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Synthesis of poly(L-lactide-*co*-5-amino-5-methyl-1,3-dioxan-2-ones)[P(*L*-LA-*co*-TAc)] containing amino groups via organocatalysis and post-polymerization functionalization

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1	Synthesis of
2	poly(L-lactide-co-5-amino-5-methyl-1,3-dioxan-2-ones)[P(L-LA-co-TAc)]
3	containing amino groups via organocatalysis and post-polymerization
4	functionalization
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1 Abstract

2	Poly(<i>L</i> -lactide- <i>co</i> -5-benzyloxycarbonylamino-5-methyl-1,3-dioxan-2-ones) (P(<i>L</i> -LA- <i>co</i> -TMAc))
3	containing amino groups were synthesized by the ring-opening polymerization of L-lactide(L-LA)
4	and 5-benzyloxycarbonylamino-5-methyl-1,3-dioxan-2-one (TMAc), which was catalysed by
5	1,8-diazabicyclo[5.4.0]undec-7-ene (DBU) in solution or 1,5,7-triazabicyclo[4.4.0]dec-5-ene
6	(TBD) in bulk. Followed by deprotection under acidic conditions, deprotected copolymer
7	poly(<i>L</i> -lactide- <i>co</i> -5-amino-5-methyl-1,3-dioxan-2-ones)[P(<i>L</i> -LA- <i>co</i> -TAc)] was prepared.
8	Compared to polymerization reactions catalysed by stannous octoate (Sn(Oct) ₂), a metallic
9	catalyst, organocatalysts showed precise control over the composition and polydispersity index of
10	the copolymers. Proton nuclear magnetic resonance (¹ H NMR), carbon-13 nuclear magnetic
11	resonance (¹³ C NMR), gel permeation chromatography (GPC) and differential scanning
12	calorimetry (DSC) analyses confirmed the polymeric structure and sequence distribution of the
13	product, which indicated that L-LA and TMAc formed random copolymers. Relatively longer
14	PLLA segments were incorporated into the copolymer during the initial polymerization period due
15	to differences in the reactivity of the two monomers, especially in the DBU-catalysed system. And
16	copolymers with gradient property were obtained. The amino bearing deprotected copolymers
17	served as macro-initiator of the subsequent ROP of
18	benzyl-L-glutamate-N-carboxyanhydride(BLG-NCA). Finally, the multi-composite graft
19	copolymer P(<i>L</i> -LA- <i>co</i> -TAc)- <i>g</i> -PBLG was obtained through post-polymerization functionalization.
20	

21 Introduction

22 Traditional aliphatic polyesters based on *L*-lactic acid are widely used as drug delivery carriers

1	and tissue engineering scaffolds due to their excellent biocompatibility and mechanical
2	properties[1,2]. However, these types of polyesters do not contain reactive groups for further
3	modification. To introduce functional groups into polyesters, post modification procedures must
4	be conducted, or functionalization must be performed at the beginning of the synthetic procedure.
5	Regarding post modification, polyesters can be treated with a plasma after moulding to generate
6	reactive groups[3,4] or can be coated with the desired functional material[5]. However, limited
7	functional groups were incorporated, depending on the strength of the plasma[6-8], and the
8	chemical groups distributed only on the surface of the material. In addition, the coating layer may
9	peel off, especially in cell culture environments. To introduce functionality in the beginning of the
10	synthetic procedure, the ring-opening polymerization (ROP) of lactides or glycolides can be
11	performed using cyclic monomers with reactive functional groups[9-11]. The content and
12	distribution of reactive groups in the copolymer chain can be conveniently controlled by changing
13	the feed ratio of the co-monomers. The chain sequences and topography of the copolymer can also
14	be designed and fabricated beforehand.

15

For functionalization of polyesters, cyclic carbonate monomers bearing reactive groups are generally adopted to copolymerize D,L/L-lactide and ε -caprolactone (ε -CL) due to their ease of preparation[12,13]. Various poly(ester-carbonate)s containing functional groups such as acryloyl[14], azido[15], halogen[16,17], propargyl[18,19], hydroxyl[20,21], carboxyl[22], amino[23,24] and vinyl-sulfone[25] moieties have been designed and synthesized. Xiuli Hu started with serinol to prepared cyclic carbonate bearing amino groups. And the monomer was copolymerized with *L*-lactide by catalysis of unstable diethyl zinc. RGD peptide was conjugated

1	to deprotected copolymer facilitating better cell performance[23]. Jinliang Yan used
2	trans-4-aminocyclohexanol to prepare lactone containing amino groups. The functional lactone
3	copolymerized with ϵ -caprolactone(ϵ -CL) by catalysis of Sn(Oct) ₂ . After deprotection, biotin was
4	attached to the copolymer[24]. Compared to complex synthetic routes for the formation of
5	functionalized lactones[9,26], such as morpholine-2,5-dione derivatives[27,28], simpler synthetic
6	procedures and superior controllability of the polymerization reaction are achieved in the
7	functionalization of cyclic carbonates. Thus, the polymerization of functionalized carbonates has
8	received significant attention in recent years [29,30].
9	
10	Stannous octoate $(Sn(Oct)_2)$ is a general catalyst approved by the FDA for use in ROP. Several
11	functionalized poly(ester-carbonate)s have been synthesized using $Sn(Oct)_2$ as a catalyst at high
12	temperatures (>100 °C) and long reaction times (>24 h), either in bulk or in solution. The
13	incorporated carbonate monomer ratios were closely aligned with the feed ratios, especially
14	carbonate monomers containing stable and unprotected reactive groups, such as acryloyl[14] or
15	azido[31]groups. However, cyclic carbonate monomers with benzyl- and benzoic-protected groups
16	showed poor tolerance for the required high temperatures and long reaction times. Because side
17	reactions such as transesterification or cross-linking could occur under the conditions. As a
18	consequence, composition of the functional copolymer could derivated far form feed ratio[32].
19	Moreover, trace amounts of tin might be harmful for applications in biomedical fields[33]. Thus, a
20	suitable catalyst system must be selected to synthesize functional poly(ester-carbonate)s.

21

22 The development of organocatalysts used in ROP provides more options for controlling the

1	copolymerization of functional cyclic carbonates and lactones under mild conditions[34,35].
2	Organocatalysts such as pyridine bases[36], N-Heterocyclic carbenes (NHCs)[37,38],
3	amidines[15], guanidines[39] and organic acids[40] have shown excellent catalytic activity and
4	can be easily removed from the system. One of the key issues for organocatalysts used in ROP is
5	their controllability to synthesize copolymer with composition similar to feed ratio. Recently,
6	Aline et al.[40] studied the sequential and simultaneous copolymerization of ε -caprolactone (ε -CL)
7	and trimethylene carbonate (TMC) using methanesulfonic acid as catalyst. They proposed
8	formation of gradient copolymers (PCL-co-PTMC). In fact, in the preparation of
9	poly(ester-carbonate)s via an organocatalyst, the reactivity ratio of cyclic carbonate monomers
10	was significantly different from that of the lactone, and statistically random poly(ester-carbonate)
11	copolymers were difficult to produce. Moreover, differences in the microstructure have a
12	significant impact on the thermal and mechanical properties of copolymers. And the heterogeneous
13	distribution of reactive groups along the main polymer chain also affect modification of fucntinal
14	groups. However, until now, in-depth studies on these important attributes have been not
15	performed.

16

17 Thus, in the present investigation, we aimed to optimize the polymeric system applying 1,8-diazabicyclo undec-5-ene (DBU) and 1,5,7-triazabicyclo [4.4.0] dec-5-ene (TBD) as 18 19 organocatalysts. Our work included the selection of the catalysts, exploring the microstructure and 20 determining their effects the copolymers of L-lactide (L-LA)on and 5-benzyloxycarbonylamino-5-methyl-1, 3-dioxan-2-one (TMAc). Our purpose was synthesis of a 21 22 series of amino-containing poly(ester-carbonate) copolymers with well-defined sequences through

1	simultaneous and sequential copolymerization from L-LA/TMAc. Based on the proposed system,
2	post-polymerization functionalization was carried out by grafting poly(y-benzyl-L-glutamates)
3	(PBLG) onto amino-containing macro-initiator P(L-LA-co-TAc). The post-functionalization was
4	carried out in a 'graft from' method by ROP of benzyl-L-glutamate-N-carboxyanhydrides
5	(BLG-NCA). Finally, a comb-like copolymer was obtained, which exhibited interesting behaviour
6	in different solvents.
7	

8 Experimental sections

9 Materials

Benzyl chloroformate (≥99.5%), 2-amino-2-methyl-1,3-propanediol (AMPD, ≥99.0%) and 10 1,5,7-triazabicyclo[4.4.0]dec-5-ene (TBD) were purchased from Acros. Stannous octoate 11 12 $(Sn(Oct)_2 96\%)$ was purchased from Alfa Aesar, and ethyl chloroformate ($\geq 99.5\%$) was purchased from Energy Chemical, Shanghai. The above-mentioned reagents were used as received. 13 L-Lactide(L-LA) was purchased from Foryou Medical Devices Co., LTD, Huizhou, and was 14 recrystallized twice from dry ethyl acetate. 1,8-Diazabicyclo[5.4.0]undec-7-ene (DBU, 99%) was 15 16 purchased from Acros and distilled under vacuum over CaH₂. Dodecanol (97%) was purchased from Alfa Aesar and was dried using 4 Å molecular sieves. Sodium bicarbonate, anhydrous 17 18 magnesium sulfate and sodium chloride were obtained from Guangzhou, a chemical reagent manufacturer. Ethyl acetate, anhydrous diethyl ether and n-hexane were purchased from Fuyu 19 20 chemical, Tianjin. Tetrahydrofuran (THF) was distilled in the presence of calcium hydride prior to use. Triethylamine (Et₃N) was sequentially distilled over phthalic anhydride and calcium hydride. 21 22 Toluene and dichloromethane (DCM) were distilled with calcium hydride and were stored in the

- 1 presence of 4 Å molecular sieves.
- 2

3 Measurements

¹H (300 MHz) and ¹³C NMR (75 MHz) spectra were obtained in deuterated chloroform (CDCl₃, 4 99.5 atom % D with 0.03% v/v TMS), DMSO-d₆ (D, 99.9%+0.03% v/v TMS) or a mixture of 5 deuterated chloroform and trifluoroacetic acid-d (for graft copolymers, v/v=90/10) using a 6 7 Mercury-Plus 300 spectrometer (Varian, Inc. America). Gel permeation chromatography (GPC) measurements were performed on a Waters 1525 binary 8 high pressure liquid chromatography (HPLC) pump equipped with 3 Ultra Styragel columns and a 9 Waters 2414 refractive index detector (Waters Alliance GPC2000, Waters Corporation, America). 10 11 To characterize the copolymers, THF was used as an eluent at a flow rate of 1 mL/min at 40°C. 12 The number-averaged molecular weight (M_n) and polydispersity index (PDI) were calculated 13 using Waters GPC Software, and narrowly dispersed polystyrenes were employed as calibration standards. To characterize the graft copolymers, DMF containing 0.5% LiBr was used as an eluent 14 15 at a flow rate of 1 mL/min at 40 $^{\circ}$ C. The number-averaged molecular weight (M_n) and polydispersity index (PDI) were calculated using Waters GPC Software, and narrowly dispersed 16

17 polyethylene oxide (PEO) was employed as calibration standards.

18

19 The thermal properties of the polymers were determined on a TA Instruments Q10 Differential 20 Scanning Calorimeter (DSC). The enthalpy (cell constant) and temperature were calibrated by 21 running high-purity indium and gallium standards under conditions identical to those used to 22 measure the samples.

1

2	Monomer synthesis. 5-Benzylcarbonylamino-5-methyl-1,3-dioxan-2-one (TMAc) was prepared
3	in two steps, according to the method of Xiabing Jing and coworkers, with several
4	modifications[41]. Briefly, benzyl chloroformate (16.2 mL, 104 mmol) was dissolved in THF, and
5	the resulting solution was added dropwise into a 1 M sodium bicarbonate solution of AMPD (10 g,
6	95 mmol). The mixture was stirred for one day at room temperature. Subsequently, the THF was
7	removed by rotary evaporation under vacuum, and the residues were extracted with ethyl acetate
8	(3×200 mL). The organic phase was collected to afford the crude product, which was
9	recrystallized from ethyl acetate/n-hexane to obtain the product as white crystals (AMPD-Cbz,
10	18.6 g, 77.8 % yield): ¹ H NMR(300 MHs, CDCl ₃): $\delta = 7.35-7.40$ (s, -CH ₂ C ₆ H ₅ , 5H), 5.05-5.10 (s,
11	-CH ₂ C ₆ H ₅ , 2H), 3.6-3.7 (d, HOCH ₂ C[CH ₃]-, 2H), 3.75-3.85 (d, HOCH ₂ C[CH ₃]-, 2H), 1.20 (s,
12	HOCH ₂ C[C H ₃]-, 3H) ppm.

13

14 Dried Et₃N (14.46 mL, 40 mmol) in 50 mL of anhydrous THF was added dropwise to a solution of ethyl chloroformate (8.74 mL, 40 mmol) and AMPD-Cbz (10 g, 37.7 mmol) over 2 hours in an ice 15 16 bath. The reaction was performed at room temperature for another 8 hours after Et₃N addition. Next, the mixture was filtered, and the filtrate was concentrated. The crude product was 17 recrystallized twice from THF/diethyl ether to obtain the product as pale white crystals (7.9 g, 18 19 71.3 % yield). ¹H NMR (300 MHs, CDCl₃): δ =7.35 (s, -CH₂C₆H₅, 5H), 5.13-5.23 (br, -C[CH₃]NHCO, 1H), 5.09 (s, -CH₂C₆H₅, 2H), 4.63 (d, -COOCH₂C[CH₃]-, 2H), 4.19 (d, 20 21 -COOCH₂C[CH₃]-, 2H), 1.43 (m, -C[CH₃]NHCO-, 3H) ppm.

	Typical Procedure for the fundom coporymerization of 2 Err and Thirde catalysed by 220
2	in DCM. The random copolymerization of L-LA and TMAc was conducted in DCM. Specifically,
3	0.206 g of TMAc (0.74 mmol), 1.0 g of <i>L</i> -LA (6.94 mmol) and 17.4 μ L of dodecanol (7.68×10 ⁻²
4	mmol) were dissolved in 3.6 mL of solvent to maintain a monomer concentration of 1 M. After the
5	monomers were completely dissolved, 561 μ L of a solution of DBU (10 μ L/mL in DCM,
6	7.68×10^{-2} mmol) was added to the system. The polymerization was conducted at 25 °C and was
7	terminated by adding acetic acid after 4 hours. The polymer was precipitated by adding 100 mL of
8	anhydrous diethyl ether twice to remove unreacted monomers. The final precipitate was dried in a
9	vacuum oven for 48 hours to yield approximately 1.103 g of white polymer (91.4 % yield).
10	
11	Typical procedure for the block copolymerization of L-LA and TMAc catalysed by DBU in
12	
	DCM. Sequential polymerization was performed to obtain block copolymers of <i>L</i> -LA and TMAc.
13	DCM. Sequential polymerization was performed to obtain block copolymers of <i>L</i> -LA and TMAc. In a typical synthesis of P <i>L</i> -LA- <i>b</i> -PTMAc ([TMAc]/[<i>L</i> -LA]=10/90], 1.0 g of <i>L</i> -LA (6.94 mmol)
13 14	DCM. Sequential polymerization was performed to obtain block copolymers of <i>L</i> -LA and TMAc. In a typical synthesis of P <i>L</i> -LA- <i>b</i> -PTMAc ([TMAc]/[<i>L</i> -LA]=10/90], 1.0 g of <i>L</i> -LA (6.94 mmol) and 17.45 μ L of dodecanol (7.68×10 ⁻² mmol) were dissolved in 3.6 mL of anhydrous DCM to
13 14 15	DCM. Sequential polymerization was performed to obtain block copolymers of <i>L</i> -LA and TMAC. In a typical synthesis of P <i>L</i> -LA- <i>b</i> -PTMAc ([TMAc]/[<i>L</i> -LA]=10/90], 1.0 g of <i>L</i> -LA (6.94 mmol) and 17.45 μ L of dodecanol (7.68×10 ⁻² mmol) were dissolved in 3.6 mL of anhydrous DCM to maintain a monomer concentration of 1 M. After the monomers were completely dissolved, 561
13 14 15 16	DCM. Sequential polymerization was performed to obtain block copolymers of <i>L</i> -LA and TMAC. In a typical synthesis of P <i>L</i> -LA- <i>b</i> -PTMAc ([TMAc]/[<i>L</i> -LA]=10/90], 1.0 g of <i>L</i> -LA (6.94 mmol) and 17.45 μ L of dodecanol (7.68×10 ⁻² mmol) were dissolved in 3.6 mL of anhydrous DCM to maintain a monomer concentration of 1 M. After the monomers were completely dissolved, 561 μ L of DBU (10 μ L/mL in DCM) was added. The polymerization was performed for 4 hours, then
13 14 15 16 17	DCM. Sequential polymerization was performed to obtain block copolymers of <i>L</i> -LA and TMAc. In a typical synthesis of P <i>L</i> -LA- <i>b</i> -PTMAc ([TMAc]/[<i>L</i> -LA]=10/90], 1.0 g of <i>L</i> -LA (6.94 mmol) and 17.45 μ L of dodecanol (7.68×10 ⁻² mmol) were dissolved in 3.6 mL of anhydrous DCM to maintain a monomer concentration of 1 M. After the monomers were completely dissolved, 561 μ L of DBU (10 μ L/mL in DCM) was added. The polymerization was performed for 4 hours, then 0.206 g of TMAc (0.74 mmol) was added under argon. The polymerization was continued for
13 14 15 16 17 18	DCM. Sequential polymerization was performed to obtain block copolymers of <i>L</i> -LA and TMAC. In a typical synthesis of P <i>L</i> -LA- <i>b</i> -PTMAc ([TMAc]/[<i>L</i> -LA]=10/90], 1.0 g of <i>L</i> -LA (6.94 mmol) and 17.45 μ L of dodecanol (7.68×10 ⁻² mmol) were dissolved in 3.6 mL of anhydrous DCM to maintain a monomer concentration of 1 M. After the monomers were completely dissolved, 561 μ L of DBU (10 μ L/mL in DCM) was added. The polymerization was performed for 4 hours, then 0.206 g of TMAc (0.74 mmol) was added under argon. The polymerization was continued for another 4 hours and was terminated by adding acetic acid. The polymer was purified as described
13 14 15 16 17 18	DCM. Sequential polymerization was performed to obtain block copolymers of <i>L</i> -LA and TMAC. In a typical synthesis of P <i>L</i> -LA- <i>b</i> -PTMAc ([TMAc]/[<i>L</i> -LA]=10/90], 1.0 g of <i>L</i> -LA (6.94 mmol) and 17.45 μ L of dodecanol (7.68×10 ⁻² mmol) were dissolved in 3.6 mL of anhydrous DCM to maintain a monomer concentration of 1 M. After the monomers were completely dissolved, 561 μ L of DBU (10 μ L/mL in DCM) was added. The polymerization was performed for 4 hours, then 0.206 g of TMAc (0.74 mmol) was added under argon. The polymerization was continued for another 4 hours and was terminated by adding acetic acid. The polymer was purified as described above, providing 1.192 g of white polymer (98.8 % yield).

Typical procedure for the random copolymerization of *L*-LA and TMAc catalysed by
Sn(Oct)₂ in toluene. To 4 mL of anhydrous toluene, 0.206 g of TMAc (0.74 mmol), 1.0 g of *L*-LA

(6.94 mmol) and 5.4 μL of dodecanol (2.38×10⁻² mmol) were added and were dissolved
 completely. After the dissolution of the monomers was complete, 1.24 mL of Sn(Oct)₂ in toluene
 (10 μL/mL in toluene, 3.84×10⁻² mmol) was added to the system. The polymerization was
 conducted for 48 hours at 100°C and was terminated by immersing the system in an ice bath.
 Finally, the polymer was purified as described above.

6

7 Typical procedure for the random copolymerization of *L*-LA and TMAc catalysed by TBD in 8 the bulk state. To a vial that was subsequently sealed under vacuum, 0.204 g of TMAc (0.77 9 mmol), 1 g of *L*-LA (6.94 mmol), 16.1 mg of TBD (0.115 mmol) and 17 μ L of 1-dodecanol 10 (7.5×10⁻² mmol) were added. The polymerization was conducted at 110 °C for 2 hours and was 11 terminated by adding acetic acid. The polymer was purified twice in accordance with the 12 previously described procedure.

13

Typical deprotection procedure for P(L-LA-co-TMAc). To 10 mL of trifluoroacetic acid (TFA), 14 15 0.5 g of P(L-LA-co-TMAc7.5%) (0.056 mmol) was added, and the mixture was stirred until 16 complete dissolution was achieved. Subsequently, 10 mL of 33 % HBr in acetic acid was added, 17 and the deprotection reaction was performed for 2 hours. Upon completion, diethyl ether was added to precipitate the product. The deprotected copolymers were dissolved in CH₂Cl₂, and 18 triethylamine (TEA) was added to the neutralize acid used in the deprotection reaction. After 19 filtering off the TEA salts, diethyl ether was added to the solution. The resulting precipitate was 20 21 collected and dried in a vacuum oven for 48 hours.

1	Typical procedure for the ROP of BLG-NCA. To dry DMF, 0.05 g of P(L-LA-co-TAc6.2%)
2	$(5.6 \times 10^{-3} \text{ mmol})$ was added, followed by addition of 0.741 g BLG-NCA (2.8 mmol). After
3	complete dissolution, the polymerization reaction was conducted under vacuum. After 4 hours,
4	diethyl ether was added to terminate the reaction. The precipitate was collected and dried in a
5	vacuum oven for 48 hours.
6	
7	Results and discussions
8	Kinetics of the DBU-catalysed ring-opening copolymerization of L-LA and TMAc
9	DBU has been used to catalyse the ROP of L-LA and many other carbonate monomers under mild
10	conditions[42]. To investigate the copolymerization behaviour of L-LA and TMAc, equal
11	concentration of the monomers ($[TMAc]/[L-LA] = 50:50$) was polymerized in DCM using
12	dodecanol as an initiator at a monomers/initiator/catalyst ratio of
13	([TMAc]/[L-LA]/[dodecanol]/[DBU])=50:50:1:1. The polymerization kinetics of L-LA and
14	TMAc were monitored by ¹ H NMR, and the results are shown in Figure 1. After 15 minutes, the
15	conversion of L-LA reached 49%, while only 30% TMAc conversion was observed. These results
16	were directly obtained from the ¹ H NMR spectra of aliquots of the copolymerization mixture in
17	CDCl ₃ . At a reaction time of 65 minutes, the conversion rates of the two monomers were nearly
18	the same. As the reaction time increased continuously, the polymerization rate of TMAc increased.
19	Specifically, after 3 hours, the conversion of TMAc reached 80%, while the conversion of L-LA
20	was only 71%. In the beginning of the reaction (<15 minutes), the polymerization rate of L -LA
21	was faster than that of TMAc, and it reversed later. Therefore,
22	poly(<i>L</i> -lactide- <i>co</i> -5-benzyloxycarbonylamino-5-methyl-1,3-dioxan-2-one) (P(<i>L</i> -LA- <i>co</i> -TMAc))





3 Scheme 1. Synthesis of P(L-LA-co-TMAc) catalysed by either DBU in solution or TBD in bulk. And deprotection of P(L-LA-co-TMAc)

4 via combination of 33% hydrobromic acid in acetic acid and trifluoroacetic acid.

5

6 Copolymerization of *L*-LA and TMAc catalysed by DBU in DCM

7 To investigate the controllability of the copolymerization of L-LA and TMAc, we carried out the 8 simultaneous copolymerization of L-LA and TMAc in a single step. DBU served as the catalyst and [TMAc]/[L-LA] feed ratios were set of 10/90, 20/80 and 50/50 in DCM at 25°C (Table 1, 9 10 entry 1-3). n-Dodecanol was used as an initiator. The reaction time was set to 4 h because the conversion of L-LA and TMAc exceeded 90 % and 81-84 %, respectively. The obtained 11 12 P(L-LA-co-TMAc) copolymer was terminated by adding acetic acid after 4 hours. The copolymer 13 was precipitated by adding 100 mL of anhydrous diethyl ether twice to remove unreacted 14 monomers. The signal corresponding to the methyl group of *n*-dodecanol was observed in the 1 H 15 NMR spectra of all of the copolymers. It was used to determine the composition and the molecular weight $(M_{n,NMR})$ of the copolymers. Moreover, the presence of the methyl signal confirmed the 16 17 fidelity of the end group.

1 As shown in Table 1, the number-averaged molecular weight $(M_{n,NMR})$ of the resulting 2 copolymer, which was estimated from the ¹H NMR data, decreased with an increase in the 3 monomer feed ratios of [TMAc]/[L-LA]. It deviated from the theoretical number-averaged molecular weight $(M_{n,theo})$, which was calculated from the initial ratio of [M]/[initiator]. The 4 5 corresponding GPC curves (Figure 2) were unimodal, but the molecular weight distributions were 6 slightly broader than that of the DBU-catalysed system reported in the literature[42]. However, 7 upon changing [TMAc]/[L-LA] during the simultaneous copolymerization catalysed by DBU 8 indicated in Table 1(run 1-3), the copolymer composition matched well. Whereas, for the system 9 applying $Sn(Oct)_2$ as catalyst, the content of incorporated TMAc units was less than 10%, even though the monomer feed ratios of [TMAc]/[L-LA] reached 50/50 (Table 1, entry 4-6). For DBU, 10 the final molecular weights of the obtained copolymers did not perfectly match the targeted values 11 12 only for entry with a high TMAc content. However, the composition of the copolymers matched 13 the feed ratios well regardless of TMAc contents. In these cases, the situation was quite different from what Sn(Oct)₂ did. Both molecular weight and functional monomer ratio of the copolymer 14 catalysed by $Sn(Oct)_2$ were derivative from the feed. 15

- 16
- 17

Table 1. Copolymerization results catalysed by DBU, Sn(Oct)₂ and TBD

group	entry	$f/\%^{1}$	[TMAc]/[<i>L</i> -LA]/[I]/ [C] ²	<i>C_{L-LA}/%</i> 3	C _{TMAc} /% 3	<i>T/</i> °C ⁴	Time/h ⁵	$M_{\rm n}/({ m kg}{ m mol}^{-1})^6$	$M_{\rm n}/({ m kg}{ m mol}^{-1})^7$	PDI ⁸	$T_{g}/^{\circ}C^{9}$	$T_{\rm m}/^{\rm o}{\rm C}^9$
DBU	1	10.0	10/90/1/1	>90	82	25.0	4	14.0	14.7	1.36	43.6	133.3
random copolymeriz	2	19.8	20/80/1/1	>90	81	25.0	4	14.8	9.33	1.36	27.9	109.9
ation	3	49.6	50/50/1/1	>90	84	25.0	4	17.8	6.67	1.34	3.90	NA
$Sn(Oct)_2$	4	3.71	10/90/1/0.1	>87	42	100	48	12.6	13.4	1.33	48.7	144.6
random copolymeriz	5	5.73	20/80/1/0.1	>90	38	100	48	12.6	8.41	1.25	47.3	139.1
ation	6	8.20	50/50/1/0.1	>85	30	100	48	10.3	6.74	1.22	39.8	131.1
		\bigcap										
DBU block	7	10.0	10/90/1/1	>90	85	25.0	8	14.1	11.7	1.31	38.3	138.4
ation	8	18.2	20/80/1/1	>90	82	25.0	8	14.9	9.30	1.24	49.4	136.3
		\mathcal{C}'										
TBD	9	7.50	10/90/1/1.5	>90	84	110	2	15.5	13.9	1.34	45.2	158.8
random	10	17.1	20/80/1/1.5	>90	70	110	2	16.6	10.4	1.30	42.6	NA
copolymeriz	11	30.5	50/50/1/1.5	>90	73	110	2	20.6	3.01	1.10	-9.90	NA
ation	12	100	100/0/1/1.5	/	80	110	2	26.7	1.30	1.01	-32.4	NA

18 1.TMAc ratio in copolymers; 2.Feed ratio of TMAc, L-LA, initiator and catalyst. The amount of catalyst has been optimized by pre-experiment; 3.

19 Conversion of L-LA and TMAc was determined by ¹H NMR; 4. Temperature of polymerization conducted; 5. Polymerization time; 6. Theoretical

20 molecular weight calculated via $186+144\times(1-f)\times C_{L-LA}+265\times f\times C_{TMAC}$; 7. Molecular weight characterized by ¹H NMR via $I_{1.9 \text{ ppm}}/3\times 186+I_{5.2 \text{ ppm}}/2\times 144+I_{7.3}$

21 ppm/5×265; 8. Molecular weight distribution characterized by GPC; 9. Glass transition temperature and melting temperature determined by DSC.





Figure 3. ¹H NMR spectrum of P(*L*-LA-*co*-TMAc49.6%) using DMSO-d6 as solvent at 298K. 49.6% means TMAc ratio in the
copolymer.

9

Further details of the sequence distribution were obtained from the ¹³C NMR spectrum (Figure 4, 10 11 Table 1, entry 1). The carbonyl group in both the carbonate and ester bonds showed the expected 12 shifts due to the presence of the predicted triad sequences of L-LA units (L) and TMAc units (T), including LLL, TTT, LLT, LTL, TLL, TLL, TLT and LTT. Therefore, upon enlarging the carbonyl 13 region of the spectrum, five resonance signals were observed (168.9, 168.7, 168.6, 154.4, 153.2 14 15 ppm) and were assigned to the carbonyl group of the copolymer. Compared to homopolymers of PL-LA and PTMAc, the strong signal at 168.9 ppm was attributed to the LLL triad, and the signals 16 17 at 168.7 ppm and 168.6 ppm were assigned to the LLT and TLL triad, respectively. The observed 18 shift in the latter two peaks was due to the incorporation of TMAc blocks. The signal at 154.4 ppm 19 was assigned to the LTL triad, and the peak at 153.2 ppm was attributed to the LTT or TTL triad. 20 The TTT triad was not detected because the average length of the TMAc units (L_{TMAc}) was 1.2 (Table S1) for run 2, and the content of the TTT triad in the chain was low, as shown in the ${}^{13}C$ 21 22 NMR spectrum. Conversely, the average length of L-LA units (L_{L-LA}) was 11.2 for run 1 and 4.8

1	for run 2; thus, LLL was clearly obtained. Moreover, differential scanning calorimetry (DSC,
2	Figure S2a) showed a melting point associated with a relatively longer PLLA segment for run 1-2
3	and a fully amorphous copolymer for run 3, which is in accordance with the sequence distribution
4	observed in the ¹³ C NMR spectrum. In addition, as the amount of TMAc increased from 10 to 50
5	percent, only one glass transition temperature (T_g) was observed (microphase separation did not
6	occur) (Figure S2b), which decreased from 43.6 to 3.9 °C due to the lower chemical regularity of
7	the polymer chains, as well as the lower molecular weight. Further, the ¹³ C NMR spectra (Figure 5,
8	Table 1, entry 7) of the PL-LA-b-PTMAc block copolymer synthesized according to the method
9	shown in Scheme S1. It possessed a similar composition and molecular weight compared to the
10	aforementioned gradient copolymer. For the block copolymer, only two sequences of LLL (169.7
11	ppm) and TTT (155.2 ppm) were detected, and the DSC curve (Figure S2c) showed that the T_g of
12	block copolymers was higher than that of random copolymers with the same composition due to
13	their semi-crystalline characteristics.
14	
15	
16	g,l,m DMSO,e b i LL a i
17	j n 1544pm
18	k 155.0 f c,d
19	190 170 150 130 110 90 80 70 60 50 40 30 20 10 0

20 Figure 4. ¹³C NMR spectrum of P(L-LA-co-TMAc10.0%) using DMSO-d6 as solvent at 298K. Inserted graph showed traid signals of

21 carbonyl groups in the copolymer. 10.0% means TMAc ratio in the copolymer.



Figure 5. ¹³C NMR spectrum of PL-LA-b-PTMAc10.0% using DMSO-d6 as solvent at 298K. Inserted graph showed traid signals of
 carbonyl groups in the copolymer.

9

10 Copolymerization of *L*-LA and TMAc catalysed by TBD in the bulk and deprotection

11 Considering that the proposed method is an environmentally friendly and easy procedure for 12 industrial processes, we hoped that the copolymerization of L-LA and TMAc could be conducted 13 during bulk polymerization. Hence, we conducted the ROP copolymerization of L-LA and TMAc catalysed by TBD in the bulk. TBD effectively catalysed the ROP copolymerization of L-LA and 14 15 TMAc in 2 hours at 110 °C. But the same reaction failed using the DBU catalysis system, even 16 after 24 hours. As shown in Table 1 (run 9-12), the molecular weight of the copolymer was less 17 than the theoretical value for the TBD-catalysed system, but the resulting composite was similar to the feed ratios, and the distribution of the molecular weight was narrow, especially for the 18 19 copolymer with a higher TMAc ratio. Considering that the reactivity ratio of L-LA and TMAc 20 catalysed by TBD in bulk was γ_{L-LA} =1.396 and γ_{TMAc} =0.017, respectively (Figure S3), and the average length of L-LA units (L_{L-LA}) was 5.12 for run 9 and 3.3 for run 10, as determined by¹³C 21 22 NMR, transesterification likely occurred during the copolymerization process, which increased the

1 random orientation of the polymer chains compared to the DBU system.

2 To produce free amino groups in the copolymers, hydrobromic acid combined with trifluoroacetic 3 acid was used to remove carbobenzoxy groups[45,46]. Residual acid can be easily removed by neutralization after deprotection (scheme 1). Copolymer P(*L*-LA-*co*-TMAc7.5%)(Table 1, entry 9) 4 was used to removed the carbobenzoxy group. The¹H NMR spectra showed that the signals of the 5 phenyl protons (7.3-7.5 ppm) and methylene protons present in benzyl groups (5.0 ppm) were 6 7 nearly gone after 2 hours, as shown in Figure 6a and 6b. The molecular weight of P(L-LA-co-TAc) decreased from 13.9 kg/mol to 10.3 kg/mol when the carbobenzoxy groups were removed, and the 8 degradation occurred during this process both indicated in ¹H NMR spectra and GPC 9 10 curves(Figure 6). Free amino groups did not cause obvious depolymerization, which was 11 illustrated by a unimodal peak of P(L-LA-co-TAc). Besides, amino groups were protonated in the 12 acidic condition, transesterification caused by the amino groups could be reduced. And the strong 13 acidic condition was responsible for the degradation. Moreover, the Degradation of 14 P(L-LA-co-TAc) can be suppressed when the deprotection was carefully conducted in ice bath in 15 dicated by GPC traces (Figure S4).





26 proton (red). b) ¹H NMR spectrum of P(L-LA-co-TMAc6.2%). c) GPC traces of P(L-LA-co-TMAc7.5%) and P(L-LA-co-TAc6.2%)

27 using THF as eluant at 313K.

28

29 **Post-polymerization functionalization of P**(*L*-LA-*co*-TAc)

30 The pendent amino groups in P(*L*-LA-*co*-TAc) copolymer can act as anchor sites for further 31 functionalization. A typical example is the ROP of α -amino acid N-carboxyanhydrides (NCA) 32 initiated by the primary amines in the copolymer for the synthesis of P(*L*-LA-*co*-TAc)-grafted 33 peptide copolymers (Scheme 2). Following the 'graft from' method, the multi-composite graft 34 copolymer of P(*L*-LA-*co*-TAc)-grafted poly(γ -benzyl-*L*-glutamate) [P(*L*-LA-*co*-TAc)-*g*-PBLG] 35 was produced under vacuum[50] for 2 or 4 hours. Results of the copolymerization were shown in

Table 3. In order to decrease the possible degradation of the precopolymers, the ROP reaction of NCA was carried out under vacuum to accelerate the ROP reaction. For the entry 3, 4 hours reaction time was selected considering the high amount of NCA monomer. It was also found that the final degrees of polymerization were similar to the feed ratios, indicating the good controllability of the ROP polymerization of NCA by the prepolymers bearing primary amino groups.

7 Gel permeation chromatography (GPC) was used to confirm successful synthesis of the 8 [P(L-LA-co-TAc)-g-PBLG]. The GPC curve of P(L-LA-co-TAc) (Fig. 7 b) disappeared, and elution curve for the PBLG-modified P(L-LA-co-TAc) copolymers (Fig. 7 b) appeared at an 9 earlier time in a monomodal manner. Shift of GPC trace indicated efficient ROP of NCA. 10 However, the molecular weights obtained from the GPC and NMR was quite different (Table 3). 11 12 Inherent structure difference of P(L-LA-co-TAc)-g-PBLG and PEO, a standard calibration used in GPC, should be responsible for the M_n difference in Table 3. After graft polymerization, the 13 polydispersity index of the grafting copolymer increased. 14

In the ¹H NMR spectrum (Figure 7 a, Table 3, entry 3), the peaks at 7.21 ppm, 5.03 ppm, 4.10
ppm and 2.00-2.75 ppm were assigned to the hydrogen in the benzene ring, methylene hydrogen
of benzyl, methine and methylene hydrogen of PBLG. The DP of the PBLG was calculated based
on methine of lactide and methylene of benzyl group on grafted PBLG, DP_{PBLG}=0.5×*I*_{5.03 ppm}/5.0,
5.0 was average number of amino groups on deprotected copolymer P(*L*-LA-*co*-TAc6.2%).

Thus we revealed that DBU and TBD were efficient organocatalysts for the ROP of *L*-LA and TMAc in solvent and in bulk respectively, affording higher content of amino groups to synthesis well-defined P(*L*-LA-*co*-TAC)-*g*-PBLG. In other words, a multi-composite grafting copolymer can be obtained through post-polymerization functionalization.

- 24
- 25



- Figure 7. a) ¹H NMR spectrum of P(*L*-LA-*co*-TAc6.2%)-*g*-PBLG49.8. 49.8 means degree of polymerization(DP) of PBLG. b) GPC traces
 of P(*L*-LA-*co*-TAc6.2%) and P(*L*-LA-*co*-TAc6.2%)-*g*-PBLGx (x is DP of PBLG) using DMF containing 0.5%(w/v) LiBr as eluant at
 313K...
- 4

5 Conclusions

6	Amino bearing poly(ester-carbonate)s were synthesized using DBU (in solvent) and TBD (in bulk)
7	as organocatalysts. L-LA and TMAc formed random copolymers, but relatively longer PLLA
8	segments were likely incorporated into the copolymer in the initial polymerization period (scheme
9	1) due to differences in the copolymerizing activity of the comonomer, especially in the DBU
10	system. However, compared to the $Sn(Oct)_2$ system, which can be used to initiate NCA, highly
11	functionalized groups were incorporated into the copolymer. Finally, P(L-LA-co-TAc)-g-PBLG, a
12	multi-composite grafted copolymer, was obtained via post-polymerization functionalization.
13	

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- High amount of functional cyclic carbonate, TMAc, was incorprated into poly(ester-carbonate)s by organocatalysts in a short period of 2 to 4 hours.
- Gradient poly(ester-carbonate)s was formed during the copolymerization.
- Free amino groups was achieved by deprotection in acidic environment.
- Poly(γ-benzyl-L-glutamate)(PBLG) was introduced to the amino bearing macro-initiators via "graft from" method with high control.