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Efficient One-Pot Synthesis of 2-Carbonyl-1-indanols by Palladium-Catalyzed Tandem Heck–Aldol Reaction

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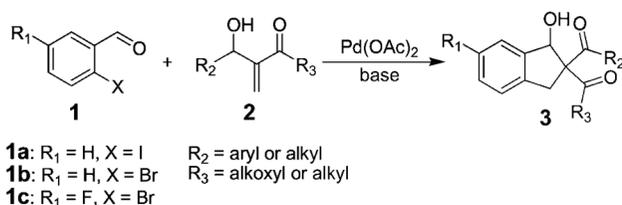
Abstract: 2-Carbonyl-1-indanols were synthesized in moderate to good yields by the reaction of orthohalogenated aryl aldehyde with Morita–Baylis–Hillman adducts via a one-pot, palladium-catalyzed tandem Heck–aldol reaction. Various Morita–Baylis–Hillman adducts were examined to find the scope and limitations of this process.

Keywords: 2-carbonyl-1-indanol, Morita–Baylis–Hillman adducts, one-pot, palladium-catalyzed

Indanols are important and useful organic intermediates;^[1] among these, 2-carbonyl-1-indanols **3** present potential applications in the synthesis of pharmaceutical and bioactive materials.^[2] However, very little has been reported about their preparation. This type of compound has been synthesized by the reaction of orthomanganated acetophenone with activated alkenes^[3] or by enone-selective reduction using organiodotin hydride.^[4] Nevertheless, with these methodologies, either preparation of starting materials is difficult^[3] or yields of desired products are low.^[4] In this communication we report an efficient approach for the synthesis of 2-carbonyl-1-indanols **3** using orthohalogenated aryl aldehydes **1** and Morita–Baylis–Hillman adducts **2** via a one-pot, palladium-catalyzed tandem Heck–aldol reaction (Scheme 1). To the best of our knowledge,

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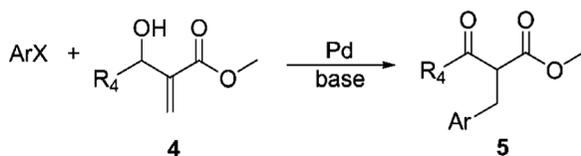
Scheme 1.

it is the first report for the preparation of 2-carbonyl-1-indanols using this process.

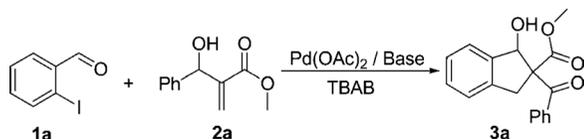
In recent years, many reports^[5] have focused on the arylation of Morita–Baylis–Hillman adducts **4** via a palladium-catalyzed Heck reaction (Scheme 2), which provides a convenient method to synthesize β -keto esters **5**. Additionally, intramolecular aldol-type reactions have been investigated extensively because of their significance in the construction of carbo-cyclic systems.^[6] Furthermore, Gerald Dyker and Grundt reported^[7] that 2-carbonyl-substituted indene compounds could be prepared via a palladium-catalyzed process. This previous work led us to develop the new methodology for the synthesis of 2-carbonyl-1-indanols.

In the first attempt, the starting materials 2-iodobenzaldehyde **1a** (0.5 mmol) and methyl 2-(hydroxy(phenyl)methyl)acrylate **2a**^[8] (0.6 mmol) were mixed with NaOAc (1.25 mmol), LiCl (1.0 mmol), and tetra-butyl-ammonium bromide (TBAB) (1.0 mmol) in the presence of Pd(OAc)₂ (5 mol %) in dimethyl formamide (DMF) (5 mL). The solution was kept at 80°C under nitrogen for 2 h and 1-indanol **3a** was produced as a mixture of diastereoisomers in 38% yield (Table 1, entry 1).

Other factors were examined to optimize the reaction conditions. When NaHCO₃ was used instead of NaOAc as base, 1-indanol **3a** was obtained in 64% yield (Table 1, entry 2). However, using tetrahydrofuran (THF) as solvent decreased the yield of product to 52% (Table 1, entry 3). Prolonging the reaction time also decreased the yield of 1-indanol greatly (Table 1, entry 4). It was noted that a favorable product could not be obtained, and an undetermined compound was produced at higher



Scheme 2.

Table 1. Synthesis of 2-carbonyl-1-indanol under different conditions^a

Entry	Base	Solvent	Time(h)	Temp. (°C)	Yield (%) ^b
1	NaOAc	DMF	2	80	38 ^c
2	NaHCO ₃	DMF	2	80	64
3	NaHCO ₃	THF	2	80	52
4	NaHCO ₃	DMF	8	80	21
5	NaHCO ₃	DMF	2	100	0
6	NaHCO ₃	DMF	4	60	trace
7	Et ₃ N	DMF	3	80	trace ^d

^aReaction conditions: **1a** (0.5 mmol), **2a** (0.6 mmol), TBAB (1.0 mmol), base (1.25 mmol) except for Et₃N (4.0 mmol), Pd(OAc)₂ (5 mol %), and DMF (5 mL).

^bIsolated yields of diastereoisomer mixtures.

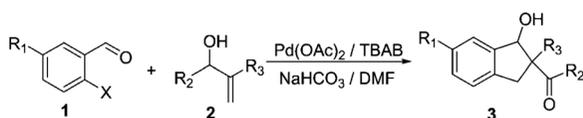
^c1.0 mmol LiCl was added.

^d10 mol % PPh₃ was added.

temperature (Table 1, entry 5). Nevertheless, when reducing the temperature to 60°C, only traces of the desired product were obtained (Table 1, entry 6). Using Et₃N in the presence of PPh₃ was inefficient for this process (Table 1, entry 7). Accordingly, the conditions of entry 2 in Table 1 were chosen as optimal for the synthesis of 2-carbonyl-1-indanols.

Under the optimal conditions, a variety of orthohalogenated aryl aldehydes **1** and Morita–Baylis–Hillman adducts **2**^[8] were tested, and 1-indanols **3** were produced as a mixture of diastereoisomers in a ratio of approximately 2 : 1 in moderate to good yields. The results are summarized in Table 2.

Initially, 2-iodobenzaldehyde **1a** was reacted with Morita–Baylis–Hillman adducts **2a**, **2b**, and **2c** to give the corresponding 1-indanols **3a**, **3b**, and **3c** in 64, 61, and 51% yields respectively (Table 2, entries 1–3). It is interesting that the reaction of heterocyclic substituted adducts **2d** and **2e** with **1a** gave higher yields than those of the aromatic substituted adducts **2a**, **2b**, and **2c**. 1-Indanols **3d** and **3e** were obtained in 70 and 78% yields respectively (Table 2, entries 4 and 5). When 3-(hydroxy(phenyl)methyl)-but-3-en-2-one **2f** was tested, dicarbonyl-substituted 1-indanol **3f** was efficiently prepared in a short time in 74% yield (Table 2, entry 6). On the other hand, the reaction of 2-bromobenzaldehyde **1b** with adduct **2a** gave 1-indanol **3a** in lower yield (Table 2, entry 7

Table 2. Synthesis of 2-carbonyl-1-indanols^a

Entry	Arylhalide 1	Morita–Baylis–Hillman adducts 2	Time (h)	Product 3	dr ^b	Yield (%) ^c
1	1a	2a	2	3a	66:34	64
2	1a	2b	2	3b	66:34	61
3	1a	2c	2	3c	68:32	51 ^d
4	1a	2d	2	3d	68:32	70
5	1a	2e	2	3e	66:34	78
6	1a	2f	1	3f	69:31	74
7	1b	2a	2	3a	66:34	53
8	1b	2g	5	3g	61:39	41
9	1c	2a	1.5	3h	70:30	53
10	1c	2d	2.5	3i	64:36	67

(Continued)

Table 2. Continued

Entry	Arylhalide 1	Morita–Baylis–Hillman adducts 2	Time (h)	Product 3	dr ^b	Yield (%) ^c
11	1c		1.5	3j	71:29	62

^aReaction conditions: arylhalides **1** (1.0 mmol), Morita–Baylis–Hillman adducts **2** (1.2 mmol), TBAB (2.0 mmol), NaHCO₃ (2.5 mmol), and Pd(OAc)₂ (5 mol %), DMF (10 mL) at 80°C.

^bRatio of the diastereoisomers was determined by ¹H NMR.

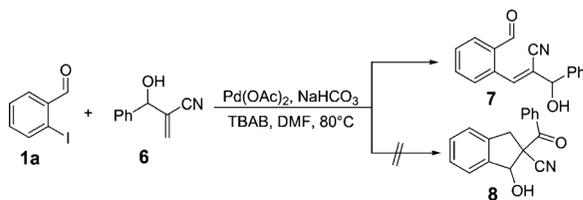
^cIsolated yields of diastereoisomer mixtures.

^dLower isolated yield due to hard purification of the product from the mixture of starting material **2c** and indanol **3c**.

compared with entry 1). At the same time, the reaction of 2-bromobenzaldehyde **1b** with alkyl substituted adduct **2g** gave a lower yield than that of the aromatic substituted adduct **2a** (Table 2, entry 8 compared with entry 7). 2-Bromo-5-fluoro-benzaldehyde **1c** also smoothly reacted with the representative Morita–Baylis–Hillman adducts **2a**, **2d**, and **2f** to produce the corresponding 1-indanols **3h**, **3i**, and **3j** in moderate yields (Table 2, entries 9–11). It is important to note that the structure of Morita–Baylis–Hillman adducts had little effect on the ratio of diastereoisomers.

Next, 2-(hydroxy(phenyl)methyl)acrylonitrile **6** was also tested to broaden the scope of this process. Surprisingly, under identical conditions 1-indanol **8** could not be obtained when Morita–Baylis–Hillman adduct **6** was used (Scheme 3). Only the Heck cross-coupling product **7** was produced in 49% yield.

The relative configuration of diastereoisomers was determined by ¹H-¹H nuclear overhauser effect spectroscopy (NOESY). In Fig. 1, nuclear overhauser effect (NOE) was observed between Ha ($\delta = 5.60$) and Hb ($\delta = 7.90$) in the minor diastereoisomer. On the other hand, Ha ($\delta = 5.60$) and acetyl protons ($\delta = 2.22$) had little NOE in them. However, NOE



Scheme 3.

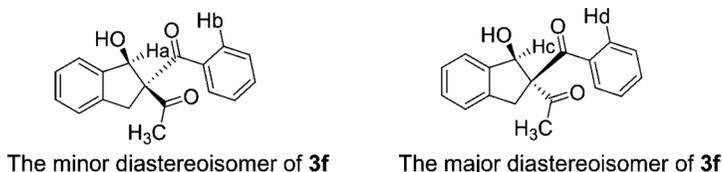


Figure 1. The relative configuration of diastereoisomer **3f**.

was observed obviously between He ($\delta = 6.10$) and acetyl protons ($\delta = 2.18$) in the major diastereoisomer. As expected, He ($\delta = 6.10$) and Hd ($\delta = 7.88$) had no NOE in this diastereoisomer. Accordingly, it was concluded that the minor diastereoisomer had a *trans* configuration and the major had a *cis* configuration. Other diastereoisomers **3** also were examined similarly to determine their relative configuration.

A possible mechanism for this one-pot, palladium-catalyzed reaction can be explained as follows. First, β -keto esters or 1,3-diketones are formed by arylation of Morita–Baylis–Hillman adducts **2** with elimination of palladium hydride toward the hydroxy side and tautomerization to the keto forms.^[5b,9] Then an intramolecular aldol-type condensation occurs and produces 1-indanols **3**.

In conclusion, we have developed an efficient and convenient process to synthesize 2-carbonyl-1-indanols *via* a one-pot, palladium-catalyzed reaction. The application of this new methodology for the synthesis of bioactive products is currently under way in our laboratories. Furthermore, the investigation of the stereochemistry for this process is also in progress now.

EXPERIMENTAL

The spectra of ^1H NMR and ^{13}C NMR were recorded on a Varian Mercury V \times 300 NMR spectrophotometer with TMS as the internal standard. A Perkin Elmer 983 was used to determine the IR spectra. The mass spectra were obtained on an Apex II-FTMS. Silica gel (100–140 mesh) was used for column chromatography. DMF, THF, and Et_3N were distilled and dried over 4-Å sieves.

General Procedure

2-Iodobenzaldehyde **1a** (232 mg, 1.0 mmol), Morita–Baylis–Hillman adduct **2a** (230 mg, 1.2 mmol), TBAB (644 mg, 2.0 mmol), NaHCO_3 (210 mg, 2.5 mmol), and $\text{Pd}(\text{OAc})_2$ (12 mg, 5 mol %) were added to a sealed flask. After the flask was evacuated and purged with nitrogen,

10 mL of DMF was added into the flask via syringe. Then the flask was placed into an 80°C oil bath, and stirring was continued for 2 h. The reaction mixture was extracted with EtOAc. The combined organic layers were dried over anhydrous Mg_2SO_4 and concentrated under vacuum. The residue was purified by flash chromatography (hexane/EtOAc = 5/1) to afford the product (2-benzoyl-1-hydroxy-indan)-2-yl-carboxylic acid methyl ester **3a** as a viscous liquid; yield: 64%. The product was a mixture of diastereoisomers. The following data is based on the major diastereoisomer: IR (film): $\nu = 3480, 2950, 1730, 1680, 1255, 1075, 1030, 755, 690 \text{ cm}^{-1}$. ^1H NMR (CDCl_3 , 300 MHz): $\delta = 3.39$ (1H, d, $J = 17.1$ Hz, CHH), 3.70 (3H, s, OCH_3), 4.12 (1H, d, $J = 17.1$ Hz, CHH), 6.05 (1H, s, CHOH), 7.20 (1H, m, ArH), 7.29 (2H, dd, $J = 6.0$ Hz, Hz, 3.0 Hz, ArH), 7.44–7.49 (3H, m, ArH), 7.58 (1H, m, ArH); 7.91 (2H, m, ArH). ^{13}C NMR (CDCl_3 , 75 MHz): $\delta = 38.92$ (CH_3), 52.70 (CH_2), 69.22 [$\text{CCOPh}(\text{COOCH}_3)$], 79.44 (CHOH), 124.45, 124.76, 127.53, 128.62, 129.09, 133.12, 134.77, 138.58, 139.98, 141.50, 142.20, 171.55 (COOCH_3), 194.58 (COPh). MS: m/z 278 ($\text{M}^+ - \text{H}_2\text{O}$), 174, 146, 143, 115.

Other Data

3b: Viscous liquid. IR (film): $\nu = 3480, 2955, 1710, 1670, 1260, 1080, 755 \text{ cm}^{-1}$. ^1H NMR (CDCl_3 , 300 MHz): $\delta = 3.36$ (1H, d, $J = 16.8$ Hz, CHH), 3.66 (3H, s, OCH_3), 4.08 (1H, d, $J = 16.8$ Hz, CHH), 5.99 (1H, s, CHOH), 7.23–7.26 (3H, m, ArH), 7.42–7.45 (3H, m, ArH), 7.84–7.87 (2H, m, ArH).

3c: Viscous liquid. IR (film): $\nu = 3470, 2950, 1720, 1680, 1600, 1510, 1430, 1240, 1040 \text{ cm}^{-1}$. ^1H NMR (CDCl_3 , 300 MHz): $\delta = 3.36$ (1H, d, $J = 17.1$ Hz, CHH), 3.66 (3H, s, OCH_3), 4.09 (1H, d, $J = 17.1$ Hz, CHH), 5.99 (1H, s, CHOH), 7.10–7.15 (3H, m, ArH), 7.42–7.45 (2H, m, ArH), 7.56–7.62 (1H, m, ArH), 7.92–7.97 (2H, m, ArH).

3d: Viscous liquid. IR (film): $\nu = 3480, 2950, 1730, 1660, 1405, 1260, 1070, 1020, 760, 730 \text{ cm}^{-1}$. ^1H NMR (CDCl_3 , 300 MHz): $\delta = 3.49$ (1H, d, $J = 16.8$ Hz, CHH), 3.68 (3H, s, OCH_3), 4.06 (1H, d, $J = 16.8$, CHH), 5.95 (1H, s, CHOH), 7.12–7.14 (1H, m, ArH), 7.16–7.28 (3H, m, ArH), 7.42–7.44 (1H, m, ArH), 7.63–7.66 (1H, m, ArH), 7.71–7.75 (1H, m, ArH). ^{13}C NMR (CDCl_3 , 75 MHz): $\delta = 38.98$ (CH_3), 52.78 (CH_2), 69.63 [$\text{CCOPh}(\text{COOCH}_3)$], 78.94 (CHOH), 124.28, 124.68, 129.05, 132.19, 134.13, 138.92, 141.38, 142.14, 171.29 (COOCH_3), 187.76 (COPh). MS: m/z 284 ($\text{M}^+ - \text{H}_2\text{O}$), 226, 115, 111, 83.

3e: Viscous liquid. IR (film): $\nu = 3460, 2950, 1730, 1670, 1460, 1280, 1020, 755 \text{ cm}^{-1}$. ^1H NMR (CDCl_3 , 300 MHz): $\delta = 3.28$ (1H, d, $J = 17.1$,

CHH), 3.65 (3H, s, OCH₃), 4.04 (1H, d, *J* = 17.1, CHH), 5.96 (1H, s, CHOH), 6.52–6.54 (1H, m, ArH), 7.15–7.25 (4H, m, ArH), 7.40–7.43 (1H, m, ArH), 7.57–7.58 (1H, m, ArH). ¹³C NMR (CDCl₃, 75 MHz): δ = 38.14 (CH₃), 52.58 (CH₂), 67.35 [CCOAr(COOCH₃)], 78.11 (CHOH), 112.36, 118.39, 124.33, 124.63, 127.35, 128.91, 138.87, 141.44, 146.51, 151.08, 170.59 (COOCH₃), 183.87 (COAr). MS: *m/z* 268 (M⁺ – H₂O), 210, 115, 95.

3f: Viscous liquid. IR (film): ν = 3460, 2920, 1710, 1670, 1595, 1445, 1355, 1240, 750, 700 cm⁻¹. ¹H NMR (CDCl₃, 300 MHz): δ = 2.18 (3H, s, COCH₃), 3.30 (1H, d, *J* = 17.1 Hz, CHH), 4.18 (1H, d, *J* = 17.1 Hz, CHH), 6.10 (1H, s, CHOH), 7.16–7.19 (1H, m, ArH), 7.23–7.25 (2H, m, ArH), 7.42–7.48 (3H, m, ArH), 7.55–7.62 (1H, m, ArH), 7.86–7.91 (2H, m, ArH). ¹³C NMR (CDCl₃, 75 MHz): δ = 29.17 (CH₃), 37.21 (CH₂), 76.48 [CCOPh(COCH₃)], 79.43 (CHOH), 123.92, 124.73, 127.66, 128.77, 128.95, 129.23, 133.46, 134.92, 135.50, 137.94, 139.35, 141.77, 196.77 (COCH₃), 204.92 (COPh). MS: *m/z* 262 (M⁺ – H₂O), 158, 143, 115, 43.

3g: Viscous liquid. IR (film): ν = 3460, 2955, 1730, 1710, 1360, 1250, 920, 755 cm⁻¹. ¹H NMR (CDCl₃, 300 MHz): δ = 2.18 (3H, s, COCH₃), 3.28 (1H, d, *J* = 17.1 Hz, CHH), 3.68 (1H, d, *J* = 17.1 Hz, CHH), 3.75 (3H, s, OCH₃), 5.73 (1H, s, CHOH), 1.22–1.25 (3H, m, ArH), 7.28–7.38 (2H, m, ArH).

3h: Viscous liquid. IR (film): ν = 3450, 2955, 1730, 1680, 1600, 1580, 1490, 1240, 1025, 700 cm⁻¹. ¹H NMR (CDCl₃, 300 MHz): δ = 3.31 (1H, d, *J* = 16.5 Hz, CHH), 3.66 (3H, s, OCH₃), 4.03 (1H, d, *J* = 16.5 Hz, CHH), 6.01 (1H, s, CHOH), 7.11–7.14 (2H, m, ArH), 7.34–7.37 (1H, m, ArH), 7.47–7.49 (2H, m, ArH), 7.58–7.60 (1H, m, ArH), 7.88–7.91 (2H, m, ArH).

3i: Viscous liquid. IR (film): ν = 3460, 2955, 1730, 1660, 1485, 1405, 1260, 1025, 730 cm⁻¹. ¹H NMR (CDCl₃, 300 MHz): δ = 3.43 (1H, d, *J* = 16.8 Hz, CHH), 3.67 (3H, s, OCH₃), 3.98 (1H, d, *J* = 16.8 Hz, CHH), 5.92 (1H, s, CHOH), 6.93–6.98 (1H, m, ArH), 7.09–7.15 (3H, m, ArH), 7.66–7.72 (2H, m, ArH).

3j: Viscous liquid. IR (film): ν = 3440, 2920, 1710, 1670, 1485, 1440, 1360, 1240 cm⁻¹. ¹H NMR (CDCl₃, 300 MHz): δ = 2.17 (3H, s, COCH₃), 3.25 (1H, d, *J* = 16.8 Hz, CHH), 4.07 (1H, d, *J* = 16.8 Hz, CHH), 6.05 (1H, s, CHOH), 6.90–6.99 (1H, m, ArH), 7.07–7.14 (2H, m, ArH), 7.43–7.50 (2H, m, ArH), 7.56–7.62 (1H, m, ArH), 7.84–7.89

(2H, m, ArH). ^{13}C NMR (CDCl_3 , 75 MHz): $\delta = 29.21$ (CH_3), 36.65 (CH_2), 76.62 [$\text{CCOPh}(\text{COCH}_3)$], 79.12 (CHOH), 111.13, 111.78, 115.84, 116.64, 125.92, 128.89, 129.24, 133.04, 133.64, 134.51, 134.86, 143.75, 196.78 (COPh), 204.81 (COCH_3). MS: m/z 280 ($\text{M}^+ - \text{H}_2\text{O}$), 238, 133, 115, 95, 77. All these data are based on the major diastereoisomer.

7: Viscous liquid. IR (film): $\nu = 3430, 2920, 1840, 2220, 1690, 1565, 1450, 1290, 1190, 1045, 760, 725, 700 \text{ cm}^{-1}$. ^1H NMR (CDCl_3 , 300 MHz): $\delta = 2.82$ (1H, bs, CUOH), 5.54 (1H, s, CHOH), 7.37–7.45 (3H, m, ArH), 7.52–7.55 (2H, m, ArH), 7.61–7.66 (2H, m, ArH), 7.79 (1H, dd, $J = 6.6\text{ Hz}, 0.9\text{ Hz}$, ArH), 7.87 (1H, dd, $J = 6.6\text{ Hz}, 2.1\text{ Hz}$, ArH), 8.13 (1H, s, $\text{ArCH} = \text{C}$), 10.09 (1H, s, ArCHO).

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