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# Organoaluminium complexes derived from Anilines or Schiff bases for ring opening polymerization of $\epsilon$ -caprolactone, $\delta$ -valerolactone and *rac*-lactide

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**Abstract:** Reaction of  $R^1R^2CHN=CH(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-OH-2})$  ( $R^1 = R^2 = \text{Me}$  **L**<sup>1</sup>H;  $R^1 = \text{Me}$ ,  $R^2 = \text{Ph}$  **L**<sup>2</sup>H;  $R^1 = R^2 = \text{Ph}$  **L**<sup>3</sup>H) with slightly greater than one equivalent of  $R^3_3\text{Al}$  ( $R^3 = \text{Me}$ , Et) afforded the complexes  $[(\text{L}^{1-3})\text{AlR}^3_2]$  (**L**<sup>1</sup>,  $R^3 = \text{Me}$  **1**,  $R^3 = \text{Et}$  **2**; **L**<sup>2</sup>,  $R^3 = \text{Me}$  **3**,  $R^3 = \text{Et}$  **4**; **L**<sup>3</sup>,  $R^3 = \text{Me}$  **5**,  $R^3 = \text{Et}$  **6**); complex **1** has been previously reported. Use of the *N,O*-ligand derived from 2,2'-diphenylglycine afforded either **5** or the by-product  $[\text{Ph}_2\text{NCH}_2(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-O-2})\text{AlMe}_2]$  (**7**). The known Schiff base complex  $[2\text{-Ph}_2\text{PC}_6\text{H}_4\text{CH}_2(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-O-2})\text{AlMe}_2]$  (**8**) and the product of the reaction of 2-diphenylphosphinoaniline 1-NH<sub>2</sub>, 2-PPh<sub>2</sub>C<sub>6</sub>H<sub>4</sub> with Me<sub>3</sub>Al, namely  $\{\text{Ph}_2\text{PC}_6\text{H}_4\text{N}[(\text{Me}_2\text{Al})_2\mu\text{-Me}](\mu\text{-Me}_2\text{Al})\}$  (**9**) were also isolated. For structural and

catalytic comparisons, complexes resulting from interaction of Me<sub>3</sub>Al with diphenylamine or benzhydrylamine, namely  $\{\text{Ph}_2\text{N}[(\text{Me}_2\text{Al})_2\mu\text{-Me}]\}$  (**10**) and  $[\text{Ph}_2\text{CHNH}(\mu\text{-Me}_2\text{Al})]_2\cdot\text{MeCN}$  (**11**), were prepared. The molecular structures of the Schiff pro-ligands derived from Ph<sub>2</sub>CHNH<sub>2</sub> and 2,2'-Ph<sub>2</sub>C(CO<sub>2</sub>H)(NH<sub>2</sub>), together with complexes **5**, **9** - **11**·MeCN were determined; **5** contains a chelating imino/phenoxide ligand, whereas **7** contains the imino function outside of the metallocyclic complex. **9** contains three nitrogen-bound Al centres, two of which are linked via a methyl bridge, whereas the third bridges the N and P centres. In **10** the structure resembles **9** with a bridging methyl group, whereas the introduction of the extra carbon in **11** results in the formation of a dimer. All complexes have been screened for their ability to ring open the polymerization (ROP) of  $\epsilon$ -caprolactone,  $\delta$ -valerolactone or *rac*-lactide, in the presence of *n*-butanol, with or without solvent present. Reasonable conversions were achievable at room temperature for  $\epsilon$ -caprolactone using complexes **7**, **9** and **12**, whilst at higher temperatures (80 – 110 °C), all complexes produced good (> 65%) to quantitative conversions over periods as short as 3 min. albeit with poor control. In the absence of solvent, conversions were near quantitative at 80 °C over 5 min. with **11** in agreement between observed and calculated molecular weight ( $M_n$ ). For *rac*-lactide, conversions were typically in the range 71 – 86% at 110 °C over 12 h, with poor control affording atactic polylactide (PLA), whilst for  $\delta$ -valerolactone more forcing conditions (12 - 24 h at 110 °C) were required for

high conversion. Co-polymerization of  $\epsilon$ -caprolactone with *rac*-lactide afforded co-polymers with appreciable lactide content (35 – 62.5%); the reverse addition was ineffective affording only (polycaprolactone) PCL.

## Introduction

The use of ring opening polymerization (ROP) of cyclic esters remains a topical area given the ease of access to a range of biodegradable polymers.<sup>[1]</sup> The polymer products have wide potential, finding use in, for example, the packaging industry as well in the biomedical field (e.g. as implants).<sup>[2]</sup> Of the complexes employed as catalysts in such ROP reactions, aluminium species, given both their low toxicity and high Lewis acidity, continue to attract the interest of a number of research groups.<sup>[3]</sup> For alkylaluminium complexes, the addition of an alcohol, typically benzyl alcohol or isopropanol, generates the required catalytic alkoxide species. Easily prepared pro-ligands are also a prerequisite if such systems are to be employed on a bulk scale. With this in mind, the use of phenoxyimine type ligation has attracted interest, and a number of structure/activity relationships have been identified.<sup>[4]</sup> Indeed, a search of the CSD revealed 343 hits for dialkylaluminium where N and O complete the coordination environment, and of these hits, 76 contained chelating phenoxyimine ligand sets.<sup>[5]</sup> For an overview of the phenoxyimine aluminium systems that have previously been employed in the ROP of cyclic esters, see Table S1 (SI).<sup>[4b,e,h,k]</sup>

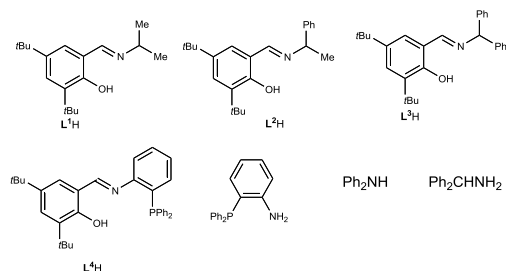
<sup>6, 7]</sup> Having employed this type of ligand set recently in vanadium-based  $\alpha$ -olefin polymerization,<sup>[8]</sup> we now, as part of our continued interest in designing new aluminium-based initiators/catalysts for the ROP of cyclic esters,<sup>[6]</sup> report our results on the series of complexes  $[R^1R^2CHN=CH(3,5\text{-}i\text{Bu}_2\text{C}_6\text{H}_3)AlMe_2]$  ( $R^1 = R^2 = R^3 = \text{Me}$  **1**;  $R^1 = R^2 = \text{Et}$  **2**;  $R^1 = R^3 = \text{Me}$ ,  $R^2 = \text{Ph}$  **3**;  $R^1 = \text{Me}$ ,  $R^2 = R^3 = \text{Et}$  **4**;  $R^1 = R^2 = \text{Ph}$ ,  $R^3 = \text{Me}$  **5**;  $R^1 = \text{Ph}$ ,  $R^3 = \text{Et}$  **6**) and compare their behavior against organoaluminium complexes derived from the amine component only (*i.e.* minuscule phenoxy-containing 3,5-di-*tert*-butylsalmotif, see Schemes 1 and 2). We note Nomura has previously investigated the effect of the imino substituent on the ROP of  $\epsilon$ -CL, observed greatly enhanced activity for substituents ( $\text{C}_6\text{F}_5$ , 2,6-*i*Pr<sub>2</sub>C<sub>6</sub>H<sub>3</sub>) *versus* aliphatic substituents (adamantyl, *tert*-butyl).<sup>[7]</sup> Here we initially targeted diphenylglycine derivatives thereof given the tendency of related motifs to form highly crystalline products. However, the loss of CO<sub>2</sub> during the formation of **L**<sup>3</sup>H (dpg), see discussion below, led us to explore the family of pro-ligands with aliphatic and aromatic substituents bound to the N-bound CH group.

## Results and Discussion

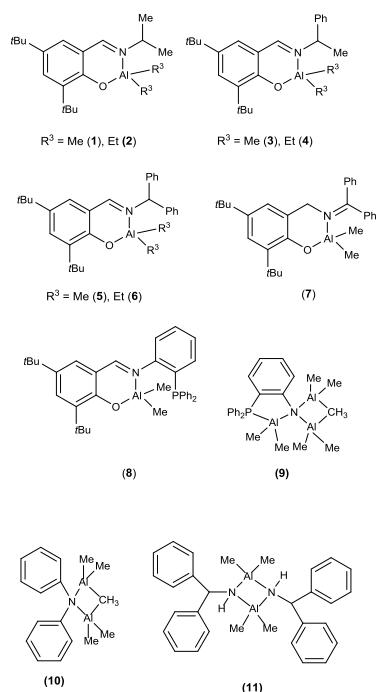
### Pro-ligands **L**<sup>1-5</sup>H

The Schiff-base pro-ligands used herein were prepared by standard condensation procedures in

good yields > 90% except in the case of **L<sup>3</sup>dpg** (63%).<sup>[10]</sup>



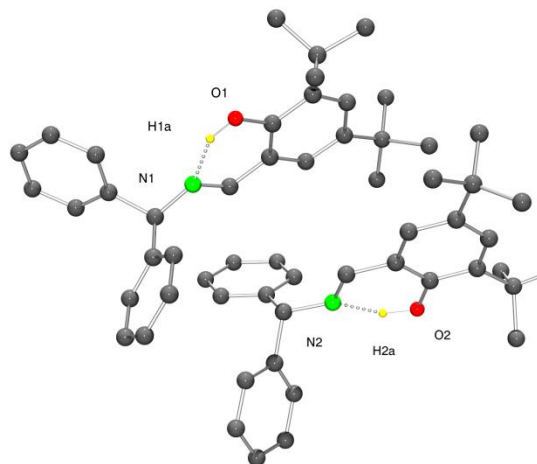
**Scheme 1.** Compounds (pro-ligands) employed herein.



**Scheme 2.** Complexes studied herein

The IR spectra contained a relatively strong  $\nu(\text{C}=\text{N})$  band at *ca.* 1628 cm<sup>-1</sup>, whilst in the <sup>1</sup>H NMR spectrum,  $\delta$  OH typically appeared at 13.68 ppm. The pro-ligands **L<sup>1</sup>H** and **L<sup>4</sup>H** have been previously reported.<sup>[10,11]</sup> Crystals suitable for single crystal X-ray diffraction of **L<sup>3</sup>H** (dpa), obtained via the use of benzhydrylamine (dpa)

were grown from a saturated acetonitrile solution at ambient temperature. The molecular structure is shown in Figure 1, with selected bond lengths and angles given in the caption. There are two unique molecules in the asymmetric unit with slightly different arrangement of the phenyl groups and methyl groups. In each of the molecules the core is essentially planar; the an intramolecular hydrogen bond between phenol and the imine groups.

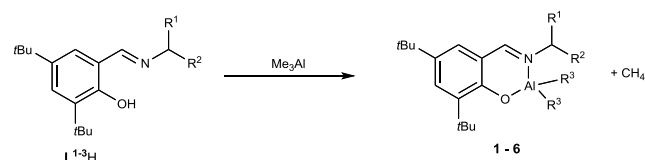


**Figure 1.** Representation of the asymmetric ur **L<sup>3</sup>H** (dpa). Hydrogen atoms attached to carbon been omitted for clarity. Dashed lines in intramolecular hydrogen bonds. Selected bond lengths (Å) and angles (°): O1–H1A 0.96(4), O1–N1 2.602(3), O2–H2A 1.02(4), O2...N2 2.597(3) Å; H1A...N1 149(4), O2–H2A...N2 154(3).

The packing of the molecules is la<sub>u</sub> unremarkable. There is some evidence of short C–H... $\pi$  distances (e.g. H15 lies approximately 2.80 Å above the plane of ring C31–C36; H35 lies approximately 2.95 Å from the plane of ring C3<sup>i</sup>–C8<sup>i</sup> [*i* = 1=x, y, z]).

Similar use of diphenylglycine (dpg), 2,2'-Ph<sub>2</sub>C(CO<sub>2</sub>H)(NH<sub>2</sub>), resulted in loss of CO<sub>2</sub> during the conditions employed herein and formation of a pale yellow product. A crystal structure determination revealed that the structure of **L**<sup>3</sup>H (dpg) was identical to that obtained using dpa (see Fig. S1 in the SI for overlap of the structures and Fig. S2 for the molecular structure and bond lengths and angles for **L**<sup>3</sup>H (dpg)). A phase change accounts for the differing unit cells in Table 7 which were collected at 150 and 293 K.

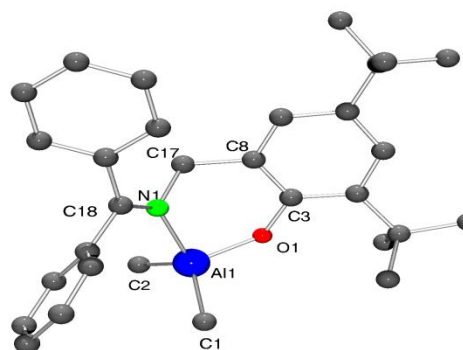
### Organoaluminium complexes



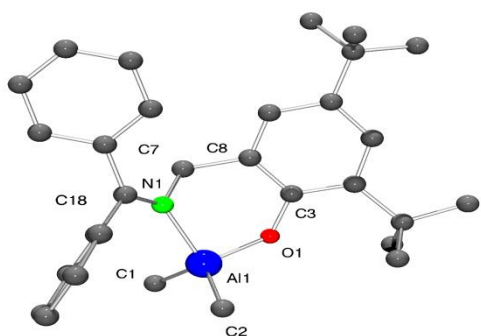
Scheme 3. Synthesis of complexes 1 - 6

Reaction with 1.1 equivalents of Me<sub>3</sub>Al with the parent Schiff bases in refluxing toluene afforded, after work-up, moderate to good yields (55 – 97%) of the complexes [R<sup>1</sup>R<sup>2</sup>CHN=CH(3,5-*t*Bu<sub>2</sub>C<sub>6</sub>H<sub>2</sub>-O-2)AlMe<sub>2</sub>] (R<sup>1</sup> = R<sup>2</sup> = R<sup>3</sup> = Me **1**; R<sup>1</sup> = R<sup>2</sup> = R<sup>3</sup> = Et **2**; R<sup>1</sup> = R<sup>3</sup> = Me, R<sup>2</sup> = Ph **3**; R<sup>1</sup> = Me, R<sup>2</sup> = Ph, R<sup>3</sup> = Et **4**; R<sup>1</sup> = R<sup>2</sup> = Ph, R<sup>3</sup> = Me **5**; R<sup>1</sup> = R<sup>2</sup> = Ph, R<sup>3</sup> = Et **6**), see scheme 3. Complex **1** was previously reported by Milione *et al*, and used for halide anion binding via H-bonding,<sup>[11]</sup> whilst the debutylated version of complex **5** has recently been employed by Chiang, Chen and Chen and coworkers for the ROP of  $\epsilon$ -caprolactone and *L*-lactide; the structure of debutylated **5** was not reported.<sup>[6z]</sup> Herein,

crystals of **5** suitable for an X-ray diffraction study were grown from acetonitrile on prolonged standing at ambient temperature. The molecular structure is shown in Figure 2 with selected bond lengths and angles given in the caption; crystallographic data are presented in Table 2. The asymmetric unit of **5** contains one molecule of the complex; there is no solvent crystallization. The C–N bond at 1.32(3) Å is consistent with an imine linkage, whilst the Al–N bond length (1.98(2) Å) is typical of reported Al imine bonds.<sup>[12]</sup> In the packing of the complex the structure adopted is layered, with C–H...O interactions between layers.



**Figure 2.** Molecular structure of [Ph<sub>2</sub>CHN=CH(*t*Bu<sub>2</sub>C<sub>6</sub>H<sub>2</sub>-O-2)AlMe<sub>2</sub>] (**5**), showing the numbering scheme. Hydrogen atoms have omitted for clarity. Selected bond lengths (Å): Al(1)–O(1) 1.769(11), Al(1)–N(1) 1.991(14), Al(1)–C(1) 1.965(16), Al(1)–C(2) 2.001(16), N(1)–C(17) 1.317(19), N(1)–C(18) 1.522(19), C(17)–C(18) 1.50(2); O(1)–Al(1)–N(1) 92.5(6), C(1)–Al(1)–C(2) 118.0(10), Al(1)–O(1)–C(3) 125.7(11), Al(1)–N(1)–C(18) 126.0(9).



**Figure 3.** Molecular structure of  $[\text{Ph}_2\text{CHNCH}_2(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-O-2})\text{AlMe}_2]$  (**7**), showing the atom numbering scheme. Hydrogen atoms and non-coordinated solvent molecules have been omitted for clarity. Selected bond lengths ( $\text{\AA}$ ) and angles ( $^\circ$ ):  $\text{Al}(1)\text{-O}(1)$  1.7675(11),  $\text{Al}(1)\text{-N}(1)$  2.0088(13),  $\text{Al}(1)\text{-C}(1)$  1.9636(17),  $\text{Al}(1)\text{-C}(2)$  1.9537(16),  $\text{N}(1)\text{-C}(17)$  1.4900(17),  $\text{N}(1)\text{-C}(18)$  1.2894(19),  $\text{C}(8)\text{-C}(17)$  1.512(2);  $\text{O}(1)\text{-Al}(1)\text{-N}(1)$  94.06(5),  $\text{C}(1)\text{-Al}(1)\text{-C}(2)$  119.65(8),  $\text{Al}(1)\text{-O}(1)\text{-C}(3)$  129.65(9),  $\text{Al}(1)\text{-N}(1)\text{-C}(18)$  129.57(10).

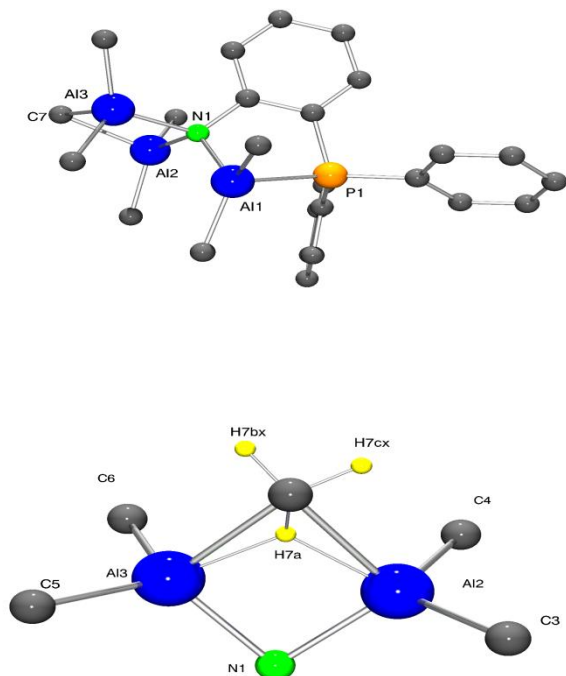
On one occasion, we also isolated the complex  $[\text{Ph}_2\text{NCH}_2(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-O-2})\text{AlMe}_2]$  (**7**), the molecular structure of which is shown in Figure 3. The difference here (*cf* **5**) is the position of the  $\text{C}=\text{N}$  bond which no longer is contained within the metallocyclic ring. The geometrical parameters for **5** versus **7** are illustrated in figure S3 in the SI. The nitrogen  $\text{N}(1)$  is not protonated here as the sum of the angles is  $360^\circ$  and planar (i.e. not pyramidal).

For comparative ROP studies (see later), we also prepared the known Schiff base complex  $[2\text{-Ph}_2\text{PC}_6\text{H}_4\text{CH}_2(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-O-2})\text{AlMe}_2]$  (**8**),<sup>[10]</sup> and studied the interaction of 2-diphenylphosphinoaniline, 1- $\text{NH}_2$ , 2- $\text{PPh}_2\text{C}_6\text{H}_4$ , with an excess of  $\text{Me}_3\text{Al}$ . In the case of diphenylphosphinoaniline, following work-up, small colourless crystals suitable for X-ray diffraction

using synchrotron radiation were isolated in 56% yield.<sup>[13]</sup> As shown in Figure 4, the complex (**9**) contains three tetrahedral dimethylaluminium centres, two of which are bound to what was the amino nitrogen  $\text{N}(1)$ , and also to each other via a methyl bridge. A search of the CSD revealed 30 hits for methyl bridging of aluminium centres (see Chai SI).<sup>[14, 15]</sup> In **9**, two out of three H atoms on the group at  $\text{C}(7)$  are disordered. In the difference electron density map, one clear peak is seen with peak height of *ca.*  $0.9 \text{ e}\text{\AA}^{-3}$  which is refined occupied as  $\text{H}(7\text{A})$ . There are also *ca.* four small peaks of between  $0.4\text{-}0.5 \text{ e}\text{\AA}^{-3}$  which are refined as the other bridging methyl H atoms. The aluminium centre  $\text{Al}(1)$  bridges  $\text{N}(1)$  and  $\text{P}(1)$ . The structure is reminiscent of  $[(\text{Me}_2\text{Al})_2(\mu\text{-Me})(\mu\text{-N})]$  (**I**, see top chart S1, SI), obtained via the reaction between diphenylamine and  $\text{Me}_3\text{Al}$ .<sup>[14b]</sup> In **I**, the H atoms were modelled as all pointing away from  $\text{Al}\text{-C}$  bonds, i.e. no equivalent of the  $\text{H}(7\text{A})$  at **9**. The  $\text{Al}\text{-C}$  bond lengths {2.145(5) and 2.139(5) and 2.176(3) and 2.146(3)  $\text{\AA}$  in **9**} and  $\text{Al}\text{-N}$  angle {78.92(17) in **I** and 77.40(11)  $^\circ$  in **9**} however, remarkably similar. Mild geometric restraints were applied to make all the C-N distances similar, and also to keep the C-H distances similar for the pairs of disordered H atoms. While a model could be refined with restraints for all three H atoms pointing away, there remained the large peak nearer the Al atoms, and the *R* factor was worse. The two approximately trigonal planar disorder components are approximately  $90^\circ$  apart, with the minor disorder {48(4)% occupancy}



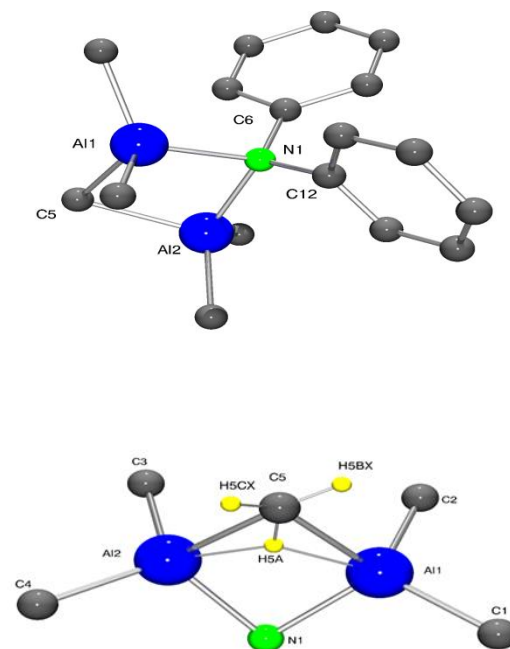
component being less planar than the major. There is no solvent of crystallization in **9**.



**Figure 4.** Top: Molecular structure of  $\{\text{Ph}_2\text{PC}_6\text{H}_4\text{N}[(\text{Me}_2\text{Al})_2\mu\text{-CH}_3](\mu\text{-Me}_2\text{Al})\}$  (**9**), showing the atom numbering scheme. Bottom: Structure around Al(2) and Al(3) core. Selected bond lengths (Å) and angles (°): Al(1)–N(1) 1.9432(19), Al(1)–P(1) 2.4481(9), Al(2)–N(1) 1.9465(18), Al(2)–C(7) 2.176(3), Al(3)–N(1) 1.9551(18), Al(3)–C(7) 2.146(3), Al(1)–Al(2) 3.4374(10), Al(2)–Al(3) 2.7022(10); N(1)–Al(1)–P(1) 83.76(6), Al(2)–C(7)–Al(3) 77.40(11), Al(2)–N(1)–Al(3) 87.67(7).

Given the nature of the bridging methyl group in **9** versus that reported for **I**,<sup>[14b]</sup> we decided to re-examine the structure of the diphenylamine derived aluminium structure. Single crystals of **10** suitable for X-ray diffraction were grown from hexane in *ca* 63% yield, which proved to be a two component twin with domains related by a 180 ° rotation about real and reciprocal axes 010.

There is one molecule of **10** in the asymmetric unit (no solvent of crystallization), which is shown in Figure 5 with selected bond lengths and angles given in the caption.



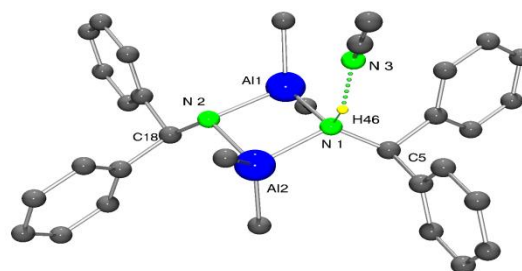
**Figure 5.** Top: Molecular structure  $\{\text{Ph}_2\text{N}[(\text{Me}_2\text{Al})_2\mu\text{-Me}]\}$  (**10**), showing the numbering scheme. Bottom: Structure around Al(1) and Al(2) core. Selected bond lengths (Å) and angles (°): Al(1)–N(1) 2.0014(18), Al(2)–N(1) 1.9944(18), Al(1)–C(5) 1.957(2), Al(2)–C(5) 2.145(2); N(1)–Al(2) 85.90(7), Al(1)–C(5)–Al(2) 78.7(2), C(6)–N(1)–C(12) 113.15(15).

The group at C(5) tallies with the conclusion: **I**. The  $\text{Al}_2\text{NC}$  butterfly in **10** has a shallow hinge angle of 28.24(10)°, which is somewhat shallower than that observed in **9** at 15.19(18)°. Again, VSEPR theory suggests this centre should be trigonal planar. We note that in **I**, the three H atoms are modelled as all pointing away from the Al–C bonds, i.e. no equivalent of the H(7A) atom

in **9** or the H(5A) atom in **10**. The Al–C bond lengths {2.145(5) and 2.139(5) in **I**, 2.176(3) and 2.146(3) in **9**, and 2.145(2) and 2.146(2) Å in **10**} and Al–C–Al angle {78.92(17) in **I**, 77.40(11) in **9**, and 78.77(8)° in **10**} are, however, remarkably similar. Mild geometrical restraints were applied to make all the C(5)–H distances similar, and also to keep the H···H distances similar for the pairs of disordered H atoms. While a model could be refined with restraints for all three H atoms pointing away, there remained the large peak nearer the Al atoms, and again the *R* factor was worse. The two approximately trigonal planar disorder components are approximately 90° apart, with the minor disorder {47(4)% occupancy} component being less planar than the major. One electron density peak remained approximately 180° away from H(5A).

Introduction of an extra carbon in the form of benzhydrylamine and subsequent treatment with two equivalents of Me<sub>3</sub>Al led to the formation of [Ph<sub>2</sub>CHNH(μ-Me<sub>2</sub>Al)]<sub>2</sub>·MeCN (**11**·MeCN) in moderate yield (47%). Single crystals were grown from a saturated acetonitrile solution on prolonged standing (12 h) at 0 °C. The molecular structure is shown in Figure 6; selected bond lengths and angles given in the caption. One Al dimer and one MeCN of crystallization comprise the asymmetric unit. The Al<sub>2</sub>N<sub>2</sub> core adopts a shallow butterfly shape with a dihedral angle of 9.66(6)°. Interestingly, the geometrical parameters associated with the Al<sub>2</sub>N<sub>2</sub> square are somewhat of a hybrid of those observed for the anisidine derived complexes {[1,2-(OMe),N-

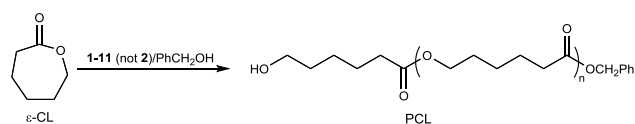
C<sub>6</sub>H<sub>4</sub>(μ-Me<sub>2</sub>Al)](μ-Me<sub>2</sub>Al)}<sub>2</sub>, [1,3-(Me<sub>3</sub>AlOMe),NH-C<sub>6</sub>H<sub>4</sub>(μ-Me<sub>2</sub>Al)]<sub>2</sub> and [1,4-(Me<sub>3</sub>AlOMe),NH-C<sub>6</sub>H<sub>4</sub>(μ-Me<sub>2</sub>Al)]<sub>2</sub> and the pyrrolyl-methylamide complexes {[C<sub>4</sub>H<sub>3</sub>N(2-CH<sub>2</sub>H*t*Bu)]AlH}<sub>2</sub> and {[C<sub>6</sub>H<sub>3</sub>N(2-CH<sub>2</sub>H*t*Bu)]Al(OCHMe<sub>2</sub>)}<sub>2</sub> in that the Al–N bond lengths are *ca.* 1.96 Å (found in the 1,4-anisidine derived complex and the pyrrolyl-methylamide whilst the angles are *ca.* 88 ° (found in the 1,2-anisidine derived complexes).<sup>[16]</sup> In **11**·MeCN, N–H groups point ‘up’, and there is one, behaved MeCN solvent molecule of crystallization which is H-bonded to one of the two N–H moieties. The dimensions associated with the H-bonding are given in the SI (Table S2).



**Figure 6.** Molecular structure of [Ph<sub>2</sub>CHN(Me<sub>2</sub>Al)]<sub>2</sub>·MeCN (**11**·MeCN), showing the numbering scheme. Most hydrogen atoms have omitted for clarity. Selected bond lengths (Å) angles (°): Al(1)–N(1) 1.9597(10), Al(1)–N(2) 1.9484(10), Al(2)–N(1) 1.9620(10), Al(2)–N(2) 1.9528(10), N(1)–C(5) 1.4771(14), N(2)–C(18) 1.4836(13); Al(1)–N(1)–Al(2) 91.72(4); Al(1)–N(1)–N(2) 92.35(4), N(1)–Al(1)–N(2) 86.67(4), N(1)–Al(2)–N(2) 87.48(4).

### Ring Opening Polymerization (ROP) of ε-Caprolactone (ε-CL)



Scheme 4. ROP of  $\epsilon$ -CL

Given that aluminium compounds are known to be efficient catalysts for ring opening polymerization (ROP) of cyclic esters,<sup>[3]</sup> the catalytic behavior of **1** - **11** was explored toward the ROP of  $\epsilon$ -CL in the presence of benzyl alcohol (BnOH), scheme 4. One equivalent (per aluminium) of BnOH were employed in the runs herein. Despite the apparent mismatch of stoichiometry, the use of one equivalent of BnOH (per aluminium) for  $R_2Al$  containing pre-catalysts is well established; the use of two equivalents (per aluminium) has been found to afford inferior results.<sup>[6a,c,e]</sup> In our systems, extending the reaction time (see Table S3) or varying the amount of BnOH was not found to be beneficial. Pre-catalyst **2** was employed to ascertain the optimum conditions (see Table S4), and was found to be effective for the ROP of  $\epsilon$ -CL at temperatures of 80 to 110 °C affording conversions > 67%. A linear relationship between [CL]/[Al] ratio and average molecular weight ( $M_n$ ), suggests the systems still retain the classical features of a living polymerization process (Figs. S4, SI). Elevation of the temperature generally resulted in higher molecular weight polymer and high conversion (Fig. S5, SI) with an increase in the monomer/Al ratio from 62.5:1 to 1000:1 at 110 °C, the molecular weight increased from  $3.1 \times 10^3$  to  $38.5 \times 10^3$ , with little change of PDI (1.23 -

2.08), but producing polymers with lower molecular weight than the calculated  $M_n$  values. Prolonging the reaction time to 12 h (runs 8 and 9) led to decreased conversions rates, presumably due to catalyst decomposition; at 110 °C in toluene<sub>8</sub> in a sealed NMR tube, the spectrum reveals distinct changes even after 1 h.

In addition, we investigated the behaviour of other complexes herein towards the ROP of  $\epsilon$ -CL using the ratio 250:1:1 (see Table 1). Generally, aluminium complexes displayed good catalytic conversions, particularly at temperatures of 80 °C or higher (> 92%). Catalytic systems employing complexes **7**, **9**, **10** and **11** outperformed the others at 110 °C, affording quantitative conversions over 10 mins or less. For complexes **1** - **6**, the trend is for methyl derivatives to outperform the ethyl derivatives at both 80 and 100 °C, a trend that has been reported previously,<sup>[17]</sup> the opposite trend has also been reported.<sup>[6z]</sup> Within the series **1** - **6**, on changing the steric bulk of the precursor aniline, there is little change in the conversion rates for either methylaluminium or ethylaluminium derivatives.

Typically, on increasing the temperature, conversion rates increase, e.g. Figure S6 (SI) for complex **5**. In the case of the systems derived from 1-NH<sub>2</sub>,2-PPh<sub>2</sub>C<sub>6</sub>H<sub>4</sub> (**8** and **9**), using complex **9** appears to be more efficient and more controlled (narrower PDI). Similar trends are observed for those systems derived from diphenylaniline with complex **10** outperforming the systems bearing the phenoxyimine motif. However, it should be noted that isolated polymer

yields were moderate to good, for example for run 21 (table 1) using **8**, the yield was 36 %. Again, these systems produced polymers with lower molecular weight than the calculated  $M_n$  values, particularly at lower temperatures. The much lower observed molecular weight obtained in some cases is suggestive of either the presence water acting as a chain transfer agent and/or side reactions. If the loadings of **9**, **10** and **11** are such that only one equivalent of Al is present (and using one equiv. of BnOH, see runs 28, 32 and 36 Table 1), the conversions and molecular weights observed are similar, although longer reaction times (3h) are required.

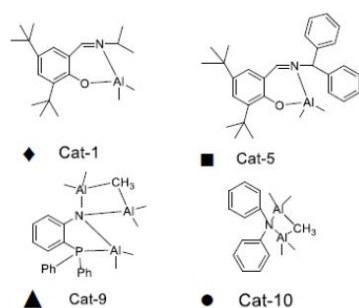
When conducting the polymerizations in the absence of solvent (Table S5, SI), the observed molecular weights were in general much closer to the calculated  $M_n$  values, and at 80 °C for 13 mins or less, all complexes achieved excellent conversions (> 97%) with varying degrees of control (PDIs 1.28 – 3.55).

$^1\text{H}$  and  $^{13}\text{C}$  NMR spectra of selected polymers (Table 1, entries 4 and 11) were obtained in order to verify the molecular weights and to identify the end groups present (see Figs. S7 and S8, SI). For entries using pre-catalyst **3** (and **5**), peaks at  $\delta$  7.33 ppm ( $\text{C}_6\text{H}_5\text{CH}_2^-$ ), 5.27 ppm ( $\text{C}_6\text{H}_5\text{CH}_2^-$ ), and 3.62 ppm ( $\text{CH}_2\text{CH}_2\text{OH}$ ) with an integral ratio of 5:2:2 indicated that the polymers were capped by a benzyl alkoxy group and a hydroxyl end group.  $^{13}\text{C}$  NMR data also revealed peaks at  $\delta$  127.52 ppm ( $\text{C}_6\text{H}_5\text{CH}_2^-$ ), 69.21 ppm ( $\text{C}_6\text{H}_5\text{CH}_2^-$ ) and 64.24 ppm ( $\text{CH}_2\text{CH}_2\text{OH}$ ). The MALDI-ToF spectrum of the PCL (see Fig. S9, SI)

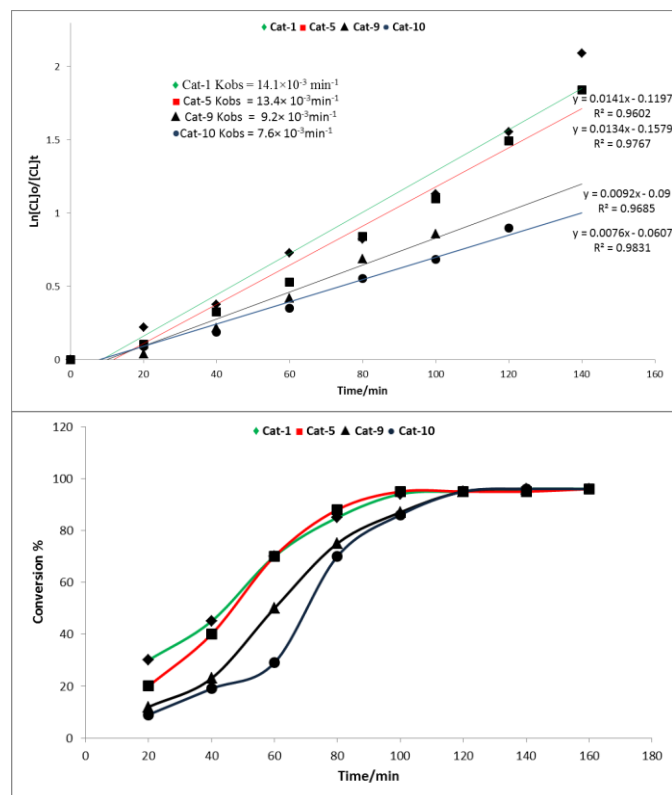
revealed the presence of a benzyloxy initiating group and a series of peaks separated by 114.14 mass units (the molecular weight of the monomer). A ‘blank run’ conducted under the same conditions but using only trimethylaluminium and BnOH (i.e. no dpq or benz-derived ligands were present) failed to afford any polymer (see Table S6, SI).

**Table 1.** ROP of  $\epsilon$ -caprolactone using complexes **11** (not **2**). Insert here (see end of paper).

A kinetic study of the  $\epsilon$ -CL polymerization using **5**, **9** and **10** (see Figs. 7 and 8) was undertaken removing 0.3 ml from the reaction mixture analyzing by  $^1\text{H}$  NMR spectroscopy at appropriate time under conditions  $[\text{CL}]:[\text{Cat}]:[\text{BnOH}] = [250]:[1]:[1]$  at 80 °C in toluene. The polymerization rate of the ROP of  $\epsilon$ -CL exhibited a first order dependence on the concentration (Fig. 8, top) and that the conversion reached >95 % over 80 min (Fig. 8, bottom). From Fig. 8, the rate order **1** > **5** > **9** was observed suggesting that the presence of a phenoxy (salicylaldimine) motif may well be beneficial, although this is only a tentative suggestion given the differing structures of the complexes. Indeed, it could be argued that the presence of the multiple metal centres in **9** and **10** is detrimental to the rate. The data here (and that for the ROP of *rac*-LA) also suggested that these catalysts require an induction period, suggestive of slow activation.

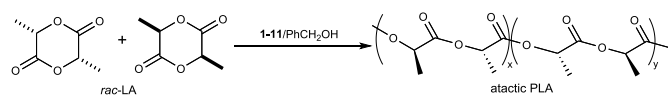


**Figure 7.** Complexes used in the kinetic study.



**Figure 8.** Top: Plot of  $\ln[CL]_0/[CL]_t$  vs time using complex **1**, **5**, **9** and **10**; Bottom: Relationship between conversion and time for the polymerization of CL using complex **1**, **5**, **9** and **10**.

### Ring Opening Polymerization (ROP) of *rac*-Lactide (*rac*-LA)



The ROP of *rac*-Lactide (*rac*-LA) was conducted using **1** - **11** in the presence of BnOH (scheme 5). All complexes were active, and the polymerizations were mostly well controlled (PDIs 1.04 – 2.36; only 3 runs gave PDIs > 1.5), although conversions were somewhat lower than those observed for  $\epsilon$ -CL. Indeed, in most cases, it proved necessary to continue the polymerizations over 12 h to achieve reasonable conversion. For reduced loadings of **9**, **10** and representing only one equivalent of Al (runs 3 and 36 Table 2), conversions and molecular weights observed were only slightly lower. Increasing the molar ratio of *rac*-LA to [Al] did not drastically influence the conversion rates but appeared, in general, to increase the polymer molecular weight ( $M_n$ ); increasing the polymerization time tended to have the same effect. The relationship between  $M_n$  and PDI of the polymer and the mole ratio  $[rac\text{-LA}]/[BnOH]$  for **3** (Table 2 entries 4-8) is displayed in Fig. S10 (SI), and reveals a saturation curve for the former. In the case of the PDI, the relationship  $[rac\text{-LA}]/[BnOH]$  suggests that transesterification might be an issue at high monomer loadings leading to molecular weight suppression.

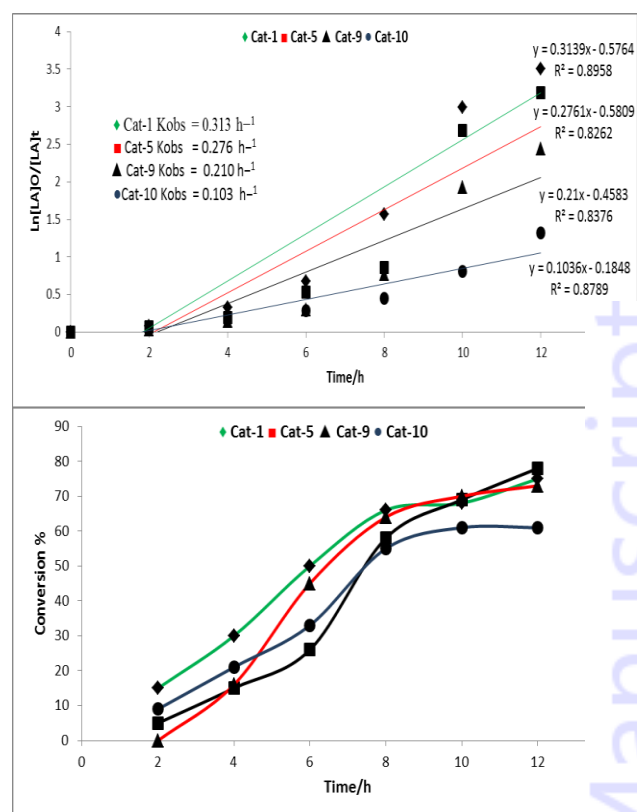
For **3** (Table 2 entries 4-8) the relationship between monomer conversions and  $M_n$  values (Fig. S11, SI) is exponential.

<sup>1</sup>H and <sup>13</sup>C NMR spectra of selected polymers (Table 2, entries 3 and 14) were obtained in order to verify the molecular weights and to identify the end groups present (see Figs. S12 and S13, SI). For entries using pre-catalyst **5** and **6**, peaks at  $\delta$  7.12, 5.11, and 3.60

ppm (5:2:2) indicated that the polymers were capped by a benzyl alkoxy group and a hydroxyl end group.  $^{13}\text{C}$  NMR data also revealed peaks at  $\delta$  127.63 ( $\text{C}_6\text{H}_5\text{CH}_2^-$ ), 69.06 ( $\text{C}_6\text{H}_5\text{CH}_2^-$ ) and 63.99 ppm ( $\text{CH}_2\text{CH}_2\text{OH}$ ). The MALDI TOF spectrum of the PLA (Figs. S14 and S15, SI; runs 17 and 23, Table 2) revealed the presence of a benzyloxy initiating group and a series of peaks separated by the mass of one lactide unit (72.0).

A kinetic study of the *rac*-LA polymerization using **1**, **5**, **9** and **10** was undertaken by removing 0.3 ml from the reaction mixture and analyzing by  $^1\text{H}$  NMR spectroscopy at the appropriate time under the conditions  $[\text{rac-LA}]:[\text{Cat}]:[\text{BnOH}] = [100]:[1]:[1]$  at 110 °C in toluene. The polymerization rate of the ROP of *rac*-LA exhibited approximate first order dependence on the *rac*-LA concentration (Fig. 9, top) and that the *rac*-LA conversion reached >70% over 12 h. (Fig 9, bottom). The same order of reactivity was observed here as for the  $\epsilon$ -CL case, although for **1** and **5** there was a clear rate enhancement after 6 and 8 h respectively.

**Table 2.** ROP of *rac*-Lactide (*rac*-LA) using complexes **1-11**. Insert here (see end of paper).



**Figure 9.** Top: Plot of  $\ln[\text{rac-LA}]_0/[\text{rac-LA}]_t$  vs time **1**, **5**, **9** and **10**; Bottom: Relationship between conversion and time of polymerization *rac*-LA using **1**, **5**, **9** and

To assign the stereochemistry of the PLA polymer we employed 2D *J*-resolved and homonuclear decoupled  $^1\text{H}$  NMR spectroscopy, and assigned it by reference to the literature.<sup>[18]</sup> Representative spectra for runs 21 and 26 are given in the SI (S16 – S19), with the assignments given in respective figures; these systems gave atactic PLA as reported elsewhere for this observed spectral pattern.<sup>[19]</sup>

Of the complexes displayed in Chart S1 (SI), pre-catalysts **III**, **V**, **X** and **XXVII** closely resemble structures **1** - **8** herein. System **3** bearing an imine-

bound pentafluorophenyl group and only one (*ortho*) *tert*-butyl substituent on the phenoxy moiety is more active at lower temperatures over shorter reaction times affording higher molecular weight products, particularly in the case of  $\epsilon$ -CL and  $\delta$ -VL.<sup>[6c]</sup> Pre-catalyst **V**, bearing a 2,4-difluorophenyl group at N, is a little slower than **III** for the ROP of  $\epsilon$ -CL and is comparable with **1** – **8** herein, although the polymer molecular weight is somewhat reduced *cf* **III**, it is still higher than observed for the PCL herein.<sup>[6c]</sup> System **X**, possessing a *para* isopropyl substituent on the N bound aryl but bearing 3, 5-di-*tert*-butyl groups on the phenoxy as for **1** – **8** herein, has comparable activity for the ROP of *rac*-LA requiring 48 h to achieve complete conversion but affords higher molecular weight PLA.<sup>[6i]</sup> Pre-catalysts **XXVII** possess an N bound CHPh<sub>2</sub>, but with no *tert*-butyl substituents on the phenoxy (or thiophenoxy) motif,<sup>[6z]</sup> and these Me<sub>2</sub>Al systems can most closely be compared with **5**. For  $\epsilon$ -CL, results using **5** (run 9, Table 1) at ambient temperature are similar to those of the phenoxy version of **XXVII** ( $M_{n, GPC}$  = 3100, PDI, 89 %) albeit under slightly different conditions (ROP of **XXVII** employed a ratio of 100:1:2 [ $\epsilon$ -CL]:[Al]:[OH] over 6 h). For the ROP of *L*-LA, **XXVII** required a higher temperature than for  $\epsilon$ -CL (as observed herein) and afforded PLA with  $M_{n, GPC}$  in the 5000 – 6000 region.

### Co-polymerization of $\epsilon$ -Caprolactone and *rac*-Lactide (*rac*-LA)

Complexes **1** – **11** have also been screened for their potential to act as catalysts for the co-polymerization

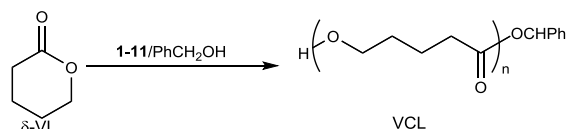
of  $\epsilon$ -CL with *rac*-LA under the optimum conditions found for the homo-polymerizations in toluene, i.e. at 80 °C for 1 h with  $\epsilon$ -CL followed by stirring for 12 h at 110 °C with *rac*-LA. In all cases (Table 3), good yields (54 - 88%) of co-polymer were formed, and with appreciable lactide content (35 to 62.6%) as observed by <sup>1</sup>H NMR spectra (Fig. S20, SI); <sup>13</sup>C NMR spectra (Fig. S21, SI) were assigned per the literature.<sup>[20]</sup> The highest % incorporation of LA was found for **1** (62.6%). Observed molecular weights (3680 - 6670) are best described as low to moderate, however we note there is interest in molecular weight poly(lactide/caprolactone) polymers as bio-adhesives.<sup>[21]</sup> Thermal analysis of co-polymers by DSC revealed two melting points: 55.7 °C (PCL) and 125.9 °C (PLA), see Fig. S22

**Table 3.** Synthesis of diblock co-polymers from cyclic ester monomers ( $\epsilon$ -CL+ *rac*-LA).

Run <sup>a</sup>	Cat	CL:LA <sup>b</sup>	Yield	$M_n^c$
1	<b>1</b>	37.5:62.5	70	4850
2	<b>2</b>	38.5:61.5	77	5000
3	<b>3</b>	45:55	54	6670
4	<b>4</b>	44.5:55.5	80	6500
5	<b>5</b>	59:41	62	4620
6	<b>6</b>	57.5:42.5	56	4650
7	<b>7</b>	57:43	88	5840
8	<b>8</b>	65:35	55	3680
9	<b>9</b>	55.5:44.5	70	6000
10	<b>10</b>	43.5:56.5	60	5500
11	<b>11</b>	42.5:57.5	65	5770

<sup>a</sup> Optimum conditions: 1h  $\epsilon$ -CL 80 °C/12h *rac*-LA 110 °C, (100  $\epsilon$ -CL: 100 *rac*-LA: 1 BnOH). <sup>b</sup> Ratio of LA to CL observed in the co-polymer by <sup>1</sup>H NMR. <sup>c</sup>  $M_n$  values were determined by GPC in THF vs PS standards and were corrected with a Mark–Houwink factor ( $M_{n, GPC} \times 0.56 \times \% \text{ PCL} + M_{n, GPC} \times 0.58 \times \% \text{ P } \textit{rac}-LA). <sup>d</sup> PDI were determined by GPC.$

## Ring Opening Polymerization (ROP) of $\delta$ -valerolactone



**Scheme 6.** ROP of  $\delta$ -VL

For the  $\delta$ -VL ROP reactions (Table 4, scheme 6), 110 °C over 12 h was generally required to achieve reasonable conversion, and the resulting ROP reactions were all well-behaved with PDIs in the range 1.10 - 1.73. The relationship between  $M_n$  and PDI of the PVL and the mole ratio [VL]/[BnOH] for **5** (Table 4 entries 7-10) are near linear (Fig. S23, SI). In general, the ROP of  $\delta$ -VL was slower than that of  $\epsilon$ -CL, which is consistent with the thermodynamic parameters for these lactones.<sup>[22]</sup> Within the series **1** - **6**, %conversions increased on increasing bulk of the aniline derived moiety. In the case of **7** and **8**, the presence of either the amine linkage or phosphine function respectively, appeared to be detrimental to the activity. The non-Schiff-base systems **9** - **11** required longer (24 h) to achieve reasonable %conversion. Molecular weights ( $M_n$ ) for all systems were somewhat lower than calculated values.

For reduced loadings of **9**, **10** and **11** representing only one equivalent of Al (runs 17, 20 and 23 Table 4), %conversions were far lower, but the molecular weights observed were similar.

<sup>1</sup>H NMR spectra of the resultant polymers (e.g. Fig. S24, SI) indicated the presence of benzyloxy and OH end groups. As mentioned previously, comparison with the systems in Table S1 for the ROP of  $\delta$ -VL

reveals that they are inferior to the phenoxyimine system **III** bearing a C<sub>6</sub>F<sub>5</sub> group at the imino N which operates under milder conditions and affords far higher molecular weight products, but are comparable with the performance of system **VI**.<sup>[6c, 6f]</sup>

**Table 4.** ROP of  $\delta$ -valerolactone using Al complex. Insert here (reviewers, please see end of paper).

## Conclusion

In conclusion, we have prepared and structurally characterized a number of organoaluminum phenoxyimine complexes and have also investigated the structures of the complexes resulting from the reaction of the precursor anilines with organoaluminium reagents, i.e. minus the salicylaldehyde motif. In the presence of benzyl alcohol the complexes were active for the ROP of caprolactone,  $\delta$ -valerolactone and *rac*-lactide and were also capable of the co-polymerization of caprolactone/*rac*-lactide with reasonable (up to 62.6%) lactide incorporation. In the case of the ROP of  $\epsilon$ -CL and *rac*-LA, there was indication of catalyst misbehaviour with non-linear plots and slightly higher (c.a. 2.0) PDIs. The systems bearing the salicylaldehyde motif exhibited increased rates in these ROP studies. However, given that some complexes which did not possess this motif had more than one metal centre present, we can only tentatively propose that the presence of the salicylaldehyde (phenoxy) motif is beneficial in the systems studied herein. In the case of  $\delta$ -valerolactone, shorter polymerization times were possible for the Schiff-base systems.



## Experimental

### General:

All manipulations were carried out under an atmosphere of dry nitrogen using conventional Schlenk and cannula techniques or in a conventional nitrogen-filled glove box. Hexane and Toluene was refluxed over sodium. Acetonitrile was refluxed over calcium hydride. All solvents were distilled and degassed prior to use. IR spectra (nujol mulls, KBr windows) were recorded on a Nicolet Avatar 360 FT IR spectrometer;  $^1\text{H}$  NMR spectra were recorded at room temperature on a Varian VXR 400 S spectrometer at 400 MHz or a Gemini 300 NMR spectrometer or a Bruker Advance DPX-300 spectrometer at 300 MHz. The  $^1\text{H}$  NMR spectra were calibrated against the residual protio impurity of the deuterated solvent. Elemental analyses were performed by the elemental analysis service at the London Metropolitan University and in the Department of Chemistry, the University of Hull. The precursor 1-NH<sub>2</sub>,2-PPh<sub>2</sub>C<sub>6</sub>H<sub>4</sub> was prepared by the literature method.<sup>[23]</sup> The pro-ligands  $\text{L}^1\text{H}$  and  $\text{L}^4\text{H}$ , and the complexes **1** and **7** were prepared as described previously.<sup>[10, 11]</sup>

### Synthesis of Ph<sub>2</sub>MeCHN=CH(3,5-*t*Bu<sub>2</sub>C<sub>6</sub>H<sub>2</sub>-OH-2) $\text{L}^2\text{H}$

To a solution of 3,5-di-*tert*-butylsalicylaldehyde (2.34 g, 10.0 mmol) with a few drops of glacial acetic acid in anhydrous ethanol (15 ml) under argon at 50 °C was added a solution of  $\alpha$ -methylbenzylamine (1.21 g, 10.0 mmol) in anhydrous ethanol (15 ml) over a period of 30 min with stirring. The mixture

was then refluxed for an additional 6 h. Upon cooling to ambient temperature, the volatiles were removed under vacuo, and the residue was recrystallized from ethanol at -20 °C to give  $\text{L}^2\text{H}$  as a yellow powder. Yield 3.2 g, 95%. Elemental analysis calculated for C<sub>23</sub>H<sub>31</sub>NO: C, 81.85; H, 9.26; N, 4.15. Found: C, 81.67; H, 9.33; N, 4.27%. IR (nujol null, KBr): (m), 2967 (s), 2868 (m), 2358 (w), 1626 (s), (w), 1464 (w), 1452 (w), 1438 (w), 1383 (m), (m), 1343 (w), 1322 (w), 1270 (m), 1248 (s), (m), 1174 (s), 1135 (w), 1115 (w), 1075 (m), (w), 976 (m), 906 (w), 880 (w), 824 (m), 773 759 (s), 730 (w), 700 (s), 644 (w), 631 (w), 594 541 (m), 499 (w). MS (ESI, positive mode):  $[\text{L}^2\text{H}]^+$ .  $^1\text{H}$  NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  13.68 (s, OH), 8.43 (s, 1 H, CH=N), 7.40 - 7.33 (m, 5 H *H*), 7.28 - 7.26 (m, 1 H, Ar-*H*), 7.86 (d,  $J = 2.4$  Hz, Ar-*H*), 4.56 - 4.52 (m, 1 H, CH(CH<sub>3</sub>)), 1.65 (t, 6.4 Hz, 3 H, CH(CH<sub>3</sub>)), 1.46 (s, 9 H, C(CH<sub>3</sub>)<sub>3</sub>), (s, 9 H, C(CH<sub>3</sub>)<sub>3</sub>).

### Synthesis of Ph<sub>2</sub>CHN=CH(3,5-*t*Bu<sub>2</sub>C<sub>6</sub>H<sub>2</sub>-OH-2) $\text{L}^2\text{H}$

By using the procedure described above for synthesis of  $\text{L}^2\text{H}$ , the ligand  $\text{L}^3\text{H}$  was obtained by the reaction of 3,5-di-*tert*-butylsalicylaldehyde (2.34 g, 10.0 mmol) with benzhydramine (1.83 g, 10.0 mmol) to give a yellow powder in 92% yield. Elemental analysis calculated for C<sub>28</sub>H<sub>33</sub>NO: C, 84.17; H, 8.32; N, 3.51. Found: C, 84.35; H, 8.43; N, 3.47%. IR (nujol null, KBr, cm<sup>-1</sup>): 3435 (m), 3060 (w), 3029 (w), 2956 (w), 2868 (w), 2361 (w), 1631 (s), 1586 (w), 1493 (w), 1455 (m), 1386 (m), 1357 (m), 1342 (w), 1322 (w), 1269 (m), 1246 (s), 1204 (m), 1171 (s), 1133 (w),

1089 (m), 1050 (s), 1028 (s), 980 (w), 916 (w), 880 (w), 846 (w), 827 (m), 800 (w), 766 (m), 746 (m), 733 (w), 703 (s), 644 (w), 620 (w), 612 (w), 561 (w), 538 (w), 509 (w), 468 (w). MS (ESI, positive mode): 400.2  $\text{MH}^+$ .  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$ : 13.74 (s, 1 H, OH), 8.41 (s, 1 H,  $\text{CH}=\text{N}$ ), 7.32 (d,  $J = 2.4$  Hz, 1 H, Ar-H), 7.28 - 7.23 (m, 8 H, Ar-H), 7.19 - 7.15 (m, 2 H, Ar-H), 7.01 (d,  $J = 2.4$  Hz, 1 H, Ar-H), 5.52 (s, 1 H,  $\text{CH}(\text{Ph})_2$ ), 1.38 (s, 9 H,  $\text{C}(\text{CH}_3)_3$ ), 1.21 (s, 9 H,  $\text{C}(\text{CH}_3)_3$ ).

*Synthesis of  $\text{Ph}_2\text{CHN}=\text{CH}_2(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-OH-2}) \text{L}^3\text{H}$  via dpq*

2,2'-Diphenylglycine (1.13 g, 5.00 mmol) and 2-hydroxy-3,5-di-*tert*-butylsalicylaldehyde (1.17 g, 5.00 mmol) were refluxed in ethanol for 3 days using a Dean-Stark condenser. Following removal of the ethanol, the residue was triturated with methanol (50 ml), filtered and dried. Yield: 1.26 g, 63%.  $\text{C}_{28}\text{H}_{33}\text{NO}\cdot\frac{2}{3}\text{MeOH}$  requires C 81.82, H 8.54, N 3.33 %. Found: C 81.96, H 8.65, N 3.34%. IR (nujol mull, KBr,  $\text{cm}^{-1}$ ): 3432 (bs), 1629 (s), 1603 (m), 1577 (w), 1477 (s), 1446 (s), 1393 (m), 1361 (m), 1297 (w), 1260 (s), 1236 (m), 1203 (m), 1163 (m), 1078 (w), 1025 (m), 947 (w), 875 (m), 780 (w), 758 (m), 726 (w), 686 (s), 672 (w), 646 (w), 592 (w), 539 (w), 454 (w). MS (ES, positive mode): 400.4  $\text{MH}^+$ .  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$ : 13.84 (s, 1 H, OH), 8.52 (s, 1 H,  $\text{CH}=\text{N}$ ), 7.42 (d,  $J = 2.4$  Hz, 1 H, Ar-H), 7.37 - 7.34 (m, 8 H, Ar-H), 7.29 - 7.26 (m, 2 H, Ar-H), 7.26 (d,  $J = 2.4$  Hz, 1 H, Ar-H), 5.63 (s, 1 H,  $\text{CH}(\text{Ph})_2$ ), 1.49 (s, 9 H,  $\text{C}(\text{CH}_3)_3$ ), 1.32 (s, 9 H,  $\text{C}(\text{CH}_3)_3$ ).

*Synthesis of  $[\text{iPrCHN}=\text{CH}(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-O-2})\text{AlEt}_2]$  (2)*

A solution of  $\text{AlEt}_3$  (1.9 ml, 3.0 mmol, 2 M in toluene) was added at room temperature to a solution of  $\text{iPrCHN}=\text{CH}(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-OH-2}) \text{L}^1\text{H}$  (0.74 g, 2.7 mmol) in toluene (25 mL) over a period of 30 min with stirring. Then the mixture was refluxed an additional 12 h. Upon cooling to room temperature, the volatiles were removed under vacuum and the residue was recrystallized from acetonitrile to give **2** as a yellow solid. Yield 0.53 g, 19%. Elemental analysis calculated for  $\text{C}_{22}\text{H}_{38}\text{AlNC}$ : C, 73.50; H, 10.65; N, 3.90. Found: C, 73.33; H, 10.61; N, 3.73%. IR (nujol mull, KBr,  $\text{cm}^{-1}$ ): 3730 (s), 2959 (s), 2871 (m), 1629 (s), 1553 (m), 1470 (s), 1445 (m), 1422 (m), 1385 (m), 1361 (m), 1318 (s), 1276 (m), 1258 (m), 1238 (w), 1203 (w), 1179 (s), 1163 (w), 1118 (s), 1058 (w), 1025 (w), 977 (w), 955 (w), 855 (m), 785 (m), 754 (w), 716 (w), 647 (s), 526 (w), 411 (w). MS (ES, positive mode): 359.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$ : 8.12 (s, 1 H,  $\text{CH}=\text{N}$ ), 7.42 (d,  $J = 2.0$  Hz, 1 H, Ar-H), 6.90 (d,  $J = 2.0$  Hz, 1 H, Ar-H), 3.72 - 3.65 (m, 1 H,  $\text{CH}(\text{CH}_3)_2$ ), 1.37 - 1.34 (m, 6 H,  $-\text{CH}(\text{CH}_3)_2$ ), 1.21 (s, 9 H,  $-\text{C}(\text{CH}_3)_3$ ), 0.94 - 0.90 (m, 4 H,  $\text{Al}(\text{CH}_2\text{CH}_3)_2$ ), -0.07 - (-0.22) (m, 4 H,  $\text{Al}(\text{CH}_2\text{CH}_3)_2$ ).  $^{13}\text{C}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$ : 165.46, 160.07, 129.26, 128.84, 119.92, 50.12, 35.13, 30.99, 23.94, -5.91, -11.60.

*Synthesis of  $[\text{Me},\text{PhCHN}=\text{CH}(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-O-2})\text{AlMe}_2]$  (3)*

A solution of  $\text{AlMe}_3$  (1.6 mL, 2.50 mmol, in toluene 1.6 M) was added at room temperature to a solution of  $\text{Ph}_2\text{MeCHN}=\text{CH}(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-OH-2}) \text{L}^2\text{H}$  (0.77 g, 2.30 mmol) in hexane (25 mL). The resulting yellow solution was stirred for 12 h. The solution was filtered and concentrated, affording **3** a yellow solid. Yield 0.60 g, 67%. Elemental analysis calculated for  $\text{C}_{25}\text{H}_{36}\text{AlNO}$ : C, 76.30; H, 9.22; N, 3.56. Found: C, 76.25; H, 9.31; N, 3.40%. IR (nujol mull, KBr,  $\text{cm}^{-1}$ ): 3429 (s), 3064 (w), 3037 (w), 2960 (s), 2866 (m), 2358 (w), 2335 (w), 1616 (s), 1553 (m), 1543 (m), 1469 (m), 1454 (m), 1439 (m), 1414 (m), 1391 (m), 1355 (m), 1322 (s), 1299 (w), 1275 (w), 1254 (s), 1237 (w), 1200 (m), 1178 (s), 1138 (w), 1085 (m), 1058 (w), 1029 (w), 990 (w), 932 (w), 910 (w), 880 (w), 855 (s), 816 (w), 782 (m), 763 (s), 702 (s), 674 (s), 613 (w), 596 (w), 537 (m), 490 (w), 410 (w). MS (ESI):  $m/z$  378.6  $[\text{M} - \text{Me}]^+$ , 363.6  $[\text{M} - 2\text{Me}]^+$ .  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$ : 8.11 (s, 1 H,  $\text{CH}=\text{N}$ ), 7.49 - 7.36 (m, 5 H, Ar-*H*), 7.18 (s, 1 H, Ar-*H*), 6.90 (s, 1 H, Ar-*H*), 4.93 - 4.92 (m, 1 H,  $\text{CH}(\text{CH}_3)$ ), 1.78 - 1.76 (d,  $J = 4.0$  Hz, 3 H,  $\text{CH}(\text{CH}_3)$ ), 1.39 (s, 9 H,  $\text{C}(\text{CH}_3)_3$ ), 1.27 (s, 9 H,  $\text{C}(\text{CH}_3)_3$ ), -0.79 (s, 3 H,  $\text{AlCH}_3$ ), -0.93 (s, 3 H,  $\text{AlCH}_3$ ).  $^{13}\text{C}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta$  164.62, 157.77, 143.82, 140.65, 137.50, 128.38, 122.84, 117.84, 68.13, 35.75, 34.38, 31.72, 31.31, 29.87, 29.41, 24.18, -3.68.

*Synthesis of*  $[\text{Me}, \text{PhCHN}=\text{CH}(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-O-2})\text{AlEt}_2]$  (**4**)

As for **2**, but using  $\text{Me}, \text{PhCHN}=\text{CH}(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-OH-2}) \text{L}^2\text{H}$  (0.77 g, 2.30 mmol) and  $\text{AlEt}_3$  (1.6 mL, 2.50 mmol, 1.6 M in toluene) affording **4** as a yellow

solid. Yield 0.6 g, 62%. Elemental analysis calculated for  $\text{C}_{27}\text{H}_{40}\text{AlNO}$ : C, 76.92; H, 9.56; N, 3.32. Found: C, 76.65; H, 9.33; N, 3.28%. IR (nujol mull, KBr,  $\text{cm}^{-1}$ ): 3423 (w), 2954 (s), 2866 (w), 1627 (s), 1559 (m), 1473 (m), 1444 (w), 1422 (m), 1388 (w), 1361 (w), 1277 (m), 1258 (m), 1236 (w), 1202 (m), 1176 (s), 1134 (w), 1120 (w), 1081 (w), 1056 (w), (w), 982 (w), 910 (w), 874 (w), 852 (m), 787 758 (m), 715 (w), 699 (w), 605 (w), 524 (w) (ESI):  $m/z$  392  $[\text{M} - \text{Et}]^+$ , 363  $[\text{M} - 2\text{Et}]^+$ .  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$ : 8.12 (s, 1 H,  $\text{CH}=\text{N}$ ), 7.49 = 2.0 Hz, 1 H, Ar-*H*), 7.42-7.34 (s, 5 H, Ar-*H*), (d,  $J = 2.0$  Hz, 1 H, Ar-*H*), 4.56 - 4.51 (m,  $\text{CH}(\text{CH}_3)$ ), 1.78 (d,  $J = 7.0$  Hz, 3 H,  $\text{CH}(\text{CH}_3)$ ), (s, 9 H,  $\text{CH}(\text{CH}_3)$ ), 1.26 (s, 9 H,  $\text{CH}(\text{CH}_3)$ ), 0 0.85 (m, 6 H,  $\text{Al}(\text{CH}_2\text{CH}_3)_2$ ), -0.72 - (-0.31) (m,  $\text{Al}(\text{CH}_2\text{CH}_3)_2$ ).  $^{13}\text{C}$  NMR (400 MHz,  $\text{CDCl}_3$ ): 165.12, 155.17, 147.82, 139.97, 137.50, 12 119.11, 113.82, 65.73, 36.15, 33.33, 26.88, 25. 0.42, -6.92.

*Synthesis of*  $[\text{Ph}_2\text{CHN}=\text{CH}(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-O-2})\text{AlMe}_2]$  (**5**)

As for **2**, but using  $\text{Ph}_2\text{CHN}=\text{CH}(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-O-2}) \text{L}^3\text{H}$  (1.00 g, 2.50 mmol) and  $\text{AlMe}_3$  (1.70 2.70 mmol, in toluene 1.6 M) afforded **3** as yellow crystals. Single crystals suitable for X-ray analysis were grown from a saturated hexane solution. The solution was filtered and concentrated, affording **5** as a yellow crystalline solid. Yield 0.90 g, 79%. Elemental analysis calculated for  $\text{C}_{30}\text{H}_{38}\text{AlNO}$ : C, 79.09; H, 8.41; N, 3.07. Found: C, 79.19; H, 8.28; N,

3.20%. IR (nujol mull, KBr,  $\text{cm}^{-1}$ ): 3428 (w), 2965 (s), 2866 (w), 1616 (s), 1561 (w), 1543 (s), 1469 (s), 1441 (m), 1424 (s), 1389 (m), 1362 (m), 1344 (w), 1318 (s), 1275 (w), 1258 (s), 1241 (w), 1199 (w), 1183 (s), 1164 (m), 1148 (m), 1134 (m), 1026 (w), 995 (m), 965 (w), 924 (w), 887 (m), 855 (s), 807 (w), 784 (m), 760 (s), 708 (w), 677 (s), 641 (w), 602 (m), 552 (m), 491 (m), 410 (w). MS (ESI):  $m/z$  423.6  $[\text{M} - 2\text{Me}]^+$ .  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$ : 8.00 (s, 1 H,  $\text{CH}=\text{N}$ ), 7.52 (d,  $J = 2.6$  Hz, 1 H, Ar- $H$ ), 7.42 - 7.32 (m, 6 H, Ar- $H$ ), 7.23 - 7.18 (m, 4 H, Ar- $H$ ), 6.81 (d,  $J = 2.5$  Hz, 1 H, Ar- $H$ ), 6.23 (s, 1 H,  $\text{CHPh}_2$ ), 1.40 (s, 9 H,  $\text{C}(\text{CH}_3)_3$ ), 1.26 (s, 9 H,  $\text{C}(\text{CH}_3)_3$ ), -0.96 (s, 3 H,  $\text{AlCH}_3$ ), -0.97 (s, 3 H,  $\text{AlCH}_3$ ).  $^{13}\text{C}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta$  164.75, 158.01, 144.05, 139.79, 137.03, 129.30, 124.90, 118.08, 68.74, 34.62, 34.01, 31.85, 31.42, 29.81, 29.41, 25.01, -10.05. For the by-product  $[\text{Ph}_2\text{CHNCH}_2(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-OH-2})\text{AlMe}_2]$  (**7**): Yield *ca.* 10 %. Elemental analysis calculated for  $\text{C}_{30}\text{H}_{38}\text{AlNO}$ : C, 79.08; H, 8.41; N, 3.08. Found: C, 78.92; H, 8.34; N, 2.88%.  $^1\text{H}$  NMR ( $\text{C}_6\text{D}_6$ , 400 MHz)  $\delta$ : 7.31 - 6.40 (6 $\times$  m, 12H, Ar- $H$ ), 4.09 (s, 2H,  $\text{CH}_2$ ), 1.42 (s, 9 H,  $\text{C}(\text{CH}_3)_3$ ), 1.13 (s, 9 H,  $\text{C}(\text{CH}_3)_3$ ), -0.97 (s, 6 H,  $\text{AlCH}_3$ ).  $^{13}\text{C}$  NMR ( $\text{C}_6\text{D}_6$ ): -10.01 ( $\text{AlCH}_3$ ).

*Synthesis of*  $[\text{Ph}_2\text{CHN}=\text{CH}(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-O-2})\text{AlEt}_2]$  (**6**)

As for **5**, but using  $\text{Ph}_2\text{CHN}=\text{CH}(3,5\text{-}t\text{Bu}_2\text{C}_6\text{H}_2\text{-OH-2})$  **L<sup>3</sup>H** (1.00 g, 2.50 mmol) and  $\text{AlEt}_3$  (1.35 ml, 2.75 mmol, 2.0 M in toluene) affording **6** as a yellow solid. Yield 0.76 g, 63%. Elemental analysis calculated for  $\text{C}_{32}\text{H}_{42}\text{AlNO}$ : C, 79.46; H, 8.75; N, 2.90. Found: C, 79.25; H, 8.33; N, 2.67%. IR (nujol

mull, KBr,  $\text{cm}^{-1}$ ): 3694 (w), 3428 (w), 2954 (s), 2855 (w), 1616 (s), 1556 (w), 1543 (m), 1493 (w), 1463 (w), 1417 (w), 1392 (m), 1359 (w), 1329 (w), 1280 (w), 1254 (w), 1232 (w), 1198 (w), 1174 (m), 1004 (m), 988 (w), 916 (w), 879 (w), 852 (w), 784 (w), 757 (w), 730 (w), 699 (s), 640 (m), 538 (w). MS calculated for **6** ( $m/z$ ): 483.31 (100.0%), 485.31 (6.1%). Found MS (ESI):  $m/z$  483.31 ( $[\text{M} - \text{Et}]^+$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$ : 7.99 (s, 1 H,  $\text{CH}=\text{N}$ ), 7.51 (d,  $J = 2.8$  Hz, 1 H, Ar- $H$ ), 7.35 (m, 6 H, Ar- $H$ ), 7.22 - 7.20 (m, 4 H, Ar- $H$ ), 7.15 (d,  $J = 2.8$  Hz, 1 H, Ar- $H$ ), 6.20 (s, 1 H,  $\text{CHPh}_2$ ), 1.25 (s, 9 H,  $\text{C}(\text{CH}_3)_3$ ), 0.87 - 0.85 (m, 6H,  $\text{Al}(\text{CH}_2\text{CH}_3)_2$ ), -0.23 - -0.36 (m, 6H,  $\text{Al}(\text{CH}_2\text{CH}_3)_2$ ).  $^{13}\text{C}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta$  172.11, 166.40, 162.11, 158.49, 140.44, 137.91, 132.03, 129.19, 128.34, 118.13, 69.55, 34.15, 31.43, 29.58, -0.22, -7.98.

*Synthesis of*  $\{\text{Ph}_2\text{PC}_6\text{H}_4\text{N}[(\text{Me}_2\text{Al})_2\mu\text{-CH}_3](\mu\text{-Me}_2\text{Al})\}$  (**9**)

To 1-NH<sub>2</sub>-2-PPh<sub>2</sub>C<sub>6</sub>H<sub>4</sub> (1.50 g, 5.41 mmol) in toluene (20 ml) was added Me<sub>3</sub>Al (5.41 ml, 2.0 M, 5.41 mmol) and the system was refluxed for 12 h. After cooling, the volatiles were removed *in vacuo*, an residue was extracted into warm MeCN (20 ml). Prolonged standing (2 - 3 days) at ambient temperature afforded small white prisms of **9**. Yield 1.12 g, 56%. Elemental analysis calculated for  $\text{C}_{25}\text{H}_{35}\text{Al}_3\text{NP}\cdot 0.87\text{MeCN}\cdot 0.39\text{toluene}$ : C, 66.43; H, 7.64; N, 4.2 %. Found: C, 66.39; H, 7.64; N, 4.92%. IR (nujol mull, KBr,  $\text{cm}^{-1}$ ): 2940 (m), 2923 (s), 2853 (s), 2725 (w), 2671 (w), 1610 (m), 1586 (w), 1457

(s), 1377 (s), 1301 (m), 1260 (m), 1182 (w), 1157 (w), 1089 (m), 1068 (m), 1026 (m), 891 (w), 801 (m), 743 (m), 722 (m), 695 (s), 548 (w), 505 (w), 492 (w), 474 (w). MS (ES, positive mode):  $m/z$  389 [M – Al(CH<sub>3</sub>)<sub>3</sub>]. <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ: 7.36 – 7.34 (m, 2H, C<sub>6</sub>H<sub>2</sub>), 7.32–7.28 (m, 10H, *PhP*), 6.78–6.66 (m, 2H, C<sub>6</sub>H<sub>2</sub>), 2.33(s, H, *toluene*), 2.26 (bs, 3H, Al–CH<sub>3</sub>–Al), 2.00(s, 3H, *MeCN* –0.81(bs, 18H, CH<sub>3</sub>–Al). <sup>13</sup>C NMR (400 MHz, CDCl<sub>3</sub>): δ 158.15, 154.40, 153.33, 152.66, 137.34, 134.52, 133.32, 127.66, 122.90, 121.04, 22.13, 16.16, –4.57, –14.71. <sup>31</sup>P NMR (CDCl<sub>3</sub>) δ: –19.80.

#### Synthesis of {Ph<sub>2</sub>N[(Me<sub>2</sub>Al)<sub>2</sub> μ–Me]} (10)

To Ph<sub>2</sub>NH (0.84 g, 5.0 mmol) in toluene (30 ml) was added Me<sub>3</sub>Al (5.0 ml, 2.0 M, 10.0 mmol), and the system was refluxed for 12 h. On cooling, the volatiles were removed *in-vacuo*, and the residue was extracted into warm acetonitrile (30 ml). Cooling to 0 °C afforded colourless prisms of **10**: 0.92 g, 62.5%. X-ray quality crystals were obtained from MeCN. C<sub>17</sub>H<sub>25</sub>Al<sub>2</sub>N·½MeCN requires C 68.22, H 8.35, N 5.98 %. Found: C 68.76, H 8.35, N 6.00%. IR (nujol mull, cm<sup>–1</sup>): 3414 (w), 3192 (w), 2953 (s), 2922 (s), 2852 (s), 2727 (w), 2670 (w), 1936 (w), 1876 (w), 1788 (w), 1594 (s), 1520 (s), 1493 (s), 1415 (s), 1376 (s), 1339 (w), 1310 (m), 1261 (m), 1201 (s), 1079 (s), 1029 (s), 1005 (w), 917 (m), 846 (s), 801 (s), 746 (m), 694 (s), 608 (m), 570 (m), 524 (m), 503 (m), 482 (w), 479 (m). MS (ES, positive mode): 225.6 M<sup>+</sup> –Al(CH<sub>3</sub>)<sub>3</sub>. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN) δ: 7.17 – 6.77 (m, 10H, *Ar-H*), 2.17 (s, 1H, *N-H*), 1.94 (s, 3H, Al–CH<sub>3</sub>–Al), –0.87 (s, 6H, AlCH<sub>3</sub>), –0.96 (s, 6H,

AlCH<sub>3</sub>). <sup>13</sup>C NMR (400 MHz, CDCl<sub>3</sub>): δ 149.76, 133.58, 128.81, 118.34, 115.55, 18.47, –18.50.

#### Synthesis of [Ph<sub>2</sub>CHNH(μ–Me<sub>2</sub>Al)]<sub>2</sub>·MeCN (11·MeCN)

As for **9**, but using Ph<sub>2</sub>CHNH<sub>2</sub> (0.91 g, 5.0 mmol) and Me<sub>3</sub>Al (2.5 ml, 2.0 M, 5.0 mmol) affording colourless needles. Yield 1.12 g, 47.2%. C<sub>30</sub>H<sub>36</sub>N<sub>2</sub> requires C 75.29, H 7.58, N 5.89%. Found: C 75.20, H 8.20, N 5.72 %. IR (nujol mull, KBr, cm<sup>–1</sup>): 2925 (s), 2857 (s), 2726 (w), 2672 (w), 1946 (w), 1799 (w), 1622 (m), 1539 (w), 1453 (s), 1377 (s), 1316 (m), 1259 (m), 1180 (s), 1039 (s), 1017 (s), 916 (m), 874 (s), 817 (s), 758 (m), 742 (m), 697 (s), 594 (m), 570 (m), 509 (m), 499 (m), 479 (m), 451 (w). MS (ES, positive mode): MH<sup>+</sup> 479, [MH<sup>+</sup> + MeCN] 519. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ: 7.40 – 7.15 (m, 20H, *Ar-H*), 5.10 (s, 2H, CH(Ph)<sub>2</sub>), 5.07(s, 1H, CH(Ph)<sub>2</sub>), 2.17 (s, 1H, N–H), 2.14 (s, 1H, N–H), 1.98 (s, 3H, *MeCN*), –0.97(s, 6H, AlCH<sub>3</sub>), –1.02(s, 6H, AlCH<sub>3</sub>). <sup>13</sup>C NMR (400 MHz, CDCl<sub>3</sub>): δ 150.15, 130.42, 128.37, 123.61, 143.81.

#### Ring opening polymerization.

Typical polymerization procedures in the present work (one equivalent of benzyl alcohol (Table 4, run 1) are as follows. A toluene solution of **3** (0.010 mmol, 1.0 mL toluene) and BnOH (0.010 mmol) were added into a Schlenk tube in the glove-box at room temperature. The solution was stirred for 2 min, and then ε-caprolactone (2.5 mmol) along with 1.5 mL



toluene was added to the solution. The reaction mixture was then placed into an oil bath pre-heated to the required temperature, and the solution was stirred for the prescribed time. The polymerization mixture was then quenched by addition of an excess of glacial acetic acid (0.2 mL) into the solution, and the resultant solution was then poured into methanol (200 mL). The resultant polymer was then collected on filter paper and was dried *in vacuo*.

### DSC Procedure

About 2 mg of polymer sample was taken and first heated to the desired temperature 180 °C then cooled down to a low temperature 25 °C and heated again to the same temperature. Both heating and cooling rates were (10 °C/min).

### Crystallography

Structures were solved using automated direct methods within SHELXS-86 or intrinsic phasing within SHELXT.<sup>[24]</sup> Structures were refined by full-matrix least squares refinement within SHELXL-2014 using all unique data.<sup>[25, 26]</sup> Hydrogen atoms were placed using a riding model. Where data were sufficiently good, methyl group orientations were refined. Many of the structures displayed disorder in the position of methyl groups or in solvent of crystallisation. This disorder was modelled using standard techniques.

Diffraction data were collected on a range of different CCD diffractometers and were corrected for absorption and Lp effects using multi-scan

methods.<sup>[27]</sup> The details are presented in Table 7. For **5** the crystal examined was twinned. The structure was refined using all observed reflections within SHELXL using the HKLF5 formalism. Samples **L**<sup>3</sup>H(dpa) and **L**<sup>3</sup>H(dpg) were collected at different temperatures from samples made in the same way. In each case the structure determination was repeated using a second crystal to confirm the correctness of the crystal structure at that temperature. Diffraction data for **9** were collected using synchrotron radiation at Daresbury Laboratory Station 9.8. For **10**: the structure was refined as a two-component twin using the HKLF5 protocol as above for **5**. The two domains were related by a 178.8° rotation about the reciprocal direct [010] direction. Two out of three H atoms of the CH<sub>3</sub><sup>+</sup> group at C(5) are disordered. In the difference electron density map, one clear peak is seen with a peak height of *ca.* 0.9 eÅ<sup>-3</sup> which is refined and occupied as H(5A). There are also *ca.* four small peaks of between 0.4-0.6 eÅ<sup>-3</sup> which are refined as the other CH<sub>3</sub><sup>+</sup> H atoms. A similar pattern of electron density peaks and partial H-atom disorder was observed in **9**.

CCDC 1480938 - 1480944 contain supplementary crystallographic data for this paper. These data can be obtained free of charge from the Cambridge Crystallographic Data Centre via [www.ccdc.cam.ac.uk/data\\_request/cif](http://www.ccdc.cam.ac.uk/data_request/cif).

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**Supporting Information Available:**  
Crystallographic files CIF format for the structures of compound  $L^3Hdpa$ ,  $L^3Hdpg$ , **5**, **7** and **9-11**·MeCN.

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**Table 1.** ROP of  $\epsilon$ -caprolactone using complexes **1** – **11** (not **2**).

Run	Cat	T (°C)	CL : M : BnOH	Time (h)	Conv <sup>a</sup> (%)	$M_n^b$ , GPC	$M_n$ , Cal <sup>c</sup>	PDI <sup>d</sup>
1	<b>1</b>	80	250 : 1:1	3	97	24690	27790	1.23
2	<b>1</b>	110	250 : 1:1	3	96	13340	27500	1.73
3	<b>1</b>	110	250 : 1:2	3	89	4670	12750	1.65
4	<b>3</b>	80	250 : 1:1	3	95	17340	27220	1.54
5	<b>3</b>	110	250 : 1:1	3	93	13480	26650	1.73
6	<b>3</b>	110	250 : 1:2	3	94	4150	13470	1.52
7	<b>4</b>	80	250 : 1:1	3	65	3660	18660	1.23
8	<b>4</b>	110	250 : 1:1	3	92	6300	26360	1.46
9	<b>5</b>	25	250 : 1:1	3	67	3840	19230	1.12
10	<b>5</b>	45	250 : 1:1	3	75	4690	21510	1.17
11	<b>5</b>	60	250 : 1:1	3	90	5650	25790	1.19
12	<b>5</b>	80	250 : 1:1	3	95	15830	27220	1.76
13	<b>5</b>	110	250 : 1:1	3	96	16050	27500	1.36
14	<b>5</b>	110	250 : 1:2	3	85	5120	12180	1.47
15	<b>6</b>	80	250 : 1:1	3	84	2500	24080	1.63
16	<b>6</b>	110	250 : 1:1	3	95	2770	27220	1.21
17	<b>7</b>	25	250 : 1:1	1	35	1180	10000	1.01
18	<b>7</b>	80	250 : 1:1	1	99.5	7120	28360	1.69
19	<b>7</b>	110	250 : 1:1	13min	100	10770	28650	1.81
20	<b>8</b>	80	250 : 1:1	3	80	---	---	---
21	<b>8</b>	110	250 : 1:1	1	99	4670	28360	1.52
22	<b>8</b>	110	250 : 1:1	3	99.7	10190	28560	1.60
23	<b>9</b>	25	250 : 1:1	1	65	2070	18660	1.11
24	<b>9</b>	80	250 : 1:1	1	98	7770	28070	1.28
25	<b>9</b>	110	250 : 1:1	5min	98	7690	28070	1.32
26	<b>9</b>	110	250 : 1:1	1	100	17240	28640	1.28
27	<b>9</b>	110	250 : 1:2	1	96	9000	13750	1.28
28 <sup>c</sup>	<b>9</b>	110	250 : 1:1	3	85	14330	24360	1.19
29	<b>10</b>	25	250 : 1:1	1	45	4950	12950	1.08
30	<b>10</b>	110	250 : 1:1	10min	100	29040	28640	1.63
31	<b>10</b>	110	250 : 1:2	1	93	3730	13270	1.19
32 <sup>c</sup>	<b>10</b>	110	250 : 1:1	3	91	26470	26080	1.49
33	<b>11</b>	25	250 : 1:1	1	94	10520	26930	1.12
34	<b>11</b>	110	250 : 1:1	3 min	100	27380	28640	1.66
35	<b>11</b>	110	250 : 1:2	1	95	5470	13610	1.95
36 <sup>c</sup>	<b>11</b>	110	250 : 1:1	3	90	18920	25790	1.51

Runs conducted in toluene using 0.05 mmol of catalyst; CL =  $\epsilon$ -caprolactone. <sup>a</sup> Determined by <sup>1</sup>H NMR spectroscopy. <sup>b</sup> GPC values corrected considering Mark-Houwink factors (0.56 poly( $\epsilon$ -caprolactone)) from polystyrene standards in THF. <sup>c</sup> Calculated from  $([\text{Monomer}]_0/[\text{cat}]_0) \times \text{conv.}(\%) \times \text{Monomer molecular weight} + \text{Molecular weight of BnOH}$ . <sup>d</sup> From GPC. Using 1/3 amount of cat. **9** and 1/2 of cat. **10** and **11**.

**Table 2.** ROP of *rac*-Lactide (*rac*-LA) using complexes **1-11**.

Run	Cat	T (°C)	[ <i>rac</i> -LA] :[cat]:[BnOH]	Time (h)	Conv (%) <sup>a</sup>	<i>M</i> <sub>n, GPC</sub> <sup>b</sup>	<i>M</i> <sub>w, cat</sub> <sup>c</sup>	PDI
1	<b>1</b>	110	100 : 1:1	12	75	8770	10920	1.76
2	<b>1</b>	110	200 : 1:1	12	78	10180	22590	1.32
3	<b>2</b>	110	100:1:1	12	64	9800	9330	1.21
4	<b>3</b>	110	50:1:1	12	30	1560	2270	1.13
5	<b>3</b>	110	100 : 1:1	12	65	7480	9480	1.26
6	<b>3</b>	110	200 : 1:1	12	79	15050	22880	1.37
7	<b>3</b>	110	400 : 1:1	12	80	21240	46230	1.46
8	<b>3</b>	110	600 : 1:1	12	82	22950	70910	1.68
9	<b>3</b>	110	800 : 1:1	12	73	28660	84280	2.3
10	<b>3</b>	110	400 : 1:1	1	71	6600	41040	1.1
11	<b>3</b>	110	100 : 1:1	5	75	4260	10920	1.4
12	<b>3</b>	110	400 : 1:1	6	75	6010	43350	1.2
13	<b>3</b>	70	400 : 1:1	12	78	6750	45080	1.2
14	<b>4</b>	110	400 : 1:1	12	79	11060	45650	1.6
15	<b>5</b>	110	100 : 1:1	12	78	6750	11350	1.1
16	<b>5</b>	110	200 : 1:1	12	84	8280	24320	1.2
17	<b>5</b>	110	400 : 1:1	12	86	7640	49690	1.1
18	<b>6</b>	110	100:1:1	12	72	6530	10380	1.1
19	<b>7</b>	110	100:1:1	1	---	---	---	---
20	<b>7</b>	110	100:1:1	6	65	4370	9480	1.0
21	<b>7</b>	110	50:1:1	12	49	2260	3640	1.0
22	<b>7</b>	110	100:1:1	12	74	4370	10780	1.0
23	<b>7</b>	110	150:1:1	12	80	4520	17400	1.2
24	<b>7</b>	110	200:1:1	12	85	6870	24610	1.2
25	<b>8</b>	110	100:1:1	1	---	---	---	---
26	<b>8</b>	110	100:1:1	6	---	---	---	---
27	<b>8</b>	110	100:1:1	12	74	4270	10770	1.2
28	<b>9</b>	110	100:1:1	1	---	---	---	---
29	<b>9</b>	110	100:1:1	6	55	2810	8040	1.1
30	<b>9</b>	110	100:1:1	12	78	4680	11350	1.2
31 <sup>e</sup>	<b>9</b>	110	100:1:1	12	63	4320	9190	1.7
32	<b>10</b>	110	100:1:1	12	56	3870	8180	1.2
33 <sup>e</sup>	<b>10</b>	110	100:1:1	12	49	2470	7170	1.2
34	<b>11</b>	110	100:1:1	12	72	5330	10380	1.1
35	<b>11</b>	110	100:1:2	12	66	3270	4810	1.5
36 <sup>e</sup>	<b>11</b>	110	100:1:1	12	50	2360	7310	1.3

Runs conducted in toluene using 0.02 mmol of catalyst; <sup>a</sup> Determined by <sup>1</sup>H NMR spectroscopy; <sup>b</sup> *M*<sub>n</sub> GPC values corrected considering Mark-Houwink factors (0.58 poly (*rac*-lactide)) from polystyrene standards in THF; <sup>c</sup> Calculated ([Monomer]<sub>0</sub>/[OH]<sub>0</sub>) × conv.(%) × Monomer molecular weight. <sup>e</sup> Using 1/3 amount of cat. **9** and 1/2 of cat. **10** and **11**.



**Table 4.** ROP of  $\delta$ -valerolactone using Al complex **1-11**

Run <sup>a</sup>	Cat	$\delta$ VL : BnOH :M	Time/h	Conv.% <sup>b</sup>	$M_n^c$	$M_{n,Cal}^d$	PDI <sup>e</sup>
1	<b>1</b>	100:1:1	12	34	1920	3510	1.35
2	<b>2</b>	100:1:1	12	20	1500	2110	1.23
3	<b>3</b>	100:1:1	12	89	3220	9010	1.29
4	<b>4</b>	100:1:1	12	60	1900	6110	1.35
5	<b>5</b>	100:1:1	6	---	---	---	---
6	<b>5</b>	100:1:1	12	97	2790	9820	1.75
7	<b>5</b>	50:1:1	24	77	2370	3960	1.43
8	<b>5</b>	100:1:1	24	98	3850	9920	1.36
9	<b>5</b>	150:1:1	24	97	5350	14680	1.73
10	<b>5</b>	200:1:1	24	98	8310	19710	1.38
11	<b>6</b>	100:1:1	12	---	500	---	1.03
12	<b>6</b>	100:1:1	24	90	3670	9120	1.38
13	<b>7</b>	100:1:1	12	72	2280	7310	1.44
14	<b>8</b>	100:1:1	12	50	1700	5110	1.13
15	<b>9</b>	100:1:1	12	---	510	---	1.01
16	<b>9</b>	100:1:1	24	80	4520	8120	1.32
17	<b>9</b>	100:1:1	24	59	3290	6010	1.74
18	<b>10</b>	100:1:1	12	---	---	---	---
19	<b>10</b>	100:1:1	24	99	7340	10020	1.10
20	<b>10</b>	100:1:1	24	62	4870	6210	1.82
21	<b>11</b>	100:1:1	12	---	---	---	---
22	<b>11</b>	100:1:1	24	88	3960	8920	1.52
23	<b>11</b>	100:1:1	24	77	3870	7810	1.64

<sup>a</sup>Runs conducted in toluene using 0.05 mmol of catalyst at 110 °C. <sup>b</sup>Determined by <sup>1</sup>H NMR spectroscopy; <sup>c,e</sup> Determined by GPC. <sup>d</sup>Calculated from  $([\text{Monomer}]_0/[\text{OH}]_0) \times \text{conv.}(\%) \times \text{Monomer molecular weight} + \text{Molecular weight of BnOH}$ . <sup>e</sup>  $1/3$  amount of cat. **9** and  $1/2$  of cat. **10** and **11**.

**Table 7.** Crystallographic data for pro-ligands **L<sup>3</sup>Hdpa** and **L<sup>3</sup>Hdpg** and complexes **5** and **7**

Compound	<b>L<sup>3</sup>Hdpa</b>	<b>L<sup>3</sup>Hdpg</b>	<b>5</b>	<b>7</b>
Formula	C <sub>28</sub> H <sub>33</sub> NO	C <sub>28</sub> H <sub>33</sub> NO	C <sub>30</sub> H <sub>38</sub> AlNO	C <sub>30</sub> H <sub>38</sub> AlNO
Formula weight	399.55	399.55	455.59	455.59
Crystal system	Triclinic	Monoclinic	Monoclinic	Triclinic
Space group	<i>P</i> $\bar{1}$	<i>P</i> 2 <sub>1</sub> / <i>c</i>	<i>P</i> 2 <sub>1</sub>	<i>P</i> $\bar{1}$
Unit cell dimensions				
<i>a</i> (Å)	10.0491(4)	19.264(5)	12.019(7)	10.4155(6)
<i>b</i> (Å)	11.8421(5)	5.9804(7)	9.329(2)	12.2889(7)
<i>c</i> (Å)	22.5152(9)	22.048(3)	12.761(6)	22.1595(13)
$\alpha$ (°)	86.153(3)	90	90	78.099(2)
$\beta$ (°)	88.412(3)	111.594(15)	111.22(5)	80.513(2)
$\gamma$ (°)	67.738(4)	90	90	80.731(2)
<i>V</i> (Å <sup>3</sup> )	2474.05(19)	2361.8(8)	1333.8(11)	2713.5(3)
<i>Z</i>	4	4	2	4
Temperature (K)	293(2)	150(2)	150(2)	160(2)
Wavelength (Å)	0.71073	0.71073	0.71073	0.71073
Calculated density (g.cm <sup>-3</sup> )	1.073	1.124	1.134	1.115
Absorption coefficient (mm <sup>-1</sup> )	0.064	0.067	0.097	0.10
Transmission factors (min./max.)	0.716/1.000	0.955/0.979	0.977/0.986	0.936/0.978
Crystal size (mm <sup>3</sup> )	0.7 × 0.4 × 0.2	0.45 × 0.25 × 0.05	0.28 × 0.20 × 0.19	0.70 × 0.52 × 0.23
$\theta$ (max) (°)	29.226	25.235	25.331	28.865
Reflections measured	32360	8827	7484	20453
Unique reflections	11750	4231	7484	12306
<i>R</i> <sub>int</sub>	0.0319	0.0620	0.1661	0.0183
Reflections with $F^2 > 2\sigma(F^2)$	6867	2051	3692	10043
Number of parameters	548	280	287	611
<i>R</i> <sub>1</sub> [ $F^2 > 2\sigma(F^2)$ ]	0.0762	0.0494	0.1195	0.0464
<i>wR</i> <sub>2</sub> (all data)	0.2324	0.1135	0.3432	0.1186
GOOF, <i>S</i>	1.042	0.804	1.007	1.019
Largest difference peak and hole (e Å <sup>-3</sup> )	0.673 and -0.468	0.237 and -0.316	0.719 and -0.427	0.363 and -0.275

**Table 7** con't. Crystallographic data for complexes **9**, **10** and **11·MeCN**

Compound	<b>9</b>	<b>10</b>	<b>11·MeCN</b>
Formula	C <sub>25</sub> H <sub>35</sub> Al <sub>3</sub> NP	C <sub>17</sub> H <sub>25</sub> Al <sub>2</sub> N	C <sub>32</sub> H <sub>39</sub> Al <sub>2</sub> N <sub>3</sub>
Formula weight	461.45	297.34	519.62
Crystal system	Triclinic	Monoclinic	Triclinic
Space group	<i>P</i> $\bar{1}$	<i>P</i> 2 <sub>1</sub> / <i>n</i>	<i>P</i> $\bar{1}$
Unit cell dimensions			
<i>a</i> (Å)	8.7689(14)	12.9996(3)	11.13092(14)
<i>b</i> (Å)	12.714(2)	9.1655(2)	11.31747(15)
<i>c</i> (Å)	12.722(2)	14.6167(2)	14.07252(15)
$\alpha$ (°)	79.822(2)	90	104.6534(10)
$\beta$ (°)	77.110(2)	91.416(2)	108.3655(11)
$\gamma$ (°)	75.776(2)	90	105.3906(11)
<i>V</i> (Å <sup>3</sup> )	1329.0(4)	1741.02(6)	1508.40(3)
<i>Z</i>	2	4	2
Temperature (K)	150(2)	100(2)	100(2)
Wavelength (Å)	0.6861	0.71073	0.71075
Calculated density (g·cm <sup>-3</sup> )	1.153	1.134	1.144
Absorption coefficient (mm <sup>-1</sup> )	0.193	0.158	0.12
Transmission factors (min./max.)	0.977/0.996	0.475/1.000	0.872/1.000
Crystal size (mm <sup>3</sup> )	0.12 × 0.08 × 0.02	0.24 × 0.19 × 0.10	0.19 × 0.15 × 0.10
$\theta$ (max) (°)	29.349	27.483	27.482
Reflections measured	8760	25639	39175
Unique reflections	6934	6880	6903
<i>R</i> <sub>int</sub>	0.0274	0.0136	0.0224
Reflections with $F^2 > 2\sigma(F^2)$	4786	6178	6628
Number of parameters	293	202	347
<i>R</i> <sub>1</sub> [ $F^2 > 2\sigma(F^2)$ ]	0.0478	0.0700	0.0366
<i>wR</i> <sub>2</sub> (all data)	0.1339	0.2052	0.0958
GOOF, <i>S</i>	1.014	1.059	1.024
Largest difference peak and hole (e Å <sup>-3</sup> )	0.525 and -0.320	0.769 and -0.302	0.388 and -0.317