

Communication

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# Boron Tribromide-Assisted Chiral Phosphoric Acid Catalyst for a Highly Enantioselective Diels–Alder Reaction of 1,2-Dihydropyridines

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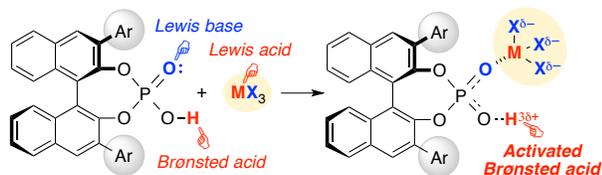
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Supporting Information Placeholder

**ABSTRACT:** BBr<sub>3</sub>–chiral phosphoric acid complexes were highly effective and practical Lewis acid-assisted Brønsted acid (LBA) catalysts for promoting the enantioselective Diels–Alder (DA) reaction of  $\alpha$ -substituted acroleins and  $\alpha$ -CF<sub>3</sub> acrylate. In particular, the DA reaction of  $\alpha$ -substituted acroleins with 1,2-dihydropyridines gave the corresponding optically active isoquinuclidines with high enantioselectivities. Moreover, transformations to the key intermediates of indole alkaloids, catharanthine and allocatharanthine, are demonstrated.

Chiral phosphoric acids are highly useful acid–base cooperative organocatalysts for a variety of asymmetric catalyses.<sup>1</sup> However, their Brønsted acidity is generally not strong enough to activate less-basic aldehydes rather than more-basic aldimines. To overcome this serious issue, stronger Brønsted acid catalysts, such as chiral BINOL (1,1'-bi-2-naphthol)-derived *N*-sulfonyl phosphoramides,<sup>2a</sup> *N*-phosphinyl phosphoramides,<sup>2b</sup> and disulfonimides<sup>2c</sup> have been developed. In sharp contrast, we envisioned that the addition of an achiral Lewis acid to the chiral phosphoric acid would be highly promising since the conjugate acid–base moiety of the phosphoric acid is suitable for the Lewis acid-assisted Brønsted acid (LBA)<sup>3</sup> catalyst system (Scheme 1). As a great advantage of this LBA system, we can simply use highly practical chiral phosphoric acids without serious synthetic difficulties. In particular, we developed here a BBr<sub>3</sub>-

**Scheme 1. Achiral Lewis acid-assisted chiral phosphoric acid catalysts as chiral acid–base cooperative catalysts.**



assisted chiral phosphoric acid *in situ*, which was highly effective for the enantioselective Diels–Alder reaction of  $\alpha$ -substituted acroleins with 1,2-dihydropyridines to afford the synthetically useful optically active isoquinuclidine scaffold.

We initially examined the reaction of methacrolein **3a** with cyclopentadiene **2a** through the use of chiral phosphoric acid (*R*)-**1a** (5 mol%) and an achiral Lewis acid (2.5–10 mol%) in dichloromethane at  $-78$  °C for 3 h (Table 1). The reaction was slow with the use of (*R*)-**1a** alone at  $-78$  °C or room temperature to afford **4a** with poor enantioselectivity (entries 1 and 2). Through preliminary investigations, we found that boron compounds were highly effective as achiral Lewis acids for (*R*)-**1a**

**Table 1. Optimization of the Reaction Conditions<sup>a</sup>**

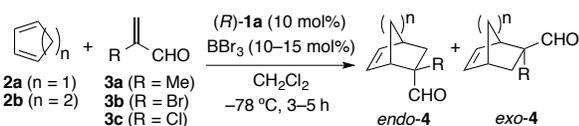
| entry           | Lewis acid (mol%)                                  | yield (%) | endo:exo    | ee (%) of exo-4a |
|-----------------|--|-----------|-------------|------------------|
| 1               | –  | 0         | –           | –                |
| 2 <sup>b</sup>  | –  | 51        | 13:87       | –7               |
| 3               | B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (5) | 64        | 10:90       | 5                |
| 4 <sup>c</sup>  | BF <sub>3</sub> ·Et <sub>2</sub> O (5)             | 87        | 3:97        | 52               |
| 5               | BCl <sub>3</sub> (5)                               | 88        | 4:96        | 62               |
| 6               | BBr <sub>3</sub> (2.5)                             | 92        | 3:97        | 61               |
| 7               | <b>BBr<sub>3</sub> (5)</b>                         | <b>99</b> | <b>2:98</b> | <b>89</b>        |
| 8               | BBr <sub>3</sub> (7.5)                             | 78        | 2:98        | 85               |
| 9               | BBr <sub>3</sub> (10)                              | 64        | 8:92        | 18               |
| 10              | BI <sub>3</sub> (5)                                | 98        | 7:93        | 37               |
| 11 <sup>d</sup> | BBr <sub>3</sub> (5)                               | 66        | 10:90       | –                |

<sup>a</sup> The reaction was carried out with (*R*)-**1a** (5 mol%), Lewis acid (2.5–10 mol%), **2a** (5 equiv), and **3a** (1 equiv) in dichloromethane at  $-78$  °C for 3 h. <sup>b</sup> The reaction was conducted at room temperature for 3 h. <sup>c</sup> Et<sub>2</sub>O was removed *in vacuo* during catalyst preparation. <sup>d</sup> The reaction was conducted without (*R*)-**1a**.

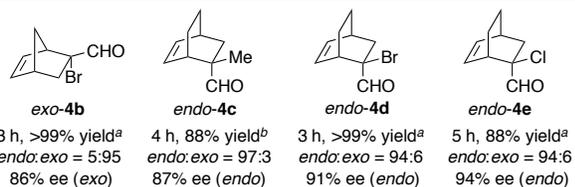
(entries 3–10). In particular,  $\text{BBr}_3$  (entry 7) showed higher enantioselectivity than other similar compounds, such as  $\text{B}(\text{C}_6\text{F}_5)_3$ ,  $\text{BF}_3 \cdot \text{Et}_2\text{O}$ ,  $\text{BCl}_3$ , and  $\text{BI}_3$ . The amount of  $\text{BBr}_3$  was important, and the use of more or less than 5 mol% of  $\text{BBr}_3$  for 5 mol% of  $(R)$ -**1a** decreased the yield and/or enantioselectivity (entries 6–9). The reaction proceeded moderately with the use of 5 mol% of  $\text{BBr}_3$  in the absence of  $(R)$ -**1a** (entry 11). Therefore, this result strongly suggests that the LBA catalyst  $\text{BBr}_3$ – $(R)$ -**1a** *in situ* might show higher catalytic activity than either starting component,  $(R)$ -**1a** and  $\text{BBr}_3$ .

With the optimized reaction conditions in hand, we next examined the scope of  $\alpha$ -substituted acroleins **3a–c** with **2a** and cyclohexadiene **2b** (Scheme 2). As a result, *exo*-adduct **4b** was obtained with 86% ee as a major product with the use of **2a**, while *endo*-adducts **4c–e** were obtained with 87–94% ee as major products with the use of **2b**, according to the usual substrate-dependent *endo/exo*-controls.<sup>4</sup> Interestingly, the reactivity of the substrates strongly influences the optimized molar ratio of  $\text{BBr}_3$  to  $(R)$ -**1a**, and a slightly excess amount of  $\text{BBr}_3$  to  $(R)$ -**1a** was effective for more reactive  $\alpha$ -haloacroleins **3b** and **3c** in place of less reactive **3a** to achieve high enantioselectivities for **4b**, **4d**, and **4e**.<sup>5</sup>

### Scheme 2. Reactions of $\alpha$ -Substituted Acroleins.

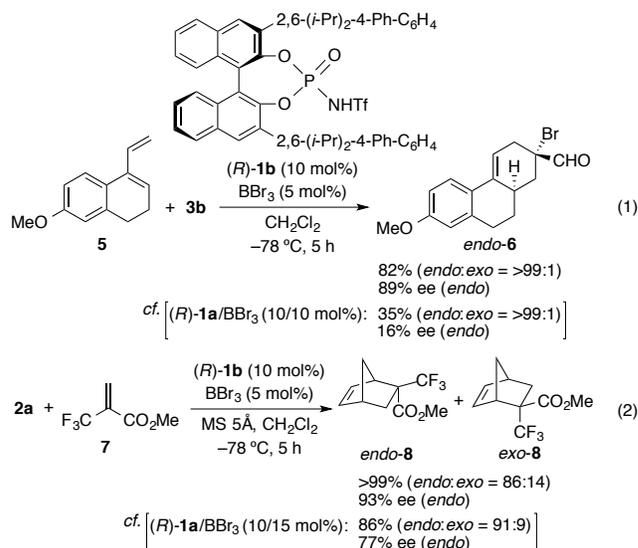


Products **4**, reaction time, yield, and enantioselectivity.



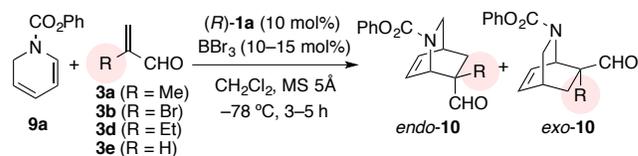
<sup>a</sup> 15 mol% of  $\text{BBr}_3$  was used. <sup>b</sup> 10 mol% of  $\text{BBr}_3$  was used.

In place of **2**, less reactive acyclic diene **5** was examined (eq 1). Although  $\text{BBr}_3$ – $(R)$ -**1a** showed low catalytic activity (16% ee) even under the optimized conditions in this case,  $\text{BBr}_3$ –*N*-sulfonyl phosphoramidate  $(R)$ -**1b** was much more effective than  $\text{BBr}_3$ – $(R)$ -**1a**, and *endo*-**6** was obtained with 89% ee. Moreover, **7** with an electron-withdrawing  $\text{CF}_3$  group was examined in place of acroleins (eq 2).  $\text{BBr}_3$ – $(R)$ -**1b** gave better results than  $\text{BBr}_3$ – $(R)$ -**1a**,<sup>6</sup> and the corresponding *endo*-**8** was obtained as a major product with 93% ee. Although only the specialized acrylate **7** was shown at this stage, the enantioselective Diels–Alder reactions of  $\alpha$ -substituted acrylates with chiral Brønsted acid catalysts might be valuable since  $\alpha$ -substituted acrylates have not yet been used with any conventional chiral Lewis acid catalysts.<sup>4,7</sup>

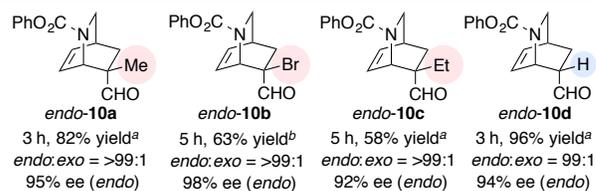


We next performed the reaction with 1,2-dihydropyridine **9a**, which can provide synthetically useful optically active isoquinuclidines.<sup>8</sup> The reactions of **9a** and acrolein **3e** proceeded smoothly with the use of  $\text{BBr}_3$ – $(R)$ -**1a** catalyst, and the key compound **10d** for the important anti-influenza drug oseltamivir phosphate (tamiflu<sup>®</sup>)<sup>9</sup> was obtained in 96% yield with 94% ee (Scheme 3). Rawal previously reported the Lewis acidic chiral salen Cr(III)-catalyzed reaction of **9a** with **3a**, as a sole example using  $\alpha$ -substituted acrolein, and **10a** was obtained with 67% ee.<sup>8a</sup> Moreover, the MacMillan catalyst **11**, which was reported to be an excellent chiral secondary amine catalyst for the reaction of **3e** by Fukuyama,<sup>10</sup> could not be used for the reaction of **3a**, probably due to the steric constraints in the iminium intermediate **12** (eq 3). Fortunately, in our Brønsted acid catalysis, not only **3e** but also  $\alpha$ -substituted acroleins **3a**, **3b**, and **3d** could be used successfully, and the corresponding products **10a**, **10b**, and **10c** were obtained with 92–98% ee, respectively (Scheme 3). Moreover, the

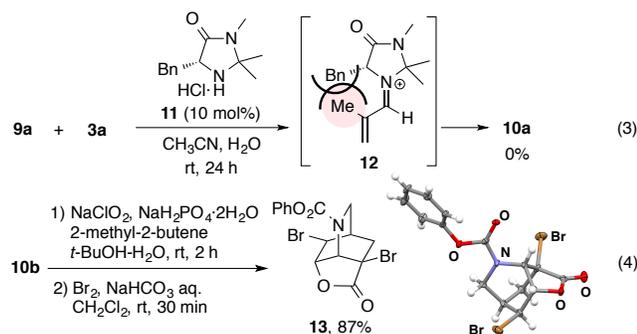
### Scheme 3. Reactions of 1,2-Dihydropyridines.



Products **10**, reaction time, yield, and enantioselectivity.



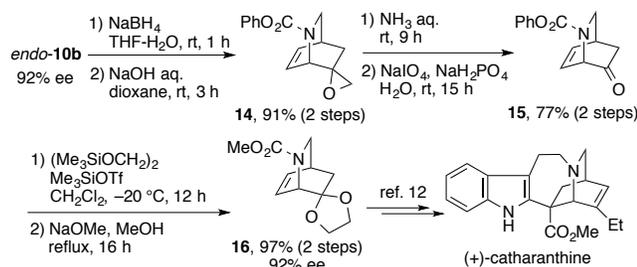
<sup>a</sup> 10 mol% of  $\text{BBr}_3$  was used. <sup>b</sup> 15 mol% of  $\text{BBr}_3$  was used.



novel compound **10b** was readily transformed to the  $\gamma$ -lactone **13** in 87% yield, and its stereochemistry was determined by X-ray analysis (eq 4).

To demonstrate the synthetic utility of our catalytic system, we performed a formal total synthesis of (+)-catharanthine, which is an important indole alkaloid that forms vinblastine, which has high antitumor activities (Scheme 4).<sup>11</sup> After Diels–Alder product **10b** was reduced to the alcohol with NaBH<sub>4</sub>, epoxidation under basic conditions gave **14**. Treatment of **14** with aqueous ammonia and subsequent oxidation with sodium periodate gave the ketone **15**. Acetalization of **15** with (Me<sub>3</sub>SiOCH<sub>2</sub>)<sub>2</sub>/Me<sub>3</sub>SiOTf and subsequent transesterification provided the desired key compound **16**<sup>12</sup> without a loss of optical purity. These easy high-yield transformations in six steps from **10b** to **16** might be attractive as a concise synthesis of (+)-catharanthine.

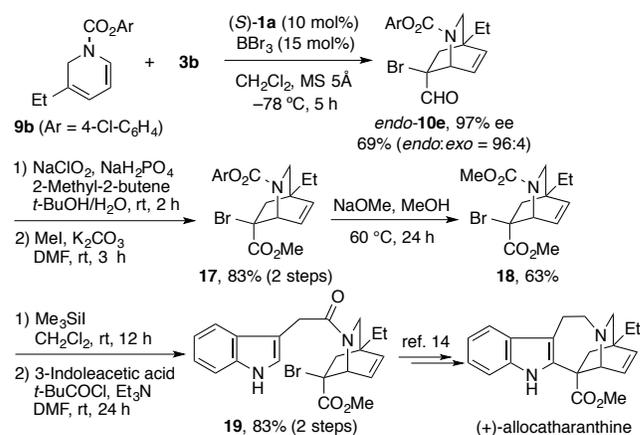
#### Scheme 4. Formal Total Synthesis of (+)-Catharanthine.



Moreover, we performed a transformation to the key intermediate of (+)-allocatharanthine, which is another component of vinblastine (Scheme 5).<sup>13</sup> Actually, the enantioselective Diels–Alder reactions of alkyl-substituted 1,2-dihydropyridines are still limited with the use of **3e**.<sup>8f</sup> As a great advantage of our catalytic system, the Diels–Alder reaction of **9b** with **3b** gave the desired **10e** as a major product with the use of BBr<sub>3</sub>–(*S*)-**1a**. Aldehyde **10e** was transformed to ester **17** and subsequent transesterification provided ester **18**. After *N*-decarbomethoxylation of **18**, condensation with 3-indoleacetic acid gave the desired key intermediate **19**<sup>14</sup>.

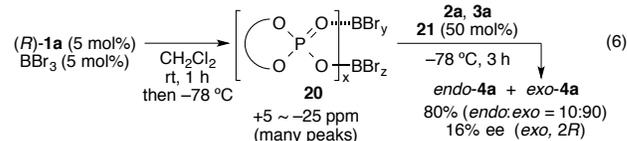
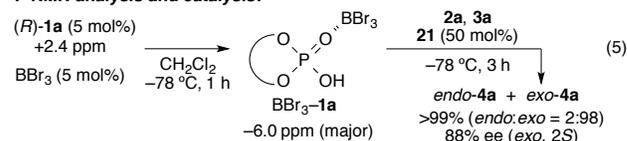
Finally, we turn our attention to mechanistic aspects. To identify a possible P=O···BBr<sub>3</sub> structure without the generation of HBr<sup>15</sup>, we performed a <sup>31</sup>P NMR analysis of a 1:1 molar ratio of (*R*)-**1a** and BBr<sub>3</sub> in dichloromethane (eq 5). As a result, a new signal, indicating

#### Scheme 5. Formal Total Synthesis of (+)-Allocatharanthine.

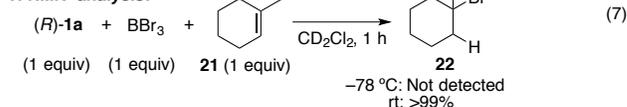


BBr<sub>3</sub>–(*R*)-**1a**, was observed as a major peak at –6.0 ppm at –78 °C, which was shifted from the original peak of (*R*)-**1a** at 2.4 ppm (eq 5, also see the SI with <sup>11</sup>B NMR). In contrast, the catalyst obtained by preparation at room temperature gave many new peaks at +5 to –25 ppm, which might be attributed to boronphosphonate derivatives **20** after the release of HBr (eq 6, also see the SI with <sup>11</sup>B NMR). Actually, the release of HBr at room temperature was confirmed by the generation of **22** from 1-methyl-1-cyclohexene **21**<sup>16</sup> as a HBr-scavenger (eq 7, also see the SI). Moreover, the reaction between **2a** and **3a** with the use of **20** and **21** provided **4a** with low enantioselectivity (eq 6). In contrast, upon the addition of **21** to BBr<sub>3</sub>–(*R*)-**1a**, which was prepared at –78 °C in advance, the enantioselectivity was essentially the same (eq 5 v.s. Table 1, entry 7). This result suggests that adventitious HBr, which would induce an uncatalyzed reaction, might not be generated *in situ* at –78 °C. By the LBA-strategy for phosphoric acids, which is different from the design of metal phosphates as bifunctional Lewis acid catalysts,<sup>1c,17</sup> powerful Brønsted acid catalysts can be easily obtained *in situ* (See the SI for <sup>1</sup>H NMR for PO<sub>2</sub>H).

#### <sup>31</sup>P NMR analysis and catalysis:



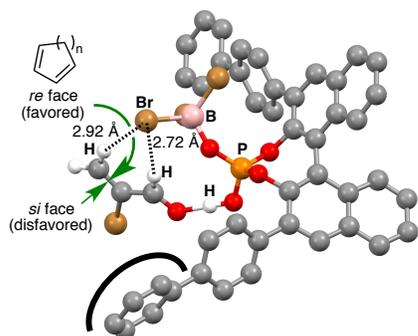
#### <sup>1</sup>H NMR analysis:



A possible structure of the BBr<sub>3</sub>–**1a**–**3b** complex was considered based on theoretical calculations (See the SI in detail). In the optimized geometry, the P=O moiety of

(*R*)-**1a** coordinates to  $\text{BBr}_3$  and the C=O moiety of **3b** coordinates to the proton of phosphoric acid (see the SI). Moreover, two hydrogen bonds for **3b**, such as  $\text{Br}\cdots\text{H}-\text{C}=\text{O}$  and  $\text{Br}\cdots\text{H}-\text{C}=\text{C}$ , were observed. These hydrogen bonding interactions show that the base function of the LBA shifts from the original P=O moiety to the terminal Br moiety, and thus the  $\text{BBr}_3$ -(*R*)-**1a** complex would also act as an acid–base cooperative catalyst.

**Figure 1.** B3LYP/6-31G\*-Optimized Geometry of  $\text{BBr}_3$ -(*R*)-**1a**–**3b** Complex



In summary, we have developed  $\text{BBr}_3$ -assisted chiral phosphoric acids as highly effective LBA catalysts. In particular, the enantioselective Diels–Alder reactions of  $\alpha$ -substituted acroleins with 1,2-dihydropyridines proceeded, and synthetically useful optically active intermediates for bioactive indole alkaloids were obtained.

## ASSOCIATED CONTENT

Experimental procedures and characterization data. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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The authors declare no competing financial interest.

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