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Tandem Iridium Catalysis as a General Strategy for Atroposelective Construction of Axially Chiral Styrenes

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may serve as a class of novel chiral ligands in asymmetric synthesis. However, only recently have strategies been developed for their enantioselective preparation. Thus, the development of novel and efficient methodologies is highly desirable. Herein, we reported the first tandem iridium catalysis as a general strategy for the synthesis of axially chiral styrenes enabled by *Asymmetric Allylic Substitution-Isomerization* (AASI) using cinnamyl carbonate analogues as electrophiles and naphthols as nucleophiles. In this approach, axially chiral styrenes were generated through two independent



iridium-catalytic cycles: iridium-catalyzed asymmetric allylic substitution and *in situ* isomerization via stereospecific 1,3-hydride transfer catalyzed by the same iridium catalyst. Both experimental and computational studies demonstrated that the isomerization proceeded by iridium-catalyzed benzylic C–H bond oxidative addition, followed by terminal C–H reductive elimination. Amid the central-to-axial chirality transfer, the hydroxyl of naphthol plays a crucial role in ensuring the stereospecificity by coordinating with the Ir(I) center. The process accommodated broad functional group compatibility. The products were generated in excellent yields with excellent to high enantioselectivities, which could be transformed to various axially chiral molecules.

1. INTRODUCTION

New strategies toward the construction of axially chiral compounds have been targeted in recent years due to their widespread appearance in natural products, biologically active compounds, and useful chiral ligands in asymmetric catalysis.¹ However, synthetic studies of axially chiral compounds beyond biaryl and heterobiaryl derivatives have been sparse. Axially chiral styrenes are one family of chiral compounds whose synthesis represents a major synthetic challenge due to their relatively low configurational stability.² In this context, the pioneering work of catalytic enantioselective construction of axially chiral arylcyclohexenes was reported by the Gu and Smith groups.³ These kinds of styrene atropisomers have similar skeletons to biaryls, which enabled them high rotational barriers around the C-C axes.

However, the enantioselective preparation of axially chiral styrenes with an acyclic alkene is more challenging. Such synthetic methodologies have been realized very recently, and a significant process is achieved in this realm. One of the most widely used methods for preparing axially chiral styrenes is atroposelective organocatalysis. Key to this transformation was the generation of activated chiral allene intermediates, which are trapped by various nucleophiles, affording axially chiral styrenes (Scheme 1A, top).⁴ The other reliable method is asymmetric C–H functionalization of pro-axially chiral styrenes by using palladium as catalysts and amino acid derivatives as ligands (Scheme 1A, middle).⁵ A complementary approach to these methods is the stereoselective *N*-alkylation

or acylation at sites proximal to the C–C axes (Scheme 1A, bottom).⁶ While these methods have offered elegant and innovative routes to axially chiral styrenes, a vast majority of them require specialized substrates whose syntheses need multistep synthetic procedures, thus hampering the development of this important class of molecules. A versatile method for the catalytic synthesis of axially chiral styrenes still needs to be addressed.

Recently, our group developed an *asymmetric allylic substitution-isomerization (AASI)* strategy for the synthesis of axially chiral enamides (Scheme 1B).⁷ In our mechanistic proposal, enantioenriched product I was formed via the iridium-catalyzed asymmetric allylic substitution,⁸ followed by base-promoted *in situ* isomerization to axially chiral products II via central-to-axial chirality transfer (Scheme 1C, left).⁹ In this context, 1,3-proton (H⁺) transfer occurs via a stepwise deprotonation/reprotonation pathway through a chiral ion-pair intermediate. Meanwhile, the hydrogen-bonding interactions between the proton and enamide carbonyl played a significant role in promoting both the reactivity and the

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Scheme 1. Strategies for the Synthesis of Axially Chiral Styrenes with an Open-Chain Alkene

A. Previous strategies for synthesizing of axially chiral styrenes with an open-chained alkene (Our previous work)



stereospecificity of the stepwise 1,3-proton transfer. Despite this achievement, this transformation would be challenging if the allylic compound I possesses a less acidic allylic C–H bond due to low reactivity in the base-promoted 1,3-proton transfer.

As an alternative strategy for the central-to-axial chirality transfer, transition-metal (TM)-catalyzed olefin isomerization is an exceptional technique for the construction of 1-propen-1yl units.¹⁰ However, TM-catalyzed C(sp3)-H bond oxidative cleavage for stereospecific 1,3-hydride (H⁻) transfer remains largely unexplored so far. In our effort to develop the AASI strategy, we recognized that merging a transition-metalcatalyzed allylic substitution and catalytic olefin isomerization,^{10d10m} might be an efficient approach for accessing axially chiral styrenes (Scheme 1C, right). Furthermore, it would be highly desirable that this cascade reaction process could be catalyzed by an identical TM complex in one pot. We hypothesized that this catalytic 1,3-hydride transfer can potentially give higher stereospecificity in the central-to-axial chirality transfer step.¹¹ If successful, it would represent a versatile method for the construction of axially chiral styrenes using easily accessible aryl allylic carbonates and naphthols. Although naphthols have been used as nucleophiles in asymmetric allylic substitution, they usually proceeded with dearomatization^{12a-d} and allylic alkylation.^{12e} The direct asymmetric vinylation of naphthols to atropisomers is yet to be explored. To this end, we herein demonstrate an iridiumcatalyzed Asymmetric Allylic Substitution-Isomerization (AASI) as a general method for the synthesis of axially chiral styrenes (Scheme 1D).

2. RESULTS AND DISCUSSIONS

2.1. Reaction Development and Optimizations. *Catalyst Preparation.* The iridacycle catalyst was *in situ*

prepared by 1,5,7-triazabicyclo[4.4.0]dec-5-ene (TBD) according to the literature.¹³ The experiment was performed in an argon-filled glovebox. $[Ir(cod)Cl]_2$ (2.1 mg, 3 mol %), ligand (6 mol %), and TBD (2.1 mg, 15 mol %) were added to a vial equipped with a magnetic stirring bar. The vial was then charged with solvent (0.5 mL) and stirred at 25 °C for 30 min, generating an orange solution. The iridacycle catalyst containing the solvent was used directly for the catalysis system (taking L1, for example, see eq "a") (see the SI for details).

Optimization. We initially carried out the reaction of cinnamyl carbonates 1a and 8-bromonaphthalen-2-ol 2a in the presence of the *in situ* generated iridacycle catalyst (Table 1). In order to ensure the configurational stability of the products, introducing *p*-toluenesulfonyl chloride onto the naphthyl backbone was conducted in one pot after the AASI process. As expected, axially chiral styrenes 3a was isolated in Zselectivity with 92% ee in the presence of 3 mol % [Ir(cod)Cl]₂, 6 mol % L1, and 3.0 equiv of DBU in THF at 35 °C, albeit with a moderate yield of 61% (entry 1). The use of L2-L5 gave us trace amounts of products (entries 2-5). The reaction with L6 gave 3a in 90% ee, but the yield was much lower (entry 6). Reactions conducted with other bases afforded 3a in either lower yield or lower ee (entries 7-10). Examination of solvents revealed that reactions carried out in dioxane or toluene afforded 3a in good yields and slightly

Table 1. Optimized Conditions^a



^{*a*}Reaction conditions: **1a** (0.1 mmol), **2a** (0.1 mmol), $[Ir(cod)Cl]_2$ (3 mol %), ligand (6 mol %), TBD (15 mol %), DBU (3.0 equiv), toluene (1.0 mL); then TsCl (3.0 equiv), 1.0 h. ^{*b*}Isolated yield. ^{*c*}ee determined by chiral HPLC. ^{*d*}Changing amount of **2a** from 0.1 to 0.15 mmol; reaction temperature from 35 to 33 °C.

lower ee (entries 11 and 12). Other leaving groups such as -Cl, -OBz, -OBoc, and $-OPO(OEt)_2$ had a detrimental impact on reactivity (entries 13–16). A final round of optimization enabled us to substantially increase the stoichiometry in **2a** and precisely control the temperature to 33 °C, gratefully, generating **3a** in 84% yield and 95% ee (entry 17).

Substrate Scope. With the optimized conditions in hand, we then studied the substrate scope of the reaction with respect to allylic carbonates (Table 2). Aryl allylic carbonates bearing both electron-rich and electron-poor groups at the *para* position were well tolerated, affording 3b-3g in moderate to good yields (42–86%) with high enantioselectivities (90–95% ee). The absolute configuration of 3f was determined by single-crystal X-ray crystallographic analysis, and others were assigned by analogy to 3f. Substrates with *meta* substituents such as -Me, -MeO, -Cl, and -F all proceeded well, generating 3h-3k in 58-88% yield and 92-99% ee.

Table 2. Substrate Scope of Allylic Carbonates^a



^{*a*}Reaction conditions: 1 (0.1 mmol), 2a (0.15 mmol), $[Ir(cod)Cl]_2$ (3 mol %), L1 (6 mol %), TBD (15 mol %), DBU (3.0 equiv), toluene (1.0 mL); then TsCl (3.0 equiv), 1.0 h. Isolated yield. ee determined by chiral HPLC. Unless noted, products were obtained with more than 19:1 of Z/E. ^{*b*}3g was isolated without Ts protection.

Difunctionalized cinnamyl carbonate 11 was compatible with the reaction, generating 31 in 92% ee and 68% yield.

The fused rings of the R group in 1 were also accommodated, affording 3m and 3n in 96% and 93% ee, respectively. The examination of heteroarenes disclosed wide compatibility, for example, thienyl (10), furyl (1p), and protected indolyl (1q) reacted smoothly, providing the corresponding products in 92% ee with 72–84% yields.

With respect to the β -naphthol scope, various substituted naphthols were investigated to showcase the generality of the reaction (Table 3). Replacement of -Br in 2 with other halo groups such as $-\overline{Cl}$ and -I resulted in both high enantioselectivities (94% ee) with 62% and 56% yield, respectively (3r and 3s). Removal of the substituent at the 8-position was proved to have dramatic effects on the enantioselectivities of the reaction. Under optimized conditions, axially chiral styrenes 3t-3x were effectively generated in 78-89% ee with 72-81% yield. The reaction of disubstituted β -naphthol provided the corresponding product 3y in 85% ee and 72% yield. The slight lower ee's of 3t-3ymay be attributed to their racemization because of the lower conformational stability prior to the -tosyl protection. It is noteworthy that phenanthren-3-ol was also tolerated under the reaction conditions, affording 3z in 92% ee, albeit with a moderate yield of 52%.

It is obvious that β -naphthols bearing 8-substituents are crucial for generating axially chiral styrenes with high enantioselectivities. After a slight adjustment of the reaction temperature, a broad range of 8-substituted β -naphthols proceeded smoothly with good results (Table 4). When 8-Me substituted β -naphthol was employed, **3a'** was afforded in a high ee of 95% with 76% yield. Notably, *N*-substituted β naphthols at the 8-position were compatible with reaction conditions, giving the corresponding products in 93% and 90%

Table 3. Substrate Scope of β -Naphthols^a



^{*a*}Reaction conditions: **1f** (0.1 mmol), **2** (0.15 mmol), $[Ir(cod)Cl]_2$ (3 mol %), L1 (6 mol %), TBD (15 mol %), DBU (3.0 equiv), toluene (1.0 mL); then TsCl (3.0 equiv), 1.0 h. Isolated yield. ee determined by chiral HPLC. Unless noted, products were obtained with more than 19:1 of Z/E. ^{*b*}**3z** was isolated without Ts protection.



^{*a*}Reaction conditions: **1f** (0.1 mmol), **2** (0.15 mmol), $[Ir(cod)Cl]_2$ (3 mol %), L1 (6 mol %), TBD (15 mol %), DBU (3.0 equiv), toluene (1.0 mL). Isolated yield. ee determined by chiral HPLC. Unless noted, products were obtained with more than 19:1 of Z/E. ^{*b*}3a' was isolated with Ts protection.

ee, respectively (3b' and 3c'). The reaction was efficient regardless of the aromatic substitution explored, providing the products in 92–95% ee (3d'-3g'). Other functionalizable groups such as 8-alkene or 8-alkyne units underwent *AASI* efficiently, resulting in the desired products in 94% and 99% ee, respectively (3h' and 3i'). It is worthwhile to mention that complex substrates derived from *L*-menthol and vitamin E could also be used in the reaction, yielding the products 3j'and 3k' in beyond 20/1 dr with 46% and 37% yield, respectively.

Having established the AASI of β -naphthols, we hypothesized that 3-substituted α -naphthols may also be suitable as nucleophiles for preparation of axially chiral styrenes. If the reaction works, it would represent a rare example for accessing axially chiral molecules by using α -naphthols as substrates (Table 5). To our delight, using cinnamyl carbonates 1a and

Table 5. Substrate Scope of 3-Substituted α -Naphthols^{*a*}



"Reaction conditions: 1 (0.1 mmol), 4 (0.15 mmol), $[Ir(cod)Cl]_2$ (3 mol %), L1 (6 mol %), TBD (15 mol %), DBU (3.0 equiv), toluene (1.0 mL). Isolated yield. ee determined by chiral HPLC. Unless noted, products were obtained with more than 19:1 of Z/E. ^b**5b** was isolated with Ts protection.

3,4-dimethylnaphthalen-1-ol **4a** as substrates, conditions similar to the optimized protocol, afforded **5a** in 85% ee with 75% yield. Several representative allylic carbonates were examined, generating products in 85-92% ee with 55-81% yield (**5b**-**5g**). The removal of the methyl group at the 4-position in α -naphthols was also tested. As a result, **5h** was obtained in 84% ee and 62% yield with high regioselectivity of vinylation in the 2-position rather than the 4-position.

2.2. Racemization Experiment. The configurational stability of axially chiral styrenes was one of the key factors for their utility. No diminished ee's of **3** were observed after storing in a freezer for a couple of months. Next, we selected representative examples and conducted the racemization

experiments in toluene at 50 °C. As shown in Figure 1, comparison of the half-lives (6 vs 7) indicated that the non-8-



Figure 1. Racemization experiments. Measured at 50 °C in toluene; ee determined by chiral HPLC. See the Supporting Information (SI) for details.

substituted axially chiral styrene **6** has a lower configurational stability than the 8-substituted compound 7 (53.5 vs 138.38 h). This may be attributed to the fact that 8-substituted axially chiral styrene possesses a bigger steric hindrance that restricted the rotation about the C–C axes (red arrow in molecules). The importance of the steric hindrance on configurational stability was further demonstrated by comparing the half-lives of 7 and **3a**, in which the half-life of **3a** was 2444.15 h. These experiments suggested that the use of bulky naphthols as substrates was one of the essential criteria for the construction of high enantioselective axially chiral styrenes in *AASI*. When non-8-substituted β -naphthols were used for the reaction, the protection of the hydroxyl group is necessary to maintain the high enantioselectivities of axially chiral styrenes.

2.3. Derivatization of the Products. The synthetic utility of AASI was then demonstrated by conducting a series of derivatizations (Scheme 2). First, we performed the reaction in a 1.0 mmol scale, from which 3f was obtained in 72% yield with 94% ee. According to the "standard conditions" in Table 4, compound 8 was readily isolated in 95% ee that can be easily transformed to 9 in 94% ee with 82% yield. Using 9 as a substrate, the cross-coupling with methyl Grignard reagent by palladium catalysis afforded 10 in 76% yield while maintaining the ee of 93%. In addition, deprotection of 11 (derived from 3b') delivered 12 in 82% yield with preservation of the initial ee of 91%. The free amino group in 12 could be further transformed to 13 via a two-step reaction in 62% yield with 10:1 dr. The sulfonamide 14 could also be efficiently generated in 78% yield with >20:1 dr. The facile synthesis of compounds 13 and 14 may provide a new type of axially chiral catalyst or ligand for asymmetric synthetic chemistry.

2.4. Mechanistic Studies. In order to explore the limitation to the strategy, a series of experiments were carried out. First, β -naphthols with protecting groups such as methyl,

Scheme 2. Derivatization of the Products^a



^aSee the Supporting Information for details. Py = pyridine.

acetyl, or TBS were used for the reaction, but no vinylation products were obtained and the starting materials recovered (Scheme 3A, eq 1). This result indicated that the -OH group

Scheme 3. Control Experiments and Deuterium Labeling Experiments



in 2 was crucial for the reaction. We next examined the reactivity of naphthalen-2-amine analogues for AASI. Still, there were no desired products obtained, and the allylic amination at the N site was observed in some cases (Scheme 3A, eq 2). To further understand the isomerization for the central-to-axial chirality transfer, several control experiments and deuterium labeling experiments were conducted. First, compound 15 was prepared in 70% ee via rhodium-catalyzed

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asymmetric allylic arylation.^{12e} Surprisingly, by using DBU or TBD as the catalyst, only a trace amount of **16** was obtained for the isomerization of **15** in the absence of Ir catalyst (eq 3). This result indicated that the base-catalyzed 1,3-proton transfer is not involved in the isomerization process.

Interestingly, further control experiments showed that the isomerization proceeded smoothly under iridium catalysis which afforded axially chiral styrene 16 with full conversion (eq 4). The reaction was even faster if the iridium-catalyzed isomerization was performed under basic conditions (eq 4). More importantly, product 16 could be readily isolated beyond 90% yield in all cases with 67–69% ee (95–98% es).¹⁴ These results indicated that the iridium-catalyzed stereospecific 1,3hydride transfer may be involved for central-to-axial chirality transfer. In order to gain more mechanistic insights, a deuterium labeling experiment was then carried out. Using substrate 1a-D labeling with deuterium next to the aryl ring led to product 17 with ~80% D incorporation at the methyl group (Scheme 3, B). The incomplete D migration in the course of the transformation was attributed to H/D exchange of iridium hydride with solvent or water during the reaction process.¹⁵ The high level central-to-axial chirality transfer maybe attributed to the coordination between allylic iridium hydride and the hydroxyl group which restricts the rotation of the reaction intermediate via chiral C-C axes during the chiral transfer process.

In order to gain more mechanistic insights into the centralto-axial chirality transfer process, density functional theory (DFT) calculations were performed to investigate whether the 1,3-H transfer process is catalyzed by the base (DBU) or the iridium catalyst. As shown in Figure 2, from the allylation product (R)-18, the DBU-catalyzed benzylic C–H deprotonation occurs through transition state TS-1. Although being assisted by the intramolecular hydrogen-bonding interaction⁷



Figure 2. Free energy profile of the DBU-catalyzed 1,3-proton transfer pathway for central-to-axial chirality transfer. All energies were calculated at the M06-2X/6-311++G(d,p)/SMD(toluene)//M06-2X/6-31G(d) level of theory.

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with the naphthol OH group, this process still requires a relatively high activation free energy of 24.0 kcal/mol. Subsequent protonation of the terminal allylic carbon in 19 takes place through TS-2, leading to the (P)-enantiomer of the axially chiral styrene product (P)-20. On the other hand, a more stable conformer of (R)-18 could be located as 18a through rotation around the C-C axes (via TS-3). The analogous 1,3-proton transfer from 18a would lead to the (M)product (M)-20. However, the deprotonation of 18a via TS-1a requires a higher activation free energy than TS-1 due to the steric repulsion between the bromine atom and the DBU. Computational results of the 1.3-proton transfer pathway show that the rate-determining step is the benzylic C-H deprotonation and the formation of (P)-20 is more favorable from (R)-18. These results are consistent with the experimentally observed chirality of the product. Moreover, computational studies indicate that the racemization of (P)-20 to form (M)-20 is less likely because of a high energy barrier of 28.9 kcal/mol (via TS-4), which is consistent with the experimentally observed activation free energy of racemization of compound 7 (Figure 1).

Next, we considered the iridium(I)-catalyzed stereospecific 1,3-hydride transfer pathway, which involves benzylic C–H oxidative addition to iridium(I) and C–H reductive elimination (Figure 3).¹⁶ Because experimentally, the 1,3-H



Figure 3. Free energy profile of the iridium(I)-catalyzed 1,3-hydride transfer pathway for central-to-axial chirality transfer. All energies were calculated at the M06-2X/6-311++G(d,p)-SDD/SMD-(toluene)//M06-2X/6-31G(d)-LANL2DZ level of theory.

transfer was observed using either $[Ir(cod)Cl]_2$ catalyst or the iridacycle catalyst generated from $[Ir(cod)Cl]_2$, L1, and TBD (see Figure S6 of the Supporting Information for details), we used $[Ir(cod)Cl]_2$ in the DFT calculations for simplicity. First, complex 21 was generated through the coordination of the allylation product (*R*)-18 to the iridium catalyst. From 21, the iridium(I)-promoted deprotonation of naphthol with DBU leads to a naphthoxide iridium(I) intermediate 23, which is 4.5 kcal/mol more stable than the naphthol complex 21. The following benzylic C–H oxidative addition (via TS-6) and the C–H reductive elimination (via TS-7) then take place to accomplish the central-to-axial chirality transfer to form the

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(*P*)-enantiomer of the styrene (26). The overall activation free energy of this pathway is 22.7 kcal/mol, which is 1.3 kcal/mol lower than that of the DBU-promoted 1,3-proton transfer pathway. Therefore, our computational results suggested that the central-to-axial chirality transfer is achieved through the iridium(I)-catalyzed 1,3-hydride transfer mechanism, instead of the 1,3-proton transfer mechanism. The benzylic C–H oxidative addition transition state (**TS-6a**) leading to the (*M*)product [(M)-20] has a much higher activation free energy due to the lack of naphthoxide *O*-coordination to the Ir. The hydroxyl of naphthol plays a crucial role in ensuring the stereospecificity because the deprotonated naphthoxide can coordinate with the iridium(I) catalyst in the pathway leading to the favored (*P*)-product, which prevents the rotation around the C–C axes.

On the basis of the experimental and DFT results, a proposed mechanism for *AASI* is outlined which contained two independent iridium-catalytic cycles (Scheme 4). In catalytic

Scheme 4. Proposed Catalytic Cycle of the Ir-Catalyzed Asymmetric Allylic Substitution-Isomerization for the Formation of Axially Chiral Styrenes



cycle 1, the classic iridium-catalyzed asymmetric allylic substitution occurs via intermediates I and II, generating compound III in high enantioselectivities. Catalytic cycle 2 then initiates through the coordination of naphthol of III to the iridium catalyst. A base-facilitated deprotonation of naphthol generates the chelating *O*-bound π -alkene iridium complex IV. The following benzylic C–H oxidative addition forms the iridium(III) hydride complex (V). Subsequently, the C–H reductive elimination and protonation of VI lead to the formation of product VII and regenerated the iridium catalyst.^{9d} It should be noted that the origin of stereoselectivity of VII is attributed to the asymmetric allylic substitution step since [Ir(cod)Cl]₂ without ligand could also accomplish the stereospecific 1,3-hydride transfer (see the SI for details).

3. CONCLUSIONS

In summary, we have developed the first example of tandem iridium catalysis to control the enantioselectivity and stereospecificity of the chirality transfer step in an atroposelective synthesis of axially chiral styrenes. This transformation was facilitated by two independent iridium catalytic cycles of asymmetric allylic substitution-isomerization (*AASI*) using easily accessible allylic carbonates and naphthols as substrates. The reaction tolerated a broad substrate scope for both 1naphthols and 2-naphthols, affording corresponding products in excellent yields and enantioselectivities. The racemization experiments exhibited the importance of the steric hindrance of naphthols for the preservation of enantiopurities. DFT studies of the isomerization process indicated that the stereospecific 1,3-hydride transfer takes place via iridiumcatalyzed benzylic C–H oxidative addition and C–H reductive elimination. The hydroxyl of naphthol is critical for the stereospecificity during the central-to-axial chirality transfer because the coordination of the deprotonated hydroxyl with Ir(I) prevents rotation about the C–C axes. We expect that the *AASI* strategy by iridium catalysis would open a broader avenue for efficient access to various types of axially chiral molecules efficiently.

ASSOCIATED CONTENT

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Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/jacs.1c04400.

Materials and methods; experimental procedures; optimization studies; characterization data; ¹H, ¹³C, and ¹⁹F NMR; HPLC spectra; and mass spectrometry data of new compounds (PDF)

Accession Codes

CCDC 2039913 and 2039920 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/data_request/cif, or by emailing data_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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Notes

The authors declare no competing financial interest.

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