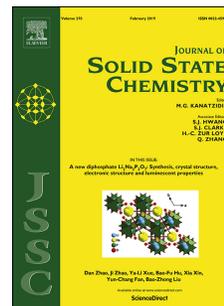


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## Mechanochemical reactions of alkali borohydride with CO<sub>2</sub> under ambient temperature

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1 **Mechanochemical reactions of alkali borohydride with CO<sub>2</sub> under ambient**  
2 **temperature**

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6

7 **Abstract:** Many efforts have been made to study the reactions of the borohydride  
8 with CO<sub>2</sub> in solution. However, it is scarce to achieve the hydrogenation of CO<sub>2</sub> using  
9 borohydrides as hydrogen source by the solid-gas direct reaction in the condition of  
10 ball milling under ambient temperature. Herein, we investigated the solid-gas  
11 noncatalytic reaction of ABH<sub>4</sub> (A = Li or Na) with CO<sub>2</sub> under mechanochemical  
12 conditions for the first time. It is found that hydrogen and trimethylborane are formed  
13 in the gas phase, as well as borate, formate, and methoxy species are formed in the  
14 solid phase.

15

16 **Keywords:** Carbon dioxide; Borohydride; Ball milling; Ambient temperature

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## 24 **1. Introduction**

25 As the increasing use of carbon-based fuels by humans, the amount of carbon  
26 dioxide (CO<sub>2</sub>) emitted to the atmosphere has also risen sharply. The vast amount of  
27 CO<sub>2</sub> causes significant and negative effects on the global environment [1]. In 2016,  
28 the volumetric concentration of CO<sub>2</sub> in the earth's atmosphere exceeded 400 ppm for  
29 the first time, which was much higher than the 280 ppm before industrialization [2].  
30 Therefore, it is important to take effective measures to reduce and treat CO<sub>2</sub> emissions.  
31 As an economical, safe, and sustainable carbon resource compound, CO<sub>2</sub> has great  
32 potential for development into liquid fuels and chemicals which conforms to the green  
33 chemistry principles [3-5].

34 Borohydrides are conventional hydride-donating agents in use today. The chemical  
35 properties of borohydride are affected by bonding between metallic atoms and  
36 borohydride ligands and the electron structure. The H in [BH<sub>4</sub>]<sup>-</sup> is mainly bonded to B  
37 by a covalent bond, resulting in that the thermodynamic properties are very stable  
38 [6,7]. Therefore, borohydrides often exhibit different property with that of salt-like  
39 hydrides such as LiH, NaH, and MgH<sub>2</sub>. Borohydrides have been widely used in  
40 organic synthesis to reduce various functional groups because they are stable  
41 reduction reagents in air and solution [8,9]. In addition, due to their high weight  
42 energy densities. Borohydrides are also widely used as hydrogen source. A significant  
43 effort has been made to produce hydrogen by hydrolysis or by combining with other

44 hydrides [10-14].

45 Recently, many efforts have been made to study the reactions of the borohydride  
46 with CO<sub>2</sub> in solution. Kyle et al. reported that sodium borohydride (NaBH<sub>4</sub>) could  
47 react with CO<sub>2</sub> and bicarbonate (HCO<sub>3</sub><sup>-</sup>) in aqueous solution to form formate (HCOO<sup>-</sup>)  
48 [15]. Zhao et al. have developed a process for converting CO<sub>2</sub> to HCOO<sup>-</sup> using  
49 sodium borohydride at 318 k in a solution of the PH of 9.0 [16,17]. Waheed et al.  
50 achieved the reduction of CO<sub>2</sub> to ethanol by a two-step process using methyl  
51 magnesium bromide CH<sub>3</sub>MgBr (Grignard reagent) and sodium borohydride in  
52 tetrahydrofuran [18]. Knopf et al. reported that sodium borohydride in butyronitrile  
53 solution could be used to reduce CO<sub>2</sub> to formate without the use of expensive borane  
54 or complex catalysts [19]. Hao et al. used NaBH<sub>4</sub> as a reducing agent in dimethyl  
55 sulfoxide to achieve reductive acylation of amine and CO<sub>2</sub> at 100 °C without a  
56 catalyst, resulting in a series of formylation products [20]. Fletcher et al. provided an  
57 efficient method for producing formic acid in high yield (24%) by reducing CO<sub>2</sub> with  
58 potassium borohydride(KBH<sub>4</sub>) in aqueous solution under ambient conditions [21].  
59 Sabet-Sarvestani et al. explored the reduction of CO<sub>2</sub> to methanol utilizing  
60 borohydride compounds as a reducing agent [22].

61 During investigating reactions between borohydride and CO<sub>2</sub>, the reduction of CO<sub>2</sub>  
62 to carbon by solid-solid and solid-gas interaction are also explored. Lou et al.  
63 synthesized Y-junction carbon nanotubes by reducing CO<sub>2</sub> with NaBH<sub>4</sub> at 700 °C [23].  
64 Vitillo et al. found that the main products in the reaction of  $\gamma$ -Mg(BH<sub>4</sub>)<sub>2</sub> with CO<sub>2</sub> are  
65 formate and alkoxide compounds [24]. Zhang et al. found that sodium borohydride

66 reacted with CO<sub>2</sub> at 500 °C to produce boron-doped porous carbon [25]. Zhang et al.  
67 also demonstrated that under moderate conditions, CO<sub>2</sub> could be reduced to graphene  
68 oxide using ammonia borane by two consecutive steps [26]. Picasso et al. studied the  
69 solid-gas noncatalytic reaction between KBH<sub>4</sub> and CO<sub>2</sub> under thermal induction  
70 conditions and found potassium formyl hydroborate, K [H<sub>x</sub>B(OCHO)<sub>4-x</sub>] (x = 1-3), is  
71 the main product [27].

72 However, it is scarce to achieve the hydrogenation of CO<sub>2</sub> using borohydrides as  
73 hydrogen source by the direct solid-gas reaction in the condition of ball milling under  
74 ambient temperature. We believe that the solid-gas reaction proceeded by  
75 mechanochemistry is convenient, safe, and scalable. Besides, little attention in  
76 previous experiments was paid to the gaseous products released from the reaction  
77 between borohydride with CO<sub>2</sub>. In this work, we studied the reactions between ABH<sub>4</sub>  
78 (A = Li or Na) and CO<sub>2</sub> in the absence of a catalyst under mechanochemical  
79 conditions. It is found that hydrogen and trimethylborane are formed in the gas phase,  
80 as well as borate, formate, and methoxy species are formed in the solid phase.

## 81 **2. Experimental methods**

### 82 *2.1 Materials*

83 Lithium borohydride (LiBH<sub>4</sub>) with a purity of 97% was obtained from Aladdin.  
84 Sodium borohydride (NaBH<sub>4</sub>, 98%) was supplied by Shanghai Ruihua Chemical Co.  
85 Ltd. Carbon dioxide (CO<sub>2</sub> with a purity of 99.999%) was acquired from Shanghai  
86 Chemical Industry Park Pujiang Special Gas Co. Ltd.

87 *2.2. The mechanochemical solid-gas reaction of ABH<sub>4</sub> (A = Li or Na) with CO<sub>2</sub>*

88 The mechanochemical solid-gas reaction of ABH<sub>4</sub> (A = Li or Na) with CO<sub>2</sub> was  
89 performed in an 80 mL stainless steel grinding bowl using a planetary ball milling  
90 apparatus (QM-3SP4) at 450 rpm. ABH<sub>4</sub> and CO<sub>2</sub> at the initial ratio of 1:1 mol/mol  
91 with 30 stainless steel balls (6 mm diameter, about 27 g) were used to ball mill. The  
92 initial pressure of CO<sub>2</sub> is controlled at 0.25 MPa by a pressure sensor. Moreover, the  
93 mass of LiBH<sub>4</sub> and NaBH<sub>4</sub> is 0.1787 g and 0.3104 g, respectively. ABH<sub>4</sub> and CO<sub>2</sub>  
94 were ball milled for 23 cycles, with a cycle duration of 1 hour and a pause of 30 min.

95 *2.3. Characterization of gaseous product*

96 The gaseous products were analyzed by gas chromatography (GC). H<sub>2</sub>  
97 concentration was detected using an SP-6890 GC (Rainbow Instrument Co., China)  
98 equipped with a TDX-01 column and a thermal conductivity detector (TCD). The gas  
99 Infrared spectroscopy was performed to characterize the gas product. The gas was  
100 introduced into the glass tube with potassium bromide at both ends. The gas Fourier  
101 transform IR spectroscopy (FTIR) were recorded on Bruker Vertex 70 v spectrometer  
102 between 500–4000 cm<sup>-1</sup> and with a spectral resolution of 4 cm<sup>-1</sup> in the transmission  
103 mode.

104 *2.4. Characterization of solid product*

105 The morphologies of the solid products were examined via scanning electron  
106 microscopy (SEM, S-4800II, Japan). Samples S-4800II analyses were placed on the  
107 sample holders supported by carbon paint followed by 1-min sputter coating of gold.

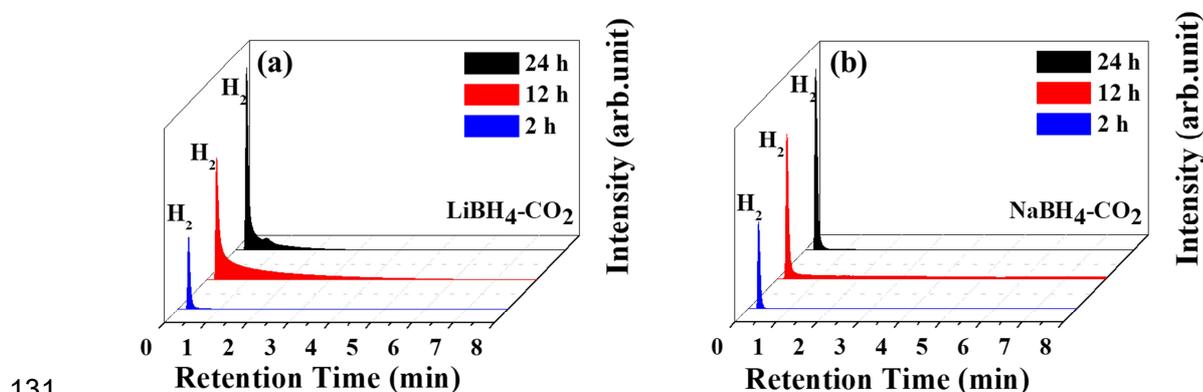
108 EDX analysis was accomplished using a link attachment on an S-4800II transmission  
109 electron microscope. Powder X-ray diffraction (XRD) measurement was carried out  
110 using an X-ray diffractometer (AXS D8 ADVANCE, Bruker, Germany) for a  $2\theta$  range  
111 of  $10\text{--}80^\circ$ , a step size of  $0.1^\circ$  and using graphite monochromatic Cu  $K\alpha$  radiation with  
112 a nickel filter. The tube current was 300 mA with a tube voltage of 40 kV. FTIR  
113 (Fourier-transform infrared) spectra were recorded on a Bruker TENSOR27 FTIR at a  
114 resolution of  $4\text{ cm}^{-1}$  from  $500$  to  $4000\text{ cm}^{-1}$ .  $^{11}\text{B}$  and  $^{13}\text{C}$  solid-state MAS NMR (magic  
115 angle spinning-nuclear magnetic resonance) spectra were recorded by Bruker  
116 AVANCE III400MHzWB, operating in a 9.4 T magnet. Samples were spun at 10 kHz  
117 for  $^{11}\text{B}$  and 9 kHz for  $^{13}\text{C}$  with the sample temperature maintained at 298.15 K. The  
118  $^{13}\text{C}$  solid-state MAS NMR spectra were referenced to the methylene carbon of  
119 adamantane at 38.48 ppm.  $^{11}\text{B}$  NMR spectra were referenced against an external  
120  $\text{BF}_3\cdot\text{OEt}_2$  standard ( $\delta = 0$  ppm). All data were processed with 100 Hz line broadening.

### 121 **3. Results and discussion**

#### 122 *3.1. Gaseous product*

123  $\text{ABH}_4$  ( $A = \text{Li}$  or  $\text{Na}$ ) and  $\text{CO}_2$  (0.25 MPa) were ball milled at 450 rpm during  
124 different reactions times. To elucidate the reaction process, we analyzed the gaseous  
125 products using GC. Distinct chromatographic peaks of hydrogen (at the retention time  
126 of around 0.28 min) are observed, as shown in Fig. 1. The peak intensity of  $\text{H}_2$  in the  
127  $\text{ABH}_4$  ( $A = \text{Li}$  or  $\text{Na}$ )- $\text{CO}_2$  system increases with ball milling time increasing. The  
128 detector used in gas chromatography is a thermal conductivity detector (TCD), which

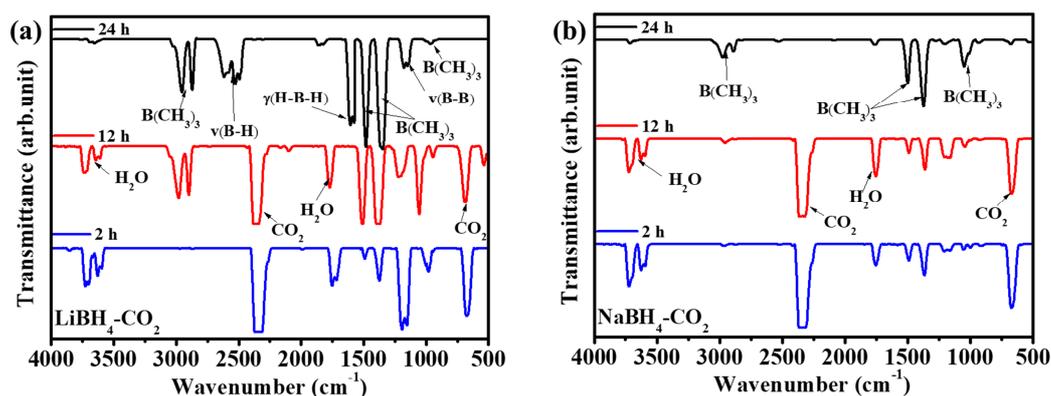
129 has low sensitivity and cannot detect a small amount of trimethylborane and other  
 130 boron products.



131  
 132 **Fig. 1.** GC profiles (tested with a TDX-01 column) of the gaseous products from the  
 133 reaction between  $\text{LiBH}_4$  ( $\text{NaBH}_4$ ) and  $\text{CO}_2$  for 2, 12, and 24 h.

134 In order to further determine the gas composition, FTIR spectra of the gaseous  
 135 products from the reactions of  $\text{ABH}_4$  ( $\text{A} = \text{Li}$  or  $\text{Na}$ ) with  $\text{CO}_2$  by ball milling were  
 136 obtained (Fig. 2). The FTIR absorptions due to trimethylborane ( $\text{B}(\text{CH}_3)_3$ ) were  
 137 clearly observed at 2971, 2885, 1494, 1363, and  $1041 \text{ cm}^{-1}$ , which indicates that  
 138  $\text{B}(\text{CH}_3)_3$  is formed in the reactions of  $\text{ABH}_4$  ( $\text{A} = \text{Li}$  or  $\text{Na}$ ) with  $\text{CO}_2$  [28]. Moreover,  
 139 FTIR spectra of the gaseous products from the  $\text{LiBH}_4\text{-CO}_2$  system shows the  
 140 difference from those of the  $\text{NaBH}_4\text{-CO}_2$  system. Some adsorptions clearly reveal the  
 141 formation of boron species containing B–H (peaks in the  $2626 \text{ cm}^{-1}$  region is due to  
 142  $\nu(\text{B-H})$ , at  $1619 \text{ cm}^{-1}$  is due to  $\gamma(\text{H-B-H})$  and around  $1187 \text{ cm}^{-1}$  is as result of  $\nu(\text{B-B})$ )  
 143 [29].

144



145

146 **Fig. 2.** IRGA (infrared gas analysis) of the gaseous products from the reaction  
 147 between  $\text{LiBH}_4$  ( $\text{NaBH}_4$ ) and  $\text{CO}_2$  for 2, 12, and 24 h.

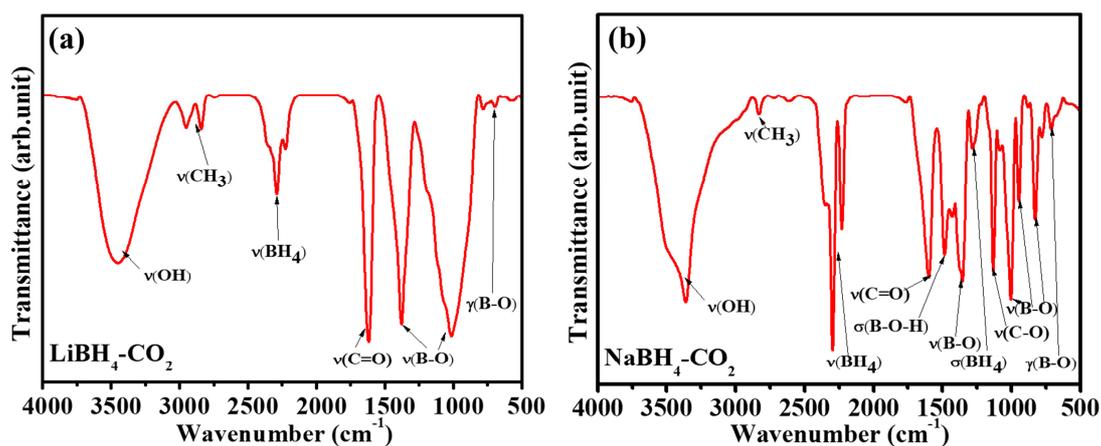
148 This result indicates that the main gaseous products from the  $\text{LiBH}_4\text{-CO}_2$  and  
 149  $\text{NaBH}_4\text{-CO}_2$  systems are hydrogen and trimethylborane. As shown in Scheme S1, the  
 150 trimethylborane species is possible to be formed due to the interaction of the B atom  
 151 with the C atom forming an intermediate with B-C bond, which is further  
 152 hydrogenated to form the trimethylborane species. Previous studies reported that  
 153 methane could be largely prepared by the reactions of metal hydrides with  $\text{CO}_2$   
 154 [30,31]. However, there is no methane after the reactions of borohydrides with  $\text{CO}_2$ .  
 155 These results imply that the mechanism of the reaction of borohydrides with  $\text{CO}_2$  is  
 156 different from that of the reaction between metal hydrides and  $\text{CO}_2$ .

### 157 3.2. Solid product

158 Compared with the gaseous product after the reactions for 2 and 12 h, there is no  
 159  $\text{CO}_2$  in the gaseous product after the reaction for 24 h (Fig. 2). Therefore, the product  
 160 after the 24-h reaction is selected for analysis and characterization. To further  
 161 elucidate the reaction process, we characterized the solid products by FTIR, XRD,

162 and NMR.

163 The FTIR spectra of the solid products from the reaction between  $\text{LiBH}_4$  ( $\text{NaBH}_4$ )  
164 and  $\text{CO}_2$  are displayed in Fig. 3. For the  $\text{LiBH}_4\text{-CO}_2$  system, the IR spectra are  
165 relatively simple. The peak at  $2289\text{ cm}^{-1}$  is characteristic of  $\nu(\text{BH}_4)$ . The FTIR  
166 absorptions are clearly observed at  $1627$ ,  $1375$ ,  $1006$ , and  $698\text{ cm}^{-1}$ , which implies the  
167 formation of  $\nu(\text{C=O})$ ,  $\nu(\text{B-O})$ ,  $\nu(\text{B-O})$ , and  $\gamma(\text{B-O})$  [32]. According to the existing  
168 literature [32], the infrared telescopic vibration of B-O mainly has the following four  
169 types: the infrared peak at  $1450\text{-}1300\text{ cm}^{-1}$  is Asymmetric stretching of  $\text{B}_{(3)\text{-O}}$  (three  
170 coordinate boron); the infrared peak at  $1150\text{-}1000\text{ cm}^{-1}$  is Asymmetric stretching of  
171  $\text{B}_{(4)\text{-O}}$  (four coordinate boron); the infrared peak at  $960\text{-}890\text{ cm}^{-1}$  is Symmetric  
172 stretching of  $\text{B}_{(3)\text{-O}}$ ; the infrared peak at  $890\text{-}740\text{ cm}^{-1}$  is Symmetric stretching of  
173  $\text{B}_{(4)\text{-O}}$ . However, the IR spectra from the  $\text{NaBH}_4\text{-CO}_2$  system is complex (Fig. 3b).  
174 The peaks at  $2293\text{ cm}^{-1}$  and  $1278\text{ cm}^{-1}$  are characteristic of  $\nu(\text{BH}_4)$  and  $\sigma(\text{BH}_4)$ . As  
175 shown in Fig. 3b, some of peaks clearly show the production of formate ( $-\text{OOCH}$ ,  
176 peak at  $1608\text{ cm}^{-1}$  is as a result of  $\nu(\text{C=O})$  mode) and methoxy species ( $-\text{OCH}_3$ , peaks  
177 in the  $3000\text{-}2800\text{ cm}^{-1}$  region is as a result of  $\nu(\text{CH}_3)$  and approximately  $1130\text{ cm}^{-1}$  is  
178 as a result of  $\nu(\text{C-O})$ ) [24]. Eventually, the absorption bands centering approximately  
179  $1350$ ,  $1000$ ,  $945$ , and  $823\text{ cm}^{-1}$  were assigned to the  $\nu(\text{B-O})$  mode (in agreement with  
180 the results of the NMR spectrum as following) [32].



181

182 **Fig. 3.** FTIR spectra of the solid products from the reacton between LiBH<sub>4</sub> (NaBH<sub>4</sub>)183 and CO<sub>2</sub> for 24 h.

184 The X-ray powder diffraction pattern of the solid-phase product is shown in Fig. 4.

185 The [BH<sub>4</sub>]<sup>-</sup> cannot be observed in XRD patterns (Fig. 4a), but the peak of ν(BH<sub>4</sub>) is

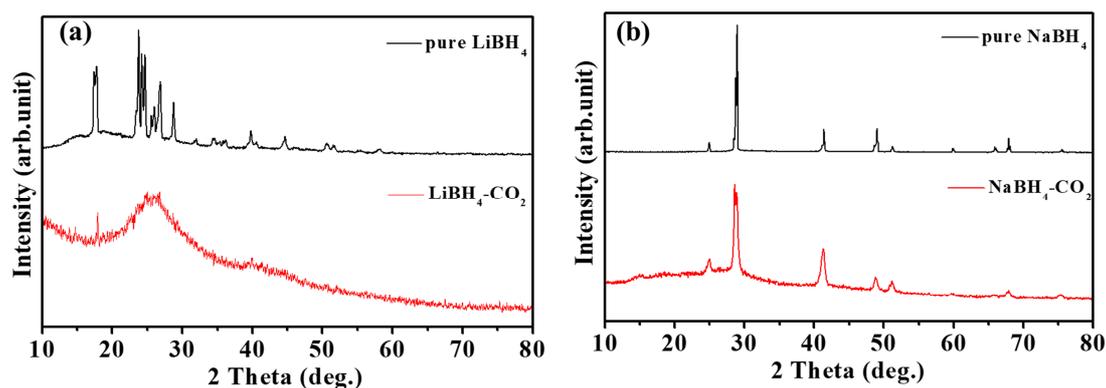
186 observed in Fig. 4a. However, Lang et al. studied the X-ray diffraction of unmilled

187 and milled LiBH<sub>4</sub> [33]. They proved the crystal structure of LiBH<sub>4</sub> could not be188 destroyed. Therefore, we speculate the solid products of LiBH<sub>4</sub>-CO<sub>2</sub> system is189 amorphous and attached to the surface of LiBH<sub>4</sub>. For the NaBH<sub>4</sub>-CO<sub>2</sub> system, Fig. 4b190 shows that NaBH<sub>4</sub> does not react completely (combined with Fig. 3b) after ball

191 milling for 24 hours. There are no diffraction peaks due to new species, indicating that

192 the solid products are amorphous.

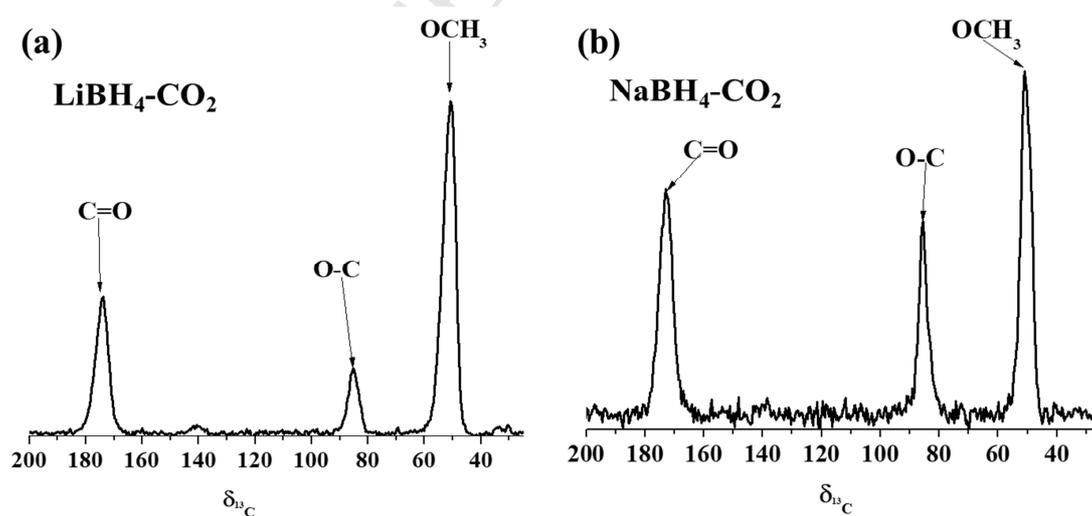
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194

195 **Fig. 4.** XRD of the solid products from the reaction between LiBH<sub>4</sub> (NaBH<sub>4</sub>) and CO<sub>2</sub>  
 196 for 24 h.

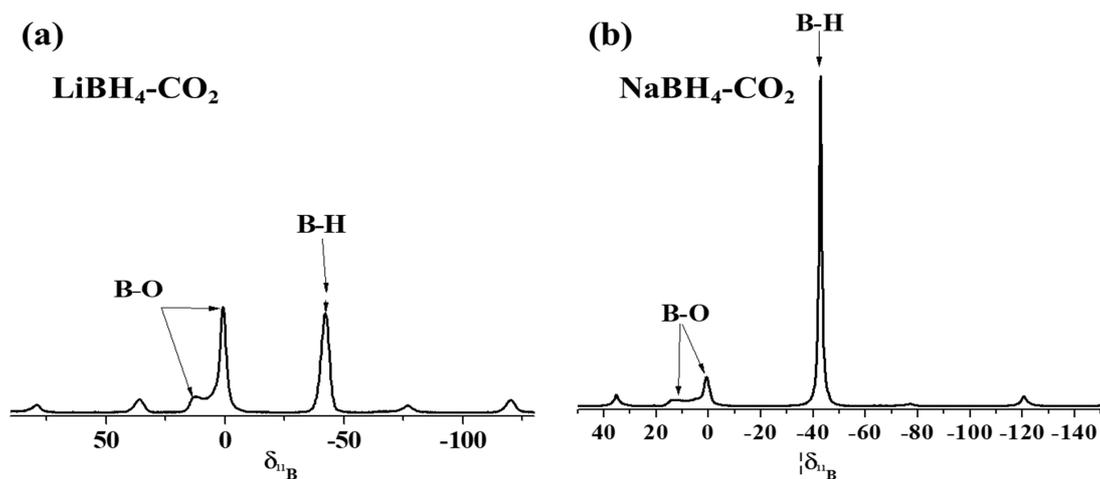
197 The NMR spectra reveal the analogous information. The <sup>13</sup>C CP-MAS spectrum of  
 198 the LiBH<sub>4</sub>-CO<sub>2</sub> system (Fig. 5a) is characterized by peaks at 172.91, 85.61, and 50.83  
 199 ppm, which are characteristic of C=O, O-C, and OCH<sub>3</sub>, further proving that there are  
 200 formate and methoxy species in the solid products. This is in agreement with previous  
 201 results [34]. Furthermore, the <sup>13</sup>C NMR spectrum of the NaBH<sub>4</sub>-CO<sub>2</sub> system (Fig. 5b)  
 202 is similar to the spectrum of the LiBH<sub>4</sub>-CO<sub>2</sub> system (Fig. 5a).



203

204 **Fig. 5.** The <sup>13</sup>C NMR spectra of the solid products from the reaction between LiBH<sub>4</sub>  
 205 (NaBH<sub>4</sub>) and CO<sub>2</sub> for 24 h.

206 Fig. 6 shows  $^{11}\text{B}$  NMR spectra of the solid products formed in the reactions of  
207  $\text{ABH}_4$  ( $\text{A} = \text{Li}$  or  $\text{Na}$ ) with  $\text{CO}_2$ . In the  $^{11}\text{B}$  CP-MAS NMR spectrum of the  
208  $\text{LiBH}_4\text{-CO}_2$  system (Fig. 6a), sharp peaks at 0.78 ppm and -42.7 ppm are observed,  
209 corresponding to tetrahedral  $\text{BO}_4$  groups ( $\text{sp}^3 \text{B}$ ) and  $\text{BH}_4^-$  anion [21,26]. A weak peak  
210 at 13.37 ppm represents trigonal  $\text{BO}_3$  groups ( $\text{sp}^2 \text{B}$ ) in the NMR spectrum of the  
211  $\text{LiBH}_4\text{-CO}_2$  system [27]. Moreover, the  $^{11}\text{B}$  NMR spectrum of the  $\text{NaBH}_4\text{-CO}_2$  system  
212 (Fig. 6b) resembles the spectrum of the  $\text{LiBH}_4\text{-CO}_2$  system (Fig. 6a). The unmarked  
213 peaks in Fig. 6 are rotating side-bands. The  $^{11}\text{B}$  NMR spectra further prove that there  
214 are borate species in the solid products. Therefore, we speculate that the main  
215 solid-phase products are borate, formate, and methoxy species in the  
216 mechanochemical reaction of  $\text{ABH}_4$  ( $\text{A} = \text{Li}$  or  $\text{Na}$ ) with  $\text{CO}_2$ , according to the  
217 characterizations of FTIR, XRD,  $^{13}\text{C}$  NMR, and  $^{11}\text{B}$  NMR. On the base of the analysis  
218 of the products and the reports in the literature [27], we tentatively proposed the  
219 formation mechanism of the borate, formate, and methoxy species by the reaction of  
220 borohydride with  $\text{CO}_2$ . As shown in Scheme S2, the formation of borate, formate, and  
221 methoxy species is most likely due to the transfer of hydride and the interaction of the  
222 boron atom and the oxygen atom. The peaks at 7.7 and 3.8 ppm in the  $^1\text{H}$  CP-MAS  
223 spectrum of the  $\text{NaBH}_4\text{-CO}_2$  system are probably due to characteristic of borate and  
224 methoxy species (Fig. S3), further demonstrating the presence of borate and methoxy  
225 species in solid products.



226

227 **Fig. 6.** The  $^{11}\text{B}$  NMR spectra of the solid products from the reaction between  $\text{LiBH}_4$ 228 ( $\text{NaBH}_4$ ) and  $\text{CO}_2$  for 24 h.229 **4. Conclusion**

230 In conclusion, the reactions of borohydrides with  $\text{CO}_2$  under ambient-temperature  
 231 ball milling condition were systematically studied firstly. The gas and solid products  
 232 were characterized by GC, XRD, NMR, and FTIR. It is found that the reactions of  
 233 borohydrides with  $\text{CO}_2$  are complex, compared with the reactions of metal hydrides  
 234 with  $\text{CO}_2$ . On the basis of experimental results, we infer that hydrogen and  
 235 trimethylborane are formed in the gas phase, as well as borate, formate, and methoxy  
 236 species are formed in the solid phase.

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 241 Personnel Support Program of Yang-Zhou University.

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ACCEPTED MANUSCRIPT

**Mechanochemical reactions of alkali borohydride with CO<sub>2</sub> under ambient temperature**

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For the reaction of alkali borohydride with CO<sub>2</sub>, hydrogen and trimethylborane are formed in the gas phase, as well as borate, formate, and methoxy species are formed in the solid phase.

**Highlights**

- ▶ The solid-gas reaction of alkali borohydride with CO<sub>2</sub> was investigated.
- ▶ The reaction is carried out at ambient temperature under mechanochemical condition.
- ▶ The hydrogen and trimethylborane are formed in the gas phase.
- ▶ The borate, formate, and methoxy species are formed in the solid phase.
- ▶ The work provides a new method for converting CO<sub>2</sub> using borohydrides.