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# Enantioselective cleavage of cyclobutanols through Ir-catalyzed C-C bond activation: Mechanistic and synthetic aspects

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Dedication

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Abstract: The Ir-catalyzed conversion of prochiral tert-cyclobutanols to β-methyl-substituted ketones proceeds under comparably mild conditions in toluene (45-110°C) and is particularly suited for the enantioselective desymmetrization of β-oxy-substituted substrates to give products with a guaternary chirality center with up to 95% ee using DTBM-SegPhos as a chiral ligand. Deuteration experiments and kinetic isotope effect measurements revealed major mechanistic differences to related Rh(I)-catalyzed transformations. Supported by DFT calculations we propose the initial formation of an Ir(III) hydride intermediate which then undergoes a  $\beta$ -C elimination (C-C bond activation) prior to reductive C-H elimination. The computational model also allows the prediction of the stereochemical outcome. The Ir-catalyzed cyclobutanol cleavage is broadly applicable but fails for substrates bearing strongly coordinating groups. The method is of particular value for the stereo-controlled synthesis of substituted chromanes related to the tocopherols and other natural products.

### Introduction

The transition metal-catalyzed activation of C-C single bonds has opened some unconventional strategies for the atomeconomic synthesis of complex molecules.[1,2] In many cases cyclobutane derivatives have been employed as substrates because the energy gain (22-26 kcal/mol) associated with the opening of a strained four membered ring<sup>[3]</sup> represents a strong driving force. Mechanistically, the cleavage of cyclobutanes through metal-catalyzed C-C bond activation can follow different pathways. For instance, cyclobutanones can undergo oxidative addition to Rh(I)<sup>[4]</sup> or Pd(0)<sup>[5]</sup> to form reactive five-membered metallacycles, which can then further react downstream in different ways as reported by Murakami and coworkers.<sup>[6]</sup> Also other cyclobutane derivatives, such as biphenylenes,[7] cyclobutenediones, or cyclobutenones can be activated by different transition metals (e.g. Ni, Pt, Rh or Ru).<sup>[8]</sup> Furthermore, Ag<sup>[9]</sup> and Mn<sup>[10]</sup> reagents are able to induce the ring-opening of cyclobutanols via radical processes (homolytic C-C bond cleavage).

From a synthetic point of view Pd-<sup>[11]</sup> and Rh-<sup>[12]</sup>-catalyzed transformations of *tert*-cyclobutanols to ring-opened ketones are of particular interest because such reactions can be exploited for the enantioselective synthesis of  $\beta$ -substituted carbonyl compounds if prochiral substrates are employed in the presence of a chiral metal catalyst (Scheme 1).



As a first impressive example, Uemura reported the enantioselective Pd-catalyzed reaction of cyclobutanols of type 1 into  $\gamma$ arylated products (2) in the presence of the chiral ferrocenederived ligand L1.<sup>[13]</sup> In contrast, the groups of *Murakami*<sup>[14,16]</sup> and Cramer<sup>[15,17]</sup> used Rh catalysts to achieve the enantioselective conversion of prochiral cyclobutanols to  $\beta$ -methylsubstituted carbonyl compounds (such as 4 or 5) in the presence of SegPhos ligands L2 or L3, respectively. Extensive mechanistic studies (including deuterium labeling experiments) suggested these transformations to proceed according to the general mechanism shown in Scheme 2. At first, the cyclobutanol substrate (6) is supposed to react with the catalyst to form a Rh cylcobutanolate 8 which then undergoes a β-C elimination as the key ring-opening step. In agreement to the outcome of deuteration experiments, the resulting alkyl-Rh intermediate 9 then isomerizes to a more stable Rh enolate 10 via 1,3-hydrogen shift. Final hydrolysis of 10 then closes the catalytic cycle and affords the ( $\alpha$ -deuterated) product 7.<sup>[14-15]</sup>

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**Scheme 2.** General mechanism of the Rh-catalyzed cleavage of cyclobutanols as suggested by *Murakami*<sup>[14]</sup> and *Cramer*<sup>(15]</sup> based on deuteration studies.

In the course of our research into the stereoselective synthesis of  $\alpha$ -tocopherol<sup>[18]</sup> we recently discovered and exploited an Ircatalyzed stereo-controlled ring-opening of spiro-cyclobutanols of type **11** to establish the quaternary stereocenter with the desired absolute configuration (Scheme 3).<sup>[19]</sup> Interestingly, no stereo-induction could be achieved under Rh-catalysis in this case. In contrast, the Ir-catalyzed reaction afforded the product (**12**) with very high enantiomeric or diastereomeric excess (up to 99:1, depending on the nature of the group R) in the presence of DTBM-SegPhos (**L3**) as a chiral ligand.



Scheme 3. Ir-catalyzed cleavage of spiro-cyclobutanols as a key step of our total synthesis of (2R)- $\alpha$ -tocopherol.<sup>[19]</sup>

While the iridium-based methodology enabled us to complete the total synthesis of (2R)- $\alpha$ -tocopherol (13), we were wondering about the differences between the Rh- and the Ir-catalyzed processes. As only very few examples for Ir-catalyzed C-C bond activation have been reported in the literature<sup>[20]</sup> (without any synthetic application<sup>[21]</sup> except our above-mentioned tocopherol synthesis), we felt challenged to further explore the enantioselective Ir-catalyzed cyclobutanol cleavage both mechanistically and with respect to its application scope. We here report the results of our study which indeed revealed fundamental mechanistic differences between the Ir- and the Rh-catalyzed cyclobutanol fragmentation pathways and additionally pinpoints the substrate scope and further synthetic applications of the Ircatalyzed methodology.

### **Results and Discussion**

**Initial experiments.** Using the spiro-cyclobutanol **11a**<sup>[19]</sup> as a model substrate, we first re-investigated different conditions for

the metal-mediated ring-opening reaction to demonstrate the pronounced reactivity differences between the Rh- and the Irbased catalysts (Table 1). In all cases, a solution of the substrate and the catalyst precursors (metal salt and ligand) in toluene was stirred under argon atmosphere for 30-60 min at room temperature before the mixture was heated to the specified temperature and the conversion was monitored by means of TLC. While the catalyst generated in situ from [Rh(COD)Cl]2 and rac-BINAP proved to be completely inactive, the expected product (12a) was cleanly formed upon addition of Cs<sub>2</sub>CO<sub>3</sub> as a base (Table 1, entry 1-2). Remarkably, the use of the hydroxy complex [Rh(COD)OH]2 as the rhodium source also resulted in a smooth conversion without the necessity of a base additive (entry 3). However, virtually no enantioselectivity was observed under Rh catalysis when rac-BINAP was replaced by either (R)-BINAP or (R)-DTBM-SegPhos (ent-L3), the latter corresponding to the original conditions of Cramer (entry 4).<sup>[15]</sup> In contrast, the iridium-based catalyst generated from the chloride salt [Ir(COD)CI]2 and rac-BINAP was found to be active even without a base additive. Moreover, a dramatic ligand acceleration was observed upon replacing BINAP by the (R)-DTBM-SegPhos ligand in the Ir-catalyzed reaction. In this case, the desired transformation proceeded smoothly already at 70°C to give the product (R)-12a in 98% isolated yield and with an enantiomeric excess of 93% ee (entry 6).[19]





#	Catalyst (mol%)	Ligand (mol%)	T [°C]	Base	Yield	ee <sup>[a]</sup> (conf)
1 <sup>[b]</sup>	[Rh(COD)Cl] <sub>2</sub> (2.5)	<i>rac-</i> BINAP (6.0)	110	-	-	-
2 <sup>[b]</sup>	[Rh(COD)Cl] <sub>2</sub> (5.0)	<i>rac-</i> BINAP (12.0)	110	Cs <sub>2</sub> CO <sub>3</sub>	93 %	-
3	[Rh(COD)OH] <sub>2</sub> (5.0)	( <i>R</i> )-BINAP (10.0)	110	-	92 % <sup>[c]</sup>	<2%
4	[Rh(COD)OH] <sub>2</sub> (5.0)	<i>ent-</i> <b>L3</b> (10.0)	110	-	74 % <sup>[c]</sup>	<2%
5	[lr(COD)Cl] <sub>2</sub> (2.0)	<i>rac-</i> BINAP (6.0)	100	-	32 % <sup>[c]</sup>	-
6	[lr(COD)Cl] <sub>2</sub> (1.0)	<i>ent-</i> <b>L3</b> (3.0)	70	-	98 %	93% ( <i>R</i> )

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[a] Determined by GC (FID) on a chiral phase. [b] The cis diastereomer of the alcohol was used. [c] Conversion as determined by GC-MS.

**Mechanistic studies.** The experiments summarized in Table 1 indicate the Ir- and the Rh-catalyzed reactions to follow different mechanistic pathways. The fact that the Rh-catalyzed reaction either essentially requires a base additive or the employment of the hydroxy complex as catalyst precursor suggested the formation of a rhodium cyclobutanolate intermediate of type **8** according to the established mechanism shown in Scheme 2. However, we were puzzled by the question why the Ir-catalyzed

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reaction proceeds smoothly in the absence of a base under "acidic" conditions using the chloro complex for the *in situ* generation of the active catalyst. We hypothesized that the primary intermediate (14) formed by coordination of the cyclobutanol substrate to the Ir(I)-catalyst does **not** lead to a cyclobutanolate complex **13** (related to **8**) in the absence of a base (Scheme 4). Instead, it appeared feasible that the iridium center in **14** might undergo oxidative addition (O-H bond activation) to generate an Ir(III) hydride complex of type **15**,<sup>[22]</sup> which (as a 16 valence electron intermediate) could then be involved in the subsequent C-C bond activation step.



Scheme 4. Possible reactions of the supposed primary Ir-intermediate 14. Base-mediated generation of a cyclobutanolate 13 versus formation of an Ir(III) hydride intermediate 15 by oxidative addition into the O-H bond.

We started our experimental investigation of the mechanism of the Ir-catalyzed reaction with a deuteration experiment. For this purpose, the substrate D-11a was prepared by O-deuteration of 11a either by partitioning between D<sub>2</sub>O/EtOAc (ca. 60% D) or by treatment of an ethereal solution of 11a with 1.5 equivalents of *n*BuLi followed by quenching the resulting lithium alkoxide with D<sub>2</sub>O/DCI (ca. 70% D). The success of the O-deuteration was confirmed by IR analysis (see the Supporting Information). The reaction of D-11a under the proven conditions then proceeded cleanly (Scheme 5) to afford the ring-opened ketone D-12a with the deuterium label located at the newly formed (angular) methyl group according to NMR analysis (see the Supporting Information). Only a minor degree of deuteration ( $\leq$  10%) was also detected at the terminal α-carbonyl position.



 $\label{eq:Scheme 5. Selective deuteriation of the angular methyl group in the Ircatalyzed conversion of D-11a.$ 

The outcome of this experiment (Scheme 5) unambiguously proves the Ir-catalyzed process to mechanistically differ from the Rh-catalyzed reaction as virtually no deuteration occurred under Ir catalysis at the methylene position next to the keto function (compare Scheme 2). Thus, an 1,3-hydrogen shift leading to a metal enolate, as a characteristic feature of the Murakami/ Cramer mechanism, could be excluded. Also, these authors never observed any deuteration of the newly formed methyl group during their studies of the Rh-catalyzed cyclobutanol cleavage.<sup>[14-15]</sup>

Based on our experimental results we devised the mechanism shown in Scheme 6 for the Ir-catalyzed transformation. This mechanism starts with the oxidative addition of the Ir(I)complex into the O-D bond of D-11a leading to an Ir(III)-hydride intermediate 16. Now, the iridium center is supposed to activate the adjacent C-C bond to induce a  $\beta$ -carbon elimination via a transition state of type **TS(16-17)**. The resulting Ir(III)-alkylintermediate 17 finally undergoes reductive elimination to release the product D-12a under regeneration of the Ir(I)-catalyst.



Scheme 6. Proposed mechanism for the iridium-catalyzed cleavage of cyclobutanol D-11a that takes into account the specific deuteration outcome.

When the Ir-catalyzed reaction of either **11a** or D-**11a** was performed in the presence of excess D<sub>2</sub>O (in toluene/D<sub>2</sub>O = 4:1), the product D-**12a** again contained a (single) deuterium atom at the angular methyl group – in agreement with the proposed mechanism. In this case, however, the  $\alpha$ -carbonyl methyl group was completely deuterated as well, while still almost no deuteration (<10% D) was observed at the methylene group. This indicates the additional  $\alpha$ -deuteration to occur at the stage of the ketone product (D-**12a**) via kinetically controlled enolization, preferentially to the terminal position.

To support the hypothesis that an iridium hydride species is involved in the (rate determining) key step of the proposed mechanism we decided to also investigate the kinetic isotope effect (KIE) of the reaction. For this purpose, we performed four parallel reactions (two with H-11a and two with D-11a) and monitored the reaction rates by means of NMR. To minimize the experimental error, these reactions were carried out very carefully under absolute identical conditions as follows: A stock solution containing [Ir(COD)CI]2 and the chiral ligand L3 in dry toluene was stirred for 60 min at room temperature before equal amounts of this solution were transferred by syringe to the four reaction vials containing the substrate (H/D-11a) to give a 0.12 M solution in toluene. After heating the stirred reaction mixtures to 73 °C, small samples were taken after 30, 60, 90, 120, and 180 min. Two of the four reactions were stirred for another 90 min to ensure full conversion. The taken samples were immediately filtered through a tiny plug of silica and analyzed by <sup>1</sup>H NMR. The degree of conversion was calculated based on the integral changes of four selected signals: Product signals at 2.79 ppm (d, 1H) and 1.86 ppm (m, 1H) and signals of the starting material at 2.31 ppm (m, 2H) and 2.01 ppm (t, 2H). The results of these measurements are depicted in Figure 1 and clearly reveal that the deuterated cyclobutanol D-11a reacts slower than the non-deuterated cyclobutanol 11a, which indicates a significant kinetic isotope effect.





Figure 1. Determination of the H/D kinetic isotope effect (KIE) for the Ircatalyzed transformation of 11a to 12a by time-resolved monitoring of the conversion of the deuterated and the non-deuterated substrate in four parallel experiments. A KIE of 2.4 was calculated from the ratio of the initial reaction rates (indicated by lines) and consideration of the deuteration degree (70%).

To quantify the kinetic isotope effect, the rate constants  $k_H$  and  $k_D$  were calculated by determining the slope of the line for the initial reaction rate (Figure 1). From the average value of  $k_H$  (0.872) and  $k_D$  (0.520) a KIE of 1.68 was calculated for the Ircatalyzed cyclobutanol cleavage. Taking a deuteration degree of 70% for D-**11a** into account, the corrected KIE calculates to 2.4. This corresponds to a primary kinetic isotope effect and supports our mechanistic proposal (Scheme 6) that a O-H (or O-D) bond activation is involved (even as a rate determining step) in the catalytic cycle.

To probe the role of the chloride ligand and in particular whether it possibly dissociates from the iridium during the catalytic process, we added varying amounts of AgOTf to the reaction mixture and monitored the conversion of **11a** into **12a** under the standard conditions (4 mol % [Ir(COD)CI]<sub>2</sub>, 12 mol% **L3**, toluene, 73°C). While addition of 2 mol% of AgOTf had no significant effect, the reaction was much slower upon addition of 8 mol% and totally inhibited in the presence of an excess of AgOTf (40 mol%). This may be a hint that the chloride ligand plays a certain role, however, oxidation of the Ir(I)-catalyst by Ag(I) would also cause inhibition of the reaction. Therefore, a cationic Ir-complex cannot be fully excluded.

**Computational investigations.** To shed additional light on the proposed mechanism of the iridium-catalyzed cyclobutanol cleavage we performed DFT (PW6B95D3) computations.<sup>[23]</sup> Using a simplified test system (with L = PH<sub>3</sub>) the theoretic analysis confirmed the feasibility of the proposed mechanism (Figure 2). The calculations suggest the oxidative addition of the iridium center to the O-H bond of the cyclobutanol (14 to 15) to be the step with the highest activation energy (E<sub>A</sub> = 26.8 kcal/mol). This is in accordance with the experimentally found KIE of = 2.4 as the activation energy of the β-C elimination step (16.2 Kcal/mol) is significantly lower. The final reductive C-H elimination (E<sub>A</sub> = 23.5 kcal/mol) leads to a complex which, according to the calculations, dissociates without any barrier to liberate the product and the catalyst.



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Figure 2. Results of a DFT computational study of the mechanism of the Ir(III)mediated cyclobutanol fragmentation using a simplified model system.

Understanding the enantioselectivity. While the absolute (S)-configuration of the product 12a, prepared by Ir-catalyzed fragmentation of 11a in the presence of (S)-DTBM-SegPhos (L3) as a chiral ligand, had been unambiguously assigned by its conversion into (2R)- $\alpha$ -tocopherol.<sup>[19]</sup> we felt challenged to rationalize the stereochemical outcome. For this reason, we took a closer look at the β-carbon elimination as the stereo-determining step of the catalytic cycle.[24] A first configurational analysis (supported by DFT calculations) revealed that four types of transition states (TS) can be distinguished, which are all characterized by a pseudo-octahedral coordination geometry of the iridium center with the bidentate P.P-ligand in maximum distance to the activated C-C bond (Figure 3). Two of these transition states lead to the (S)- and the other two to the (R)product, and in both series, the hydride and chloride ligands are oriented either cis or trans to each other.



Orienting DFT calculations on a small model system (see the Supporting Information) suggested transition structures with *trans*-oriented H and CI ligands and the O-C-C-substrate atoms

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aligned in-plane with the  $P_2$ lr ring being energetically most favored for electronic reasons (Figure 4).



Figure 4. DFT computations (PW6B95D3/6-311G\*\*(C,H,O,P,CI)/SDD (+ECP, Ir)-SCRF(toluene)//ONIOM(B97D3/SDD(+ECP,Ir), D95 (C,H,O,P,CI):PM6) of a simplified model system show the energetically most favourable transition structures with a *trans*-orientation of H and CI ligands and an in-plane alignment of the P-P-Ir(III) plane and the metal bound O-C-C unit of the substrate.

To prepare for the computation of the competing transition states (leading to the different enantiomers of **12a**) at a higher level of theory, the conformation of the axially chiral ligand (**L3**) coordinated to the iridium metal center was analyzed. As shown in Figure 5, two of the P-bound aryl groups adopt an axial and the other two an equatorial position. The axial P-aryl groups are fixed in coplanar orientation to the adjacent benzodioxol moieties of the biaryl unit, whereas the equatorial P-aryl groups were found to be conformationally more flexible and able to intensely interact with the substrate. All in all, the (S)-DTBM-SegPhos-iridium unit was found to adopt a right-turning C<sub>2</sub>-symmetric propeller shape.



Figure 5. Schematic view of the conformation of the C2-symmetric (S)-DTBM-SegPhos ligand (L3) coordinated to the iridium center.

To limit the number of conformations, transition state optimizations were performed initially only with an in-in-in-in orientation of the methoxy groups, which can either point towards the adjacent aryl unit (inwards) or away from it (outwards). Further calculations to rationalize the origins of the enantioselectivity were then performed on the complete system generated from cyclobutanol 11a and (S)-DTBM-SegPhos-IrCl.[19] The quantitative energetic analysis then revealed a clear preference for the (S)-enantiomeric transition structure with a calculated ee of 95% (for details see the Supporting Information).<sup>[24]</sup> This result, which is in excellent agreement with the experimental facts, can be "explained" as follows. In the most favorable (S) transition state both the favorable anti H-Cl orientation and the favorable alignment of the involved C-C-O unit with the P2Ir-plane are in harmony with an optimal co-planar alignment of the equatorial Paryl groups with the substrate (Figure 6).



Figure 6. Most stable (S)-TS showing an H-Cl-*trans* orientation and a favorable in-plane alignment of the C-C-O-Ir(III)P<sub>2</sub> moiety, in harmony with a co-planar orientation of the substrate's aryl unit and an equatorial P-aryl group.

In contrast, in the lowest energy (*R*)-transition state (Figure 7) such a co-planar alignment of an equatorial P-aryl group with the substrate is only possible at the expense of an energetically unfavorable out-of-plane orientation of the P<sub>2</sub>Ir and the C-C-O units (H-Cl *cis*) (Figure 7).



**Figure 7.** Most stable (*R*)-TS with a H-Cl *cis* orientation and an unfavorable out-of-plane position of the  $P_2 lr(III)$  and the C-C-O unit, enforced by a coplanar arrangement of the substrate's aryl unit and an equatorial P-aryl group.

**Substrate Scope.** To explore the substrate scope of the iridium-catalyzed cyclobutanol cleavage we initially used the easily accessible spiro-cylobutanone **18** as a platform to prepare a variety of potential substrates of type **11** through addition of organometallic reagents. The results of the (mainly unoptimized) reactions are summarized in Table 2. Interestingly, the diastereoselectivity was found to depend on both the reagent and the solvent used. For instance, the reaction of **18** with MeMgBr in Et<sub>2</sub>O afforded selectively the *trans* product **11a** while a mixture of **11a** and its *cis* diastereomer **11'a** was formed either with the same reagent in THF or with methyllithium in Et<sub>2</sub>O. As a general trend, we found that Grignard reagents in THF (except *iso*-Pr-MgCl and allyl-MgBr) react with **18** in a *cis*-selective fashion while *trans*-products are favored in diethyl ether. This behavior might result from a different aggregation of the reagents in the

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different solvents.<sup>[25]</sup> Noteworthy, the branched Grignard reagent *iso*-Pr-MgCl afforded the addition product only in low yield because mainly reduction of the carbonyl group occurred in this case to yield a 1:1 mixture of diastereomeric alcohols (**11/11'** with R = H). Fortunately, the *cis*- and *trans*-diastereomers could be separated by column chromatography in all cases (Table 2).



The relative configuration of the products (*cis/trans*) was determined by means of <sup>1</sup>H NMR (NOE) or X-ray crystallography in the case of **11a** (ref. 19), **11'l** and **11'n** (see the Supporting Information). Unless otherwise noted, the reactions were performed at -78 °C [a] Isolated yield; [b] Ratio determined by <sup>1</sup>H NMR of the crude product mixture; [c] Low yield due to low quality of the Grignard reagent used; [d] Addition at -100 °C; [e] Addition at -40 °C; (f] The product still contained traces of solvent; [g] Prepared in situ from 1-heypn and *n*BuLi.

Additional substrates of type **11/11'** were prepared from the readily accessible vinylated compound **11'I** (Scheme 7). Hydroboration of **11'I** with 9-BBN and subsequent oxidation with  $H_2O_2^{[26]}$  gave the diol **19'**, which in turn could easily be protected selectively at the primary OH group to give substrates **11'f** (OAc), **11'g** (OMOM), and **11'h** (OBn).



Scheme 7. Synthesis of cyclobutanols 19' and 11'd. Reagents and conditions: a. see Table 2, entry 2; b. 9-BBN, THF, 0°C to r.t., 5 h, then NaOH,  $H_2O_2$ , r.t., 24 h; c. Ph-I, Pd<sub>2</sub>(dba)<sub>3</sub>(5 mol%), PPh<sub>3</sub>, K<sub>3</sub>PO<sub>4</sub>, Me<sub>4</sub>NHCO<sub>2</sub>, DMF, 81°C, 20 h.

In a similar fashion the *trans*-isomer **19** (obtained from **11i**) was used to prepare the TBS-protected substrate **11e** (structures shown in Scheme 8). We also employed the vinyl-substituted cyclobutanol **19'** to prepare the substrate **11'd** with a phenylethyl sidechain through Pd-catalyzed reductive Heck reaction.<sup>[27]</sup> Remarkably, this transformation proceeded smoothly to afford the product **11'd** in high yield without any significant Pd-mediated cyclobutanol cleavage (as described by Uemura,<sup>[13]</sup> compare Scheme 1).

With the various spiro-cylobutanol substrates in our hands, the stage was set for the investigation of their performance in the Ir-catalyzed ring-opening. As a first important result we found that (using the same chiral ligand L3) the diastereomers **11a** and **11'a** afforded the products with opposite absolute configuration (Scheme 8). However, in contrast to the *trans*-spiro-cyclobutanol **11a**, which yields the methyl ketone **12a** with high enantio-selectivity, the corresponding *cis*-diastereomer **11'a** gave the product *ent*-**12a** with only 18% *ee* (non-optimized). Nevertheless, other *cis*-configurated substrates, i.e. **11'b-d**, gave rise to the expected products (**12b-d**) with satisfying enantioselectivity.

![](_page_6_Figure_11.jpeg)

Scheme 8. Enantioselective conversion of various substrates of type 11 or 11' in the Ir-catalyzed cyclobutanol cleavage. Standard conditions: 5 mol% [Ir(COD)Ci]<sub>2</sub>, 15 mol% L3, 0.1 M solution of substrate in dry toluene,110 °C (colour change).[a] 1 mol% cat., 3 mol% L3, 70 °C; [b] 9 mol% cat., 26 mol% L3, toluene:H<sub>2</sub>O 4:1; [c] 10 mol% cat., 30 mol% L3; [d] 7 mol% cat., 19 mol% L3; [e] 85 – 95 °C; [f] 7 mol% cat., 23 mol% L3; [g] 8 mol% cat., 24 mol% L3; (for details see the Supporting Information).

Interestingly, the investigation of the three cis-cyclobutanols 11'b-d with different spacer lengths between the phenyl group and the cyclobutanol unit showed that the reactivity drops with an increasing bulk of the side chain. Actually, the phenylsubstituted substrate 11'b proved to be rather unreactive and the addition of water<sup>[15]</sup> was required to achieve at least a decent yield (44%). In the case of 11'c, the catalyst load had to be increased to ensure a high yield. The enantioselectivity of the reaction of 11'c to 12c increased from 78% to 87% ee upon lowering the temperature to 100°C, however, at the expense of conversion (34% yield). The bulky iso-propyl-substituted substrate (prepared according to Table 2, entry 11) did not react at all under the standard conditions. In contrast, the 2-oxy-ethyl substituted substrates, especially the TBS-protected (11e) and the benzyl-protected (11'h) compounds, reacted smoothly to give the products 12e and 12h, respectively, in high yield and enantioselectivity (92-93% ee). The corresponding acetylprotected substrate 11'f, however, proved to be completely unreactive and the MOM-derivative 11'g only reacted very slowly and unreacted starting material could be partly reisolated. Possibly, the catalysis is inhibited in the latter cases by coordination of the Ir to the polar functional groups.

While the examples given in Scheme 8 illustrate a fair scope of the method, a number of substrates with unsaturated sidechains failed to undergo the expected Ir-catalyzed cyclobutanol cleavage (Scheme 9).

![](_page_7_Figure_4.jpeg)

Scheme 9. Substrates of type 11/11' which did not undergo Ir-catalyzed cyclobutanol cleavage.

For instance, the vinyl-cyclobutanol **11'i** and the related higher substituted allylic alcohol **11'k** mainly afforded the dienes **20** and **21**, respectively, possibly via formation of a  $\pi$ -allyl-Ir intermediate and subsequent  $\beta$ -H elimination. Chiral GC analysis indicated that both of these compounds were formed as racemic mixtures. While the unprotected terminal alkyne **11m** only

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delivered a mixture of unreacted starting material and some unidentified side products (even after 20 h), the corresponding TMS-protected alkyne **11I** quantitatively afforded **22** as the product of an Ir-catalyzed 5-*endo-dig* cyclization. Noteworthy, the TIPS-protected alkyne related to **11I** (not shown), the alkyne **11'n**, and also both diastereomers of the allyl-substituted cyclobutanol **11o/11'o** showed no conversion under the standard conditions, possibly due to inactivation of the catalyst through formation of a cyclic resting state formed by  $\beta$ -insertion of the O-bound Ir-hydride to the unsaturated side chain.

To further probe the scope of the Ir-based methodology employing more simple prochiral cyclobutanols lacking the spirochromane moiety we converted the easily accessible cyclobutanone  $23^{[19]}$  through addition of alkyl Grignard reagents and functional group manipulation into the substituted tertiary cyclobutanols 26 and 28 (Scheme 10). Noteworthy, the addition of MeMgBr in Et<sub>2</sub>O to the ketone 27 proceeds diastereoselectively to afford the *trans*-product 28 in high yield as the only isolated product.

![](_page_7_Figure_11.jpeg)

Scheme 10. Synthesis of cyclobutanols 26 and 28. Reagents and conditions: a) *n*-BuMgCl, THF, -78 °C, 1 h; b) NaOH, EtOH, 30 °C, 24 h; c) TBSOTf, 2,6-lutidine, CH<sub>2</sub>Cl<sub>2</sub>, 0 °C to r.t., 2.5 h; d) DMP, CH<sub>2</sub>Cl<sub>2</sub>, 0 °C to r.t., 2 h; e) TBSOTf, 2,6-lutidine, CH<sub>2</sub>Cl<sub>2</sub>, 0 °C to r.t., 1.5 h; f) MeMgBr (3M in Et<sub>2</sub>O), Et<sub>2</sub>O, -78 °C, 1 h.

Under the proven conditions of the Ir-catalyzed cyclobutanol cleavage both of these substrates (**26** and **28**) afforded the corresponding chiral ketones (**29** and **30**, respectively) with high yields and good enantioselectivity (Scheme 11). Noteworthy, when **28** was treated with the corresponding Rh(OH)-catalyst under the conditions of *Cramer*<sup>(15]</sup>, a 2:1 mixture of the enone **31** and its non-conjugated isomer **32** was formed, probably through elimination of TBS-OH from the primary product **30**. This again proves the advantage of our Ir-based protocol for the enantio-selective cleavage of 3-oxy-substituted cyclobutanols.

![](_page_7_Figure_14.jpeg)

Scheme 11. Ir- and Rh-catalyzed cleavage of cyclobutanols 26 and 28.

# Enantioselective synthesis of chromanes related to natural products. As already mentioned above, the starting point of the present study was our synthesis of $\alpha$ -tocopherol (13) (Scheme 3) and in particular the discovery that the enantioselective opening of the prochiral spiro-cyclobutanol **11a** to the methylketone **12a** could be efficiently achieved using an Ircatalyst, while the related Rh-based protocol only afforded the racemic product. In a similar fashion, the Ir-catalyzed reaction of the more elaborated substrate **11q** afforded the $\alpha$ -tocopherol precursor **12q** with virtually complete stereocontrol (Scheme **12**).<sup>[19]</sup>

![](_page_8_Figure_4.jpeg)

Scheme 12. Ir-catalyzed key step of our total synthesis of  $\alpha$ -tocopherol.

Against this background, we asked ourselves whether the methodology could be applied also to the synthesis of other tocopherol-related compounds such as the antimalarial chromane natural product **33** recently isolated from *Koeberlinia spinosa* which displays an interesting activity against the malaria parasite *plasmodium falciparum* (IC<sub>50</sub>=24  $\mu$ M). (Figure 8).<sup>[28]</sup>

![](_page_8_Figure_7.jpeg)

Figure 8. Structure of an antiplasmodial chromane 33 isolated from Koeberlinia spinosa.

To probe the installation of an unsaturated (enone) sidechain, we used the substrate **11r** which was obtained by TBS deprotection of an intermediate of our  $\alpha$ -tocopherol synthesis.<sup>[19]</sup> In this case (Scheme 13), the trisubstituted olefin in the side chain was well tolerated and the desired desymmetrized ketone **12r** was obtained in excellent yield (98%) and diastereoselectivity (*d.r.* = 98.5 : 1.5; determined by HPLC after transformation into  $\alpha$ -tocopherol methyl ether, see the Supporting Information).

![](_page_8_Figure_10.jpeg)

Scheme 13. Synthesis of the enone 34 (as a model compound related to 33) through cyclobutanol fragmentation and subsequent acid-mediated double bond isomerization.

Treatment of the  $\beta$ , $\gamma$ -enone **12r** with trifluoromethane sulfonic acid in dichloromethane resulted in the migration of the double bond to give of the more stable conjugated enone **34** as a separable mixture of *E* and *Z*-isomers (Scheme 13).

While E-34 already displays some characteristic structural features of the natural product 33, we decided to also probe the Ir-catalyzed cyclobutanol opening employing the spiro-chromane 38 with an aromatic substitution pattern related to 33 (Scheme 14). For this purpose, the literature-known building blocks 35<sup>[29]</sup> and 36<sup>[19]</sup> were first fused to 37 in a Friedel-Cafts related condensation. While the use of BF3•Et2O as a Lewis acid<sup>[19]</sup> was not successful in this case, the desired reaction took place in the presence of an excess (4 equiv.) of methane sulfonic acid in dichloromethane to afford 37 in 38% yield as a mixture of cis and trans isomers. Subsequent saponification of the ester moiety, oxidation<sup>[30]</sup> and reaction of the resulting ketone with MeMgBr in diethylether then cleanly afforded the transcyclobutanol 38 as the desired desymmetrization precursor. And much to our satisfaction, the Ir-catalyzed ring opening then proceeded smoothly under the proven conditions to give the ketone 39 in high yield and with excellent enantioselectivity (95% ee).

![](_page_8_Figure_14.jpeg)

Scheme 14. Synthesis of the model chromane 39 (related to 33).

The expected absolute (S)-configuration of the chiral 2,2disubstitued chromane **39** was confirmed by X-ray crystallography (Figure 9).<sup>[34]</sup>

![](_page_8_Picture_17.jpeg)

Figure 9. Structure of 39 in the crystalline state.

### Conclusion

We have demonstrated that the Ir-catalyzed conversion of prochiral *tert*-cyclobutanols proceeds under comparably mild

conditions to afford  $\beta$ -methyl-substituted ketones in a variety of cases. In the presence of DTBM-SegPhos as a chiral ligand the products are formed with up to 95% ee. Our protocol appears to be particularly suited for the enantioselective desymmetrization<sup>[31]</sup> of prochiral β-oxy-substituted cyclobutanols which fail to react in a similar fashion under Rh-catalysis. And indeed, deuteration experiments and kinetic isotope effect measurements revealed major mechanistic differences to related Rh(I)catalyzed transformations. Based on the experimental data, we derived a plausible mechanism which involves the initial formation of an Ir(III) hydride intermediate by oxidative addition of Ir(I) into the O-H bond of the cyclobutanol substrate. In the key C-C bond activating step, the four-membered ring is cleaved by β-C elimination, and the catalytic cycle is closed by reductive C-H elimination. This mechanism is supported by DFT calculations, and the computational analysis of competing transition states of the enantioselectivity-determining  $\beta$ -carbon elimination step even allowed the prediction of the stereochemical outcome. While simple tert-cyclobutanols such as 28 could be successfully employed as well, the developed protocol is of particular value for the stereo-controlled synthesis of 2,2disubstituted chromanes related to natural products such as αtocopherol. Thus, we are optimistic that the Ir-catalyzed cyclobutanol cleavage will find future application also in other laboratories. At least, it opens a new chapter in the use of Ircatalyzed reactions in natural product synthesis<sup>[21]</sup> and also compliments existing methods for the catalytic ring-opening of cyclic alcohols to generate ketones with a (quaternary) chirality center in ß-position.<sup>[1,12b,13b,17,32]</sup> Furthermore, the protocol may find application in the preparation of selectively deuterated (or even tritium-labeled) compounds.[33]

### **Experimental Section**

General procedure for the Ir-catalyzed cyclobutanol cleavage. A glass vial was charged under argon with [Ir(COD)CI]2 and (S)-DTBM-SegPhos and the vial was sealed with a septum. After injection of a 0.1 M solution of the respective cyclobutanol in dry toluene at r.t. the solution was first stirred for 1.5 h and then heated to 85-110°C. The reaction progress was monitored by TLC. Noteworthy, successful reactions were always associated with a color change of the solution from yellow-orange to dark red. Once the starting material was fully consumed (or nor further conversion was detected), the mixture was cooled to r.t. and a few milligrams of QuadraSil AP® were added. After stirring for 30 min the mixture was filtered over a short pad of silica and all volatiles were removed under reduced pressure. The crude product was finally subjected to column chromatography to yield the ketone product (12) as a colorless oil.

(S)-1-(6-Methoxy-2,5,7,8-tetramethylchroman-2-yl)propan-2one (12a). According to the general procedure, a solution of 150 mg (0.543 mmol) of cyclobutanol 11a, 3.7 mg (5.51 μmol, 1 mol%) of [Ir(COD)CI]<sub>2</sub> and 20.0 mg (16.96 μmol, 3 mol%) of (S)-DTBM-SegPhos in 4.5 ml of dry toluene was heated for 18 h to 70 °C to give 142 mg (0.514 mmol, 95%) of 12a (92% ee) after purification by column chromatography (SiO<sub>2</sub>, *c*Hex/EtOAc 12:1). C<sub>17</sub>H<sub>24</sub>O<sub>3</sub> (M = 276.38 g/mol). <sup>1</sup>H NMR (499 MHz, CDCI<sub>3</sub>): δ [ppm] = 3.63 (s, 3H), 2.79 (d, <sup>2</sup>J<sub>H,H</sub> = 14.0 Hz, 1H), 2.64 (d, <sup>2</sup>J<sub>H,H</sub> = 14.0 Hz, 1H), 2.61 – 2.53 (m, 2H), 2.22 (s, 3H), 2.19 (s, 3H), 2.14 (s, 3H), 2.09 (s, 3H), 1.96 (dt,  ${}^{2}J_{H,H} = 13.9$  Hz,  ${}^{3}J_{H,H} =$ 7.0 Hz, 1H), 1.86 (dt,  ${}^{2}J_{H,H} = 13.6$  Hz,  ${}^{3}J_{H,H} = 6.7$  Hz, 1H), 1.35 (s, 3H).  ${}^{13}$ **C NMR** (125 MHz, CDCI<sub>3</sub>):  $\delta$  [ppm] = 208.0, 150.0, 147.1, 128.3, 126.2, 123.0, 117.4, 74.2, 60.6, 52.8, 32.4, 31.6, 24.4, 20.7, 12.7, 12.1, 11.8. **FT-IR** (ATR)  $\tilde{\nu}$  [cm<sup>-1</sup>] = 1707 (m), 1457 (m), 1404 (m), 1253 (s), 1090 (s). **GC-MS** [t<sub>R</sub>] = 9.932 min, *m*/z (%) = 276 ([M]+, 74), 243 (16), 219 (19), 203 (41), 179 (100), 135 (14), 91 (11), 43 (18). **HRMS** (ESI): Calc. 299.16177 [M + Na]<sup>+</sup>; Found 299.16167. [ $\alpha$ ]<sup>20</sup><sub>599</sub> = 1.04° (c = 0.58 in CHCI<sub>3</sub>).

### 2-(6-Methoxy-2,5,7,8-tetramethylchroman-2-yl)-1-phenyleth-

an-1-one (12b) According to the general procedure, a solution of 12 mg (35.4 µmol) of cyclobutanol 11'b, 2.2 mg (3.27 µmol, 9 mol%) of [lr(COD)Cl]2 and 10.9 mg (9.24 µmol, 26 mol%) of (S)-DTBM-SegPhos in 0.4 ml of dry toluene and 0.1 ml of water was heated for 20 h to 110 °C to give 5.3 mg (15.7 µmol, 44%) of 12b (92% ee) after purification by preparative TLC (SiO2, cHex/EtOAc 7:1). C<sub>22</sub>H<sub>26</sub>O<sub>3</sub> (M = 338.45 g/mol). <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>): δ [ppm] = 7.94 - 7.92 (m, 2H), 7.55 - 7.52 (m, 1H), 7.42 – 7.39 (m, 2H), 3.62 (s, 3H), 3.38 (d, <sup>2</sup>J<sub>H,H</sub> = 14.5 Hz, 1H), 3.13 (d,  ${}^{2}J_{H,H}$  = 14.5 Hz, 1H), 2.63 (t,  ${}^{3}J_{H,H}$  = 6.9 Hz, 2H), 2.16 - 2.10 (m, 1H), 2.14 (s, 6H), 2.00 - 1.95 (m, 1H), 1.84 (s, 3H), 1.43 (s, 3H). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>): δ [ppm] = 199.0, 150.0, 147.2, 138.1, 133.1, 128.8, 128.5, 128.2, 126.1, 123.4, 117.5, 74.9, 60.6, 47.1, 31.6, 24.8, 20.8, 12.7, 11.9, 11.8. FT-IR (ATR)  $\tilde{\nu}$  [cm<sup>-1</sup>] = 1676 (m), 1449 (m), 1254 (m), 1090 (s). **GC-MS**  $[t_R] = 11.668 \text{ min}, m/z (\%) = 338 ([M]^+, 56), 305 (18), 218 (18),$ 203 (42), 179 (68), 135 (18), 105 (100), 91 (12), 77 (43), 44 (12). HRMS (ESI): Calc. 339.19547 [M + H]\*; Found 339.19585; Calc. 361.17742 [M + Na]<sup>+</sup>; Found 361.17750. [α]<sup>20</sup><sub>589</sub>= 8.30° (c = 0.27 in CHCl<sub>3</sub>).

### 1-(6-Methoxy-2,5,7,8-tetramethylchroman-2-yl)-3-phenylpro-

pan-2-one (12c) According to the general procedure, a solution of 11 mg (31.2 µmol) of cyclobutanol 11'c, 2.1 mg (3.12 µmol, 10 mol%) of [Ir(COD)CI]2 and 11.1 mg (9.41 µmol, 30 mol%) of (S)-DTBM-SegPhos in 0.4 ml of dry toluene was heated for 20 h to 110 °C to give 10.0 mg (28.4 µmol, 91%) of 12c (78% ee) after purification by preparative TLC (SiO2, cHex/EtOAc 5:1). C<sub>23</sub>H<sub>28</sub>O<sub>3</sub> (M = 352.47 g/mol). <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>): δ [ppm] = 7.31 - 7.28 (m, 2H), 7.25 - 7.23 (m, 1H), 7.13 - 7.12 (m, 2H), 3.82 (d,  ${}^{2}J_{H,H}$  = 15.3 Hz, 1H), 3.75 (d,  ${}^{2}J_{H,H}$  = 15.3 Hz, 1H), 3.64 (s, 3H), 2.83 (d,  ${}^{2}J_{H,H}$  = 14.2 Hz, 1H), 2.65 (d,  ${}^{2}J_{H,H}$  = 14.2 Hz, 1H), 2.60 - 2.47 (m, 2H), 2.20 (s, 3H), 2.13 (s, 6H), 2.00 - 1.94 (m, 1H), 1.88 - 1.83 (m, 1H), 1.36 (s, 3H). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>): δ [ppm] = 206.8, 150.1, 147.1, 134.2, 129.7, 128.8, 128.3, 127.1, 126.2, 123.0, 117.5, 74.5, 60.6, 51.9, 50.6, 31.6, 24.6, 20.7, 12.7, 12.2, 11.8. **FT-IR** (ATR)  $\tilde{\nu}$  [cm<sup>-1</sup>] = 1714 (m), 1454 (m), 1403 (m), 1253 (s), 1088 (s). GC-MS  $[t_R] =$ 11.828 min, m/z (%) = 352 ([M]<sup>+</sup>, 62), 219 (20), 203 (19), 179 (57), 135 (13), 91 (100), 65 (15). HRMS (ESI): Calc. 375.19307  $[M + Na]^+$ ; Found 375.19326.  $[\alpha]_{589}^{20} = -21.85^\circ$  (c = 0.18 in CHCl<sub>3</sub>).

### 1-(6-Methoxy-2,5,7,8-tetramethylchroman-2-yl)-4-phenylbu-

**tan-2-one (12d)** According to the general procedure, a solution of 11 mg (30.0 μmol) of cyclobutanol **11'd**, 1.5 mg (2.23 μmol, 7 mol%) of [Ir(COD)CI]<sub>2</sub> and 6.7 mg (5.68 μmol, 19 mol%) of (S)-DTBM-SegPhos in 0.5 ml of dry toluene was heated for 2 h to 100 °C and 2 h to 110 °C to give 10 mg (27.3 μmol, 91%) of **12d** (84% ee) after purification by column chromatography (SiO<sub>2</sub>, cHex/EtOAc 35:1).  $C_{24}H_{30}O_3$  (M = 366.50 g/mol). <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>):  $\delta$  [ppm] = 7.27 – 7.24 (m, 2H), 7.18 – 7.14 (m,

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3H), 3.63 (s, 3H), 2.91 – 2.77 (m, 4H), 2.74 (d,  ${}^{2}J_{H,H}$  = 14.0 Hz, 1H), 2.61 (d,  ${}^{2}J_{H,H}$  = 14.0 Hz, 1H), 2.60 – 2.50 (m, 2H), 2.17 (s, 3H), 2.13 (s, 3H), 2.05 (s, 3H), 1.97 – 1.92 (m, 1H), 1.87 – 1.82 (m, 1H), 1.33 (s, 3H). <sup>13</sup>**C NMR** (125 MHz, CDCl<sub>3</sub>):  $\delta$  [ppm] = 208.8, 150.0, 147.1, 141.2, 128.6, 128.5, 128.3, 126.2, 126.2, 123.0, 117.4, 74.4, 60.6, 51.9, 46.5, 31.6, 29.7, 24.6, 20.7, 12.7, 12.1, 11.8. **FT-IR** (ATR)  $\tilde{\nu}$  [cm-1] = 2927 (m), 1711 (m), 1454 (s), 1403 (m), 1252 (s), 1088 (s), 1062 (m), 1009 (m), 699 (m). **GC-MS** [t<sub>R</sub>] = 12.293 min, *m*/*z* (%) = 366 ([M]<sup>+</sup>, 100), 257 (13), 234 (18), 219 (26), 203 (32), 179 (79), 105 (32), 91 (62). **HRMS** (ESI): Calc. 389.20872 [M + Na]<sup>+</sup>; Found 389.20879. [ $\alpha$ ]<sup>20</sup>/<sub>589</sub> = -27.58° (c = 0.33 in CHCl<sub>3</sub>).

4-((tert-ButyIdimethyIsilyI)oxy)-1-(6-methoxy-2,5,7,8-tetramethyl-chroman-2-yl)butan-2-one (12e) According to the general procedure, a solution of 15 mg (35.7 µmol) of cyclobutanol 11e, 1.3 mg (1.94 µmol, 5 mol%) of [lr(COD)Cl]<sub>2</sub> and 6.5 mg (5.51 µmol, 15 mol%) of (S)-DTBM-SegPhos in 0.5 ml of dry toluene was heated for 2 h to 85 °C, 1 h to 90 °C and 1 h to 95 °C to give 15 mg (35.7 µmol, 99%) of 12e (92% ee) after purification by column chromatography (SiO<sub>2</sub>, cHex/EtOAc 50:1). C<sub>24</sub>H<sub>40</sub>O<sub>4</sub>Si (M = 420.67 g/mol). <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>): δ  $[ppm] = 3.86 (t, {}^{3}J_{H,H} = 6.3 Hz, 2H), 3.63 (s, 3H), 2.80 (d, {}^{2}J_{H,H} =$ 14.5 Hz, 1H), 2.76 - 2.64 (m, 3H), 2.60 - 2.53 (m, 2H), 2.19 (s, 3H), 2.13 (s, 3H), 2.09 (s, 3H), 2.02 - 1.96 (m, 1H), 1.90 - 1.84 (m, 1H), 1.35 (s, 3H), 0.85 (s, 9H), 0.02 (s, 3H), 0.02 (s, 3H). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>):  $\delta$  [ppm] = 208.7, 150.0, 147.1, 128.3, 126.1, 123.1, 117.5, 74.3, 60.5, 58.8, 52.4, 47.6, 31.5, 26.0, 24.6, 20.7, 18.3, 12.7, 12.1, 11.8, -5.3. **FT-IR** (ATR) ν̃ [cm<sup>-1</sup>] = 2953 (m), 2930 (m), 2887 (m), 2857 (m), 1713 (m), 1462 (m), 1404 (m), 1254 (s), 1090 (s), 836 (m), 777 (m). GC-MS  $[t_R] = 11.834 \text{ min}, m/z (\%) = 420 ([M]^+, 13), 219 (100), 203 (13),$ 179 (18), 145 (6), 115 (5), 91 (6), 75 (10), 41 (6). HRMS (ESI): Calc. 443.25881 [M + Na]<sup>+</sup>; Found 443.25887.  $[\alpha]_{589}^{20} = 9.74^{\circ}$  $(c = 0.38 in CHCl_3).$ 

### 1-(6-Methoxy-2,5,7,8-tetramethylchroman-2-yl)-4-(methoxy-

methoxy)butan-2-one (12g) According to the general procedure, a solution of 15 mg (42.8 µmol) of cyclobutanol 11'g, 2.0 mg (2.98 µmol, 7 mol%) of [lr(COD)Cl]2 and 12.0 mg (10.2 µmol, 23 mol%) of (S)-DTBM-SegPhos in 0.6 ml of dry toluene was heated for 4.5 h to 110 °C to give 7 mg (20.0 µmol, 47%) of 12g (83% ee) after purification by column chromatography (SiO<sub>2</sub>, cHex/EtOAc 9:1). C<sub>20</sub>H<sub>30</sub>O<sub>5</sub> (M = 350.46 g/mol). <sup>1</sup>**H NMR** (500 MHz, CDCl<sub>3</sub>): δ [ppm] = 4.59 (s, 2H), 3.78 (t,  ${}^{3}J_{H,H}$ = 6.2 Hz, 2H), 3.63 (s, 3H), 3.34 (s, 3H), 2.87 - 2.73 (m, 3H), 2.69 (d, <sup>2</sup>J<sub>H,H</sub> = 14.3 Hz, 1H), 2.61 – 2.57 (m, 2H), 2.19 (s, 3H), 2.14 (s, 3H), 2.09 (s, 3H), 2.01 - 1.96 (m, 1H), 1.90 - 1.85 (m, 1H), 1.36 (s, 3H). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>): δ [ppm] = 207.7, 150.0, 147.1, 128.3, 126.2, 123.0, 117.5, 96.7, 74.3, 62.7, 60.5, 55.4, 52.3, 44.8, 31.5, 24.5, 20.7, 12.7, 12.1, 11.8. FT-IR (ATR)  $\tilde{\nu}$  [cm<sup>-1</sup>] = 1714 (m), 1457 (m), 1253 (s), 1151 (m), 1111 (s), 1089 (s), 1059 (s), 1041 (s), 1017 (m). GC-MS [t<sub>R</sub>] = 11.273 min, m/z (%) = 350 ([M]<sup>+</sup>, 100), 288 (15), 255 (13), 219 (49), 203 (50), 179 (52), 135 (14), 91 (15), 45 (29). HRMS (ESI): Calc. 373.19855 [M + Na]<sup>+</sup>; Found 373.19859.  $[\alpha]_{589}^{20} = 3.87^{\circ}$  (c = 0.16 in CHCl<sub>3</sub>).

### 4-(Benzyloxy)-1-(6-methoxy-2,5,7,8-tetramethylchroman-2-

**yl)-butan-2-one (12h)** According to the general procedure, a solution of 20 mg (50.4  $\mu$ mol) of cyclobutanol **11'h**, 2.7 mg (4.01  $\mu$ mol, 8 mol%) of [Ir(COD)Cl]<sub>2</sub> and 14.0 mg (11.87  $\mu$ mol, 24 mol%) of (S)-DTBM-SegPhos in 0.6 ml of dry toluene was

heated for 4 h to 110 °C to give 11 mg (27.7 µmol, 55%) of 12h (93% ee) after purification by column chromatography (SiO<sub>2</sub>, cHex/EtOAc 10:1). C<sub>25</sub>H<sub>32</sub>O<sub>4</sub> (M = 396.53 g/mol). <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>): δ [ppm] = 7.34 - 7.27 (m, 5H), 4.49 (s, 2H), 3.72 (td, <sup>3</sup>*J*<sub>H,H</sub> = 6.3 Hz, <sup>4</sup>*J*<sub>H,H</sub> = 4.1 Hz, 2H), 3.63 (s, 3H), 2.86 -2.74 (m, 3H), 2.69 (d, <sup>2</sup>J<sub>H,H</sub> = 14.4 Hz, 1H), 2.62 - 2.52 (m, 2H), 2.18 (s, 3H), 2.13 (s, 3H), 2.08 (s, 3H), 2.00 - 1.96 (m, 1H), 1.88 - 1.84 (m, 1H), 1.35 (s, 3H). <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>): δ [ppm] = 208.0, 150.0, 147.1, 138.3, 128.5, 128.3, 127.8, 127.8, 126.2, 123.1, 117.5, 74.3, 73.3, 65.4, 60.5, 52.3, 44.9, 31.5, 24.6, 20.7, 12.7, 12.1, 11.8. **FT-IR** (ATR)  $\tilde{\nu}$  [cm<sup>-1</sup>] = 1711 (m), 1454 (m), 1403 (m), 1252 (m), 1088 (s), 1062 (m), 1007 (m), 736 (m), 698 (m). GC-MS [t<sub>R</sub>] = 12.929 min, m/z (%) = 396 ([M]<sup>+</sup>, 97), 281 (16), 255 (12), 217 (78), 203 (46), 179 (53), 135 (14), 105 (19), 91 (100), 77 (27). HRMS (ESI): Calc. 419.21928  $[M + Na]^+$ ; Found 419.21948.  $[\alpha]_{589}^{20} = -3.64^\circ$  (c = 0.17 in CHCl<sub>3</sub>).

2-((tert-Butyldimethylsilyl)oxy)octan-4-one (29) According to the general procedure, a solution of 30 mg (0.116 mmol) of cyclobutanol 26, 2.4 mg (3.57 µmol, 3 mol%) of [lr(COD)Cl]2 and 12.5 mg (10.60 µmol, 9 mol%) of (S)-DTBM-SegPhos in 0.6 ml of dry toluene was heated for 4 h to 100 °C to give 24 mg (0.093 mmol, 80%) of 29 (70% ee, determined after deprotection of the alcohol) after purification by column chromatography (SiO2, cHex/EtOAc 100:1). C<sub>14</sub>H<sub>30</sub>O<sub>2</sub>Si (M = 258.48 g/mol). <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>): δ [ppm] = 4.32 – 4.26 (m, 1H), 2.63 (dd, <sup>2</sup>J<sub>H,H</sub> = 15.0 Hz,  ${}^{3}J_{H,H}$  = 7.3 Hz, 1H), 2.44 – 2.41 (m, 2H), 2.38 (dd, <sup>2</sup>J<sub>H,H</sub> = 15.0 Hz, <sup>3</sup>J<sub>H,H</sub> = 5.1 Hz, 1H), 1.57 – 1.50 (m, 2H), 1.34 – 1.27 (m, 2H), 1.16 (d, <sup>3</sup>J<sub>H,H</sub>= 6.1 Hz, 3H), 0.90 (t, <sup>3</sup>J<sub>H,H</sub> = 7.4 Hz, 3H), 0.85 (s, 9H), 0.06 (s, 3H), 0.02 (s, 3H). 13C NMR (125 MHz, CDCl<sub>3</sub>):  $\delta$  [ppm] = 210.3, 65.8, 52.4, 44.5, 25.9, 25.7, 24.2, 22.4, 18.1, 14.0, -4.4, -4.8. **FT-IR** (ATR)  $\tilde{\nu}$  [cm<sup>-1</sup>] = 1715 (m), 1254 (m), 1134 (m), 1060 (m), 1040 (m), 1005 (m), 993 (m), 835 (s), 809 (m), 776 (s). **GC-MS** [t<sub>R</sub>] = 7.009 min, m/z (%) = 243 (2), 201 (91), 157 (100), 115 (12), 101 (18), 75 (88), 57 (22), 41 (46). **HRMS** (EI): Calc. 201.1311 [M-<sup>t</sup>Bu]<sup>+</sup>; Found 201.14.  $[\alpha]_{589}^{20} =$ 23.79° (c = 0.33 in CHCl<sub>3</sub>).

4-((tert-Butyldimethylsilyl)oxy)-4-methyloctan-2-one (30)According to the general procedure, a solution of 45 mg (0.165 mmol) of cyclobutanol 28, 2.2 mg (3.28 µmol, 2 mol%) of [Ir(COD)CI]2 and 11.7 mg (9.92 µmol, 6 mol%) of (S)-DTBM-SegPhos in 0.8 ml of dry toluene was heated for 5.5 h to 100 °C to give 44 mg (0.161 mmol, 98%) of 30 (85% ee) after purification by column chromatography (SiO<sub>2</sub>, cHex/EtOAc 80:1). C<sub>15</sub>H<sub>32</sub>O<sub>2</sub>Si (M = 272.50 g/mol). <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>): δ [ppm] = 2.61 (d,  ${}^{2}J_{H,H} = 13.6$  Hz, 1H), 2.48 (d,  ${}^{2}J_{H,H} = 13.6$  Hz, 1H), 2.19 (s, 3H), 1.57 - 1.53 (m, 2H), 1.39 - 1.20 (m, 4H), 1.31 (s, 3H), 0.90 (t,  ${}^{3}J_{H,H}$  = 7.1 Hz, 3H), 0.87 (s, 9H), 0.10 (s, 3H), 0.09 (s, 3H). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>):  $\delta$  [ppm] = 208.7, 75.6, 55.0, 43.0, 32.8, 28.1, 26.6, 26.1, 23.3, 18.4, 14.2, -1.7. FT-IR (ATR)  $\tilde{v}$  [cm<sup>-1</sup>] = 2957 (m), 2930 (m), 1712 (m), 1253 (m), 1075 (m), 1028 (m), 1005 (m), 834 (s), 772 (s). GC-MS  $[t_R] =$ 6.757 min, m/z (%) = 239 (8), 215 (15), 157 (33), 132 (10), 115 (53), 75 (79), 57 (100). HRMS (EI): Calc. 215.1467 [M-<sup>t</sup>Bu]<sup>+</sup>; Found 215.17.  $[\alpha]_{589}^{20} = 28.41^{\circ}$  (c = 0.21 in CHCl<sub>3</sub>).

### (R,E)-1-((S)-6-Methoxy-2,5,7,8-tetramethylchroman-2-yl)-4,8,

**12-trimethyltridec-4-en-2-one (12r).** According to the general procedure, a solution of 54 mg (0.118 mmol) of cyclobutanol **11r**, 4.0 mg (5.95 µmol, 5 mol%) of [Ir(COD)CI]<sub>2</sub> and 21.0 mg (17.81 µmol, 15 mol%) of (S)-DTBM-SegPhos in 0.8 ml of dry toluene was heated for 2 h to 85 °C, 1 h to 90 °C and 0.5 h to

95 °C to give 53 mg (0.116 mmol, 98%) of 12q (97% de) after purification by column chromatography (SiO<sub>2</sub>, cHex/EtOAc 80:1). The diastereomeric purity was determined after conversion to atocopherol methyl ether (see Supporting Information). C<sub>30</sub>H<sub>48</sub>O<sub>3</sub> (M = 456.71 g/mol). <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>):  $\delta$  [ppm] = 5.18 – 5.15 (m, 1H), 3.63 (s, 3H), 3.14 (d, <sup>2</sup>J<sub>H,H</sub> = 14.8 Hz, 1H), 3.10 (d,  $^{2}J_{H,H}$  = 14.8 Hz, 1H), 2.81 (d,  $^{2}J_{H,H}$  = 14.4 Hz, 1H), 2.62 - 2.53 (m, 3H, H-1'b), 2.19 (s, 3H), 2.14 (s, 3H), 2.09 (s, 3H), 2.04 -1.96 (m, 3H, H-3a), 1.89 - 1.84 (m, 1H), 1.60 (s, 3H), 1.52 (sept.,  ${}^{3}J_{\text{H,H}}$  = 6.7 Hz, 1H), 1.35 (s, 3H), 1.40 – 1.19 (m, 5H), 1.15 – 1.05 (m, 4H), 0.87 - 0.85 (m, 9H, H-2"). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>):  $\delta$  [ppm] = 208.3, 150.0, 147.2, 130.6, 128.5, 128.2, 126.1, 123.0, 117.5, 74.4, 60.5, 55.9, 50.1, 39.5, 37.3, 36.9, 32.6, 31.5, 28.1, 25.8, 24.9, 24.6, 22.9, 22.8, 20.7, 19.7, 16.5, 12.7, 12.1, 11.8. **FT-IR** (ATR)  $\tilde{\nu}$  [cm<sup>-1</sup>] = 2951 (s), 2926 (s), 2869 (m), 1713 (m), 1458 (s), 1404 (m), 1253 (s), 1089 (s). GC-MS [t<sub>R</sub>] = 13.671 min, m/z (%) = 456 ([M]<sup>+</sup>, 40), 234 (23), 219 (100), 203 (90), 179 (92). HRMS (ESI): Calc. 479.34957 [M + Na]+; Found 479.34946.  $[\alpha]_{589}^{20}$  = 29.4 ° (c = 0.36 in CHCl<sub>3</sub>).

### (S)-1-(6-Methoxy-2,8-dimethylchroman-2-yl)propan-2'-one

(39). According to the general procedure, a solution of 71 mg (0.285 mmol) of cyclobutanol 38, 9.5 mg (14.3 µmol, 5 mol%) of  $[Ir(COD)CI]_2$  and 50.5 mg (42.8  $\mu mol,$  15 mol%) of (S)-DTBM-SegPhos in 2.8 ml of dry toluene was heated for 21 h to 45 °C to give 66 mg (0.266 mmol, 93%) of 39 (95% ee) after purification by column chromatography (SiO<sub>2</sub>, cHex/EtOAc 15:1). C<sub>15</sub>H<sub>20</sub>O<sub>3</sub> (M = 248.32 g/mol). <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>): δ [ppm] = 6.58 (d, <sup>4</sup>*J*<sub>H,H</sub> = 2.6 Hz, 1H), 6.45 (d, <sup>4</sup>*J*<sub>H,H</sub> = 2.6 Hz, 1H), 3.73 (s, 3H), 2.80 (d, <sup>2</sup>J<sub>H,H</sub> = 14.0 Hz, 1H), 2.77 – 2.67 (m, 2H), 2.63 (d, <sup>2</sup>J<sub>H,H</sub> = 14.0 Hz, 1H), 2.23 (s, 3H), 2.16 (s, 3H), 1.94 (ddd, <sup>2</sup>J<sub>H,H</sub> = 13.5 Hz,  ${}^{3}J_{H,H}$  = 8.0 Hz,  ${}^{3}J_{H,H}$  = 6.5 Hz, 1H), 1.84 (dt,  ${}^{2}J_{H,H'}$  = 13.5 Hz, <sup>3</sup>J<sub>H,H</sub> = 6.5 Hz, 1H), 1.35 (s, 3H). <sup>13</sup>C NMR (150 MHz, CDCl<sub>3</sub>):  $\delta$  [ppm] = 207.9, 152.7, 145.4, 127.4, 120.8, 115.3, 111.2, 74.9, 55.8, 53.0, 32.4, 31.7, 24.4, 22.7, 16.5. FT-IR (ATR)  $\tilde{\nu}$  [cm<sup>-1</sup>] = 2971 (m), 2936 (m), 1705 (m), 1481 (s), 1453 (m), 1440 (m), 1358 (m), 1207 (s), 1175 (m), 1149 (s), 1098 (s), 1057 (s), 950 (m), 914 (m), 855 (m). GC-MS m/z (%) = 248 ([M]<sup>+</sup>, 100), 230 (20), 215 (25), 175 (45), 150 (70), 115 (21), 91 (77), 77 (50), 65 (21). HRMS (ESI): Calc. 271.1304657 [M + Na]+; Found 271.13080.  $[\alpha]_{589}^{20}$  = - 6.9 ° (c = 0.42 in CHCl<sub>3</sub>).

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Keywords: Asymmetric catalysis • Iridium • C-C bond activation Cyclobutanols • Desymmetrization • Deuteration

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# Entry for the Table of Contents

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**Iridium behaves differently!** Unexpectedly, the Ir-catalyzed cleavage of cyclobutanols to give ß-chiral ketones proceeds through a different mechanism as compared to related Rh-catalyzed reactions. This was shown by deuteration experiments, kinetic isotope effect measurements and DFT calculations. The developed method for the catalytic-enantioselective desymmetrization is of particular value in the synthesis of chromane natural products from readily accessible spiro-cyclobutanols.