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## **Accepted Article**

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This manuscript has been accepted after peer review and appears as an Accepted Article online prior to editing, proofing, and formal publication of the final Version of Record (VoR). This work is currently citable by using the Digital Object Identifier (DOI) given below. The VoR will be published online in Early View as soon as possible and may be different to this Accepted Article as a result of editing. Readers should obtain the VoR from the journal website shown below when it is published to ensure accuracy of information. The authors are responsible for the content of this Accepted Article.

To be cited as: Chem. Eur. J. 10.1002/chem.202001814

Link to VoR: https://doi.org/10.1002/chem.202001814

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# A New Class of $C_2$ -Symmetric Chiral Cp Ligand Derived from Ferrocene Scaffold: Design, Synthesis and Application

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**Abstract:** A new class of  $C_2$ -symmetric, chiral cyclopentadienyl ligand based on planar chiral ferrocene backbone was developed. A series of its corresponding rhodium(I), iridium(I), and ruthenium(II) complexes were prepared as well. In addition, the rhodium(I) complexes were evaluated in the asymmetric catalytic intramolecular amidoarylation of olefin-tethered benzamides via C-H activation.

Transition-metal catalyzed asymmetric C-H activation constitutes one of the most important and challenging research frontiers in modern organic chemistry.<sup>[1]</sup> In 2012, Cramer et al.<sup>[2]</sup> and Rovis, Ward et al.<sup>[3]</sup> independently realized an asymmetric C-H activation by respectively using the mannitol derived chiral cyclopentadienyl (Cp) rhodium catalyst and the artificial enzyme bound Cp rhodium catalyst. Remarkably, it is the first time to show that chiral cyclopentadienylmetal (CpM)<sup>[4]</sup> catalyst is highly potential to realize asymmetric C-H activation reactions<sup>[5]</sup> characterized by involving inert C-H bond cleavage and simultaneous C-M bond formation, which is of milestone significance. The universal applicability of this strategy was subsequently well demonstrated by numerous follow-up studies.<sup>[6]</sup> As three free coordination sites are demanded by the CpM catalyzed C-H activation reactions, the effective chiral Cp metal catalysts should be those generated from chiral Cp ligands with noncoordinating substituents.<sup>[6]</sup> Whereas the ones derived from chiral Cp ligands with coordinating substituents<sup>[7]</sup> or from the complexation of both achiral Cp ligands and chiral ligands<sup>[8]</sup> are inapplicable, though they have been found useful in other types of asymmetric reactions.

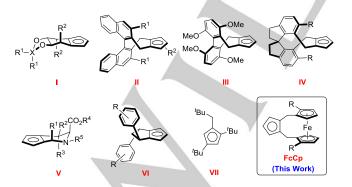
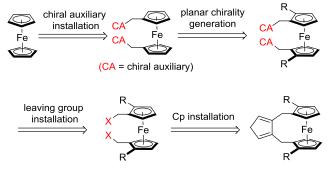


Figure 1. Cp ligands studied in asymmetric C-H activation reactions

Therefore, it is crucial to develop suitable chiral Cp ligands with noncoordinating substituents. However, in the past eight years, merely few classes of chiral Cp ligands were developed for the study of asymmetric C-H activation reactions (Figure 1), including the chiral Cp ligands **I**,<sup>[9]</sup> **II**,<sup>[10]</sup> **III** and **VI** respectively derived from mannitol,<sup>[2], [11]</sup> binaphthol<sup>[12], [13]</sup>, biphenol<sup>[13z]</sup>, and

cinnamaldehvde<sup>[14], [15]</sup> by Cramer et al., the binaphthol derived Cp ligand II with multisubstituents on the Cp ring<sup>[16]</sup> as well as the chiral spirobiindane Cp ligand  $IV^{\scriptscriptstyle [17],\ [18]}$  by You et al., the chiral piperidine fused Cp ligand V by Antonchick, Waldmann and et al.<sup>[19], [20]</sup> Interestingly, a planar chiral Cp rhodium catalyst bearing a prochiral Cp ligand VII was also developed by Perekalin et al.<sup>[21]</sup> Nevertheless, these limited families of Cp ligands can hardly meet the ever-growing needs of the rapid development of asymmetric C-H activation. Therefore, exploring new chiral Cp ligands must continue being a key and urgent task for chemists. Herein, we present a new class of C2-symmetric chiral Cp ligands FcCp containing a planar chiral ferrocene<sup>[22]</sup> backbone. It is noteworthy that previously reported chiral Cp ligands are all based on centrally (I, V, VI) or axially (II, III, IV) chiral skeletons, whereas examples based on planar chiral scaffold have never been reported so far. In addition, preparation and characterization of a series of corresponding rhodium(I), iridium(I), and ruthenium(II) complexes are reported. Application of rhodium(I) complexes in the asymmetric catalytic C-H activation to synthesize various chiral fused tricyclic compounds is demonstrated as well.

As depicted in Scheme 1, our designed synthetic route for the new Cp ligand FcCp includes initial installation of two chiral auxiliaries on each Cp ring of ferrocene, generation of the planar chiral 1,1',2,2'-tetrasubstituted ferrocene by diastereoselective di-*ortho*-functionalization, conversion of chiral auxiliaries to suitable leaving groups, and finally installation of Cp motif by twofold nucleophilic substitutions.

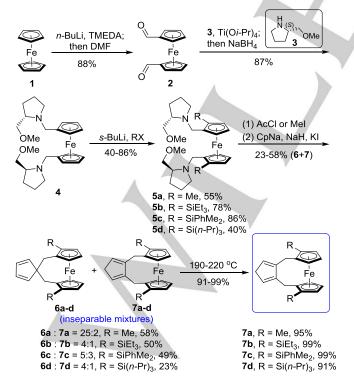


Scheme 1. Design of Cp ligands with planar chiral ferrocene scaffolds

As shown in Scheme 2, to commence our synthesis, 1,1'diformylferrocene **2** was prepared from ferrocene **1** in 88% yield by a dilithiation-diformylation sequence.<sup>[23]</sup> It was then allowed to react with (*S*)-2-(methoxymethyl)pyrrolidine **3** to form the chiral ferrocenyl diamine **4** in 87% yield via reductive amination with Ti(O*i*-Pr)<sub>4</sub> and NaBH<sub>4</sub>.<sup>[24]</sup> Highly diastereoselective difunctionalization of **4** was achieved by di-*ortho*-lithiation with *s*-

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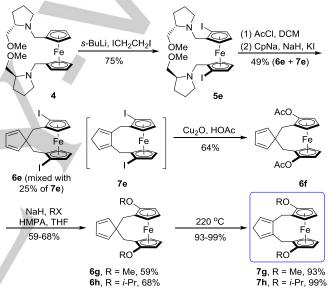
BuLi and subsequent quenching with various electrophiles (RX) according to Marinetti's protocol (>95:5 dr),<sup>[25]</sup> affording diverse planar chiral 1,1',2,2'-tetrasubstituted ferrocenes 5a-d in 40-86% yields as pure single diastereomers. As quaternary ammonium group at the a-position of ferrocene could act as a leaving group,<sup>[26]</sup> we first attempted the Cp installation by using ferrocene quaternary ammonium as intermediate. Delightedly, it was found the ferrocene ammonium iodide prepared from 5a and MeI reacted smoothly with CpNa in the presence of NaH, KI in DMF, affording the desired Cp derivatives as an inseparable mixture of 6a and 7a (6a:7a = 25:2) in 58% yield over two steps. Alternatively, inspired by the chemistry that dimethylamino group in the  $\alpha$ -position of the ferrocene could be facilely substituted by chlorine upon treatment with methylchloroformate via a  $\alpha$ ferrocenyl carbocation intermediate,<sup>[27]</sup> we envisioned that the chiral pyrrolidine moieties in ferrocenyl diamine 5 might be cleaved and replaced by chlorines too under similar reaction conditions. Given the potential instability of ferrocenvl dichloride.<sup>[28]</sup> it would be better to generate and use it directly into the following Cp installation step without purification operations. Along this line, we decided to attempt the low-boiling acyl chloride (b.p. 51 °C) instead of chloroformate as the chlorination reagent and dichloromethane as the solvent, which could all be removed readily and thoroughly after reaction, simply by rotary evaporation under reduced pressure. In addition. the acetyl pyrrolidine byproduct produced in the chlorination step may not affect the Cp installation reaction. Gladly, this protocol proved feasible. The ferrocenyl dichloride crude product prepared from 5b-d and AcCl reacted well with CpNa in the presence of NaH, providing the desired Cp derivatives as inseparable mixtures of isomers 6b-d and 7b-d in 23-50% yield over two steps. Subsequently, heating the mixture of isomers 6 and 7 at 190-220 °C exclusively gave the targeted product FcCp 7a-d in 91-99% yield via thermal rearrangement of the spiro isomers 6a-d to 7a-d.



10.1002/chem.202001814

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Besides the above 2,2'-dimethyl and disilyl ferrocenyl Cp ligands 7a-d, we also successfully synthesized 2,2'dialkoxyferrocenyl Cp ligands 7g-h. As illustrated in Scheme 3, according to our sequential chlorination and Cp installation protocol, the diiodoferrocene 5e obtained from the highly diastereoselective iodination<sup>[29]</sup> of diaminoferrocene 4 could be conveniently transformed to the ferrocenyl Cp product as an inseparable mixture of 6e and 7e (6e:7e = 3:1) in 49% yield over two steps. By treating this mixture with HOAc and cupric oxide, the di-acetoxylated product 6f was obtained in 64% yield (based on the amount of 6e).<sup>[30]</sup> Delightedly, converting acetoxy to alkoxy could be facilely achieved by a one-pot two-step procedure involving de-acylation with NaH-HMPA and in-situ quenching with alkylhalide.<sup>[31]</sup> For instance, upon quenching with Mel and *i*-Prl, the dialkoxy ferrocenes **6g** and **6h** were respectively obtained in 59% and 68% yield. Finally, thermal rearrangement of 6g and 6h at 220 °C led to the desired dialkoxyferrocenyl Cp ligands 7g and 7h in 93% and 99% yield, respectively.



Scheme 3. Synthesis of 2,2'- dialkoxyferrocenyl Cp ligands 7g-h

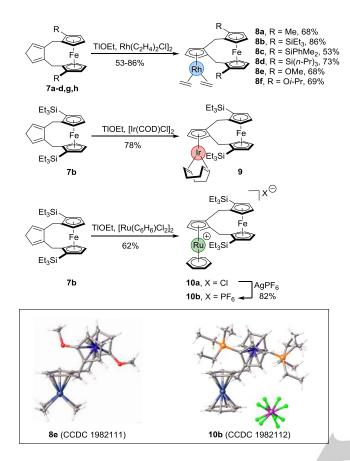
As shown in Scheme 4, with various chiral ferrocenyl Cp ligands 7 in hand, the corresponding CpM (M = Rh, Ir, Ru) metal complexes were prepared according to typical procedures.<sup>[12, 14]</sup> including the CpRh<sup>I</sup> complexes 8a-f (53-86% yield), the CpIr<sup>I</sup> complex 9 (78% yield) and the CpRu<sup>II</sup> complexes 10a-b (62-82% yield). Notably, the structures and absolute configurations of chiral Cp ligands were unambiguously confirmed by X-ray crystallographic analysis of the CpRh<sup>I</sup> complex 8e and the CpRu<sup>II</sup> complex 10b. Interestingly, it was found from these crystal structures that the chiral ferrocenyl moieties fold somewhat away from the metal centres, which could be attributed to the high flexibility of the ferrocenyl Cp rings. However, it is hard to predict to what extent the ferrocenyl moiety will continue keeping this favoured conformation in solution. It was envisioned that this new class of chiral CpM catalysts might perform differently from the existing ones in the asymmetric C-H activation.

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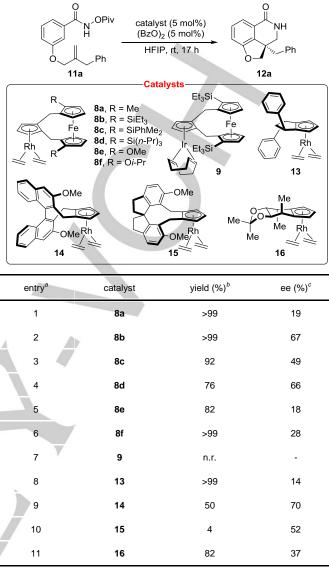
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Scheme 4. Synthesis of chiral ferrocenyl CpM (M = Rh, Ir, Ru) complexes

Then, the potential utility of these new CpM<sup>1</sup> complexes in asymmetric catalysis was examined with the intramolecular amidoarylation of the olefin-tethered benzamide 11a as the model reaction, which was previously reported by Rovis et al.<sup>[32]</sup> and Glorius et al.,<sup>[33]</sup> but in a non-asymmetric fashion. In addition, the exploration of asymmetric intramolecular durina hydroarylation of alkenes, 12d was once observed as the side product by Cramer et al.<sup>[13c]</sup> Among the rhodium precatalysts 8af, it was found that 8b bearing SiEt<sub>3</sub> substituents was optimal, furnishing the tricyclic product 12a in >99% yield with 67% ee (Table 1, entries 1-6). In contrast, the CpIr<sup>I</sup> complex 9 was unable to catalyze this reaction (entry 7). Moreover, for the sake of comparisons, some widely used chiral CpRh<sup>1</sup> precatalysts 13-16 reported by Cramer et al. and You et al. were evaluated in this reaction. However, the cinnamaldehyde derived chiral CpRh<sup>1</sup> 13 gave >99% yield whereas with poor enantioselectivity of 14% ee (entry 8). The atropchiral binaphthyl CpRh<sup>1</sup> 14 gave comparable enantioselectivity of 70% ee in contrast to 8b, but in an inferior yield of 50% (entry 9). Employing chiral spirobiindane CpRh<sup>I</sup> 15 only led to trace amount of product with moderate enantioselectivity of 52% ee (entry 10). Lastly, the mannitol derived chiral CpRh<sup>I</sup> 16 provided a good yield of 82% but with low enantioselectivity of 37% ee (entry 11). These results explicitly indicated that the ferrocene based Cp catalysts well complemented the existing chiral Cp catalysts in terms of the catalytic property.



<sup>a</sup>11a (0.05 mmol, 1.0 equiv), catalyst (5 mol%), (BzO)<sub>2</sub> (5 mol%), hexafluoroisopropanol (HFIP, 0.2 mL), rt, 17 h. <sup>b</sup>Determined by <sup>1</sup>H NMR analysis using CH<sub>2</sub>Br<sub>2</sub> as internal standard. <sup>c</sup>Determined by HPLC.

Further studies revealed that, in the presence of precatalyst 8b and (BzO)2 at 0 °C, diverse alkene-tethered benzamides, such as those bearing 1,1-disubstituted alkenes (11a-e, i), monosubstituted alkenes with varied chain length (11f-g), and 1,2-disubstituted alkene (11h), could all be smoothly converted into the corresponding tricyclic lactams in moderate to good yields and enantioselectivities (Table 2). Notably, the enantiopure lactam 12e was facilely obtained via recrystallization from dichloromethane/methanol (6:1), and the absolute configuration of the major enantiomer of 12e was determined to be S by X-ray crystallographic analysis. Table 2. Substrate scope

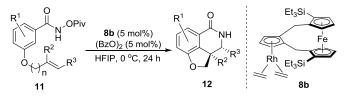
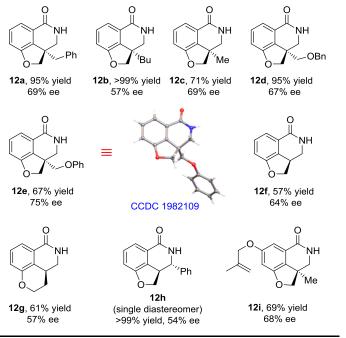


Table 1. Evaluation of various Cp complexes in asymmetric C-H activation

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<sup>a</sup>**11** (0.1 mmol, 1.0 equiv), catalyst **8b** (5 mol%), (BzO)<sub>2</sub> (5 mol%), HFIP (0.4 mL, 0.25 M), 0 °C, 24 h. Isolated yields were reported.

In conclusion, a new class of  $C_2$ -symmetric, chiral Cp ligands based on planar chiral ferrocene scaffolds has been developed. A series of corresponding chiral CpRh<sup>I</sup>, CpIr<sup>I</sup>, and CpRu<sup>II</sup> complexes have been prepared. The potential use of these metal complexes as catalysts has been demonstrated by a chiral CpRh<sup>III</sup> catalyzed asymmetric intramolecular amidoarylation of olefin-tethered benzamides via C-H activation. Further applications of these chiral ferrocenyl Cp metal complexes and relatives into other asymmetric reactions are still under exploration.

#### Acknowledgements

We thank the National Natural Science Foundation of China for financial support (21971263).

**Keywords:** chiral cyclopentadiene • asymmetric C-H activation • planar chiral • ferrocene • rhodium

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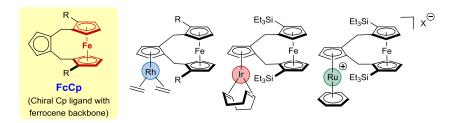
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A new class of  $C_2$ -symmetric, chiral cyclopentadienyl ligand based on planar chiral ferrocene backbone was developed. A series of its corresponding rhodium(I), iridium(I), and ruthenium(II) complexes were prepared as well. In addition, the rhodium(I) complexes were evaluated in the asymmetric catalytic intramolecular amidoarvlation of olefin-tethered benzamides via C-H activation.

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A New Class of *C*<sub>2</sub>-Symmetric Chiral Cp Ligand Derived from Ferrocene Scaffold: Design, Synthesis and Application