymmetric vinylogous 1,6-Michael addition of α , β -unsaturated γ -butyrolactam with 3-methyl-4-nitro-
- ⁴⁵ 5-alkenyl-isoxazoles and Michael addition to trichloromethyl ketones by using a chiral quinine-derived squaramide organocatalyst.^{7,8}



Figure 1: The structures of screened organocatalysts.

The utilization of hydrogen bonding as an activation force is widespread in organocatalysis. Chiral thioureas and, more recently, squaramides are good hydrogen-bonding donor organocatalysts. Accordingly, Our initial studies were focused on the reaction of ⁵⁵ α,β-unsaturated γ -butyrolactam **2** and 3-methyl-4-nitro-5styrylisoxazole 3a (Table 1), catalyzed by quinine 1a, thioureas 1b-f or squaramide catalyst 1g (Figure 1). Preliminary screening of the catalysts was conducted in CH2Cl2 at 50°C using 10 mol% of quinine 1a (Table 1, entry 1). Pleasingly, the reaction 60 proceeded smoothly to afford the desired product 4a in good yield albeit with poor diastereo- and enantioselectivity. Considering that chiral amine thioureas have previously been utilized as effective bifunctional organocatalysts for conjugate addition via acid-base cooperative activation. We switched catalysts from quinine to 65 thioureas **1b-f** and led to much better enantioselectivity, though diastereoselectivity was yet satisfactory (Table 1, entry 2-6). Compared with thioureas 1b-e, quinine derivatived catalyst 1f gave better result. Encouraged by these results, quinine-derived squaramide catalyst 1g was then tested (Table 1, entry 7). 70 Improved results in terms of reactivity and selectivity were obtained and catalyst 1g was identified as the best catalyst. Subsequent screening of solvents displayed that the reaction media had a significant effect on the reaction (Table 1, entries 8-12), and the use of THF was superior to others (Table 1, entry 12). 75 Lowering the reaction temperature to 40°C caused a sharp decrease of the reaction yield (Table 1, entry 13).

Having established the optimal reaction conditions, we explored the scope of the 1,6-Michael additon of α,β -unsaturated γ -butyrolactam. The results are presented in Table 2. Generally, a ⁸⁰ wide array of 3-methyl-4-nitro-5-alkenyl-isoxazoles compounds containing electron-withdrawing or electron-donating groups were

Table1: Screening of the reaction conditions

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	N-Boc + Pl	O-N NO ₂ 3a	- <u>Catal.</u> (10 Solve	mol %) int	Ph O-N Boc 4a	1
Entry	Catal.	Solvent	$T(^{\circ}C)$	Yield (%)	d.r. ^b	$ee(\%)^b$
1	1a	CH_2Cl_2	50	70	3:1	19
2	1b	CH_2Cl_2	50	23	5:1	59
3	1c	CH_2Cl_2	50	78	6:1	73
4	1d	CH_2Cl_2	50	75	9:1	55
5	1e	CH_2Cl_2	50	71	9:1	67
6	1f	CH_2Cl_2	50	75	6:1	79
7	1g	CH_2Cl_2	50	82	13:1	91
8	1g	Et_2O	50	87	10:1	67
9	1g	Toluene	50	85	18:1	93
10	1g	CH ₃ CN	50	trace	—	—
11	1g	CHCl ₃	50	89	11:1	87
12	1g	THF	50	88	19:1	96
13	1g	THF	40	63	19:1	96

^{*a*} Reaction were carried out with **2** (0.1 mmol), **3a** (0.13 mmol), catalyst (0.01 mmol) in solvent (0.2 mL) for 36 h. ^{*b*} dr was determined by ¹H NMR, and ee by chiral HPLC analysis.

equally good substrates (up to 19:1 dr and 96% ee) (Table 2, entries 1-7). In the cases of **3h-k** as substrates, the corresponding ⁵ products were obtained in good yields with excellent enantioselectivities and lower diastereoselectivities (Table 2, entries 8-11). In addition, heteroaromatic 3-methyl-4-nitro-5alkenyl-isoxazoles **3l-m** were readily accommodated to the standard reaction conditions (Table 2, entries 12-13). When 3-¹⁰ methyl-4-nitro-5-(phenylbuta-1,3-dien-1-yl)isoxazole **3n** served as an Michael acceptor, moderate yield and a significant decrease in both enantioselectivity and diastereoselectivity (1.3:1 dr, 84% ee) were observed (Table 2, entry 14). The absolute configuration of the stereogenic centers of **4i** was unambiguously determined by X-¹⁵ ray crystallographic analysis (Figure 1).^{9a}

Table 2: Substrate scope for the asymmetric vinylogous 1,6-Michael addition of α , β -unsaturated γ -butyrolactam to 3-methyl-4-nitro-5-alkenyl-isoxazoles^{*a*}

$ \begin{array}{c} $							
Entry	Ar ₁	Product	Yield $(\%)^b$	$d.r.^{c}$	$ee (\%)^{c}$		
1	C_6H_5	4a	88	19:1	96		
2	4-MeC ₆ H ₄	4b	90	16:1	95		
3	$2-ClC_6H_4$	4c	72	13:1	94		
4	3-ClC ₆ H ₄	4d	75	19:1	94		
5	4-ClC ₆ H ₄	4e	84	16:1	96		
6	$4-FC_6H_4$	4f	79	16:1	96		
7	4-MeOC ₆ H ₄	4g	86	13:1	95		
8	4-CF ₃ C ₆ H ₄	4h	85	7:1	95		
9	4-CNC ₆ H ₄	4 i	87	7:1	92		
10	$3,4-Cl_2C_6H_4$	4j	82	7:1	96		
11	$4-NO_2C_6H_4$	4k	77	4:1	91		
12	2-furanyl	41	75	13:1	95		
13	2-thiopenyl	4m	71	16:1	92		
14	C ₆ H ₅ CH=CH	4n	57	1.3:1	84		

^a Reaction conditions: 0.2 mmol (1.0 equiv) of **2**, 0.26 mmol (1.3 equiv) of **3**, and 0.02 mmol (10 mol %) of catalyst **1g** were stirred at 50 °C in THF (0.5 mL); the reaction was monitored by TLC. ^b Isolated yields. ^c dr was determined by ¹H NMR, and ee by chiral HPLC analysis.

Further substrate scope was investigated. The reaction of α , β -

unsaturated γ -butyrolactam 2 to α,β -unsaturated trichloromethyl ketones proceeded nicely to afford the 1,4-addition products with high diastereo- and enantioselectivities (up to >25:1 dr and 94% ee) under quinine-derived squaramide catalyst in toluene at 30 °C (for 25 the details, see SI). As summarized in Table 3, trichloromethyl ketones 5a-f, regardless of their electronic and steric properties, underwent efficient reactions affording corresponding adducts in high enantioselectivities and excellent diastereoselectivities (Table 3, entries 1-6). Meanwhile, chalcone 5g smoothly give rise to the 30 desired 1,4-adduct in high yield, and the ee value of product improved to 99% on the established optimal conditions. Inert aliphatic enone 5h can also be utilized as a suitable reaction partner to afford the product 6h. The absolute configuration of 6a was determined by X-ray crystallographic analysis,^{9b} and the ³⁵ relative configuration of **6g-h** was confirmed by comparation with the literature.4d

Table 3: Substrate scope for the asymmetric vinylogous Michael addition of α , β -unsaturated γ -butyrolactam to α , β -unsaturated ketones^{*a*}

	N-Boc + Ar ₂	0 R ₃ -	Catal. 1g (10 mol Toluene, 30 ⁰C		Ar ₂ O R ₃ Boc 6
Entry	Ar_2, R_3	Product	Yield $(\%)^b$	d.r. ^c	$ee (\%)^{c}$
1	C ₆ H ₅ , CCl ₃	6a	91	>25:1	93
2	4-MeC ₆ H ₄ , CCl ₃	6b	93	>25:1	93
3	4-ClC ₆ H ₄ , CCl ₃	6c	85	>25:1	90
4	4-BrC ₆ H ₄ , CCl ₃	6d	79	>25:1	91
5	4-FC ₆ H ₄ , CCl ₃	6e	82	>25:1	93
6	4-MeOC ₆ H ₄ , CCl ₃	6f	80	15:1	86
7	C ₆ H ₅ , C ₆ H ₅	6g	88	>25:1	99
8	C_6H_5 , CH_3	6h	73	>25:1	93

^{*a*} Reaction conditions: 0.2 mmol (1.0 equiv) of **2**, 0.26 mmol (1.3 equiv) of **5**, and 0.02 mmol (10 mol %) of catalyst **1g** were stirred at 30 °C in toluene (0.5 mL); the reaction was monitored by TLC. ^{*b*} Isolated yields. ^{*c*} dr was determined by ¹H NMR, and ee by chiral HPLC analysis.

⁴⁰ The synthetic utility of the current reaction was demonstrated by the transformation shown in Scheme 1. The highly enantiomerically enriched compound **4a** can be easily converted into 5-substituted pyrrolidin-2-one derivative **4ac**. The ester derivative **7a** was smoothly prepared from **6a** without ⁴⁵ epimerization, by treatment with NaHCO₃ in MeOH for 30 min.



Scheme 1: Transformations of the corresponding Michael products.

In summary, we have developed an efficient quinine-derived squaramide catalyzed direct asymmetric vinylogous 1,6-Michael ⁵⁰ addition of α , β -unsaturated γ -butyrolactam with 3-methyl-4-nitro-5-alkenyl-isoxazoles and Michael addition to trichloromethyl ketones. The reactions with 10 mol% of squaramide **1g** proceeded well to furnish the corresponding adducts in high yields with high diastereoselectivities and enantioselectivities (up to >25:1 dr and Page 3 of 3

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96% ee). This approach provides easy access to highly functionalized 5-substituted butyrolactam derivatives. The versatility of the N-acylpyrrole moiety makes this protocol a powerful tool for synthetic chemistry as well as new drug ⁵ discovery. Further studies on asymmetric vinylogous reactions are



Figure 1. X-ray structure of enantiopure 4i.

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- 9 (a) CCDC 941171 (**4i**); (b) CCDC 941172 (**6a**). contains the supplementary crystallographic data for this paper. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data_request/cif