ORGANOMETALLICS

Synthesis of Unsymmetrical N-Heterocyclic Carbene–Nitrogen– Phosphine Chelated Ruthenium(II) Complexes and Their Reactivity in Acceptorless Dehydrogenative Coupling of Alcohols to Esters

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Supporting Information

ABSTRACT: Two novel ruthenium complexes RuH(CO)- $Cl(PPh_3)(\kappa^2-CP)$ (1) and $[fac-RuH(CO)(PPh_3)(\kappa^3-CNP)]$ Cl (2) bearing unsymmetrical N-heterocyclic carbenenitrogen-phosphine (CNP) were synthesized and characterized with ¹H NMR, ³¹P NMR, and HRMS. The structure of complex 2 was further confirmed by single-crystal X-ray diffraction. An anion exchange experiment proved that complex 2 could transform into complex 1 in solution. The two complexes exhibited a highly catalytic performance in acceptorless dehydrogenative coupling of alcohols to esters, and the excellent isolated yields of esters were given in a catalyst loading of 1% for para- and meta-substituted benzyl alcohols and long-chain primary alcohols. Although some



ortho-substituted benzyl alcohols displayed a relatively low reactivity due to the steric hindrance and the coordination of electron donor with the ruthenium center, the good product yields were still obtained by prolonging the reaction time. Especially, this system successfully realized the dehydrogenative cross-coupling to esters between two different primary alcohols.

INTRODUCTION

Esters are one of the most important substances and are widely used in the synthesis of food, pharmaceuticals, flavors, coatings, and fragrances.^{1,2} The traditional synthetic methods are the esterification of carboxylic acids with alcohols, alcoholysis of acyl halides and anhydrides, oxidation esterification of alcohols, and transesterification of esters with alcohols.¹ However, these methods generally require multistep reactions, stoichiometric strong acids, and toxic strong oxidants, etc..3 Therefore, to develop new, environmentally benign alternatives for accessing esters from easily available substrates continue to draw the attention of chemists. In this regard, the acceptorless dehydrogenative coupling (ADC) of primary alcohols, in which alcohols as the sole raw material simultaneously produce esters and hydrogen as the only byproduct, has emerged as a safe, environmentally friendly, and atom-efficient process.⁴⁻⁶

Usually, the ADC reaction of primary alcohols is conducted with pincer-type complexes as catalysts. Milstein and coworkers achieved a major breakthrough in the field.^{6a} Two new heteroaromatic-based pincer ligands chelated Ru(II) hydride complexes efficiently catalyzed the dehydrogenation of primary alcohols to esters under mild conditions.^{6a} Beller's group reported that $[Ru(H)_2(CO)[NH(C_2H_4PR_2)_2]$ complexes bearing aliphatic PNP pincer ligands showed significant activity

in the catalytic acceptorless dehydrogenation of ethanol to ethyl acetate. Nevertheless, the catalyst would rapidly deactivate without EtONa.^{8c} The dehydrogenation of alcohols catalyzed by a series of dihydride Os and Ru complexes was also presented by Gusev et al.⁷ Furthermore, other ruthenium pincer-type complexes, bearing symmetric PNP, PCP, unsymmetrical PNN, and PCN-type ligands containing the N-H group, were developed to improve their catalytic activ-ity.^{6–10,12,14,15} Among the reported Ru,^{6–8} Os,^{7,9} Rh,¹⁰ Ir,¹¹ Fe,¹² Co,¹³ Ni,¹⁴ and Mn¹⁵ complexes (Figure 1), ruthenium complexes are the most noticeable ones because they can give high yields of desired products in a low catalyst loading under mild conditions. In fact, based on the concept of metal-ligand cooperation,¹⁶ all ligands in these pincer complexes use pyridine and amino as their backbones, and they chelate with metals in a meridian fashion.⁶⁻⁹ Additionally, for the access to cross-esterification via the dehydrogenation of alcohols, the successful examples are very rare. Milstein's group reported two elegant works regarding to the acceptorless dehydrogenative cross-esterification, one used PNN-chelated ruthenium(II) complexes between primary alcohols and

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Figure 1. Selected complexes for the ADC reaction.

secondary alcohols, and the other used a manganese pincer complex with benzylic alcohols and different aliphatic alcohols.^{17,18} Xiao and co-workers used expensive rhodium complexes to achieve the cross-esterification between substituted benzylic alcohols and aliphatic primary alcohols.¹⁰ Hence, exploring new catalytic systems is a big challenge for the dehydrogenative cross-coupling of the broad alcohol scope to esters and homocoupling.

In the past decades, the introduction of N-heterocyclic carbene (NHC) moiety in the flank position of nitrogen and phosphine ligands has attracted great attention because NHC could anchor the metal center by the robust coordination bond, and the potential hemilability of nitrogen or phosphine can provide the coordination vacant site for substrates. The combination of the anchor role of carbene with the hemilability of nitrogen or phosphine could obviously improve the catalyst's stability and activity.^{19,20} There are many reports on the synthesis of pincer complexes bearing NHC ligands and their successful application in the transfer hydrogenation,^{21,23} CO₂ hydrogenation,²² Heck coupling,^{23b,24} and Suzuki coupling.^{23,24} Recently, based on the anchoring role of carbene and hemilability of imine arm, our research group designed and synthesized an unsymmetrical nitrogen-phosphine-functionalized NHC ligand (L₁, Scheme 1). Its palladium complexes displayed a high activity and stability for the arylation of benzoxazoles.

For the sake of making a thorough inquiry in the potentially catalytic performance of ruthenium complexes bearing NHC– nitrogen–phosphine (CNP) L_1 , we further synthesized novel

complexes RuHCl(CO)(PPh₃)(κ^2 -CP) and [*fac*-RuH(CO)-(PPh₃)(κ^3 -CNP)]Cl and explored their application for the ADC reaction of primary alcohols. To the best of our knowledge, this is the first ruthenium complex bearing a new flexible pincer ligand with a flank NHC moiety (1 and 2, Scheme 1) for the direct dehydrogenative coupling of primary alcohols to esters. Although our ligand, coordinated with ruthenium in a facial fashion instead of a meridian one, apparently different from previously reported models,^{6,8} the ruthenium complexes not only gave high isolated yields of 85–95% for the homo-esterification of substituted benzyl alcohols and long-chain primary alcohols but also showed middle to good chemoselectivity for the dehydrogenative cross-esterification between benzylic alcohols and aromatic alcohols or branched aliphatic alcohols.

RESULTS AND DISCUSSION

Synthesis of Ruthenium Complexes. The mixture of ligand L₁ and Ag₂O in dichloromethane was stirred at room temperature for 2 h, and then the dichloromethane solution was filtered. The filtrate was added to anhydrous diethyl ether to precipitate Ag complex. Subsequently, the silver complex reacted with $RuHCl(CO)(PPh_3)_3$ in toluene at 60 °C to form the desired product as a pale yellow powder in 71% yield (Scheme 1). The high-resolution mass spectrometry displayed that the accurate molecular weight of the product was in good agreement with that of complex RuHCl(CO)(PPh₃)(CNP). However, the characteristic signals of hydride in this complex showed two groups of peaks at -12.04 ppm and -7.55 ppm in the ¹H NMR spectrum. Similarly, the ³¹P NMR spectrum also gave two sets of doublets. One was located at 47.0 and 42.7 ppm, and the other was at 40.7 and 36.8 ppm. Obviously, the product was composed of two isomers of RuHCl(CO)(PPh₃)-(CNP). It is postulated that the difference between the two isomers might be due to the coordination and dissociation of the nitrogen atom in the imide group according to our previous report.²⁵ To our delight, pure complex 1 was isolated by column chromatography. The hydride of complex **1** in ¹H NMR spectrum (Figure S1) gave rise to double doublets at -12.04 ppm with coupling constants of 24.2 and 14.3 Hz, which was attributed to the coupling of two phosphorus atoms occupying the *cis* position of the hydride. Furthermore, ³¹P NMR of complex 1 (Figure S2) exhibited two doublets at 40.7 and 36.8 ppm with a coupling constant of 30.8 Hz. The small coupling constant suggested that two phosphorus atoms in complex 1 were mutually located in the cis position. Meanwhile, the characteristic proton signal ratio of imine moiety at 8.64 ppm to the hydride at -12.04 ppm was 1:1 in





²⁾ RuHCl(CO)(PPh₃)₃, THF

the ¹H NMR spectrum. The previous NMR spectra revealed that the ruthenium center contained a triphenylphosphine, hydride, and L_1 . Complex 2 was obtained by refluxing THF and purified by column chromatography. The hydride in the ¹H NMR spectrum exhibited double doublets with coupling constants of 24.8 and 22.0 Hz at -7.55 ppm (Figure S3), which indicated that the hydride was located in the *cis* position of two phosphorus atoms. The ³¹P NMR spectrum (Figure S5) showed two doublets at 47.0 and 42.7 ppm with a coupling constant of 254.3 Hz. The large coupling constant of phosphorus to phosphorus indicated that two phosphorus atoms in complex 2 were mutually located in the *trans* position. Fortunately, the single crystal of complex 2 was obtained, and its structure was determined by X-ray diffraction (Figure 2).



Figure 2. Crystal structure of κ^3 -CNP-Ru complex 2. (2·CH₂Cl₂, CH₂Cl₂, H₂O, and chloride ion were omitted for clarity.) Ellipsoids are shown at the 50% probability level. Selected bond lengths (Å): Ru1-C1 = 2.179(5), Ru1-N3 = 2.178(4), Ru1-P1 = 2.3147(13), and Ru1-P2 = 2.3791(13). Selected bond angles (°): N3-Ru1-C1 = 84.36(18), N3-Ru1-P1 = 83.33(11), N3-Ru1-P2 = 92.72(11), P1-Ru1-P2 = 161.32(5), C1-Ru1-P1 = 97.69(13), and C1-Ru1-P2 = 100.09(13).

The six coordination atoms around the ruthenium center featured a slightly distorted octahedral configuration, and the chelating mode of unsymmetrical CNP was in a facial configuration, being different from the ruthenium complexes in a meridional fashion reported by Milstein et al.8 The terminal carbonyl was located in the trans position of the nitrogen atom. PPh₃ was arranged in the trans position of the PPh₂ moiety in CNP. The hydride occupied the *cis* position of two phosphorus atoms and was in the trans position of the carbene carbon atom, which was in good agreement with the results of ³¹P NMR and ¹H NMR spectra of complex 2. The bond angle (84.36°) of N3-Ru1-C1 is smaller than that of N3-Ru1-P1 (83.33°) (Table S2), but both angles were obviously smaller than 90° of a standard octahedron. In addition, C1-Ru1-P1 of 97.69° was much larger than 90°. Such a geometric structure made it difficult to form two stable chelating rings due to the torsion tension of chelating bicyclo determined by a dihedral angle of 61.10°. The bond lengths of Ru1-C1, Ru1-N3, Ru1-P1, and Ru1-P2 in complex 2 were 2.18, 2.18, 2.31, and 2.38 Å, respectively (Table S3). Especially, the bond length of Ru1-C1 (2.18 Å) was shorter than that of Ru-C (2.24 Å) in the ruthenium complex chelated by PCP.8d The result indicated that a stronger coordination bond between the carbon atom of the NHC moiety and ruthenium was favored to stabilize the catalytic species in the catalytic cycle.

Structure Transformation of Complex 2 to Complex 1. In order to clarify whether complex 2 in solution could transform to complex 1 or not, lithium chloride of 10 equivalents was introduced into the DMSO- d_6 solution of complex 2 (Scheme 2), it was found that two doublets at 47.1

Scheme 2. Structure Transformation of Complex 2 to Complex 1



and 42.7 ppm as the characteristic signals of complex 2 were almost disappeared in the ³¹P NMR spectrum and accompanied with the appearance of two doublets at 40.6 and 36.9 ppm, which were the same with the characteristic signals of complex 1 (Figure 3c). It clearly implied that the nitrogen



Figure 3. (a) ${}^{31}P$ NMR spectrum of complex 1. (b) ${}^{31}P$ NMR spectrum of complex 2. (c) ${}^{31}P$ NMR spectrum of complex 2 + 10 equiv of LiCl.

atom of imine moiety in the CNP backbone dissociated from the ruthenium center due to the competitive coordination of chloride ion with ruthenium. In other words, complex 2 could be converted to complex 1 with the increase in chloride ion concentration. This structure transformation was very similar to palladium complexes bearing L1 reported by our group.²⁵

Complex 1 Catalyzed the ADC Reaction of Alcohols to Esters. The acceptorless dehydrogenation of benzyl alcohol to afford benzyl benzoate was used as a reaction model to optimize reaction conditions. Our initial tests focused on exploring the effect of different bases and dosages of bases on the reaction (Tables S4 and S5). According to the reported results, EtONa^{7b,8c} and KOtBu^{7a,8b,13} were the most efficient bases for the dehydrogenative coupling of alcohols; so, we first test them and found that they were not very suitable for this system (Table 1, entries 1 and 2). Primary screening results showed that Cs₂CO₃ was the most efficient base for this reaction (entries 1-5), and increasing the amount of Cs_2CO_3 caused the yield of ester to improve obviously (entries 5-9). If no base was introduced, there was no benzoic benzoate in the system (Table 1, entry 6). The yields of benzoic benzoate decreased at temperature both higher and lower than 110 °C (Table S6). As a small amount of benzyl alcohol was not

Table 1. Effect of Bases and Complexes on the ADC of Benzyl Alcohol a

entry	cat. (mol %)	base (mmol)	conv (%)	yield (%)
1	1 (1)	EtONa (0.3)	73	48
2	1 (1)	KO ^t Bu (0.3)	40	33
3	1 (1)	NaO ^t Bu (0.3)	54	47
4	1 (1)	NaH (0.3)	63	60
5	1 (1)	Cs_2CO_3 (0.3)	79	77
6	1 (1)	without	2	NR ^b
7	1 (1)	Cs_2CO_3 (0.01)	31	24
8	1 (1)	Cs_2CO_3 (0.1)	72	70
9	1 (1)	Cs_2CO_3 (0.5)	94	88
10 ^c	1 (0.05)	Cs_2CO_3 (0.5)	17	15
11 ^c	1 (0.1)	Cs_2CO_3 (0.5)	42	37
12 ^c	1 (0.2)	Cs_2CO_3 (0.5)	50	46
13 ^c	1 (0.5)	Cs_2CO_3 (0.5)	65	63
14 ^c	1 (1)	Cs_2CO_3 (0.5)	>99	97
15 ^c	2 (1)	Cs_2CO_3 (0.5)	97	94
16 ^c	RuHCl(CO)(PPh ₃) ₃	Cs_2CO_3 (0.5)	13	6

^{*a*}Reaction conditions: benzyl alcohol (1.0 mmol), toluene (2 mL), refluxing for 24 h. ^{*b*}NR, no reaction. ^{*c*}Reaction time: 26 h. Conversions and yields were determined by GC.

converted into ester within 24 h, the reaction time was slightly extended to 26 h, so that both the conversion and yield were increased to >99% and 97%, respectively (entries 9 and 14). The yields of esters were improved with increasing the catalyst loading from 0.05 to 1 mol % (entries 10–14), and the highest yield of ester was up to 97% with 1 mol % complex 1. Interestingly, the catalytic performance of complex 2 was almost equivalent to that of complex 1 under the same conditions (entry 15). However, analogous complex RuHCl-(CO)(PPh₃)₃ as a catalyst (1 mol %) gave only a low conversion of 13% (entry 16).

According to previous tests, the optimum reaction conditions were determined as 1 mol % complex 1, 0.5 equiv of Cs_2CO_3 in 2 mL of toluene at 110 °C for 26 h. Subsequently, the scope of substrates was investigated under the optimum condition. It was found that all para-substituted aromatic primary alcohols, whatever they contained electronwithdrawing groups, such as -CF3 and -F, or electrondonating groups, such as -Me and -OMe, showed excellent reactivity (Table 2, 3b-3f). In addition, a fluorine atom at the meta position (3h) did not almost affect the conversion of substrate, but ortho-fluorobenzyl alcohol showed no corresponding product at all (3k). The result was similar with Beller's system, in which the catalyst deactivation should be attributed to the coordination of the fluorine atom at the ortho position with the metal center.¹³ Similarly, ortho-chlorobenzyl alcohol (3j) afforded the corresponding product in a much lower yield than para- and meta-chlorine-substituted substrates (3e and 3g). For *ortho*-methylbenzyl alcohol (3i) with a similar steric hindrance to ortho-fluorobenzyl and ortho-chlorobenzyl alcohols, a 77% yield of the product was obtained. Obviously, the major reason for the low reactivities of ortho-fluorobenzyl and ortho-chlorobenzyl alcohols should be that the F or Cl atom at the ortho position took part in the coordination with ruthenium species and inhibited the dehydrogenation reaction.

Notably, for the dehydrogenation coupling of cinnamyl alcohol to the corresponding ester (3m), its carbon–carbon double bonds in the product were hydrogenated into carbon– carbon single bonds. Next, we turned our attention to

heterocyclic alcohols. For the *N*-heterocyclic substrate (**3o**), this system afforded the corresponding ester in an excellent yield of 87%. However, the conversions of O- and S-containing heterocyclic substrates (**3p** and **3q**) showed the relatively low reactivity (41% and 20%, respectively), but the yield of 3-furanmethanol to ester could be improved to 64% by extending the reaction time to 72 h. However, the conversion of 3-thiophenemethanol was still low (20%) even if the reaction time was extended to 72 or 96 h. Exceptionally, for 2-thiophenemethanol, being not reported in other systems, ⁶⁻¹⁵ a yield of 27% could be given (**3r**). A possible reason was that the coordination of an electron donor oxygen or sulfur atom in heterocycle with the ruthenium center blocked the dehydrogenation of substrates.

For alkyl primary alcohols, especially long-chain alcohols without branched chains, they were also converted in excellent yields of 90 to 95% (3s-3u). The sterically crowded derivatives 2-methylpentan-1-ol and 2-ethylbutan-1-ol presented the relatively low yields of 51 and 36% (3v and 3w), respectively, but the yields were obviously improved by extending the reaction time (3v and 3w). These results demonstrated that the catalytically active species in the system had good stability and long life, which could be contributed to the robust anchoring role of NHC located on a flexible pincer backbone to the ruthenium center. Furthermore, a secondary alcohol was transformed into a corresponding ketone ($3\times$), being similar with the reported results.^{12,26}

To further assess the generality of this catalytic system and to break through the limitation of substrates in most of the reported systems, an attempt was made to prepare unsymmetrical esters through dehydrogenation cross-coupling of two different primary alcohols. It was found that the desired crossesters were obtained in moderate to good yields. Unlike the cross-esterification between substituted benzylic alcohols and linear aliphatic primary alcohols reported by Milstein et al.,^{10,18} our system realized the cross-esterification between benzylic alcohols and aromatic alcohols or branched aliphatic alcohols. The reaction of *p*-methoxybenzyl alcohol (0.5 mmol) with *o*methoxybenzyl alcohol (2 mmol) in the presence of 2 mol % of complex 1 (Table 3, entry 1) gave o-methoxybenzyl 4methoxybenzoate in a yield of 59%, together with pmethoxybenzyl p-methoxybenzoate of 17%. As the amount of R₂CH₂OH was exceedingly used, the yields of selfesterification products generated from R₂CH₂OH were not listed in Table 3. In particular, the carbonyl moiety in the cross-ester was derived from the more active alcohol among two primary alcohols. Interestingly, p-trifluoromethylbenzyl alcohol gave two cross-esters: p-trifluoromethylbenzyl pmethoxybenzoate (4bb) (28%), in which the carbonyl moiety of the ester was derived from *p*-methoxybenzyl alcohol and *p*methoxybenzyl p-trifluoromethylbenzoate (4bb') (50%), in which the carbonyl moiety was from *p*-trifluoromethylbenzyl alcohol (Table 3, entry 2). For the reaction of pmethoxybenzyl alcohol with o-chlorobenzyl alcohol, o-chlorobenzyl 4-methoxybenzoate was isolated in 51% yield along with *p*-methoxybenzyl *p*-methoxybenzoate of 32% (Table 3, entry 3). Of course, a small amount of o-chlorobenzyl ochlorobenzoate was obtained. For aliphatic alcohols, reactions of p-methoxybenzyl alcohol with 2-methylpentan-1-ol, 2ethylbutan-1-ol, 2,2-dimethylpropan-1-ol, and 3-methylbutan-1-ol formed the corresponding cross-esters in yields of 51, 85, 54, and 85%, respectively (entries 4-7). The reaction of pmethoxybenzyl alcohol with methanol generated methyl p-



"Reaction conditions: benzyl alcohol (1.0 mmol), Cs_2CO_3 (0.5 mmol), complex 1 (1.0 mol %), toluene (2 mL), reaction time (26 h), N_2 balloon, and isolated yields in parentheses. ^bReaction time: 72 h. ^cReaction time: 96 h. ^dYield determined by ¹H NMR using dibromomethane as an internal standard. ^eCatalyst (1.5 mol %), reaction time (72 h). ^fCatalyst (2.5 mol %), reaction time (72 h). ^gYield determined by GC–MS.

methoxybenzoate yield of 37%, while the self-esterification product of *p*-methoxybenzyl alcohol was obtained in 42% yield (entry 8). For the reaction of 2-ethylbutan-1-ol with benzyl alcohol and *p*-trifluoromethylbenzyl alcohol, the cross-esterification products were obtained in good yields of 67 and 81%, respectively (entries 9 and 10). Last, primary alcohol with cyclohexanol successfully afforded the desired cross-coupling ester (entry 11).

To understand the reaction mechanism, NMR and HRMS were used to monitor the reaction process and to find some possible active species. The NMR spectra were recorded after the reaction progressed for 1 min, 10 min, 0.5 h, 1.0 h, and 2.0 h, respectively (Figures S6–S8). After 2 h, the NMR yield of ester was up to 85%. At the beginning of the reaction, the ³¹P NMR spectrum of the reaction mixture (Figure 4a) clearly evidenced that a part of complex **1** was transformed into

complex 2 under the reaction condition after 1 min. In fact, the result indicated the reason why the catalytic performance of complex 1 was very close to complex 2 (Table 1, entries 14 and 15). Subsequently, PPh₃ was completely dissociated from the ruthenium center according to the signal of ³¹P NMR (Figure 4b) at -5 ppm after 10 min, while an available coordination site in the ruthenium center was quickly generated for the substrate. Furthermore, a doublet at 8.6 ppm in ¹H NMR (Figure 4c) was kept during all reaction times and indicated that the double bond C=N in the CNP backbone was not hydrogenated in the catalytic cycle. Therefore, even if there was no N–H linker, which is necessary to promote the dehydrogenation of alcohols reported by Milstein and Gusev et al.,^{7,8} this system also exhibited good catalytic performance.

Table 3. Cross-Esterification between Two Different Primary Alcohols

	R1 OH + R2 OH	1mol% 3 Cs ₂ CO ₃ ,Toluene, R ₁ reflux,24 h	$ \begin{array}{c} 0 & 0 \\ 0 & R_1 + R_1 \\ 4a & 4b \end{array} $	R ₂ + 2H ₂
entry	R ₁ CH ₂ OH	R ₂ CH ₂ OH	Yield of 4a (%) ^a	Yield of 4b (%) ^a
1	ОН	HO	17	59 (4ba)
2	ОН	HO CF3	18	28(4bb)+50(4bb')
3	ОН	HO	32	51 (4bc)
4	ОН	но	11	51(4bd)
5	ОН	но	14	85 (4be)
6	ОН	но	23	54 (4bf)
7	ОН	но	10	85 (4bg)
8	ОН	MeOH	42	37 (4bh)
9	ОН	но	5	67 ^b (4bi)
10	F ₃ C OH	НО	12	81 (4bj)
11	ОН	HO	23	45 (4bk)

^{*a*}Reaction conditions: R_1CH_2OH (0.5 mmol), R_2CH_2OH (2.0 mmol), Cs_2CO_3 (0.5 mmol), complex (2.0 mol %), toluene (2 mL), reflux under N_2 for 26 h, and isolated yields in parentheses. ^{*b*}Yield determined by ¹H NMR with dibromomethane as an internal standard. ^{*c*}Reaction temperature: 90 °C.

The effect of Cs_2CO_3 on the reaction showed (Table S5) that a high yield of ester could be obtained when the dosage of Cs_2CO_3 was much more than the loading of catalyst. It demonstrated that Cs_2CO_3 was involved in some essential step(s) toward ester formation other than activating the ruthenium precatalyst.²⁷ The appearance of the strong peak at 9.39 ppm for free PhCHO in the ¹H NMR spectrum (Figure 4d) was a direct evidence for the production of ester via aldehyde as an intermediate in this system.

According to the reported results, the ADC pathway generally undergoes dehydrogenation of alcohol to aldehyde involving dihydride ruthenium and ruthenium-benzyloxy intermediates as key active species.^{6c,28} The evidences of intermediates ruthenium-benzyloxy [**3-OBn**] (m/z 636.1) (Figure S9) and dihydride ruthenium [**3-H**] (m/z 530.0) (Figure S10) were found on ESI-MS spectrum on the basis of the m/z values and isotopic patterns. According to in situ ¹H NMR spectra (Figure S7), two doublets at -6.93 (J = 82.5 Hz) and -7.11 ppm (J = 81.5 Hz) indicated that the hydride and phosphine moiety in the active species were mutually located

in the *para* position. Probably, the NMR signals of hydride were from species **4** and **5** in Scheme 4.

In general, further conversion of aldehyde to ester involves two pathways: aldehyde reacts with another molecule of alcohol to give a hemiacetal, which finally goes through dehydrogenation into an ester.^{8c,12,15,29} Alternatively, two molecules of aldehyde undergo Tishchenko reaction to form a molecule of ester.^{28e,30} In order to further explore the possible route of aldehyde coupling into ester in our system, some control experiments in the presence or absence of benzyl alcohol were conducted (Scheme 3). Without benzyl alcohol and complex 1, the coupling of benzaldehyde to the corresponding ester could not occur in the presence of Cs_2CO_3 (Scheme 3a). After 1 equiv of alcohol with respect to aldehyde was introduced into the system without complex 1, the ester was formed in a NMR yield of 42% (Scheme 3b). Obviously, the alcohol promoted the transformation of aldehyde to ester in this system even if there was no catalyst. The result was in agreement with Gauvin's system.^{6c} In our system, it might be the alkoxide, generated by reacting benzyl alcohol with alkali, that catalyzed the coupling of aldehyde to



Figure 4. NMR spectra of the ADC reaction mixture. (a) ³¹P NMR of complex 1 in the ADC reaction for 1 min. (b) ³¹P NMR of free PPh₃ for 10 min. (c) ¹H NMR of -CH=N- moiety of complex 1. (d) ¹H NMR of formed PhCHO.

Scheme 3. Control Experiments about Tishchenko Coupling Benzaldehyde to Benzyl Benzoate

a. $\mathrm{Cs}_2\mathrm{CO}_3$ catalyzed Tishchenko coupling of benzaldehyde to benzyl benzoate.



b. Cs₂CO₃ catalyzed Tishchenko coupling of benzaldehyde to benzyl benzoate in the presence of benzyl alcohol.



c. Complex 1/Cs₂CO₃ catalyzed Tishchenko coupling of benzaldehyde to benzyl benzoate.



Complex $1/Cs_2CO_3$ catalyzed Tishchenko coupling of benzaldehyde to benzyl benzoate in the presence of benzyl alcohol.



ester without a catalyst; so, the formation of ester proceeded in a Tishchenko-type mechanism. After the catalyst was added, 90% yield of benzyl benzoate was obtained with an equal ratio of benzyl alcohol to benzaldehyde (Scheme 3d). Compared with the result in the absence of catalyst, the existence of a catalyst greatly accelerated the formation of ester under the same reaction condition (Figure S11). Hence, it was suggested that the ester was generated in Tishchenko and in Ru-catalyzed hemiacetal dehydrogenation mechanisms.

Based on the previous experimental evidence, we envision plausible routes as illustrated in Scheme 4. At the onset of catalysis, complex 1 was rapidly converted into complex 2 under the reaction condition. Upon the combination of complex 2 with alcohol, it was accompanied with the dissociation of PPh3 from complex 2. Benzyloxy, generated by the deprotonation of benzyl alcohol in the presence of a base, subsequently combined with ruthenium species to form ruthenium-benzyloxy species 3-OBn (Scheme 4, cycle A). 3-**OBn** went through a β -hydride elimination step to give benzaldehyde and dihydride ruthenium species 3-H that was observed in HRMS (Figure S10) and ¹H NMR (Figure S7c). The catalytic cycle was accomplished by the release of H₂ to regenerate species 3. After benzaldehyde was formed, it went through two parallel routes to form ester (Scheme 4, cycles B and C). One route involved the Tishchenko-type pathway mediated by 3-OBn. It passed through two consecutive nucleophilic additions and 1,3-hydride migration (Scheme 4, path I). Alternatively, the hemiacetal formed from the reaction between benzaldehyde and benzyl alcohol was dehydrogenated by Ru-H species 3 via 5 to give ester (, Scheme 4, path II).

d.

Scheme 4. Proposed Mechanism



CONCLUSIONS

In summary, we synthesized and characterized two novel hemilabile ruthenium complexes $RuH(CO)Cl(PPh_3)(\kappa^2-CP)$ (1) and $[fac-RuH(CO)(PPh_3)(\kappa^3-CNP)]Cl$ (2) bearing an unsymmetrical flexible pincer ligand CNP (L_1) . Complex 1 and 2 were isomers for each other, and complex 1 could be formed by adding chloride ions to the solution of complex 2. For the first time, the facial ruthenium(II) complexes bearing an unsymmetrical N-heterocyclic carbene-nitrogen-phosphine (CNP) ligand were successfully applied in the acceptorless dehydrogenative coupling of alcohols to esters. The activity of complex 1 was comparable to that of complex 2. Good-to-excellent yields were gained in the case of parasubstituted benzyl alcohols and long-chain primary alcohols as substrates. Moreover, the steric hindrance of the ortho substituent in substrates also slightly affects the reactivity of substrates, while the electronic factor of substrates did not lead to a remarkable influence on the reaction. However, for substrates with an electron donor (F, Cl) at the ortho position, the coordination of the electron donor at the ortho position with ruthenium species resulted in the low reactivity of substrates. Especially, the system carried out acceptorless dehydrogenation to generate cross-esters in moderate-to-good yields with two different primary alcohols.

EXPERIMENTAL SECTION

General Methods and Instrumentation. All operations were performed in the nitrogen atmosphere or glovebox. All solvents were purified with standard methods. Reagents were purchased from commercial suppliers and used as-received. RuHCl(CO)(PPh₃)₃³¹ and 3-{2-{[2-(diphenylphosphino)-benzylidene]amino}ethyl}-1-methyl-1*H*-imidazol-3-ium chloride (L_1)²⁵ were synthesized with the reported methods. ¹H NMR, ¹³C NMR, ³¹P NMR, and ¹H-¹³CHMQC spectra were measured on the Bruker AVANCE III HD 400 MHz. GC analysis was carried out with Agilent 7890B (KB-1, 30 m × 0.32 mm × 0.25 μ m). HRMS was recorded on a SHIMADZU LCMS-IT-TOF mass spectrometer.

X-Ray Crystallographic Details. Single-crystal X-ray diffraction was performed on a X calibur Eos X-ray single-crystal diffractometer at 293(2) K. The structure was solved by Olex2³² with the ShelXS³³ structure solution program using direct methods and refined with the ShelXL³⁴ refinement package (least squares minimization).

Synthesis of RuH(CO)Cl(PPh₃)(κ^2 -CP) (1). The mixture of L₁ (2 mmol, 866.2 mg) and silver oxide (1.1 mmol, 255.2 mg) in dichloromethane (10 mL) was stirred at room temperature for 2 h. Then, the solution was filtered with Celite and 30 mL of anhydrous diethyl ether was added into the filtrate to precipitate a pale yellow solid. The solid was filtered, washed with ether (10 mL) three times, and dried under vacuum to give pale yellow powder. Subsequently, the pale yellow powder (0.1 mmol, 54.1 mg), RuHCl(CO)(PPh₃)₃ (0.1 mmol, 95.1 mg) was dissolved in toluene (15 mL) in a twonecked flask. After the mixture was stirred at 60 °C for 3 h, it was filtered, washed with toluene and *n*-hexane successively, and dried in vacuum to give a pale brown solid. The crude product was purified by column chromatography to give pure complex 1 (45.4 mg, 55% yield). ¹H NMR (CD₂Cl₂, 400.1 MHz, δ): 8.63 (s, 1H), 7.49 (m, 2H), 6.8– 7.4 (m, 26H), 6.58 (s, 1H), 6.20 (t, 2H, J = 8.0 Hz), 4.39-4.52 (m, 2H), 4.02 (d, 1H, J = 12.0 Hz), 3.89 (s, 3H), 2.59 (t, 1H, J = 8.0 Hz), -12.04 (dd, J = 24.2, 14.3 Hz, 1H). ³¹P NMR (CD₂Cl₂, 162.0 MHz, δ): 40.7 (dd, J_{P-P} = 30.8 Hz, J_{P-H} = 4.9 Hz), 36.8 (dd, J_{P-P} = 30.8 Hz, J_{P-H} = 3.2 Hz). HRMS (ESI-TOF) m/z: [M-Cl]⁺ calcd for C44H40N3OP2Ru, 790.1690; found, 790.1665; [M-Cl-PPh3]+ calcd for C₂₆H₂₅N₃OPRu, 528.0779; found 528.0774; [M-Cl-PPh₃-CO]⁺ calcd for C₂₅H₂₅N₃PRu, 500.0830; found, 500.0823.

Synthesis of [fac-RuH(CO)(PPh₃)(κ^3 -CNP)]Cl (2). The pale yellow powder (0.1 mmol, 54.1 mg) obtained by reacting L_1 and Ag₂O, and RuHCl(CO)(PPh₃)₃ (0.1 mmol, 95.1 mg) was dissolved in tetrahydrofuran (15 mL) in a 50 mL two-necked flask with a reflux condenser. The mixture was refluxed for 5 h and filtered. The solvent of the filtrate was reduced to about 5 mL under vacuum, and then 30 mL of n-hexane was added into it, and a brownish yellow solid was precipitated. The precipitate was filtered, washed with *n*-hexane (10 mL) three times, and dried in vacuum to give a pale brown solid. This crude product was purified by anhydrous anaerobic chromatography column with dichloromethane/methanol (10:1) as eluent to give complex 2 (38.2 mg, 46%). Single crystals suitable for X-ray diffraction were obtained by diffusing *n*-hexane into dichloromethane solution of complex 2. ¹H NMR (CD_2Cl_2 , 400.1 MHz, δ): 8.64 (s, 1H), 7.83 (m, 1H), 6.77–7.64 (m, 28H), 6.45 (t, 2H, J = 8.0 Hz), 3.99 (d, 1H, J = 16.0 Hz), 3.61 (d, 1H, J = 12.0 Hz), 3.47 (t, 1H, J = 12.0 Hz), 2.96 (s, 3H), 2.31 (t, 1H, J = 12.0 Hz), -7.55 (dd, J = 24.8, 22.0 Hz, 1H). ¹³C NMR (CD₂Cl₂, 100.6 MHz, δ): 207.83, 183.37, 171.24 (d, J = 7.0 Hz), 137.39 (d, J = 13.1 Hz), 135.28 (d, J = 8.0 Hz), 134.46 (d, J = 3.0 Hz), 134.28, 134.07 (d, J = 3.0 Hz), 133.80, 132.94 (d, J = 10.1 Hz), 132.66 (d, J = 6.0 Hz), 132.33, 132.06, 131.89 (d, J = 10.1 Hz), 131.04, 130.93, 130.81, 130.37, 130.05, 128.74 (t, J = 10.1 Hz), 128.55 (d, J = 9.1 Hz), 123.44, 122.72, 65.52 (d, J = 4.0 Hz), 51.89, 38.83. ³¹P NMR $(CD_2Cl_2, 162.0 \text{ MHz}, \delta): 47.0$

(d, $J_{P-P} = 254.3 \text{ Hz}$), 42.7 (d, $J_{P-P} = 254.3 \text{ Hz}$). HRMS (ESI-TOF) m/z: [M-Cl]⁺ calcd for $C_{44}H_{40}N_3OP_2Ru$, 790.1690; found, 790.1681; [M-Cl-PPh₃]⁺ calcd for $C_{26}H_{25}N_3OPRu$, 528.0779; found, 528.0761.

Typical Procedure for the ADC of Alcohols. After complex 1 (0.01 mmol, 8.3 mg), Cs₂CO₃ (0.5 mmol, 162.9 mg) were added to a dry Schlenk tube in the glovebox, benzyl alcohol (1.0 mmol, 104 μ L) and anhydrous toluene (2 mL) were sequentially injected into the tube. The solution was refluxed with stirring for 26 h. At the end of the reaction, the mixture was cooled to room temperature and diluted with 2 mL of dichloromethane and filtered with Celite. The filtrate was evaporated to dry, and the product was separated by column chromatography to give a light yellow liquid **3a** (95.5 mg, 90%).

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.organo-met.9b00071.

Optimization of the ADC reaction conditions, crystal data for complex **2**, mechanistic study, and NMR spectra (PDF)

Accession Codes

CCDC 1904695 contains the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/data_request/cif, or by emailing data_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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Notes

The authors declare no competing financial interest.

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