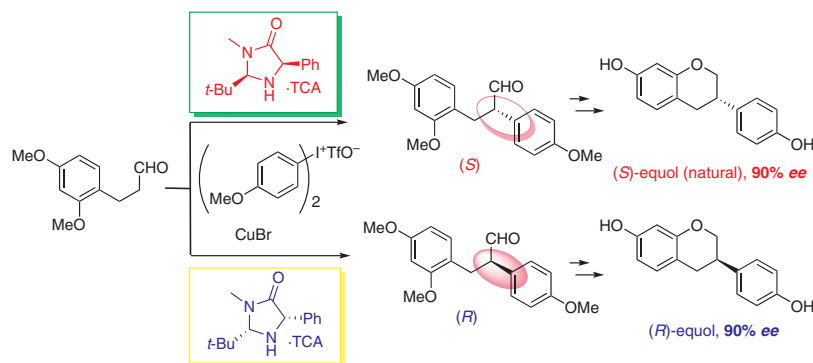


A Concise Enantiodivergent Synthesis of Equol

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Abstract Equol, a nonsteroidal estrogen produced from the metabolism of the isoflavonoid phytoestrogen daidzein, has been synthesized as both enantioenriched forms based on MacMillan's α -arylation of carbonyl compound mediated by amino acid derived indazolidinones and copper precatalysts. The natural form of (*S*)-equol and its enantiomer (*R*)-equol have been synthesized in 8 steps from 2,4-dimethoxybenzaldehyde with good enantiomeric purity (90% ee and 90% ee, respectively).

Key words equol, asymmetric synthesis, isoflavan, α -arylation, MacMillan's catalyst

Equol (**1**) is an isoflavandiol estrogen metabolized from daidzein, a type of isoflavone found in soybeans and other plant sources, by bacterial flora in the intestines (Figure 1).¹ A series of congeners for equol have also been elucidated (Figure 1).^{2–4}

Equol (**1**) was first isolated from horse urine in 1932⁵ and structurally elucidated to be (3*S*)-3-(4-hydroxyphenyl)-

7-chromanol.⁶ Since then, a variety of reports dealing with its biological functions have been documented,¹ which include the treatment of estrogen- or androgen-mediated diseases or disorders,⁷ skin-health-improving and anti-aging substance,⁸ the treatment of menopausal symptoms,⁹ symptoms of menopausal vaginal atrophy,¹⁰ and selective agonist of ER β .¹¹

Therefore, large amount of reports dealing with the chemical synthesis of equol have been demonstrated which include both racemic¹² and enantioselective syntheses.¹³ The latter constitutes the methods based on asymmetric transfer hydrogenation/deoxygenation,^{13a} rhodium-catalyzed asymmetric addition,^{13b} enantioselective allylic alkylation,^{13c} organocatalytic annulation of *o*-quinone methides and aldehydes,^{13d} a chiral pool approach,^{13e} iridium-catalyzed asymmetric hydrogenation,^{13f} the flavan–isoflavan rearrangement,^{13g} deracemization of α -aryl hydrocoumarins via catalytic asymmetric protonation,^{13h} enantioselective iridium-catalyzed hydrogenation,¹³ⁱ allylic substitution,^{13j} Evans alkylation,^{13k} and catalytic dynamic kinetic resolution.^{13l} However, few reports for the synthesis of the both antipodes of equol have been documented^{13e,f} despite the biological importance of unnatural (*R*)-equol.¹⁴

In this report, we disclose the asymmetric synthesis of both enantiomers of equol based on enantioselective α -arylation of aldehyde **3** with diaryliodonium salt **4**¹⁵ employing MacMillan's protocol in a concise and enantiodivergent manner with acceptable overall yield and good enantiopurity.¹⁶

Our synthetic plan for both antipodes of equol is illustrated in Scheme 1. α -Arylation of aldehyde **3**, derived from commercially available 2,4-dimethoxybenzaldehyde **2**, with diaryliodonium salt **4** in the presence of cuprous bromide and organocatalyst (2*R*,5*R*)-**5**¹⁶ should afford aldehyde (*S*)-**6**, presumably.¹⁶ Aldehyde (*S*)-**6** would afford natural (*S*)-equol (**1**) by the known transformations.^{13i,j} On the other

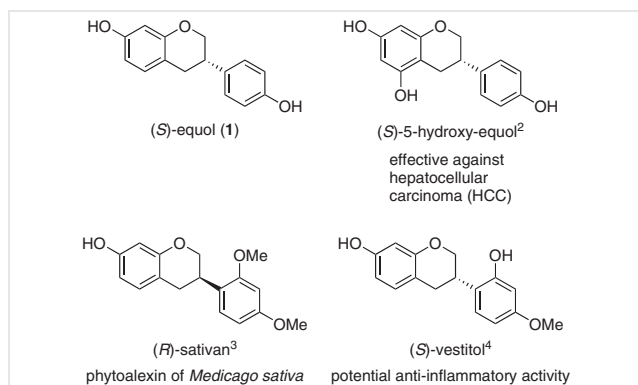
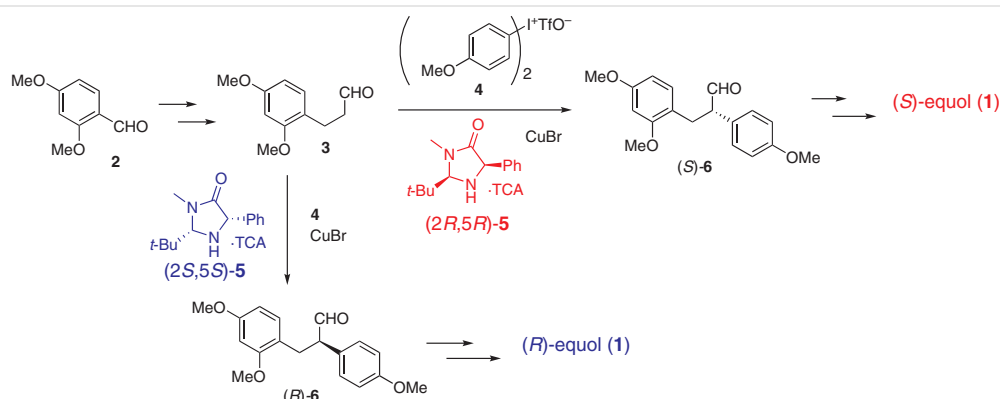


Figure 1 Equol and congeners



Scheme 1 Synthetic strategy for (*R*)- and (*S*)-equols

hand, by switching the chiral catalyst from (*2R,5R*)-**5** to its enantiomer (*2S,5S*)-**5**, (*R*)-equol (**1**) would be synthesized along the same sequences.

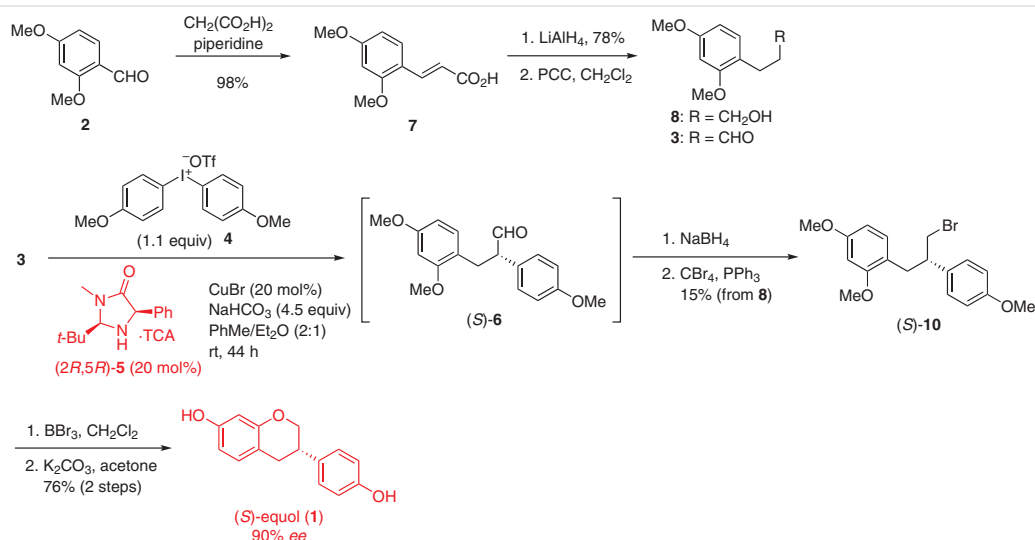
Substrate **3** for the asymmetric α -arylation reaction was synthesized from 2,4-dimethoxybenzaldehyde (**2**) through the Doebner modification of the Knoevenagel reaction followed by reduction of both carboxyl group and double bond, and then oxidation by PCC in 63% (3 steps) via carboxylic acid **7** and primary alcohol **8** (Scheme 2).¹⁷

Then, aldehyde **3** was subjected to α -arylation reaction with the use of MacMillan's catalyst (*2R,5R*)-**5**¹⁶ (20 mol%) and diaryliodonium salt **4**¹⁵ in the presence of copper(I) bromide (20 mol%) to afford product (*S*)-**6**. The aldehyde (*S*)-**6** was directly converted into bromide (*S*)-**10** through the reduction of aldehyde (*S*)-**6** to primary alcohol (*S*)-**9** (not shown) followed by bromination with $\text{CBr}_4/\text{PPh}_3$ system.¹³ⁱ Then, the bromide (*S*)-**10** was transformed to (*S*)-equol (**1**) by the literature procedure with degradation of

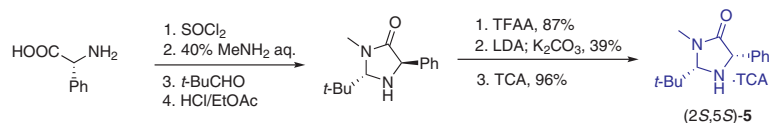
methyl ether using boron tribromide followed by base-mediated intramolecular alkylation via triol (*S*)-**11** (not shown).^{13i,j} The optical purity of (*S*)-equol (**1**) have been determined by chiral HPLC column to be 90% *ee* (see the Supporting Information).

As the natural enantiomer of equol has been successfully synthesized with an acceptable enantioselectivity and yield, we next focused on the synthesis of (*R*)-equol due to its potential biological activity.¹⁴ First, catalyst (*2S,5S*)-**5**, required for the access to antipode of natural (*S*)-equol (**1**), was newly prepared starting from D-phenylglycine as shown in Scheme 3.^{16b,17}

Along the same reaction sequences for the synthesis of (*S*)-equol (**1**), (*R*)-equol (**1**) was synthesized through α -arylation protocol with the use of enantiomeric (*2S,5S*)-**5** catalyst in a similar manner (Scheme 4). The optical purity of obtained (*R*)-equol (**1**) was 90% *ee* (see the Supporting Information).



Scheme 2 Synthesis of (*S*)-equol (**1**)



Scheme 3 Synthesis of organocatalyst (2S,5S)-5

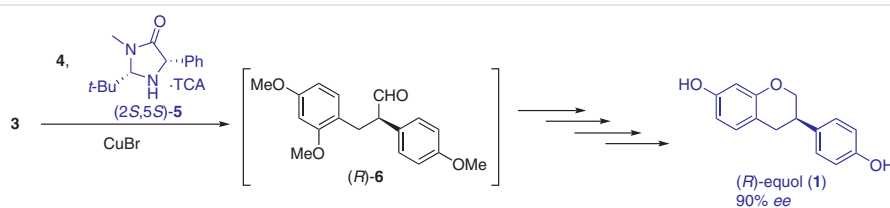
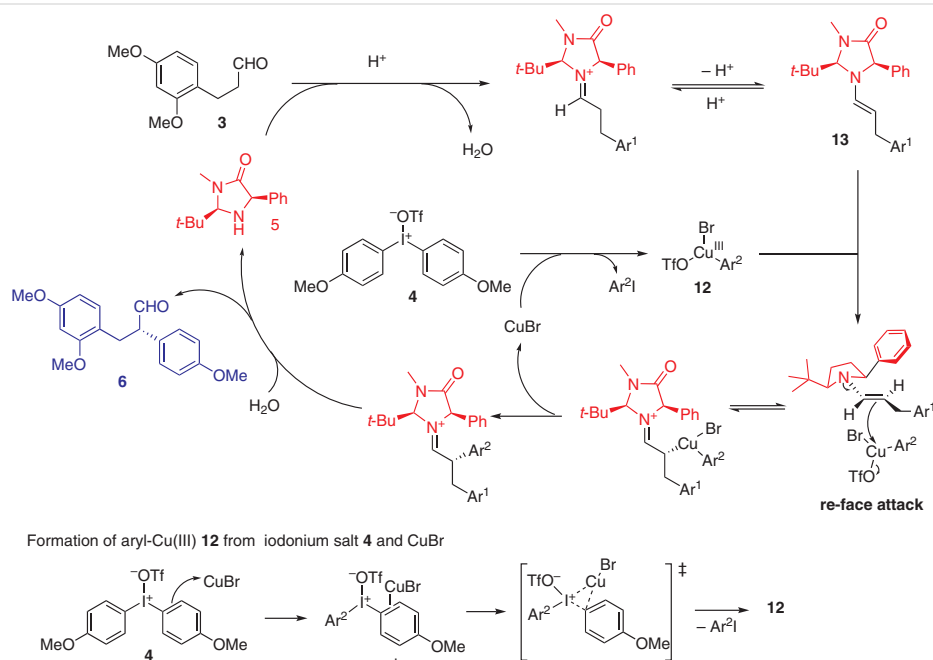
The mechanism for the reaction pathway and enantio-discrimination step for the α -arylation reaction is assumed as shown in Scheme 5.¹⁶ The *re*-face attack of copper species **12** formed from diaryliodonium salt **4** and copper(I) bromide to β -carbon of enamine **13** would be preferable due to the steric effect to afford the desired enantiomer **6**, selectively.

In conclusion, we have developed a simple protocol for the enantioselective synthesis of equol through MacMillan's α -arylation method. The (*S*)- and (*R*)-equols have been synthesized by simply applying the enantiomeric organocata-

lysts (*2R,5R*)-**5** and (*2S,5S*)-**5**, respectively. This method would serve as the synthesis of isoflavan and related compounds with chiral center at C-3 position. Synthesis of other isoflavans related to equol (Figure 1) by applying the present protocol is now in progress.

Funding Information

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Scheme 4 Synthesis of (*R*)-equol 1Scheme 5 Proposed mechanism for the formation aldehyde **6**

Supporting Information

Supporting information for this article is available online at <https://doi.org/10.1055/a-1303-9935>.

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- (17) **General Procedure for the Synthesis of (S)-3-(2,4-Dimethoxyphenyl)-2-(4-methoxyphenyl)propan-1-ol (9)**^{16a}
To an oven-dried round-bottom flask was added crude diaryliodonium salt **4** (65–70% purity determined by ¹H NMR; 3.24 g, 4.34 mmol) and CuBr (122 mg, 0.85 mmol), and then toluene (6.4 mL) and Et₂O (3.2 mL) were added. Afterwards, (2R,5R)-**5** (334 mg, 0.844 mmol) and NaHCO₃ (1.62 g, 19.3 mmol) were added to the suspension. Under argon atmosphere, a solution of aldehyde **3** (823 mg) in toluene/Et₂O (2:1, 4.8 mL) was added. The reaction mixture was stirred at room temperature for 44 h. The resulting mixture was diluted with CH₂Cl₂ (10 mL) and cooled to –23 °C. After adding NaBH₄ (1.64 g, 43.3 mmol), cold MeOH (8 mL) was gradually added to the solution, and the mixture was stirred for 1 h at –23 °C. The reaction mixture was quenched with water (8 mL) and extracted with EtOAc (3 × 30 mL). The combined organic layer was washed with sat. NH₄Cl aq. (2 × 30 mL), dried over Na₂SO₄, and the solvent was removed in vacuo. The resulting yellow oil was purified by silica gel column chromatography using hexane/EtOAc (7:3) as an eluent to give (S)-3-(2,4-dimethoxyphenyl)-2-(4-methoxyphenyl)propan-1-ol (**9**) including inseparable 3-(2,4-dimethoxyphenyl)propyl alcohol (**8**) as a pale yellow oil (630 mg, (S)-**9/8** = 71:29 estimated by ¹H NMR analysis).