

# Synthesis and Reactivity of Metal–Ligand Cooperative Bifunctional Ruthenium Hydride Complexes: Active Catalysts for $\beta$ -Alkylation of Secondary Alcohols with Primary Alcohols

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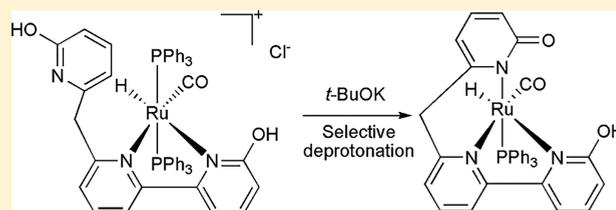
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## Supporting Information

**ABSTRACT:** Three unsymmetrical NNN ligands with a 2-hydroxypyridyl fragment were used to react with  $\text{RuHCl}(\text{PPh}_3)_2(\text{CO})$ , affording the three bidentate ruthenium hydride complexes  $[(\text{R}_1\text{-C}_5\text{H}_3\text{N-CH}_2\text{-C}_5\text{H}_3\text{N-C}_5\text{H}_3\text{N-R}_2)\text{RuH}(\text{PPh}_3)_2(\text{CO})][\text{Cl}]$  ( $\text{R}_1 = \text{R}_2 = \text{OH}$ , **2a**;  $\text{R}_1 = \text{OH}$ ,  $\text{R}_2 = \text{H}$ , **2b**;  $\text{R}_1 = \text{H}$ ,  $\text{R}_2 = \text{OH}$ , **2c**), respectively. When **2a,b** were treated with *t*-BuOK, the two tridentate products  $[(\text{O-C}_5\text{H}_3\text{N-CH}_2\text{-C}_5\text{H}_3\text{N-C}_5\text{H}_3\text{N-R}_2)\text{RuH}(\text{PPh}_3)(\text{CO})]$  ( $\text{R}_2 = \text{OH}$ , **3a**;  $\text{R}_2 = \text{H}$ , **3b**) were obtained, via selective deprotonation of the –OH group of PyCH<sub>2</sub>PyOH moiety, indicating that this –OH group is more acidic than that of the PyPyOH moiety. The reaction of **2c** with *t*-BuOK generated the bidentate product  $[(\text{C}_5\text{H}_4\text{N-CH}_2\text{-C}_5\text{H}_3\text{N-C}_5\text{H}_3\text{N-O})\text{RuH}(\text{PPh}_3)_2(\text{CO})]$  (**3c**) and the tridentate product  $[(\text{C}_5\text{H}_4\text{N-CH}_2\text{-C}_5\text{H}_3\text{N-C}_5\text{H}_3\text{N-O})\text{RuH}(\text{PPh}_3)(\text{CO})]$  (**3d**). **3d** could be further transformed to the diruthenium complex  $[(\text{C}_5\text{H}_4\text{N-CH}_2\text{-C}_5\text{H}_3\text{N-C}_5\text{H}_3\text{N-O})\text{Ru}(\text{PPh}_3)(\text{CO})]_2$  (**3e**) via C–H activation of the –CH<sub>2</sub>– group in boiling toluene. The catalytic activity for  $\beta$ -alkylation of secondary alcohols with primary alcohols of these eight ruthenium complexes was tested, and the bidentate complexes **2c** and **3c** exhibit the highest activity. Complex **3c** can be regarded as the intermediate of **2c**. These results are important for developing more efficient bifunctional catalysts for such reactions.

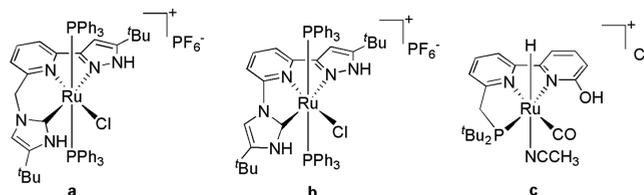


## INTRODUCTION

Metal–ligand cooperative bifunctional complexes play crucial roles in chemical bond activation and catalysis.<sup>1–21</sup> A major strategy of designing metal–ligand cooperative catalysts is by introducing a proton-responsive ligand to the metal center. In the presence of a base, the proton-responsive site can be deprotonated, and the resulting complex might accept a proton from the substrate. The reversible structural changes of the ligand are beneficial for substrate activation. For example, many amido transition-metal complexes can promote H<sub>2</sub> activation and H<sub>2</sub>-related catalytic reactions.<sup>2–4</sup> In addition, Milstein and other groups revealed that lutidine-based PNP-M and PNN-M complexes, which have slightly acidic methylene protons, exhibit interesting metal–ligand cooperation based on an aromatization–dearomatization process and have been exploited for a series of organic transformations.<sup>5–7</sup> Other types of metal–ligand cooperative complexes are transition-metal compounds with a 2-hydroxypyridyl group, which can be deprotonated to a pyridinol form with a C=O bond.<sup>8–18</sup>

Although there are various types of metal–ligand cooperative complexes, systems based on unsymmetric ligands with

two or more proton-responsive sites are rare.<sup>19–21</sup> Ikariya and Kuwata et al. synthesized two ruthenium complexes based on NNC tridentate ligands containing a protic pyrazole and a N-heterocyclic carbene (Figure 1a,b) and studied their reactivity with bases. In both cases, the protic pyrazole ring was deprotonated first, indicating that the pyrazole was more acidic than the N-heterocyclic carbene.<sup>20</sup> Recently, the van der Vlugt



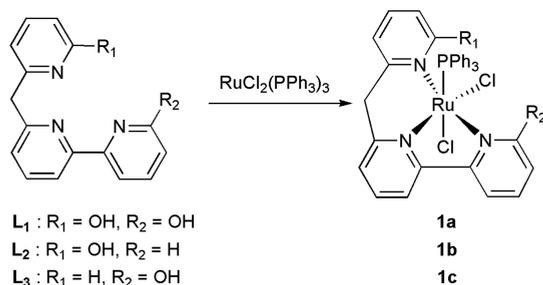
**Figure 1.** Ruthenium complexes a–c based on unsymmetric ligands with two proton-responsive sites.

**Received:** June 23, 2018

group developed a PNN ligand by the combination of 2-hydroxypyridyl and a PN fragment and introduced it to a ruthenium center (Figure 1c). Only the 2-hydroxypyridyl moiety was deprotonated to 2-pyridinol even with excessive DBU, demonstrating that the  $-\text{CH}_2-$  protons were less acidic.<sup>21c</sup>

Recently, we have synthesized the ruthenium dichloride complexes **1a–c** based on the ligands  $[\text{R}_1\text{-C}_5\text{H}_3\text{N-CH}_2\text{-C}_5\text{H}_3\text{N-C}_5\text{H}_3\text{N-R}_2]$  ( $\text{L}_1$ ,  $\text{R}_1 = \text{OH}$ ,  $\text{R}_2 = \text{OH}$ ;  $\text{L}_2$ ,  $\text{R}_1 = \text{OH}$ ,  $\text{R}_2 = \text{H}$ ;  $\text{L}_3$ ,  $\text{R}_1 = \text{H}$ ,  $\text{R}_2 = \text{OH}$ ) and studied their catalytic activity on transfer hydrogenation of ketones (Scheme 1).<sup>22</sup>

**Scheme 1. Synthesis of Our Previous Ruthenium Complexes 1a–c**<sup>22</sup>



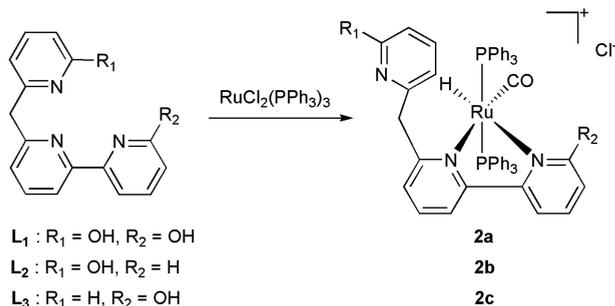
Complexes **1a–c** contain two or three proton-responsive sites ( $-\text{OH}$  and  $-\text{CH}_2-$ ); however, their reactions with bases did not give any identified products. Herein, we report the synthesis of ruthenium hydride complexes supported by  $\text{L}_1\text{–L}_3$  and their reactivity with base. Furthermore, these ruthenium complexes also showed high catalytic activity for  $\beta$ -alkylation of secondary alcohols with primary alcohols in air, which is regarded as an environmentally friendly method to synthesize  $\beta$ -alkylated alcohols and has been catalyzed by other ruthenium complexes.<sup>17b,c,23,24</sup>

## RESULTS AND DISCUSSION

### Synthesis and Characterization of Ru(II) Complexes.

Reaction of  $\text{L}_1$  with  $\text{RuHCl}(\text{PPh}_3)_3(\text{CO})$  in refluxing methanol gave the product  $[(\text{HO-C}_5\text{H}_3\text{N-CH}_2\text{-C}_5\text{H}_3\text{N-C}_5\text{H}_3\text{N-OH})\text{-RuH}(\text{PPh}_3)_2(\text{CO})][\text{Cl}]$  (**2a**) (Scheme 2). The  $^1\text{H}$  NMR

**Scheme 2. Synthesis of Complexes 2a–c**



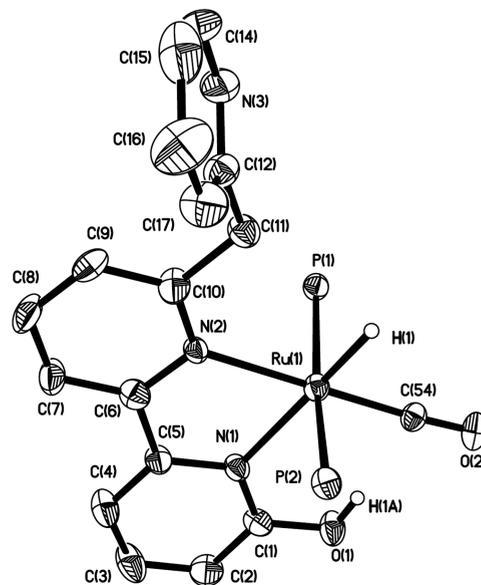
spectrum of **2a** in  $\text{CD}_3\text{OD}$  exhibits several groups of signals between 8.68 and 6.30 ppm for the pyridyl and  $\text{PPh}_3$  groups (39H), one singlet at 3.91 ppm for the  $-\text{CH}_2-$  group (2H), and one triplet at  $-11.90$  ppm for  $\text{Ru-H}$  (1H). The  $^{31}\text{P}$  NMR shows one singlet at 45.62 ppm. The IR spectrum displays one absorption peak at  $1958\text{ cm}^{-1}$  (in DCM) for the terminal CO. These results indicate there are two *trans*- $\text{PPh}_3$ , one CO, one

hydride, and two pyridyl rings of ligand  $\text{L}_1$  coordinating with Ru. However, it was still difficult to confirm its exact coordination geometry without its single-crystal structure.

Ligand precursors  $\text{L}_2$  and  $\text{L}_3$  were then treated with  $\text{RuHCl}(\text{PPh}_3)_3(\text{CO})$ , and complexes  $[(\text{HO-C}_5\text{H}_3\text{N-CH}_2\text{-C}_5\text{H}_3\text{N-C}_5\text{H}_4\text{N})\text{RuH}(\text{PPh}_3)_2(\text{CO})][\text{Cl}]$  (**2b**) and  $[(\text{C}_5\text{H}_4\text{N-CH}_2\text{-C}_5\text{H}_3\text{N-C}_5\text{H}_3\text{N-OH})\text{RuH}(\text{PPh}_3)_2(\text{CO})][\text{Cl}]$  (**2c**) were afforded, respectively (Scheme 2).

The  $^1\text{H}$  NMR spectrum of **2b** in  $\text{CD}_3\text{OD}$  shows a triplet for the  $\text{Ru-H}$  group at  $-10.90$  ppm. The  $^{31}\text{P}$  NMR spectrum shows one singlet for the two  $\text{PPh}_3$  groups at 45.7 ppm. The IR spectrum exhibits a strong CO absorption at  $1947\text{ cm}^{-1}$  (in DCM). Complex **2c** displays similar NMR and IR spectra, indicating their analogous structures.

The structure of **2c** was further characterized by X-ray crystallography (Figure 2). The Ru ion is coordinated in an

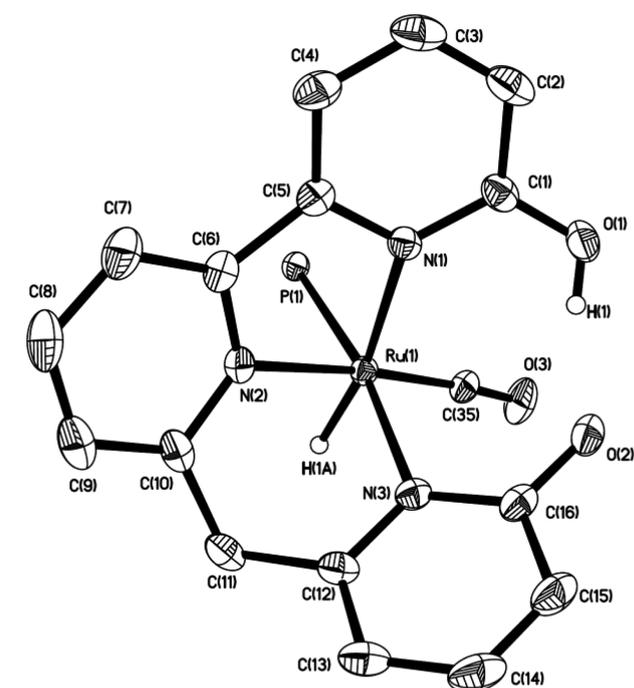
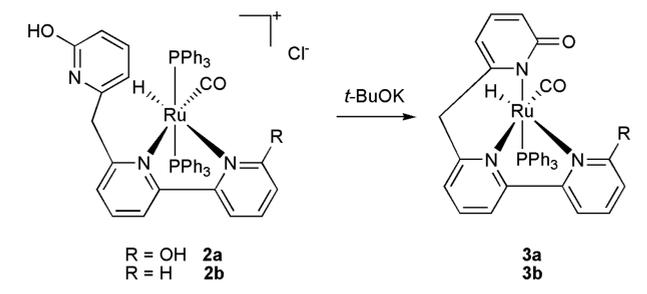


**Figure 2.** Solid-state structure of complex **2c**. The thermal ellipsoids are displayed at 30% probability. Hydrogen atoms (except  $\text{Ru-H}$  and  $-\text{OH}$ ), phenyl rings on  $\text{PPh}_3$  ligands, and the  $\text{Cl}^-$  anion have been omitted for clarity. Selected bond distances (Å):  $\text{Ru}(1)\text{-N}(1)$ , 2.204(6);  $\text{Ru}(1)\text{-N}(2)$ , 2.178(6);  $\text{Ru}(1)\text{-P}(1)$ , 2.373(2);  $\text{Ru}(1)\text{-P}(2)$ , 2.379(2);  $\text{Ru}(1)\text{-C}(54)$ , 1.816(8);  $\text{Ru}(1)\text{-H}(1)$ , 1.657;  $\text{C}(5)\text{-N}(1)$ , 1.367(9);  $\text{N}(1)\text{-C}(1)$ , 1.325(9);  $\text{O}(1)\text{-C}(1)$ , 1.338(9);  $\text{C}(1)\text{-C}(2)$ , 1.382(10);  $\text{O}(1)\text{-H}(1\text{A})$ , 0.820.

octahedral geometry. The ligand  $\text{L}_3$  coordinates with the Ru atom via its bipyridyl N atoms. The CO ligand is *trans* to the middle pyridyl ring, and the hydride is *trans* to the pyridinol group. The two  $\text{PPh}_3$  groups are in *trans* positions.

Complex **2a** was then treated with 2 equiv of *t*-BuOK, and the product  $[(\text{O-C}_5\text{H}_3\text{N-CH}_2\text{-C}_5\text{H}_3\text{N-C}_5\text{H}_3\text{N-OH})\text{RuH}(\text{PPh}_3)(\text{CO})]$  (**3a**) was obtained in 98% yield (Scheme 3). Different from complex **2a**, the  $^1\text{H}$  NMR spectrum of **3a** shows two doublets at 5.19 and 4.15 ppm for the  $-\text{CH}_2-$  group, which means that the third pyridyl ring also coordinates with Ru. The doublet at  $-12.68$  ppm for the  $\text{Ru-H}$  indicates only one  $\text{PPh}_3$  left. The IR peak at  $1935\text{ cm}^{-1}$  corresponds to a terminal CO. Its red-shifted phenomenon in comparison to complex **2a** is ascribed to enhanced electron density at the metal center upon ligand deprotonation, leading to greater donation into the CO  $\pi^*$  orbitals ( $\Delta\nu = -23\text{ cm}^{-1}$ ).<sup>18a</sup> The solid-state structure reveals its exact geometry (Figure 3). The  $\text{O}(2)\text{-C}(16)$

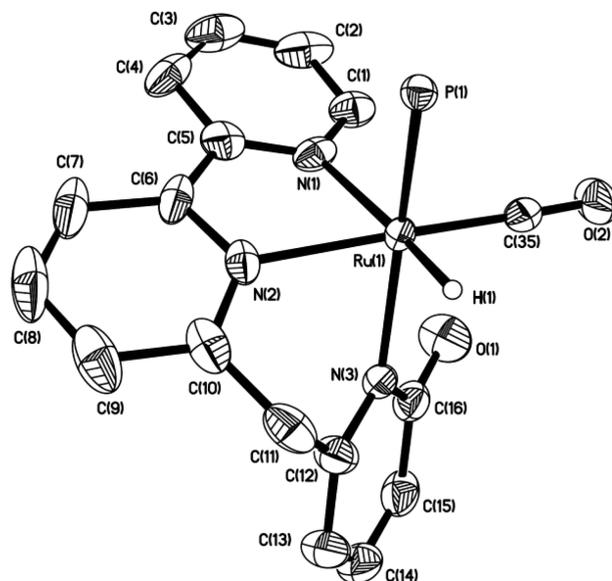
## Scheme 3. Synthesis of Complexes 3a,b



**Figure 3.** Solid-state structure of complex 3a. The thermal ellipsoids are displayed at 30% probability. Hydrogen atoms (except Ru–H and –OH) and phenyl rings on PPh<sub>3</sub> ligand have been omitted for clarity. Selected bond distances (Å): Ru(1)–N(1), 2.231(2); Ru(1)–N(2), 2.128(2); Ru(1)–N(3), 2.142(2); Ru(1)–P(1), 2.3051(7); Ru(1)–C(35), 1.824(3); Ru(1)–H(1A), 1.458; C(15)–H(16), 1.419(5); O(2)–C(16), 1.291(4); N(3)–C(16), 1.361(4); N(3)–C(12), 1.361(4); C(12)–C(11), 1.521(5); C(10)–C(11), 1.500(5); N(2)–C(10), 1.349(4); N(2)–C(6), 1.348(4); C(5)–C(6), 1.477(4); N(1)–C(5), 1.361(4); N(1)–C(1), 1.343(4); O(1)–C(1), 1.309(4); O(1)–H(1), 0.820; C(2)–C(1), 1.410(5).

distance (1.291(4) Å) is similar to those of a pyridinol diruthenium complex supported by deprotonated 6,6'-dihydroxyterpyridine developed by Szymczak's group, suggesting a C=O bond.<sup>18a</sup> The C–O single distance (O(1)–C(1), 1.309(4) Å) is also comparable to those of Szymczak's ruthenium complexes.<sup>18a</sup> This means that the –OH group of PyCH<sub>2</sub>PyOH is more acidic than that of PyPyOH, or else H(1) would transfer to the pyridinol moiety. The results suggested complex 3a was formed by the selective deprotonation of the –OH group in the uncoordinated 2-hydroxypyridyl ring of complex 2a, followed by the substitution of one PPh<sub>3</sub>. The three pyridyl rings are mutually cis and are not in the same plane. Even though excess *t*-BuOK was used, the other –OH group and the –CH<sub>2</sub>– group were unreactive.

Complex 2b exhibited reactivity similar to that of 2a with *t*-BuOK, and [(O-C<sub>5</sub>H<sub>3</sub>N-CH<sub>2</sub>-C<sub>5</sub>H<sub>3</sub>N-C<sub>5</sub>H<sub>4</sub>N)RuH(PPh<sub>3</sub>)(CO)] (3b) was afforded within 10 min (Scheme 3). Complex 3b was identified by <sup>1</sup>H and <sup>31</sup>P NMR, IR, elemental analysis, and X-ray crystallography (Figure 4).



**Figure 4.** Solid-state structure of complex 3b. The thermal ellipsoids are displayed at 30% probability. Hydrogen atoms (except Ru–H) and phenyl rings on the PPh<sub>3</sub> ligand have been omitted for clarity. Selected bond distances (Å): Ru(1)–N(1), 2.186(3); Ru(1)–N(2), 2.125(3); Ru(1)–N(3), 2.156(3); Ru(1)–P(1), 2.2804(9); Ru(1)–C(35), 1.823(4); Ru(1)–H(1A), 1.580; C(15)–H(16), 1.431(7); O(1)–C(16), 1.251(6); N(3)–C(16), 1.371(5); N(3)–C(12), 1.354(5); C(12)–C(11), 1.525(7); C(10)–C(11), 1.479(7); N(2)–C(10), 1.332(6); N(2)–C(6), 1.379(6); C(5)–C(6), 1.438(7); N(1)–C(5), 1.301(6); N(1)–C(1), 1.354(6); C(2)–C(1), 1.373(7).

The reactivity of complex 2c with *t*-BuOK was slightly different from that of 2a,b, and the two products [(C<sub>5</sub>H<sub>4</sub>N-CH<sub>2</sub>-C<sub>5</sub>H<sub>3</sub>N-C<sub>5</sub>H<sub>3</sub>N-O)RuH(PPh<sub>3</sub>)<sub>2</sub>(CO)] (3c) and [(C<sub>5</sub>H<sub>4</sub>N-CH<sub>2</sub>-C<sub>5</sub>H<sub>3</sub>N-C<sub>5</sub>H<sub>3</sub>N-O)RuH(PPh<sub>3</sub>)(CO)] (3d) were obtained in 56% and 11% yields, respectively, after reflux in isopropyl alcohol for 24 h. Complex 3c could also be transformed to 3d with 10% yield without any other additives, also after reflux in isopropyl alcohol for 24 h. However, in the presence of 10 equiv of PPh<sub>3</sub>, complex 3d was completely converted back to 3c (Scheme 4). It should be noted that complexes 2c and 3c have the same polarity, and so they might be mistakenly regarded as the same compound. Significant differences are observed in their <sup>1</sup>H NMR, <sup>31</sup>P NMR, and IR spectra. The <sup>1</sup>H NMR spectrum of complex 3c in CD<sub>3</sub>OD

## Scheme 4. Synthesis and Relationship of Complexes 3c,d

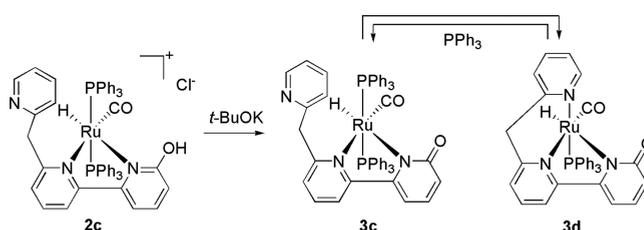
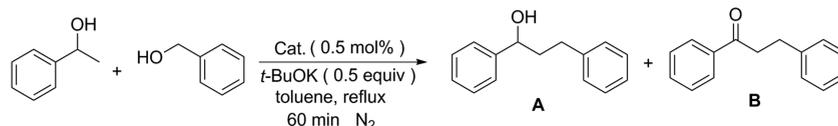
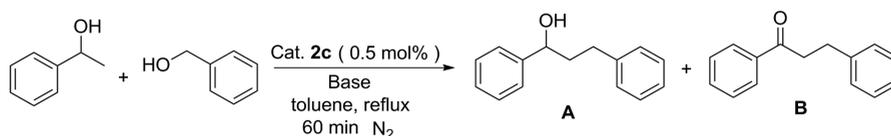


Table 1.  $\beta$ -Alkylation of 1-Phenylethanol with Benzyl Alcohol Using Ru Complexes 2a–c and 3a–d<sup>a</sup>

entry	catalyst	conv (%) <sup>b</sup>	A:B ratio <sup>c</sup>
1	2a	51	98:2
2	2b	70	98:2
3	2c	94	95:5
4	3a	26	88:12
5	3b	36	90:10
6	3c	94	96:4
7	3d	70	86:14

<sup>a</sup>Reaction conditions: catalyst (0.5 mol %), 1-phenylethanol (2.0 mmol), benzyl alcohol (2.0 mmol), and *t*-BuOK (1 mmol) at reflux in toluene for 60 min under an N<sub>2</sub> atmosphere. <sup>b</sup>Determined by GC analysis on the basis of secondary alcohol. <sup>c</sup>Determined by GC analysis.

Table 2.  $\beta$ -Alkylation of 1-Phenylethanol with Benzyl Alcohol in the Presence of Different Bases<sup>a</sup>

entry	base (amt (equiv))	conv (%) <sup>b</sup>
1	Na <sub>2</sub> CO <sub>3</sub> (0.5)	2
2	K <sub>2</sub> CO <sub>3</sub> (0.5)	4
3	Cs <sub>2</sub> CO <sub>3</sub> (0.5)	5
4	KOH (0.5)	85
5	NaOH (0.5)	91
6	<i>t</i> -BuOK (0.5)	94
7	<i>t</i> -BuOK (0.4)	88
8	<i>t</i> -BuOK (0.3)	78
9	<i>t</i> -BuOK (0.2)	56
10	<i>t</i> -BuOK (0.1)	44
11 <sup>c</sup>	<i>t</i> -BuOK (0.5)	94
12	no base	0
13 <sup>d</sup>	<i>t</i> -BuOK (0.5)	0
14 <sup>e</sup>	<i>t</i> -BuOK (0.5)	52

<sup>a</sup>Reaction conditions unless specified otherwise: catalyst 2c (0.5 mol %), 1-phenylethanol (2.0 mmol), benzyl alcohol (2.0 mmol), and base at reflux in toluene for 60 min. <sup>b</sup>Determined by GC analysis on the basis of secondary alcohol. <sup>c</sup>The reaction was carried out in an air atmosphere. <sup>d</sup>No catalyst. <sup>e</sup>The reaction was carried out at 90 °C.

shows no signal between 8.00 and 7.70 ppm, while that of 2c shows a doublet at 7.88 ppm. Their singlet of the –CH<sub>2</sub>– group and the triplet of the Ru–H group are also slightly different (4.24 and –11.26 ppm for 3c; 4.16 and –11.39 ppm for 2c). The <sup>31</sup>P NMR spectrum of complex 3c shows one singlet for the two PPh<sub>3</sub> groups at 46.2 ppm in CDCl<sub>3</sub>, comparable to that of 2c (47.6 ppm). The CO absorptions of complexes 3c and 2c exhibit a  $\Delta\nu$  value of 9 cm<sup>-1</sup> (1945 cm<sup>-1</sup> for 3c and 1954 cm<sup>-1</sup> for 2c). Complex 3d was also identified by <sup>1</sup>H and <sup>31</sup>P NMR, IR, and elemental analysis, and the spectroscopic analysis indicates that it displays a structure similar to that of 3a,b.

We further calculated the relative free energies of 3c,d using the DFT method and found that 3d was higher than 3c by 7.4 kcal/mol. This result explains the low yield of 3d, and it is consistent with the observed conversion of 3d to 3c in the presence of PPh<sub>3</sub>.

No bidentate product similar to 3c was detected when complex 2a was treated with *t*-BuOK, indicating that the nucleophilicity of the deprotonated uncoordinated 2-hydrox-

pyridyl in 2a is stronger than that of pyridyl in 2c, and as long as the deprotonated intermediate formed, the pyridinol group attacked the ruthenium center, accompanied by the loss of one PPh<sub>3</sub>. The results further support that it was the –OH group of the PyCH<sub>2</sub>PyOH moiety that was deprotonated during the reaction.

**$\beta$ -Alkylation of Secondary Alcohols with Primary Alcohols.** Initially, the coupling of 1-phenylethanol and benzyl alcohol was selected as a model reaction to test the catalytic activity of complexes 2a–c and 3a–d. The reactions were conducted in toluene at 110 °C for 60 min. Ruthenium complexes (0.5 mol %) were used as the precatalysts and *t*-BuOK (0.5 equiv) as the base under an N<sub>2</sub> atmosphere, and the results are shown in Table 1. It can be seen that complexes 2c and 3c show the highest catalytic activity, giving 94% conversion within 60 min in both cases (entries 3 and 6). The tridentate complexes 3a,b,d are not as active as the bidentate complexes 2a–c, indicating that they are not the reaction intermediates of those bidentate complexes. The catalytic

Table 3.  $\beta$ -Alkylation of 1-Phenylethanol and Its Derivatives with a Variety of Primary Alcohols<sup>a</sup>

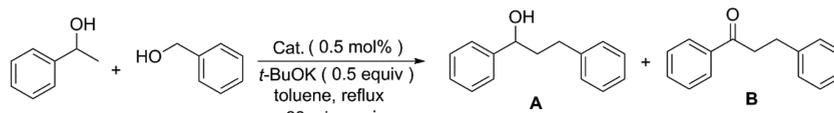
Entry	Primary	Secondary	Product	Conv.[%] <sup>b</sup>	A/B Ratio <sup>b</sup>
1				89	86:14
2				93	98:2
3				94	100:0
4				97	100:0
5				95	100:0
6				93	100:0
7				95	100:0
8				90	91:9
9 <sup>c</sup>				83	75:25

<sup>a</sup>Reaction conditions unless specified otherwise: catalyst **2c** (0.5 mol %), 1-phenylethanol (2.0 mmol), benzyl alcohol (2.0 mmol), and *t*-BuOK (1 mmol) at reflux in toluene for 60 min in an air atmosphere. <sup>b</sup>Determined by GC analysis on the basis of secondary alcohol. <sup>c</sup>2 h reflux.

activities of complexes **2c** and **3c** are similar, suggesting **3c** is the catalytic intermediate of **2c**.

Subsequently, to optimize the reaction conditions for  $\beta$ -alkylation of 1-phenylethanol with benzyl alcohol catalyzed by **2c**, other bases were tested, and the results are given in Table 2. Weak bases such as Na<sub>2</sub>CO<sub>3</sub>, K<sub>2</sub>CO<sub>3</sub>, and Cs<sub>2</sub>CO<sub>3</sub> revealed low conversion in the reaction (entries 1–3). When strong bases such as KOH, *t*-BuOK, and NaOH were chosen, the conversion rate was significantly increased (entries 4–6). For

example, a 94% conversion within 60 min was achieved when *t*-BuOK was used. Next, to optimize the base quantity required, different amounts of *t*-BuOK were tested, and 0.5 equiv was the best (entries 6–10). Unexpectedly, when the reaction was carried out in an air atmosphere, the conversion rate did not decrease (entry 11). This was encouraging because such reactions usually require an N<sub>2</sub> atmosphere.<sup>17b,c,23,24</sup> Without a catalyst or base, the reaction failed to take place (entries 12 and 13). When the reaction was carried at 90 °C,

Table 4.  $\beta$ -Alkylation of 1-Phenylethanol with Benzyl Alcohol Using Ru Complexes 3c–e for Different Times<sup>a</sup>

entry	catalyst	time (min)	conv (%) <sup>b</sup>
1	3c	5	13
2	3d	5	14
3	3c	10	28
4	3d	10	25
5	3c	20	58
6	3d	20	39
7	3c	30	75
8	3d	30	59
9	3c	60	94
10	3d	60	70
11 <sup>c</sup>	3e	60	60

<sup>a</sup>Reaction conditions unless specified otherwise: catalyst (0.5 mol %), 1-phenylethanol (2.0 mmol), benzyl alcohol (2.0 mmol), and *t*-BuOK (1 mmol) at reflux in toluene for 60 min in an air atmosphere. <sup>b</sup>Determined by GC analysis on the basis of secondary alcohol. <sup>c</sup>Catalyst (0.25 mol %).

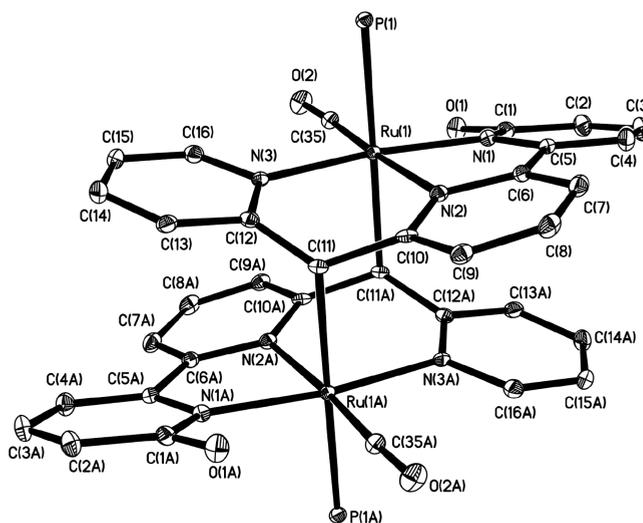
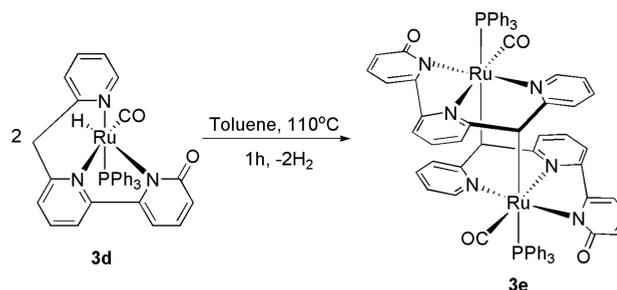
the conversion was decreased to 52% within 60 min (entry 14).

On the basis of the above results, the optimized reaction conditions were established (entry 3 in Table 1 and entry 11 in Table 2).  $\beta$ -alkylation of 1-phenylethanol and its derivatives with a variety of primary alcohols was performed. As shown in Table 3, most substrates exhibit excellent conversion and selectivity except for entries 1 and 9. From entries 1–3, it can be observed that the chloro-substituted benzyl alcohols at a meta or para position convert to the desired secondary alcohols with 1-phenylethanol in higher conversion and selectivity in comparison to that at an ortho position, probably due to a steric hindrance effect. In addition, no obvious substituent effect is observed when either an electron-withdrawing group or an electron-donating group is introduced to the para position of benzyl alcohol (entries 3–6). Furthermore, naphthalen-1-ylmethanol also has a good conversion (95%) and selectivity (100:0) (entry 7). On the other hand, an electron-withdrawing group at the para position of 1-phenylethanol does not obviously influence the reaction, while an electron-donating group decreases the activity and selectivity dramatically (entries 8 and 9).

As shown in Table 1, complex 3d is not as active as 3c in 60 min. However, at shorter reaction times (5 and 10 min), their catalytic activities are similar (Table 4, entries 1–4). We hypothesized this phenomenon might be due to the relative instability of 3d.

To test our hypothesis, complex 3d was then heated in refluxing toluene, and the diruthenium complex [(C<sub>5</sub>H<sub>4</sub>N-CH-C<sub>5</sub>H<sub>3</sub>N-C<sub>5</sub>H<sub>3</sub>N-O)Ru(PPh<sub>3</sub>)(CO)]<sub>2</sub> (3e) was obtained (Scheme 5). Different from complex 3d, the characteristic peak for Ru–H disappears in the <sup>1</sup>H NMR spectrum of 3e. The IR absorption at 1939 cm<sup>-1</sup> corresponds to the terminal CO groups. The X-ray crystallographic structure shows that 3e is a diruthenium complex with C<sub>i</sub> symmetry, and the Ru(1)–C(11A) bond is formed via C–H activation of the –CH<sub>2</sub>– group (Figure 5). As expected, complex 3e is not as active as 3c,d with the same amount of Ru atoms (Table 4, entry 11), which explains why 3c is more active than 3d over a longer period of time (Table 4, entries 5–10).

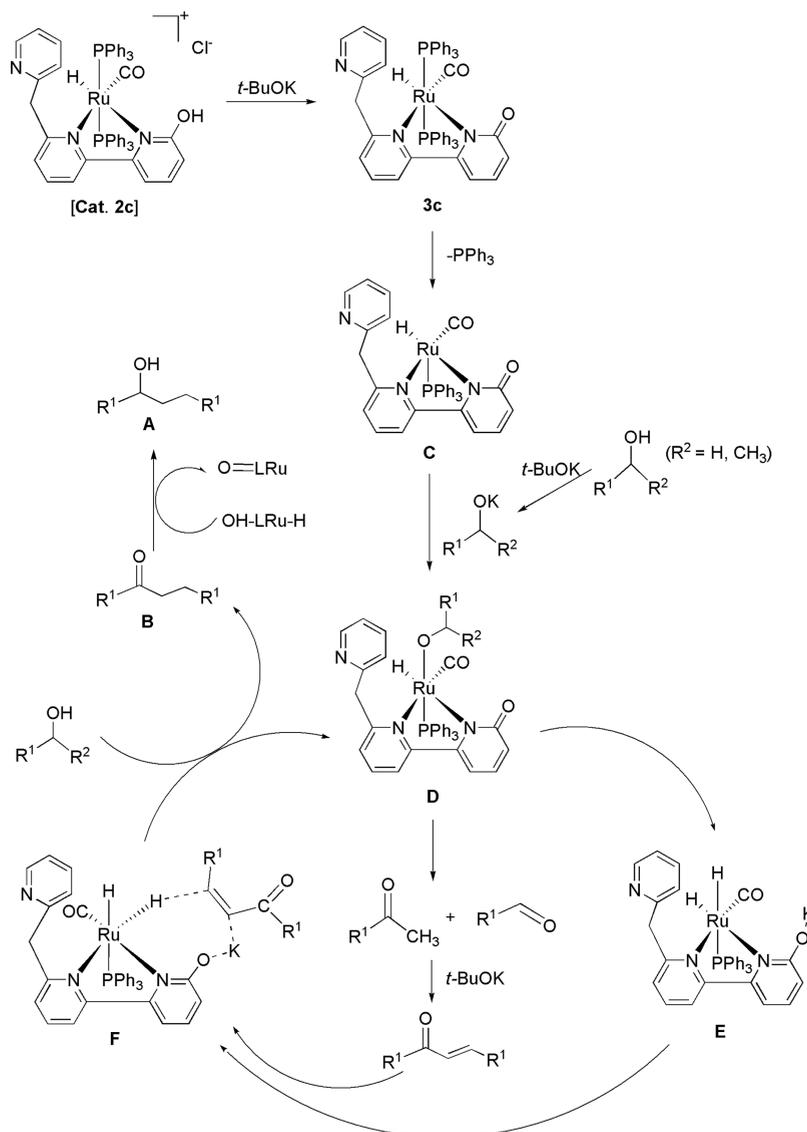
Scheme 5. Synthesis of 3e



**Figure 5.** Solid-state structure of complex 3e. The thermal ellipsoids are displayed at 30% probability. Hydrogen atoms and phenyl rings on the PPh<sub>3</sub> ligand have been omitted for clarity. Selected bond distances (Å): Ru(1)–N(1), 2.086(3); Ru(1)–N(2), 2.104(3); Ru(1)–N(3), 2.122(3); Ru(1)–P(1), 2.3666(9); Ru(1)–C(35), 1.845(4); Ru(1)–C(11), 2.296(3); C(1)–C(2), 1.428(5); O(1)–C(1), 1.257(5); N(1)–C(1), 1.392(4); N(1)–C(5), 1.367(5); C(5)–C(6), 1.477(5); C(6)–N(2), 1.365(4); N(2)–C(10), 1.349(4); C(10)–C(11), 1.463(5); C(11)–C(12), 1.466(5); N(3)–C(12), 1.364(4).

**Reaction Mechanism.** On the basis of relevant literature, a plausible mechanism for this tandem  $\beta$ -alkylation of secondary

## Scheme 6. Proposed Reaction Mechanism



alcohols with primary alcohols catalyzed by **2c** is shown in Scheme 6.<sup>17b,c</sup> In the presence of *t*-BuOK, precatalyst **2c** first transforms to **3c** with a 2-pyridinol ligand via dearomatization by extrusion of one molecule of HCl. Then, **3c** loses one molecule of PPh<sub>3</sub> to form the active intermediate **C** with an open site. **C** further reacts with potassium alkoxide to generate the alkoxy ruthenium species **D**. A similar K<sup>+</sup>-bound alkoxide intermediate has been confirmed in Szymczak's system.<sup>18a</sup> **D** undergoes  $\beta$ -H elimination by releasing one molecule of carbonyl compounds to afford the dihydride Ru(II) species **E**. Next, a base-catalyzed cross-aldol condensation between the ketones and aldehydes affords  $\alpha,\beta$ -unsaturated ketones. Finally, the Ru hydride and the K<sup>+</sup> in **F** cooperate to promote the hydrogenation of a C=C bond of the  $\alpha,\beta$ -unsaturated ketones, resulting in the regeneration of **C** and the formation of ketone **B**. **B** could be further converted to **A** following a similar cooperative process.

In order to further investigate whether dissociation of PPh<sub>3</sub> is involved in the catalytic cycle, the  $\beta$ -alkylation of 1-phenylethanol with benzyl alcohol was performed in the presence of excess PPh<sub>3</sub> (2–8 equiv) (Figure 6).<sup>17b,18e</sup> It was

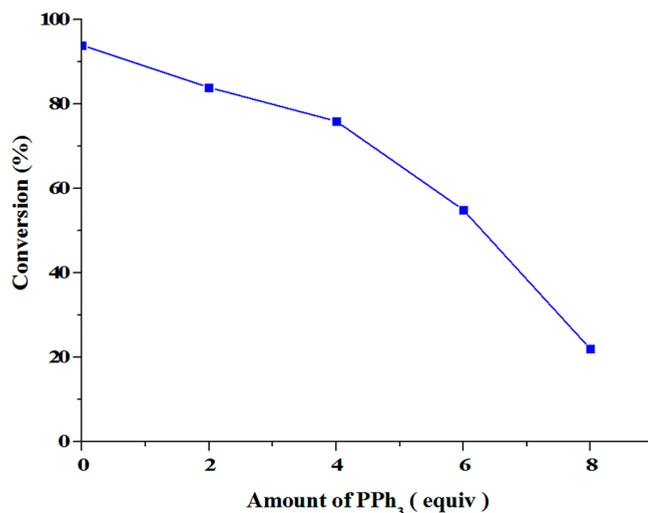


Figure 6. Effect of externally added PPh<sub>3</sub> on catalyst **2c** in  $\beta$ -alkylation of 1-phenylethanol with benzyl alcohol.

observed that the conversion of 1-phenylethanol continuously decreased with increasing amounts of  $\text{PPh}_3$ . The above experimental results demonstrated that the elimination of  $\text{PPh}_3$  played a vital role in the catalytic cycle. However, as intermediates C–F have not been isolated, a detailed mechanistic analysis requires further investigation.

## CONCLUSIONS

In summary, the three bidentate ruthenium hydride complexes **2a–c** with several proton-responsive sites supported by unsymmetrical NNN ligands were synthesized. Reactions of **2a,b** with *t*-BuOK gave tridentate products **3a,b**, respectively, via selective deprotonation of the –OH group of the  $\text{PyCH}_2\text{PyOH}$  moiety. The results indicate the –OH group of the  $\text{PyCH}_2\text{PyOH}$  moiety is more acidic than that of  $\text{PyPyOH}$  and the – $\text{CH}_2$ – group. The reactivity with *t*-BuOK of **2c** was different from that of **2a,b**. In addition to the tridentate product **3d**, the bidentate product **3c** was also obtained. It is more difficult for the pyridyl group to replace  $\text{PPh}_3$  than the deprotonated pyridinyl group, because of their nucleophilic difference. Although the – $\text{CH}_2$ – group in **3d** is not reactive with *t*-BuOK, it can be activated by the other molecule of **3d** to form **3e** with  $\text{H}_2$  release. The aforementioned eight complexes were tested as catalysts for  $\beta$ -alkylation of secondary alcohols with primary alcohols, and the bidentate complexes **2c** and **3c** showed the highest activity. The conversion reached 94% in 60 min with 0.5 mol % of catalyst in both cases, when 1-phenylethanol and benzyl alcohol were used as substrates. As an unexpected benefit, these reactions were not compromised in air. The tridentate complexes **3a,b,d** are less active than the corresponding bidentate complexes, indicating they are not the intermediates of **2a–c**, and the lower efficiency of **3d** in comparison to **3c** is due to its dimerization behavior to form **3e**. However, **3c** can be regarded as the intermediate of **2c**, confirming that the catalytic transformation undergoes an aromatization–dearomatization process. The results in this paper are important for developing more efficient bifunctional catalysts for  $\beta$ -alkylation of secondary alcohols with primary alcohols.

## EXPERIMENTAL SECTION

**General Considerations.** All manipulations were carried out under an inert nitrogen atmosphere using a Schlenk line. Solvents were distilled from the appropriate drying agents under  $\text{N}_2$  before use. All reagents were purchased from commercial sources. Liquid compounds were degassed by standard freeze–pump–thaw procedures prior to use.  $\text{RuHCl}(\text{PPh}_3)_3(\text{CO})^{25}$  and  $\text{L}_1$ – $\text{L}_3$ <sup>22a</sup> were prepared as previously described, respectively. The  $^1\text{H}$  and  $^{31}\text{P}$  NMR spectra were recorded on a Bruker Avance 400 spectrometer. The  $^1\text{H}$  NMR chemical shifts were referenced to residual solvent as determined relative to  $\text{Me}_4\text{Si}$  ( $\delta$  0 ppm). The  $^{31}\text{P}\{^1\text{H}\}$  chemical shifts were reported in ppm relative to external 85%  $\text{H}_3\text{PO}_4$ . Elemental analyses were performed on a PerkinElmer 240C analyzer. X-ray diffraction studies were carried out in a SuperNova X-ray single-crystal diffractometer. Data collections were performed using four-circle kappa diffractometers equipped with CCD detectors. Data were reduced and then corrected for absorption. Solution, refinement, and geometrical calculations for all crystal structures were performed by SHELXTL.

**General Procedure for  $\beta$ -Alkylation of Secondary Alcohols with Primary Alcohol.** The catalytic  $\beta$ -alkylation of secondary alcohol reaction was carried out in a flask in air. Initially catalyst **2c** (0.5 mol %) and *t*-BuOK (0.5 equiv) were taken as solids and then in air a secondary alcohol (1 equiv), primary alcohol (1 equiv), and toluene (3 mL) were added; the resulting mixture was heated at 110

$^\circ\text{C}$  (oil bath temperature) for 60 min. After the mixture was cooled to room temperature, the toluene was evaporated under reduced pressure and the resulting mixture was purified by silica gel column chromatography using ethyl acetate and hexane as eluent to afford the desired product.

**Synthesis of 2a.** A solution of  $\text{L}_1$  (0.18 g, 0.63 mmol) and  $\text{RuHCl}(\text{PPh}_3)_3(\text{CO})$  (0.60 g, 0.63 mmol) was heated in refluxing methanol (200 mL) for 24 h. The mixture was cooled to room temperature, and the organic phase was evaporated under vacuum. The crude product was recrystallized with dichloromethane/ether to give **2a** as a yellow powder (0.47 g, 78%). Anal. Calcd for  $\text{C}_{53}\text{H}_{44}\text{ClN}_3\text{O}_3\text{P}_2\text{Ru}$ : C, 65.67; H, 4.57; N, 4.33. Found: C, 65.96; H, 4.63; N, 4.26.  $^1\text{H}$  NMR (400 MHz,  $\text{CD}_3\text{OD}$ , ppm): 8.68 (d,  $J$  = 5.2 Hz, 1H), 8.30 (d,  $J$  = 8.0 Hz, 1H), 8.23 (d,  $J$  = 8.0 Hz, 1H), 7.93–7.82 (m, 3H), 7.43–7.13 (m, 31H), 6.73 (d,  $J$  = 8.0 Hz, 1H), 6.30 (d,  $J$  = 9.2 Hz, 1H), 3.91 (s, 2H), –11.90 (t,  $J$  = 18.4 Hz, 1H).  $^{31}\text{P}$  NMR (162 MHz,  $\text{CD}_3\text{OD}$ , ppm): 45.6. IR ( $\nu_{\text{CO}}$ , in DCM,  $\text{cm}^{-1}$ ): 1958 (s).

**Synthesis of 2b.** A solution of  $\text{L}_2$  (0.17 g, 0.63 mmol) and  $\text{RuHCl}(\text{PPh}_3)_3(\text{CO})$  (0.60 g, 0.63 mmol) was heated in refluxing methanol (200 mL) for 24 h. The mixture was cooled to room temperature, and the organic phase was evaporated under vacuum. The crude product was recrystallized with dichloromethane/ether to give **2b** as a yellow powder (0.38 g, 63%). Anal. Calcd for  $\text{C}_{53}\text{H}_{44}\text{ClN}_3\text{O}_2\text{P}_2\text{Ru}$ : C, 66.77; H, 4.65; N, 4.41. Found: C, 66.65; H, 4.50; N, 4.46.  $^1\text{H}$  NMR (400 MHz,  $\text{CD}_3\text{OD}$ , ppm): 8.69 (d,  $J$  = 3.2 Hz, 1H), 8.30 (d,  $J$  = 5.2 Hz, 1H), 8.23 (d,  $J$  = 5.6 Hz, 1H), 7.91 (t,  $J$  = 5.6 Hz, 1H), 7.84 (t,  $J$  = 5.4 Hz, 1H), 7.43–7.21 (m, 31H), 7.19–7.14 (m, 2H), 6.73 (d,  $J$  = 5.2 Hz, 1H), 6.30 (d,  $J$  = 6.0 Hz, 1H), 3.91 (s, 2H), –10.90 (t,  $J$  = 12.0 Hz, 1H).  $^{31}\text{P}$  NMR (162 MHz,  $\text{CD}_3\text{OD}$ , ppm): 45.7. IR ( $\nu_{\text{CO}}$ , in DCM,  $\text{cm}^{-1}$ ): 1947 (s).

**Synthesis of 2c.** A solution of  $\text{L}_3$  (0.17 g, 0.63 mmol) and  $\text{RuHCl}(\text{PPh}_3)_3(\text{CO})$  (0.60 g, 0.63 mmol) was heated in refluxing methanol (200 mL) for 24 h. The mixture was cooled to room temperature, and the organic phase was evaporated under vacuum. The crude product was recrystallized with acetone to give **2c** as a yellow powder (0.38 g, 63%). A crystal suitable for a single-crystal X-ray diffraction experiment was grown by vapor diffusion of *n*-hexane into a dichloromethane solution of **2c** at room temperature. Anal. Calcd for  $\text{C}_{53}\text{H}_{44}\text{ClN}_3\text{O}_3\text{P}_2\text{Ru}$ : C, 66.77; H, 4.65; N, 4.41. Found: C, 66.96; H, 4.76; N, 4.40.  $^1\text{H}$  NMR (400 MHz,  $\text{CD}_3\text{OD}$ , ppm): 8.34 (d,  $J$  = 5.6 Hz, 1H), 7.88 (d,  $J$  = 8.0 Hz, 1H), 7.65 (t,  $J$  = 7.6 Hz, 1H), 7.59 (m, 2H), 7.48 (m, 1H), 7.40–7.18 (m, 30H), 7.17–7.13 (m, 1H), 6.73 (d,  $J$  = 8.0 Hz, 1H), 6.37 (d,  $J$  = 7.6 Hz, 1H), 6.18 (d,  $J$  = 7.6 Hz, 1H), 4.16 (s, 2H), –11.39 (t,  $J$  = 19.2 Hz, 1H).  $^{31}\text{P}$  NMR (162 MHz,  $\text{CD}_3\text{OD}$ , ppm): 47.6. IR ( $\nu_{\text{CO}}$ , in DCM,  $\text{cm}^{-1}$ ): 1954 (s).

**Synthesis of 3a.** A solution of **2a** (1.0 g, 1.0 mmol) and *t*-BuOK (0.22 g, 2.0 mmol) was heated in refluxing isopropyl alcohol (300 mL) for 24 h. The mixture was cooled to room temperature, and the organic phase was evaporated under vacuum. The crude product was recrystallized with acetone to give **3a** as an orange-red powder (0.64 g, 98%). A crystal suitable for a single-crystal X-ray diffraction experiment was grown by vapor diffusion of *n*-hexane into a dichloromethane solution of **3a** at room temperature. Anal. Calcd for  $\text{C}_{35}\text{H}_{28}\text{N}_3\text{O}_3\text{PRu}$ : C, 62.68; H, 4.21; N, 6.27. Found: C, 62.45; H, 4.40; N, 6.12.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ , ppm): 16.19 (s, 1H), 7.54 (br s, 1H), 7.33–7.06 (m, 19H), 6.84 (br s, 1H), 6.72 (d,  $J$  = 7.6 Hz, 1H), 6.56 (d,  $J$  = 7.6 Hz, 1H), 6.45 (br s, 1H), 5.19 (d,  $J$  = 13.6 Hz, 1H), 4.15 (d,  $J$  = 13.6 Hz, 1H), –12.68 (d,  $J$  = 28.8 Hz, 1H).  $^{31}\text{P}$  NMR (162 MHz,  $\text{CDCl}_3$ , ppm): 59.0. IR ( $\nu_{\text{CO}}$ , in DCM,  $\text{cm}^{-1}$ ): 1935 (s).

**Synthesis of 3b.** A solution of **2b** (1.0 g, 1.0 mmol) and *t*-BuOK (0.22 g, 2.0 mmol) was heated in refluxing isopropyl alcohol (300 mL) for 10 min. The mixture was cooled to room temperature, and the organic phase was evaporated under vacuum. The crude product was recrystallized with acetone to give **3b** as an orange-red powder (0.60 g, 92%). A crystal suitable for a single-crystal X-ray diffraction experiment was grown by vapor diffusion of *n*-hexane into a dichloromethane solution of **3b** at room temperature. Anal. Calcd for  $\text{C}_{35}\text{H}_{28}\text{N}_3\text{O}_3\text{PRu}$ : C, 64.21; H, 4.31; N, 6.42. Found: C, 64.12; H, 4.45; N, 6.42.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ , ppm): 9.49 (br s, 1H),

7.72–6.99 (m, 23H), 6.22–6.08 (m, 1H), 5.11 (d,  $J = 13.6$  Hz, 1H), 4.13 (d,  $J = 13.6$  Hz, 1H), –12.01 (d,  $J = 29.0$  Hz, 1H).  $^{31}\text{P}$  NMR (162 MHz,  $\text{CDCl}_3$ , ppm): 59.1. IR ( $\nu_{\text{CO}}$ , in DCM,  $\text{cm}^{-1}$ ): 1935 (s).

**Synthesis of 3c,d.** A solution of 2c (1.0 g, 1.0 mmol) and *t*-BuOK (0.22 g, 2.0 mmol) was heated in refluxing isopropyl alcohol (300 mL) for 24 h. The mixture was cooled to room temperature, and the organic phase was evaporated under vacuum to provide a mixture of 3c and 3d. The mixture was purified by column chromatography on silica gel (eluent: ethyl acetate/methanol, 5/1 v/v) to give 3c (0.51 g, 56%) as a yellow powder and 3d (0.07 g, 11%) as a brown powder. 3c could also transform to 3d in 10% yield without any other additives in refluxing isopropyl alcohol for 24 h. When 3d was added to 10 equiv of  $\text{PPh}_3$  in refluxing isopropyl alcohol for 24 h, 3d transformed completely into 3c. Data for 3c are as follows. Anal. Calcd for  $\text{C}_{53}\text{H}_{43}\text{N}_3\text{O}_2\text{P}_2\text{Ru}$ : C, 69.42; H, 4.73; N, 4.58. Found: C, 69.35; H, 4.62; N, 4.52.  $^1\text{H}$  NMR (400 MHz,  $\text{CD}_3\text{OD}$ , ppm): 8.34 (d,  $J = 4.8$  Hz, 1H), 7.62 (d,  $J = 8.0$  Hz, 1H), 7.51–7.14 (m, 34H), 6.99 (d,  $J = 7.2$  Hz, 1H), 6.56 (d,  $J = 8.4$  Hz, 1H), 6.33 (d,  $J = 7.6$  Hz, 1H), 6.08 (d,  $J = 8.0$  Hz, 1H), 4.24 (s, 2H), –11.26 (t,  $J = 19.2$  Hz, 1H).  $^{31}\text{P}$  NMR (162 MHz,  $\text{CDCl}_3$ , ppm): 46.2. IR ( $\nu_{\text{CO}}$ , in DCM,  $\text{cm}^{-1}$ ): 1945 (s). Data for 3d are as follows. Anal. Calcd for  $\text{C}_{35}\text{H}_{28}\text{N}_3\text{O}_2\text{PRu}$ : C, 64.21; H, 4.31; N, 6.42. Found: C, 64.13; H, 4.22; N, 6.35.  $^1\text{H}$  NMR (400 MHz,  $\text{CD}_3\text{OD}$ , ppm): 9.53 (d,  $J = 5.2$  Hz, 1H), 7.75–7.66 (m, 3H), 7.51 (d,  $J = 7.6$  Hz, 1H), 7.45 (d,  $J = 8.0$  Hz, 1H), 7.36–7.13 (m, 17H), 6.84 (d,  $J = 7.2$  Hz, 1H), 6.36 (d,  $J = 8.4$  Hz, 1H), 5.11 (d,  $J = 13.6$  Hz, 1H), 4.48 (d,  $J = 14.0$  Hz, 1H), –12.16 (d,  $J = 28.8$  Hz, 1H).  $^{31}\text{P}$  NMR (162 MHz,  $\text{CD}_3\text{OD}$ , ppm): 60.7. IR ( $\nu_{\text{CO}}$ , in DCM,  $\text{cm}^{-1}$ ): 1938 (s).

**Synthesis of 3e.** A solution of 3d (0.1 g, 0.15 mmol) was heated in refluxing toluene (50 mL) for 60 min. The yellow precipitate was collected, washed with copious amounts of ether, and dried under vacuum to provide 3e as a yellow powder (0.10 g, 54%). A crystal suitable for a single-crystal X-ray diffraction experiment was grown by vapor diffusion of ether into a dichloromethane solution of 3e at room temperature. Anal. Calcd for  $\text{C}_{70}\text{H}_{52}\text{N}_6\text{O}_4\text{P}_2\text{Ru}_2$ : C, 64.41; H, 4.02; N, 6.44. Found: C, 64.38; H, 4.08; N, 6.42.  $^1\text{H}$  NMR (400 MHz, a mixture of  $\text{CDCl}_3$  and  $\text{CD}_3\text{OD}$ , ppm): 7.41 (d,  $J = 8.4$  Hz, 2H), 7.23 (t,  $J = 7.6$  Hz, 4H), 7.08 (t,  $J = 6.4$  Hz, 8H), 6.96–6.81 (m, 22H), 6.43 (d,  $J = 8.4$  Hz, 2H), 6.37 (d,  $J = 7.6$  Hz, 2H), 6.30 (d,  $J = 7.2$  Hz, 2H), 6.22 (t,  $J = 7.0$  Hz, 2H), 5.74 (d,  $J = 7.6$  Hz, 2H), 5.42–5.36 (m, 4H), 4.50 (s, 2H).  $^{31}\text{P}$  NMR (162 MHz, a mixture of  $\text{CDCl}_3$  and  $\text{CD}_3\text{OD}$ , ppm): 41.2. IR ( $\nu_{\text{CO}}$ , in DCM,  $\text{cm}^{-1}$ ): 1939 (s).

**Computational Details.** All DFT calculations in this study were performed using the Gaussian 09 suite of programs<sup>26</sup> for the M06 functional<sup>27</sup> in conjunction with an all-electron 6-31G(d) basis set for H, C, N, O, and P atoms. The Stuttgart relativistic effective core potential basis set was used for Ru (ECP28MWB).<sup>28</sup> An ultrafine grid (99,590) was used for numerical integrations. All structures were fully optimized in toluene with solvent effects corrected by using the integral equation formalism polarizable continuum model (IEFPCM)<sup>29</sup> and the SMD radii.<sup>30</sup> Thermal corrections were computed within the harmonic potential approximation on optimized structures at 298.15 K and 1 atm pressure.

## ■ ASSOCIATED CONTENT

### ● Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.organomet.8b00432.

Crystallographic details and IR and NMR spectra of the new compounds (PDF)

Calculated absolute energies and atomic coordinates of all optimized structures (XYZ)

### Accession Codes

CCDC 1433315–1433316, 1433385, and 1837086 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via [www.ccdc.cam.ac.uk/](http://www.ccdc.cam.ac.uk/)

[data\\_request/cif](http://data_request/cif), or by emailing [data\\_request@ccdc.cam.ac.uk](mailto:data_request@ccdc.cam.ac.uk), or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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### Notes

The authors declare no competing financial interest.

## ■ ACKNOWLEDGMENTS

This work was supported by the National Natural Science Foundation of China (Nos. 21672045, 21702038, and 21673250), the National Science and Technology Pillar Program during the Twelfth Five-Year Plan Period (2015BAD15B0502), the China Postdoctoral Science Foundation (2016MS91519), Shenzhen Fundamental research projects (JCYJ20160525164227350, and JCYJ20170811161344569), and the Heilongjiang Postdoctoral Foundation (LBH-Z16069).

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