

# A Convenient Halogenation of $\alpha,\beta$ -Unsaturated Carbonyl Compounds with OZONE® and Hydrohalic Acid (HBr, HCl)

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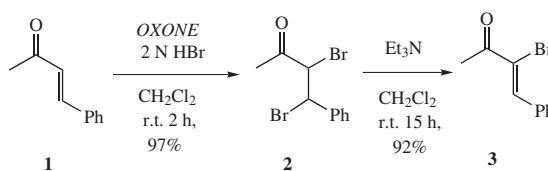
**Abstract:** Mixtures of OZONE® and hydrobromic acid or hydrochloric acid afford solutions of bromine or chlorine, respectively.  $\alpha$ -Bromo- or  $\alpha$ -chloro- $\alpha,\beta$ -unsaturated carbonyl compounds were prepared by addition of hydrobromic acid or hydrochloric acid to the mixture of  $\alpha,\beta$ -unsaturated carbonyl compounds and OZONE® in CH<sub>2</sub>Cl<sub>2</sub> followed by treatment of Et<sub>3</sub>N in moderate to good yields.

**Key words:** halogenation, OZONE®, hydrobromic acid, hydrochloric acid,  $\alpha,\beta$ -unsaturated carbonyl compounds

$\alpha$ -Halo- $\alpha,\beta$ -unsaturated carbonyl compounds are a class of important intermediates for the synthesis of  $\alpha$ -carbon substituted enones<sup>1</sup> and natural products.<sup>2</sup> They are generally prepared by direct addition-elimination reaction with halogen (Br<sub>2</sub>, Cl<sub>2</sub>).<sup>3</sup> The use of molecular halogen suffers from potentially environmental hazard and the utilization in large scale operation often causes problem. Several indirect methods for the preparation of  $\alpha$ -halo- $\alpha,\beta$ -enones have been studied such as addition-elimination of expensive phenylselenenyl halides,<sup>4</sup> deoxygenation of  $\alpha,\beta$ -epoxy ketones with BF<sub>3</sub>·Et<sub>2</sub>O and tetraethylammonium bromide,<sup>5</sup> and the reaction of secondary alkynols with N-halosuccinimide in the presence of Koser's reagent<sup>6</sup> have been reported. Oxidative halogenation includes the reaction with HBr and hydrogen peroxide promoted by phase transfer catalyst,<sup>7</sup> with OZONE® and sodium halides,<sup>8</sup> with 1,1,1-triacetoxy-1,1-dihydro-1,2-benzidoxol-3(1H)-one (DMP) and tetraethylammonium bromide,<sup>9</sup> and with anhydrous HCl in DMF and mCPBA.<sup>10</sup> For recycling industrial by-product HCl or HBr, we have studied the oxidative halogenation of  $\alpha,\beta$ -unsaturated carbonyl compounds and alkenes with hydrohalic acid (HBr and HCl) and OZONE® which is cost-effectiveness, efficient and mild oxidant with stable and nontoxic nature.

In continuation of our studies,<sup>10a</sup> we have examined the bromination of *trans*-4-phenyl-3-buten-2-one (**1**) with hydrogen bromide (30% in HOAc) in DMF and OZONE®, and found that the product was 3,4-dibromo-4-phenyl-2-butanone (**2**) in 67% yield. When the reaction with hydrogen bromide in DMF was replaced by hydrobromic acid (48% in water) in DMF with OZONE®, the isolated yield of dibromo-adduct (**2**) increased to 83%. When the same reaction was repeated with aqueous 2 N HBr (2 equiv) in

CH<sub>2</sub>Cl<sub>2</sub> enough to dissolve OZONE®, the 97% yield of **2** was achieved (Scheme 1). The results showed that molecular bromine is effectively generated by oxidation of hydrobromic acid with OZONE® in aqueous medium. In the reaction it was found to be easy to control the amount of bromine generated by adjusting concentration of hydrobromic acid with OZONE®.



Scheme 1

When the chlorination of **1** with 2 N HCl and OZONE® in CH<sub>2</sub>Cl<sub>2</sub> was attempted, the product was the corresponding 3,4-dichloro-4-phenyl-2-butanone which was difficult to isolate due to its spontaneous dehydrochlorination during the purification procedure or upon standing neat at room temperature to afford stable 3-chloro-4-phenyl-3-buten-2-one.<sup>8,10a</sup> In an effort to optimize the yields of the halogen addition product, dehydrochlorination was conducted by cautious addition of Et<sub>3</sub>N to afford 3-chloro-4-phenyl-3-buten-2-one (**3b**) in 77% of yield (*E/Z* ratio is 37:63). Similarly, treatment of 2 N HBr to a mixture of 4-phenyl-3-buten-2-one and OZONE® in CH<sub>2</sub>Cl<sub>2</sub> followed by dehydrobromination with excess use of Et<sub>3</sub>N afforded (*E*)-3-bromo-4-phenyl-3-buten-2-one (**3a**, 15%) and (*Z*)-3-bromo-4-phenyl-3-buten-2-one (**3a**, 77%), respectively (Table 1, entry 1). The structures of stereoisomers are supported by the appearance of singlet vinylic proton in its NMR spectrum at  $\delta$  = 7.37 (*E*-form) and  $\delta$  = 8.03 (*Z*-form).<sup>3a</sup> The reaction of *trans*-cinnamaldehyde under the same condition was stereoselective and afforded exclusively *Z*-isomers of *trans*-2-bromo- or *trans*-2-chloro-cinnamaldehyde (**3c** and **3d**) in modest yields (entry 2). The bromination of sterically hindered 4-methyl-3-penten-2-one under the same condition gave excellent yield of dibromo adduct (isolated in 99% yield), but dehydrobromination with Et<sub>3</sub>N was inefficient giving only 37% yield of 3-bromo-4-methyl-3-penten-2-one (**3e**, entry 3).

In a similar manner, treatment of other acyclic or cyclic enones such as n-4-hexen-3-one, 2-cyclohexenone and 4,4-dimethyl-2-cyclohexenone gave the corresponding  $\alpha$ -halo enones in modest to good yields (entries 4–6). The

**Table 1** Halogenation of  $\alpha,\beta$ -Unsaturated Carbonyl Compounds Using *OXONE*<sup>®</sup> and Hydrobromic Acid or Hydrochloric Acid<sup>a</sup>

Entry	Substrate	HX	Product (X = Br, Cl)	Yield (%) <sup>b</sup> (E/Z ratios) <sup>c</sup>
1		HBr HCl		<b>3a</b> (92) (16:84) <sup>d</sup> <b>3b</b> (77) (37:63) <sup>d</sup>
2		HBr HCl		<b>3c</b> (70) (0:100) <sup>d</sup> <b>3d</b> (64) (0:100) <sup>d</sup>
3		HBr		<b>3e</b> (37)
4		HBr HCl		<b>3f</b> (74) <b>3g</b> (48)
5		HBr HCl		<b>3h</b> (99) <b>3i</b> (63)
6		HBr HCl		<b>3j</b> (98) <b>3k</b> (61)
7		HBr HCl		<b>3l</b> (55) <b>3m</b> (20)
8		HBr		<b>3n</b> (92)
9		HBr HCl		<b>3o</b> (89) (46:54) <b>3p</b> (82) (27:73)
10		HBr		<b>3q</b> (79)

<sup>a</sup> Substrate (5 mmol), *OXONE*<sup>®</sup> (3.7 g, 6 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (20 mL) and 2 N HX (X = Br or Cl, 11 mmol) were allowed to react for 2 h and Et<sub>3</sub>N (3 equiv) was stirred for 2 h for dehydrohalogenation.

<sup>b</sup> Isolated yield determined by silica gel (70–230 mesh) column chromatography. All compounds showed satisfactory spectroscopic data (NMR, IR, Mass).

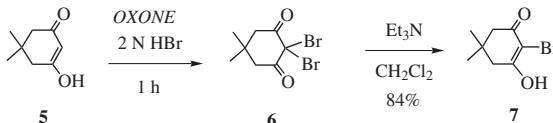
<sup>c</sup> The values in parenthesis are isolated yields of the stereoisomers.

<sup>d</sup> The ratios of stereoisomers were determined by <sup>1</sup>H NMR analysis.

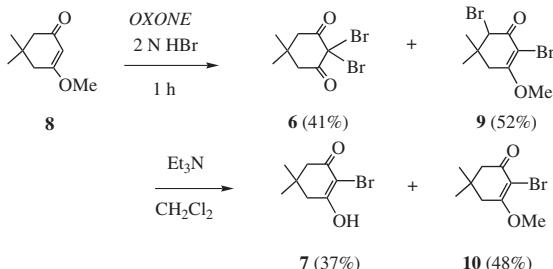
reaction with chromone gave low yields of  $\alpha$ -chloro- and  $\alpha$ -bromo-chromone in 20% and 55% yields, respectively, together with the recovered chromone (entry 7), whereas the reaction with coumarin, a class of cyclic lactone, gave excellent yield of  $\alpha$ -bromo compound **3n** even in the reaction condition of 2 N HBr and *OXONE*<sup>®</sup> in DMF system (entry 8).

The strongly acidic condition may provide advantage for the halogenation of  $\alpha,\beta$ -unsaturated esters, which does not

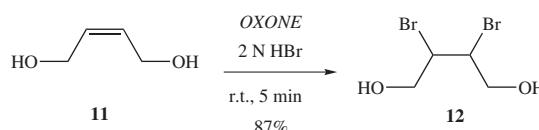
work for NaBr or NaCl with *OXONE*<sup>®</sup> in two phase organic solvent and water.<sup>7</sup> Thus, the treatment of 2 N HBr or 2 N HCl with a mixture of ethyl *trans*-cinnamate and *OXONE*<sup>®</sup> in CH<sub>2</sub>Cl<sub>2</sub> followed by dehydrohalogenation yielded the desired ethyl  $\alpha$ -bromocinnamate (**3o**) or ethyl  $\alpha$ -chlorocinnamate (**3p**) were obtained as a mixture of stereoisomers in good yields (entry 9). Similarly, when the reaction was repeated with sterically hindered ethyl 3,3-dimethacrylate under the same conditions, ethyl 2-bromo-3,3-dimethacrylate (**3q**) was isolated in 79% yield (entry 10).

**Scheme 2**

The reaction of 5,5-dimethyl-3-hydroxy-cyclohex-2-enone (5) with 2 N HBr and *OXONE*<sup>®</sup> gave exclusively 2,2-dibromo compound **6** which was treated with Et<sub>3</sub>N without isolation to afford 2-bromo-5,5-dimethyl-3-hydroxycyclohex-2-enone (7) in 84% yield (Scheme 2). On the other hand, the reaction of 5,5-dimethyl-3-methoxy cyclohexen-2-one (8) with 2 N HBr and *OXONE*<sup>®</sup> gave mixtures of 2,2-dibromo compound **6** and 2,6-dibromo compound **9**, which were treated with Et<sub>3</sub>N to afford compound **7** and 2-bromo-5,5-dimethyl-3-methoxycyclohexen-2-one (**10**) in 37% and 48% yields, respectively (Scheme 3).<sup>11</sup>

**Scheme 3**

Similarly, the reaction proved to be effective in alkenes. The reaction of 1,4-dihydroxy-2-butene (**11**) and 2 N HBr in the presence of *OXONE*<sup>®</sup> afforded 2,3-dibromo-1,4-dihydroxybutane (**12**) in 87% yield in 5 minutes (Equation 1). Thus, the method appears to be quite general for  $\alpha$ -halo- $\alpha,\beta$ -unsaturated carbonyl compounds and alkenes.

**Equation 1**

**3-Bromo-4-phenyl-3-butene-2-one; General Procedure**

To a mixture of 4-phenyl-3-butene-2-one (0.73 g, 5 mmol) and OXONE® (3.7 g, 6 mmol) in  $\text{CH}_2\text{Cl}_2$  (20 mL) was added 2 N HBr (5.5 mL, 11 mmol of HBr) in one portion resulting in dark red colored solution. After stirring for 2 h at r.t., the color disappeared and  $\text{Et}_3\text{N}$  (4 mL) was added cautiously. After stirring for further 12 h and usual work-up, purification of the crude product by silica gel column chromatography afforded (*E*)-3-bromo-4-phenyl-3-butene-2-one (0.17 g, 15%) and (*Z*)-3-bromo-4-phenyl-3-butene-2-one (0.86 g, 77%) as oil.

**(*E*)-3-Bromo-4-phenyl-3-butene-2-one (3a)**

IR (NaCl): 2347 (s), 1726 (m), 1710 (s), 1668 (s)  $\text{cm}^{-1}$ .

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 2.27 (s, 3 H), 7.23–7.37 (m, 6 H).

MS (70 eV):  $m/z$  (%) = 226 (23) [M + 2], 224 (25) [M $^+$ ], 145 (73), 102, 43 (100).

**(*Z*)-3-Bromo-4-phenyl-3-butene-2-one (3a)**

IR (NaCl): 2347 (m), 1726 (m), 1630 (s), 1467 (m)  $\text{cm}^{-1}$ .

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 2.61 (s, 3 H), 7.41–7.46 (m, 3 H), 7.85–7.90 (m, 2 H), 8.03 (s, 1 H).

MS (70 eV):  $m/z$  (%) = 226 (15) [M + 2], 224 (16) [M $^+$ ], 145 (73), 102 (100).

**3,4-Dibromo-4-phenyl-2-butanone (2)**

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 2.45 (s, 3 H), 4.93 (d,  $J$  = 11.8 Hz, 1 H), 5.32 (d,  $J$  = 11.8 Hz, 1 H), 7.37–7.43 (m, 5 H).

MS (70 eV):  $m/z$  (%) = 306 (5) [M + 2], 304 (15) [M], 302 (5) [M – 2], 225 (85).

**(*E*)-3-Chloro-4-phenyl-3-butene-2-one (3b)**

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 2.27 (s, 3 H), 7.17 (s, 1 H), 7.26–7.53 (m, 5 H).

MS (70 eV):  $m/z$  (%) = 180 (15) [M $^+$ ], 84 (100).

**(*Z*)-3-Chloro-4-phenyl-3-butene-2-one (3b)**

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 2.50 (s, 3 H), 7.25–7.41 (m, 3 H), 7.72 (s, 1 H), 7.81–7.85 (m, 2 H).

**(*Z*)-2-Bromo-3-phenylpropanal (3c)**

IR (KBr): 2348 (s), 1725 (m), 1669 (s), 1530 (s)  $\text{cm}^{-1}$ .

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 7.45–7.52 (m, 3 H), 7.90 (s, 1 H), 7.97–8.02 (m, 2 H), 9.34 (s, 1 H).

MS (70 eV):  $m/z$  (%) = 212 (47) [M + 2], 210 (49) [M $^+$ ], 103 (100), 102 (91).

**(*Z*)-2-Chloro-3-phenylpropanal (3d)**

IR (KBr): 2348 (s), 1694 (s), 1609 (s), 1121 (s)  $\text{cm}^{-1}$ .

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 7.45–7.53 (m, 3 H), 7.55 (s, 1 H), 7.91–7.98 (m, 2 H), 9.51 (s, 1 H).

MS (70 eV):  $m/z$  (%) = 167 (39) [M $^+$ ], 165 (100), 103 (89), 102 (55).

**3-Bromo-4-methyl-3-penten-2-one (3e)**

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 2.02 (s, 3 H), 2.03 (s, 3 H), 2.47 (s, 3 H).

**4-Bromo-hex-4-en-3-one (3f)**

IR (NaCl): 1693 (s), 1597 (m), 1619 (w), 1323 (m), 1188 (m), 1158 (m)  $\text{cm}^{-1}$ .

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 1.14 (t, 3 H), 2.00 (d,  $J$  = 6.8 Hz, 3 H), 2.79 (q, 2 H), 7.25 (q,  $J$  = 6.8 Hz, 1 H).

MS (70 eV):  $m/z$  (%) = 178 (22.5) [M $^+$ ], 121 (22.8), 84 (46), 58 (58.6), 43 (100).

**4-Chloro-hex-4-en-3-one (3g)**

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 1.13 (t, 3 H), 1.98 (d,  $J$  = 6.8 Hz, 3 H), 2.76 (q, 2 H), 7.05 (q,  $J$  = 6.8 Hz, 1 H).

**2-Bromocyclohex-2-en-1-one (3h)**

IR (KBr): 1681 (s), 1652 (s), 1598 (s), 1318 (m), 1425 (m), 1125 (m)  $\text{cm}^{-1}$ .

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 2.02–2.15 (m, 2 H), 2.44–2.49 (m, 2 H), 2.52–2.67 (m, 2 H), 7.45 (t,  $J$  = 4.5 Hz, 1 H).

MS (70 eV):  $m/z$  (%) = 176 (24) [M + 2], 174 (23) [M $^+$ ], 148 (26), 146 (26), 86 (64), 84 (100).

**2-Bromo-4,4-dimethylcyclohexeneone (3j)**

IR (NaCl): 1697 (s), 1597 (m), 1468 (w), 1323 (m), 1145 (m), 813 (m)  $\text{cm}^{-1}$ .

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 1.17 (s, 6 H), 1.87 (t,  $J$  = 6.8 Hz, 2 H), 2.60 (t,  $J$  = 6.8 Hz, 2 H), 7.08 (s, 1 H).

MS (70 eV):  $m/z$  (%) = 205 (3.82) [M + 2], 203 (3.76) [M $^+$ ], 123 (100).

**2-Bromochromone (3l)**

Mp 94–98 °C.

IR (KBr): 1624 (s), 1529 (m), 1482 (m), 1089 (m)  $\text{cm}^{-1}$ .

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 7.24–7.49 (m, 2 H), 7.66–7.75 (m, 1 H), 8.22 (s, 1 H), 8.22–8.29 (m, 1 H).

MS (70 eV):  $m/z$  (%) = 226 (20) [M $^+$ ], 224 (24) [M], 145(13), 120 (38), 63 (100).

**2-Bromocoumarine (3n)**

Mp 110–111 °C.

IR (KBr): 7.28–7.35 (m, 2 H), 7.46–7.62 (m, 2 H), 8.11 (s, 1 H).

MS (70 eV):  $m/z$  (%) = 226 (73) [M + 2], 224 (73) [M + 2], 198 (21), 196 (21), 145 (27).

**Ethyl  $\alpha$ -Bromocinnamate (3o)**

IR (NaCl): 1763 (s), 1636 (m), 1482 (m), 1247 (s), 1064 (s)  $\text{cm}^{-1}$ .

**(E)-Ethyl  $\alpha$ -Bromocinnamate:**  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 1.18 (t, 3 H), 4.21 (q, 2 H), 7.26–7.36 (m, 6 H).

**(Z)-Ethyl  $\alpha$ -Bromocinnamate:**  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 1.39 (t, 3 H), 4.36 (q, 2 H), 7.39–7.45 (m, 3 H), 7.83–7.88 (m, 2 H), 8.22 (s, 1 H).

MS (70 eV):  $m/z$  = 256 (24) [M + 2], 254 (25) [M $^+$ ], 175 (84), 147(100), 129 (33), 102 (81).

**(E)-Ethyl  $\alpha$ -Chlorocinnamate (3p)**

IR (NaCl): 1763 (s), 1636 (m), 1482 (m), 1247 (s), 1064 (s)  $\text{cm}^{-1}$ .

**(Z)-Ethyl  $\alpha$ -Chlorocinnamate (3p)** IR (NaCl): 1728 (s), 1618 (m), 1492 (m), 1263 (s), 1199 (s)  $\text{cm}^{-1}$ .

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 1.38 (t, 3 H), 4.36 (q, 2 H), 7.39–7.45 (m, 3 H), 7.82–7.87 (m, 2 H), 7.91 (s, 1 H).

MS (70 eV):  $m/z$  = 245 (7) [M $^+$ ], 244 (8), 210 (59), 147 (55), 129 (21), 102 (100).

**Ethyl 2-Bromo-3,3-dimethylacrylate (3q)**

IR (NaCl): 1728 (s), 1464 (m), 1371 (m), 1266 (s), 1182 (s), 1030 (m)  $\text{cm}^{-1}$ .

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  = 1.30 (t, 3 H), 1.92 (s, 3 H), 2.03 (s, 3 H), 4.39 (q, 2 H).

MS (70 eV):  $m/z$  (%) = 209 (16) [M + 2], 207 (18) [M<sup>+</sup>], 135 (14), 55 (100).

**2,2-Dibromo-5,5-dimethylcyclohexane-1,3-dione (6)**

<sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  = 1.02 (s, 6 H), 3.01 (s, 4 H).

MS (70 eV):  $m/z$  (%) = 300 (2.42) [M + 2], 298 (2.77) [M<sup>+</sup>], 83 (100).

**2-Bromo-5,5-dimethyl-3-hydroxycyclohex-2-en-1-one (7)**

Mp 158–163 °C.

IR (KBr): 2347 (s), 1629 (s), 1529 (m), 1319 (s) cm<sup>-1</sup>.

<sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  = 1.12 (s, 6 H), 2.44 (s, 2 H), 2.53 (s, 2 H), 6.61 (s, 1 H).

MS (70 eV):  $m/z$  (%) = 220 (19) [M + 2], 218 (20) [M<sup>+</sup>], 164 (70), 162 (61), 83 (66), 55 (100).

**2,6-dibromo-5,5-dimethyl-3-methoxycyclohex-2-en-1-one (9)**

<sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  = 1.25 (s, 3 H), 1.32 (s, 3 H), 2.41 (dd,  $J$  = 1.7 Hz,  $J$  = 16.8 Hz, 1 H), 2.45 (dd,  $J$  = 1.7 Hz,  $J$  = 16.8 Hz, 1 H), 4.11 (s, 3 H), 4.61 (d,  $J$  = 1.7 Hz, 1 H).

MS (70 eV):  $m/z$  (%) = 314 (11.81) [M + 2], 312 (11.87) [M<sup>+</sup>], 124 (100).

**2-Bromo-5,5-dimethyl-3-methoxycyclohex-2-en-1-one (10)**

Mp 95–102 °C.

IR (KBr): 2347 (s), 1690 (s), 1610 (s), 1482 (m), 1385 (m), 1328 (m), 1269 (s) cm<sup>-1</sup>.

<sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  = 1.13 (s, 6 H), 2.42 (s, 2 H), 2.54 (s, 2 H), 3.94 (s, 3 H).

MS (70 eV):  $m/z$  (%) = 234 (17.03) [M + 2], 232 (18.87) [M<sup>+</sup>], 178 (22.29), 175 (29.67), 68 (91), 67 (100).

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