

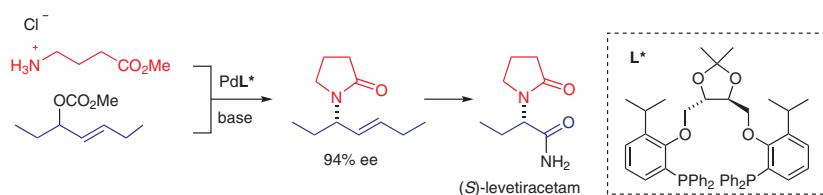
# A Short Enantioselective Synthesis of (*S*)-Levetiracetam through Direct Palladium-Catalyzed Asymmetric *N*-Allylation of Methyl 4-Aminobutyrate

Dominik Albat

Jörg-Martin Neudörfl

Hans-Günther Schmalz<sup>\*</sup> 

University of Cologne, Department of Chemistry,  
Greinstraße 4, 50939 Köln, Germany  
schmalz@uni-koeln.de



Received: 07.04.2021  
Accepted after revision: 28.04.2021  
Published online: 28.04.2021  
DOI: 10.1055/a-1493-9078; Art ID: st-2021-b0130-l



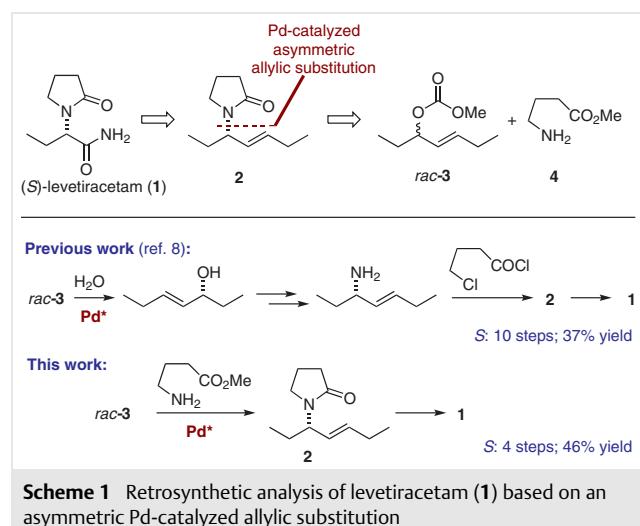
**Abstract** An exceedingly short and enantioselective synthesis of the antiepileptic drug (*S*)-levetiracetam was elaborated. As the chirogenic key step, a Pd-catalyzed asymmetric *N*-allylation of methyl 4-aminobutyrate was achieved in the presence of only 1 mol% of a catalyst prepared *in situ* from  $[\text{Pd}(\text{allyl})\text{Cl}]_2$  and a tartaric acid-derived  $C_2$ -symmetric diphosphine ligand.

**Key words** asymmetric catalysis, palladium catalysis, chiral ligands, amino acid derivatives, ozonolysis, levetiracetam

Epilepsy is the most common neurological disorder, affecting about 50 million people all over the world.<sup>1</sup> Treatment consists primarily of the use of antiepileptic agents such as levetiracetam (**1**), which shows no significant drug-drug interactions and has favorable pharmacokinetic properties, making it one of the most commonly prescribed anticonvulsants.<sup>2</sup>

Despite its structural simplicity, the synthesis of **1** in nonracemic form is not trivial, as reflected by the various protocols that have been developed. Classical approaches are based on the late construction of the pyrrolidone unit from (*S*)-2-aminobutanamide (or the corresponding esters) obtained by desulfurization of methionine, resolution, or biocatalysis.<sup>3</sup> Notably, the direct nucleophilic introduction of the pyrrolidone ring in an  $S_N2$  fashion has been achieved only with less readily available substrates.<sup>4</sup> Asymmetric approaches toward **1** involve the use of Evans's auxiliary in the diastereoselective ethylation of a pyrrolidone-1-yl-acetic acid derivative<sup>5</sup> and the highly enantioselective hydrogenation of the dehydroamino acid (enamide) derivative corresponding to **1**.<sup>6</sup>

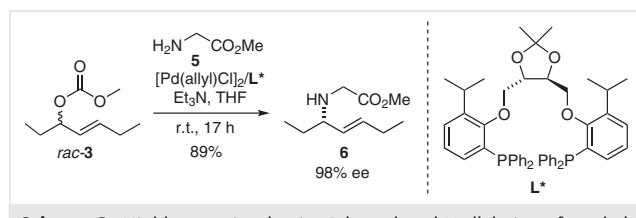
In the course of our research into asymmetric Pd-catalyzed *N*-allylation of amino acid derivatives,<sup>7</sup> we envisioned challenging (benchmarking) the developed methodology for the synthesis of **1** according to the retrosynthetic analysis shown in Scheme 1. Our main goal was to achieve the direct *N*-allylation of methyl 4-aminobutanoate (**4**), as a synthetic equivalent of pyrrolidone, with high enantioselectivity by employing the racemic carbonate *rac*-**3**. Efficient conversion of the cyclized product **2** into (*S*)-levetiracetam (**1**) should then be achieved through oxidative cleavage of the double bond and amide formation. A conceptually related approach had recently been described by Stecko and co-workers, who initially employed an enantioselective Pd-catalyzed hydrolysis of *rac*-**3** to prepare the (*R*)-allylic alcohol; this was further transformed into the corresponding (*S*)-amine through a stereospecific [3,3]-rearrangement before the pyrrolidone unit was established



**Scheme 1** Retrosynthetic analysis of levetiracetam (**1**) based on an asymmetric Pd-catalyzed allylic substitution

by reaction of the amine with 4-chlorobutanoyl chloride.<sup>8</sup> Although the Stecko synthesis provides the target **1** with a respectable overall yield, its low step economy<sup>9</sup> reflects the difficulties associated with the direct introduction of suitably functionalized amines in asymmetric allylic substitution reactions.<sup>10,11</sup>

Addressing this particular challenge, we recently succeeded in developing a powerful protocol for the Pd-catalyzed asymmetric *N*-allylation of  $\alpha$ -amino acid esters by employing a new class of tartaric acid-derived *C<sub>2</sub>*-symmetric chiral diphosphine ligands, such as (*S,S*)-iPr-MediPhos (**L<sup>\*</sup>**),<sup>12</sup> which not only gave rise to high enantioselectivities, but also formed particularly active catalysts (Scheme 2).<sup>7</sup> Here, we describe an adaptation of this methodology in an exceedingly short and efficient synthesis of levetiracetam (**1**), according to the strategy outlined in Scheme 1.



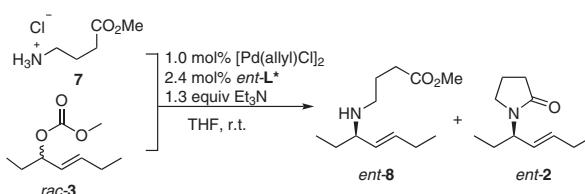
**Scheme 2** Highly enantioselective Pd-catalyzed *N*-allylation of methyl glycinate (**5**) by using the chiral diphosphine (*S,S*)-iPr-MediPhos (**L<sup>\*</sup>**)

Although our initial attempts to employ pyrrolidin-2-one directly as a nucleophile in a Pd-catalyzed reaction with *rac*-3 failed due to a lack of conversion, the use of methyl 4-aminobutyrate (**4**) (generated *in situ* from the

commercially available stable crystalline hydrochloride **7** and triethylamine) in the presence of dppe as an achiral ligand resulted in the clean formation of a mixture of the allylic amine *rac*-8 and the corresponding cyclized pyrrolidone derivative *rac*-2 (Table 1; entry 1). On using the diphosphine ligand (*R,R*)-iPr-MediPhos (*ent*-**L<sup>\*</sup>**) in the *N*-allylation of **4**, full conversion of *rac*-3 was observed after just 2.5 hours, giving a 9:1 mixture of *ent*-8 and *ent*-2. Upon prolongation of the reaction time, the amount of the pyrrolidone product *ent*-2 steadily increased, indicating that the cyclization of *ent*-8 occurs as a secondary process under the reaction conditions. After 58 hours at room temperature, the content of the desired product *ent*-2 was 93%. Determination of the enantiomeric excess proved to be difficult, as the enantiomers of neither the amine *rac*-8 nor the lactam *rac*-2 could be separated on the available GC columns. However, after conversion of lactam **2** into ester **9** (see below), the enantiomeric excess could be determined by means of chiral GC. In this way, the resulting sample of *ent*-2 (Table 1, entry 4) was found to have an enantiomeric purity of 93% ee. The expected (*R*)-configuration was confirmed by correlation of the molecular rotation of *ent*-9 ( $[\alpha]_D = 41^\circ$  in  $\text{CHCl}_3$ ) with the reported value.<sup>3b</sup>

In contrast to our original reaction system (Scheme 2),<sup>7</sup> the *N*-allylation of **7** showed only a small increase in enantioselectivity upon lowering the concentration (Table 1, entries 4–7). Although the reaction proceeded with  $\leq 94\%$  ee at a concentration of 0.50 M, the content of the desired pyrrolidone derivative *ent*-2 in the mixture was only about 45% after 17 hours.

**Table 1** Optimization of the Asymmetric *N*-Allylation of Methyl 4-Aminobutyrate (**4**)<sup>a</sup>



| Entry | Ligand                            | Conc. <sup>b</sup> (mol/L) | Time (h) | Conv. <sup>c</sup> (%) | Product ratio <sup>c</sup> (%) |               | ee <sup>d</sup> (%) |
|-------|-----------------------------------|----------------------------|----------|------------------------|--------------------------------|---------------|---------------------|
|       |                                   |                            |          |                        | <i>ent</i> -8                  | <i>ent</i> -2 |                     |
| 1     | dppe                              | 2.00                       | 13       | 100                    | 54                             | 46            | ( <i>rac</i> )      |
| 2     | <i>ent</i> - <b>L<sup>*</sup></b> | 2.00                       | 2.5      | 100                    | 89                             | 11            | n.d. <sup>e</sup>   |
| 3     | <i>ent</i> - <b>L<sup>*</sup></b> | 2.00                       | 17       | 100                    | 59                             | 41            | n.d.                |
| 4     | <i>ent</i> - <b>L<sup>*</sup></b> | 2.00                       | 58       | 100                    | 7                              | 93            | 93                  |
| 5     | <i>ent</i> - <b>L<sup>*</sup></b> | 1.00                       | 17       | 100                    | 52                             | 48            | 93                  |
| 6     | <i>ent</i> - <b>L<sup>*</sup></b> | 0.50                       | 17       | 100                    | 55                             | 45            | 94                  |
| 7     | <i>ent</i> - <b>L<sup>*</sup></b> | 0.25                       | 19       | $\leq 5$               | –                              | –             | –                   |

<sup>a</sup> Reactions were performed on a 0.5 mmol scale using 1.3 equivalents of **7**.

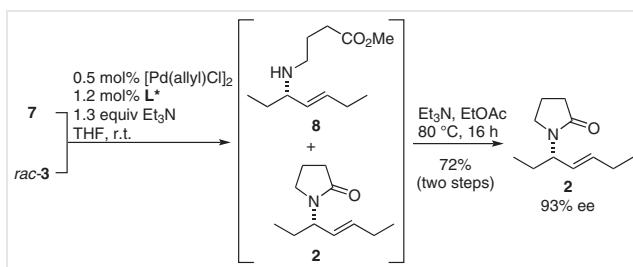
<sup>b</sup> Concentration of *rac*-3.

<sup>c</sup> Product ratio as determined by GC-MS.

<sup>d</sup> Determined at the stage of **9** (after ozonolysis of *ent*-2) by GC on a chiral stationary phase.

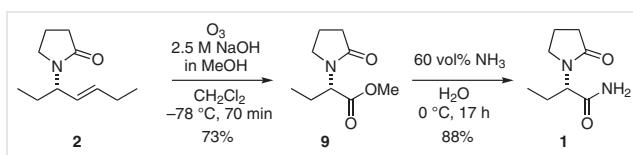
<sup>e</sup> n.d. = not determined.

To synthesize the (*S*)-form of the key intermediate **2**, the *N*-allylation of **7** was performed under the optimized conditions by employing just 1 mol% of the Pd catalyst prepared from the (*S,S*)-biphosphine ligand **L\***. After removal of the metal catalyst by filtering the solution through a pad of Celite and evaporating all the volatiles, the crude 1:1 mixture of **8** and **2** was treated with Et<sub>3</sub>N in ethyl acetate for 16 hours at 80 °C to ensure complete cyclization of **8** to **2**. In this way, the desired  $\gamma$ -lactam **2** was obtained in a total yield of 72% over the two steps (Scheme 3) in an operationally straightforward manner.



**Scheme 3** Procedure for the synthesis of desired pyrrolidine derivative **2**

To complete the synthesis of levetiracetam (**1**), we used the ozonolysis conditions of Marshall et al.<sup>13</sup> to induce oxidative degradation of the allylic amine **2** to form the methyl ester **9** directly (Scheme 4). The final conversion of **9** into the corresponding amide **1** was then efficiently achieved by treatment with aqueous ammonia (Scheme 4).<sup>14</sup>



**Scheme 4** Completion of the synthesis of (*S*)-levetiracetam (**1**)

Because the synthesized sample of (*S*)-levetiracetam (**1**) was obtained in pure crystalline form, we were able to confirm its absolute configuration additionally by means of X-ray crystallography (Figure 1),<sup>15</sup> thereby confirming all previous configurational assignments.

In conclusion, we have elaborated a short and efficient synthesis of the antiepileptic drug (*S*)-levetiracetam (**1**) by adapting our recently developed protocol for the enantioselective Pd-catalyzed *N*-allylation of amino acid esters as the key step.<sup>16</sup> We also demonstrated that methyl 4-aminobutyrate (**4**), liberated *in situ* from the corresponding hydrochloride **7**, is a useful synthetic equivalent for pyrrolidone in such reactions. In addition, we identified an improved two-step protocol for the oxidative conversion of the allylic amine derivative **2** into the amide **1**. The resulting overall synthesis of **1** proceeds in only four steps with 46% overall yield.

## Conflict of Interest

The authors declare no conflict of interest.

## Funding Information

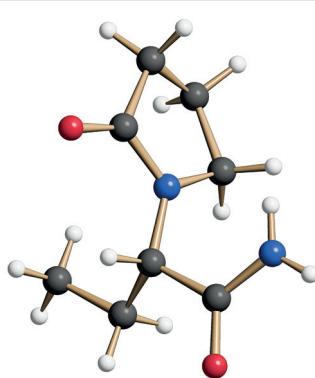
This work was supported by the University of Cologne and the Fonds der Chemischen Industrie.

## Supporting Information

Supporting information for this article is available online at <https://doi.org/10.1055/a-1493-9078>.

## References and Notes

- (a) Sander, J. W. A. S.; Shorvon, S. D. *J. Neurol., Neurosurg. Psychiatry* **1996**, *61*, 433. (b) Kwan, P.; Brodie, M. J. *Expert Rev. Neurother.* **2006**, *6*, 397.
- (a) Ben-Menachem, E. *Expert Opin. Pharmacother.* **2003**, *4*, 2079. (b) Leeman, B. A.; Cole, A. J. *Annu. Rev. Med.* **2008**, *59*, 503. (c) Ulloa, C. M.; Towfigh, A.; Safdieh, J. *Neuropsychiatr. Dis. Treat.* **2009**, *5*, 467.
- (a) Cossement, E.; Motte, G.; Geerts, J.-P.; Gobert, J. GB 2225322, **1992**. (b) Das Sarma, K.; Zhang, J.; Huang, Y.; Davidson, J. G. *Eur. J. Org. Chem.* **2006**, 3730. (c) Tucker, J. L.; Xu, L.; Yu, W.; Scott, R. W.; Zhao, L.; Ran, N. WO 200909117, **2009**. (d) Tang, X.-L.; Lu, X.-F.; Wu, Z.-M.; Zheng, R.-C.; Zheng, Y. G. *J. Biotechnol.* **2018**, *266*, 20. (e) Mylavarampu, R.; Anand, R. V.; Kondaiah, G. C. M.; Reddy, L. A.; Reddy, G. S.; Roy, A.; Bhattacharya, A.; Mukkanti, K.; Bandichhor, R. *Green Chem. Lett. Rev.* **2010**, *3*, 225.
- (a) Boschi, F.; Camps, P.; Comes-Franchini, M.; Muñoz-Torrero, D.; Ricci, A.; Sánchez, L. *Tetrahedron: Asymmetry* **2005**, *16*, 3739. (b) Kotkar, S. P.; Sudalai, A. *Tetrahedron Lett.* **2006**, *47*, 6813.
- (a) Chandra Babu, K.; Buchi Reddy, R.; Mukkanti, K.; Suresh, K.; Madhusudhan, G.; Nigam, S. *J. Chem.* **2013**, 176512 DOI: 10.1155/2013/176512. (b) Raju, V.; Somaiah, S.; Sashikanth, S.; Laxminarayana, E.; Mukkanti, K. *Drug Invent. Today* **2014**, *6*, 32.



**Figure 1** Structure of synthetic (*S*)-levetiracetam in the crystalline state

- (6) (a) Surtees, J.; Marmon, V.; Differding, E.; Zimmermann, V. WO 2001064637, **2001**. (b) Friedfeld, M. R.; Zhong, H.; Ruck, R. T.; Shevlin, M.; Chirik, P. J. *Science* **2018**, *360*, 888. (c) Zhong, H.; Friedfeld, M. R.; Camacho-Bunquin, J.; Sohn, H.; Yang, C.; Delferro, M.; Chirik, P. J. *Organometallics* **2019**, *38*, 149.
- (7) (a) Dohmen, S.; Reiher, M.; Albat, D.; Akyol, S.; Barone, M.; Neudörfl, J. M.; Kühne, R.; Schmalz, H.-G. *Chem. Eur. J.* **2020**, *26*, 3049. (b) Albat, D.; Neudörfl, J.-M.; Schmalz, H.-G. *Eur. J. Org. Chem.* **2021**, 2099.
- (8) Narczyk, A.; Mrozowicz, M.; Stecko, S. *Org. Biomol. Chem.* **2019**, *17*, 2770.
- (9) (a) Wender, P. A.; Verma, V. A.; Paxton, T. J.; Pillow, T. H. *Acc. Chem. Res.* **2008**, *41*, 40. (b) Wender, P. A.; Miller, B. L. *Nature* **2009**, *460*, 197.
- (10) For selected reviews, see: (a) Trost, B. M.; Van Vranken, D. L. *Chem. Rev.* **1996**, *96*, 395. (b) Trost, B. M.; Crawley, M. L. *Chem. Rev.* **2003**, *103*, 2921. (c) Graening, T.; Schmalz, H.-G. *Angew. Chem. Int. Ed.* **2003**, *42*, 2580. (d) Grange, R. L.; Clizbe, E. A.; Evans, A. A. *Synthesis* **2016**, *48*, 2911; and references cited therein.
- (11) (a) Trost, B. M.; Calkins, T. L.; Oertelt, C.; Zambrano, J. *Tetrahedron Lett.* **1998**, *39*, 1713. (b) Humphries, M. E.; Clark, B. P.; Williams, J. M. *Tetrahedron: Asymmetry* **1998**, *9*, 749. (c) Tosatti, P.; Horn, J.; Campbell, A. J.; House, D.; Nelson, A.; Marsden, S. P. *Adv. Synth. Catal.* **2010**, *352*, 3153.
- (12) Dindaroğlu, M.; Akyol Dinçer, S.; Schmalz, H.-G. *Eur. J. Org. Chem.* **2014**, 4315.
- (13) Marshall, J. A.; Garofalo, A. W.; Sedrani, R. C. *Synlett* **1992**, 643.
- (14) Ates, C.; Surtees, J.; Burteau, A.-C.; Marmon, V.; Cavoy, E. US 2004/0204476, **2004**.
- (15) CCDC 2074847 contains the supplementary crystallographic data for (*S*)-levetiracetam (**1**). The data can be obtained free of charge from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/structures. For earlier X-ray crystal structures of **1** determined at room temperature, see: (a) Song, J.; Lou, K.-X.; Li, X.-J.; Wu, X.-P.; Feng, R.-X. *Acta Crystallogr., Sect. E: Struct. Rep. Online* **2003**, *59*, o1772. (b) Bebiano, S. S.; ter Horst, J. H.; Oswald, I. D. H. *Cryst. Growth Des.* **2020**, *20*, 6731; (Structure determined at high pressure to investigate pressure-dependent polymorphism).
- (16) Detailed experimental procedures and characterization data are given in the Supporting Information.
- 1-[(2E)-1-Ethylpent-2-en-1-yl]pyrrolidin-2-one (2)**  
Under an atmosphere of argon, a Schlenk flask was charged with  $[\text{Pd}(\text{allyl})\text{Cl}]_2$  (1.10 mg, 3.01  $\mu\text{mol}$ , 0.50 mol%) and ligand **L\*** (5.40 mg, 7.04  $\mu\text{mol}$ , 1.17 mol%). Anhyd THF (0.6 mL) was

then added and the solution was stirred for 20 min at r.t. before carbonate *rac*-**3** (103 mg, 0.60 mmol) was added neat from a syringe. After 20 min, methyl 4-aminobutyrate hydrochloride (**7**; 116 mg, 0.76 mmol) and  $\text{Et}_3\text{N}$  (0.11 mL, 0.79 mmol) were added, and stirring was continued for 16 h. QuadraSil AP (-50 mg) was then added to capture Pd, and the mixture was stirred for 1 h then filtered through a short pad of Celite with EtOAc. After removal of the solvent under reduced pressure, the crude product mixture of **2** and **8** was dissolved in EtOAc (1 mL) and  $\text{Et}_3\text{N}$  (0.11 mL, 0.79 mmol), then heated at 80 °C for 20 h. The solvent was removed under reduced pressure and the crude product was purified by column chromatography [silica gel, cyclohexane-EtOAc (1:1)] to give a yellowish oil; yield: 78 mg (0.43 mmol; 72%);  $[\alpha]_D^{20}$  ( $c = 0.24$ ,  $\text{CHCl}_3$ ):  $[\alpha]_{365} -364.6$ ,  $[\alpha]_{436} -206.7^\circ$ ,  $[\alpha]_{546} -111.1$ ,  $[\alpha]_{579} -96.0$ ,  $[\alpha]_{589} -92.5^\circ$ .

$^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 5.64$  (ddt,  $^3J = 15.5$  Hz,  $^3J = 6.3$  Hz,  $^4J = 1.3$  Hz, 1 H), 5.34 (ddt,  $^3J = 15.5$  Hz,  $^3J = 6.6$  Hz,  $^4J = 1.6$  Hz, 1 H), 4.48 ( $\Psi\text{q}$ ,  $^3J = 7.0$  Hz, 1 H), 3.27 ( $\Psi\text{qt}$ ,  $^3J = 9.6$  Hz,  $^3J = 7.0$  Hz, 2 H), 2.45–2.35 (m, 2 H), 2.10–1.92 (m, 4 H), 1.68–1.46 (m, 2 H), 0.98 (t,  $^3J = 7.5$  Hz, 3 H), 0.86 (t,  $^3J = 7.4$  Hz, 3 H).  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ):  $\delta = 174.7$ , 135.0, 126.6, 54.1, 42.5, 31.6, 25.5, 24.9, 18.3, 13.7, 10.8. HRMS (ESI):  $m/z$  [M + H]<sup>+</sup> calcd for  $\text{C}_{11}\text{H}_{20}\text{NO}$ : 182.1539; found: 182.1540.

#### Methyl 2-(2-Oxopyrrolidin-1-yl)butanoate (9)

A round-bottomed flask was charged with a solution of **2** (105 mg, 0.58 mmol) in  $\text{CH}_2\text{Cl}_2$  (40 mL) and a 2.50 M solution of NaOH in MeOH (3.0 mL, 7.5 mmol). The clear solution was cooled to -78 °C and a weak stream of ozone was introduced until the solution turned blue (70 min). Excess ozone was removed by introducing a weak stream of oxygen for 10 min before the solution was allowed to warm to r.t.  $\text{H}_2\text{O}$  (50 mL) was added, and the aqueous phase was extracted with  $\text{CH}_2\text{Cl}_2$  (3 × 100 mL). The combined organic phases were dried ( $\text{MgSO}_4$ ), filtered, and concentrated under reduced pressure. The crude product was purified by column chromatography [silica gel, cyclohexane-EtOAc (1:1)] to give a yellow oil; yield: 78 mg (0.42 mmol; 73%);  $[\alpha]_D^{20}$  ( $c = 0.26$ ,  $\text{CHCl}_3$ ):  $[\alpha]_{365} -152.9$ ,  $[\alpha]_{436} -87.1$ ,  $[\alpha]_{546} -46.1$ ,  $[\alpha]_{579} -40.0$ ,  $[\alpha]_{589} -38.6$ .

$^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ ):  $\delta = 4.69$  ( $\Psi\text{dd}$ ,  $^3J = 10.7$  Hz,  $^4J = 5.2$  Hz, 1 H), 3.71 (s, 3 H), 3.52 (td,  $^3J = 8.7$ ,  $^4J = 6.1$  Hz, 1 H), 3.35 (td,  $^3J = 8.8$  Hz,  $^4J = 5.5$  Hz, 1 H), 2.44 (t,  $^3J = 8.1$  Hz, 2 H), 2.15–1.96 (m, 3 H), 1.69 (ddq,  $^3J = 14.6$  Hz,  $^3J = 10.7$  Hz,  $^3J = 7.3$  Hz, 1 H), 0.92 (t,  $^3J = 7.4$  Hz, 3 H).  $^{13}\text{C}$  NMR (125 MHz,  $\text{CDCl}_3$ ):  $\delta = 176.0$ , 171.7, 55.2, 52.2, 43.6, 31.0, 22.3, 18.4, 10.9. HRMS (ESI):  $m/z$  [M + H]<sup>+</sup> calcd for  $\text{C}_9\text{H}_{16}\text{NO}_3$ : 186.1124; found: 186.1127.