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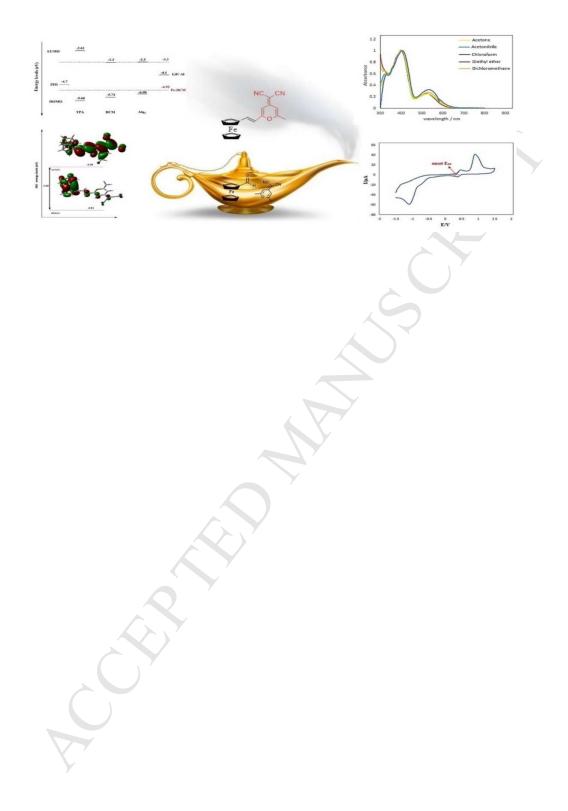
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Synthesis, characterization, and electronic properties of novel Fc- DCM conjugated system; experimental and computational studies

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Abstract

Novel Fc-DCM conjugated compound, 2-(2-ferrocenylvinyl-6-methyl-4H-pyran-4-ylidine) malononitrile, in the form of donor–spacer–acceptor dyad has been synthesized by Knoevenagel condensation. ¹H and ¹³C NMR, FT-IR, CHN analysis and along with atomic absorption spectroscopy supported the predicted structure of the product. The electrochemical behaviour of synthesized compound and the electronic absorption spectra were studied in a variety of solvents. Extensive calculations with various combinations of functionals were carried out to compute energy gap (Eg) of ferrocene derivative. The combined functional and basis set approach PBE/6-31+G(d,p) was found to be well-behaved in the calculation of energy gap. B3LYP/6-31G(d) method was applied to do TD-DFT calculations due to its good agreement with experimental data for prediction of UV-Vis spectra.

Keywords: Ferrocene, Knoevenagel condensation, Cyclic Voltammetry

1-Introduction

Donor–Spacer–Acceptor (DSA) dyads in the forms of both discrete molecules [1] and polymers [2] attract a great deal of scientific and commercial interest. The intramolecular charge transfer (ICT) process in these compounds continues to be a topic of extensive experimental [3] and theoretical [4] studies. Low molecular mass organic compounds with internal charge transport properties are widely adopted in such cases because of their potential applications in optical, electronic and magnetic materials [5], thin film transistors [6], sensors [7], organic light emitting diodes (OLEDs) [8], dye sensitized solar cells (DSSCs) [9], solid state lasers and photovoltaic cells [10]. In the last decade, (OLEDs) have attracted considerable attention due to their useful application in full colour displays. Many scientific research have been focused on the developments in sets of the primary colour emitters of red, green and blue (RGB) [11].

One of the most notable red luminescent materials contains 2,6-dimethyl-4*H*-pyran-4-ylidene fragment as the backbone of molecule. In general, the pyran-4-ylidene has the structure of electron donor-acceptor with a narrow band gap. In DSA type dyads, the structure of π -conjugated spacer plays an important role in charge transfer process. Compounds with extended conjugated π -electron systems are very common for the fabrication of several optical devices.

Pyran and its substituted derivatives are easy to modify and a large number of them are commercially available [12].

Among many kinds of donor groups ferrocene and its derivatives have been extensively studied to be used in molecular wire and nonlinear optical materials. ferrocene and its derivatives have found many applications in materials science including catalysts [13], medicines [14], sensors [15], aerospace materials [16], and electro active materials [17] which could be well corresponded to the favourable electronic properties of the compounds mentioned above.

A suitable combination of the structural features of two small organic or organometallic molecules may result in the creation of new molecules, which could improve the properties which make the new compound to be more efficacious and economical.

In this work, in continuance of our previous studies on various synthesis of ferrocene containing materials, 2-(2-ferrocenylvinyl-6-methyl-4*H*-pyran-4-ylidene) malononitrile has been designed and synthesized through Knoevenagel condensation of ferrocenecarboxaldehyde and 2-(2, 6-dimethyl-4*H*-pyran-4-ylidine) malononitrile. Furthermore, its electrochemical and photochemical properties are studied in different solutions. To analyse the observed spectra, time dependent density functional theoretical (TD-DFT) computation was performed at B3LYP/6-31G(d) level of theory by the Gaussian 09 program. Various methods and basis sets were used for single-point calculation to predict the energy gap. Finally PBE/6-31+G(d, p) method gave the most accurate data for energy gap; therefore, band gap energies were calculated *via* this method for ferrocene compound in different solvents.

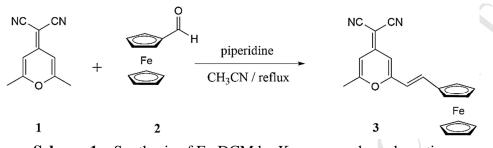
2-Results and discussion

Due to interesting electronic properties of ferrocene and its derivatives, it was decided to use this popular molecule for the synthesis of novel Fc-DCM conjugated compound. Generally, Knoevenagel condensation is considered as a convenient route for the preparation of these types of compounds with extended π -electron conjugation. Since the derivatives of 4-substituted 4*H*-pyran-4-one could be easily obtained by condensation of 2, 6-dimethyl-4*H*-pyran-4-one with active methylene groups, the 2-(2,6-dimethyl-4*H*-pyran-4-ylidene) malononitrile was chosen as the starting material for Knoevenagel condensation with ferrocenecarboxaldehyde.

Ferrocene was put in to reaction with N-methylformanilide and phosphoryl chloride to synthesize ferrocenecarboxaldehyde through Vilsmeier reaction which was done according to the modified procedure described by Graham and co-workers in 71% [18].

The final product, 2-(2-ferrocenylvinyl-6-methyl-4H-pyran-4-ylidine) malononitrile (Scheme 1), in the form of donor–spacer–acceptor dyad was obtained in a considerably good yield by Knoevenagel condensation of 2-(2,6-dimethyl-4*H*-pyran-4-ylidene) malononitrile with ferrocenecarboxaldehyde. The elemental analyses, mass spectra, FT-IR, ¹H NMR and ¹³C NMR spectra all well confirmed the predicted molecular structure. In ¹H NMR spectra, the aldehyde

peaks disappeared at around 10 ppm, while in 6.29 and 7.31 ppm the doublet peaks with ${}^{3}J =$ 15.8 Hz were appeared obviously which could be related to -HC=CH- protons. In infrared spectra, the absorptions at 2206 cm⁻¹ and 1303 cm⁻¹ were assigned to the stretching of cyanide and the vibration of C-O bond of pyran moiety, respectively.



Scheme 1. Synthesis of Fc-DCM by Knoevenagel condensation

The UV-Vis absorption spectra of ferrocene dyads were measured in different solvents with different polarities $(5 \times 10^{-5} \text{ M})$ (Fig 1).

[Fig 1]

In the spectrum of Fc-DCM compound, there are two characteristic transitions (λ_{max}) whose position vary in different solvents. The values of lower wavelengths vary between 345 and 402 nm and it has been assigned to π - π * transition; another one is located at a longer wavelengths and the maximum absorption values differ from 520 to 529 nm; this absorption is due to metal-to-ligand charge transfer (MLCT) and finally no absorption observed for d-d transition unless it is covered by the broad MLCT band (Table 1). The two bands are influenced by the polarity of the solvent (Fig 2).

[Fig 2]

[Table 1]

Both transitions showed an unusual small negative solvatochromism with the increasing of solvent polarity which could be assumed to be due to the contribution of the resonance hybrid to the excited state. In addition, these different shifts are not attributed to the solvent polarity alone, but also the interaction of the solute/solvent is effective as well. The experimental band gap energies were calculated (Table 2) according to Eq. (1) [19]:

$$Eg = 1240/\lambda \tag{1}$$

The wavelength at the absorption edge, λ , was determined as the intercept on the wavelength axis for a tangential line drawn on the absorption spectra (Fig 3).

[Fig 3]

[Table 2]

The electrochemical property of Ferrocene derivative was measured by cyclic voltammetry (CV) using a 0.1 M solution of tetrabutylammonium perchlorate (TBAP) in anhydrous dichloromethane, chloroform and diethylether, in acetone and acetonitrile lithium perchlorate were used as supporting electrolyte. Representative CVs of Fc-DCM are presented in Fig 4. The CV data gives valuable information about the oxidation and reduction potentials of materials. In all solvents, a single redox process, showed a reversible voltammetric behaviour for the ferrocenyl group in these compounds with $\Delta Ep = E_{pa} - E_{pc} \le 0.08$ V at 0.50 V. s⁻¹ scan rates. The oxidation corresponds to electron extraction from the HOMO level and can be correlated to the ionization potential, whereas the reduction potential is associated with electron affinity and Indicates that the LUMO level. These processes could be scaled using CV method by measuring the redox potentials. The HOMO energy levels were calculated using equation **2**, where E_{ox} and $E_{ferrocene}$ are the onset oxidation potentials for the dyad sample and the ferrocene against Ag/AgCl reference electrode, while the value – 4.8 eV is the HOMO energy level of ferrocene against vacuum.

[Fig 4]

The LUMO energy levels were calculated with the HOMO levels attained *via* by CV measurements and the optical band gap (E_g) obtained by UV-Vis measurements, equation **3** [20].

 $E_{\text{HOMO}} = - [E_{\text{ox}} + 4.8 - E_{\text{ferrocene}}]$ (2) $E_{\text{LUMO}} = E_{\text{HOMO}} + E_{\text{g}}$ (3)

The onset potentials of oxidation are determined from intersection of the tangents between the baseline and the signal current. The onset potentials of ferrocene oxidation vs. Ag/AgCl are reported as following: 0.49, 0.52, 0.53, 0.42 and 0.27, in diethyl ether, CH_2Cl_2 , $CHCl_3$, acetone, acetonitrile respectively.

In continue, computational approaches were used to further analyse the observed optical and electrochemical properties. The energies of the frontier orbitals, the highest occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital (LUMO), were calculated with various methods and basis sets using Gaussian 09 program in gas phase (Fig 5). The results are summarized in Table 3. From the results presented in Table 3, it is clear that the PBE/6-31+G(d, p) method gives more accurate data for energy gap calculations and therefore it was chosen for further calculation on band gap energies of Fc-DCM compound in different solvents with increasing polarity. The results are in good agreement with experimental data that obtained from UV-Vis and CV spectra (Table 4). Different levels of theories have been used to simulate the observed UV-Vis spectra. After all, it has been found that the B3LYP/6-31G(d) method is the most suitable one to predict the observed λ_{max} . The results of these studies have been summarized in Table 2.

[Fig 5]

[Table 3]

[Table 4]

Good consistency between experimental and calculated data indicates that these functionals are reliable in predicting the electronic properties of ferrocene derivatives.

Fig 6 shows the energy levels diagram of the materials, which is usually utilized to fabricate the electroluminescent devices. 4-(Dicyanomethylene)-2-methyl-6-[(dimethylamino)styryl]-4*H*-pyran (DCM) is a well- known red dopant that was used as a reference and the data for DCM, tris(4-(2-phenylethynyl)phenyl)amine (TPA), tris(8- quinolinolato)aluminum (Alq₃), indium tin oxide (ITO) and LiF/Al were cited from the literature [21]. HOMO and LUMO energy levels of Fc- DCM which were calculated at PBE/6-31+G(d, p) method in gas phase, revealed to fall between those of TPA and therefore, energy can easily be transferred from TPA to Fc- DCM. The LUMO of Alq₃ is equal to that of Fc- DCM and HOMO of Alq₃ is lower than HOMO of DCM. Energy level matching between dopant and host materials indicates that our synthetic dyad has the potential to be used in the fabrication of electroluminescent devices as dopants.

[Fig 6]

3-Conclusion

In summary, the design and synthesis of novel Fc-DCM conjugated compound, 2-(2-ferrocenylvinyl-6-methyl-4*H*-pyran-4-ylidene) malononitrile, by Knoevenagel condensation of ferrocenecarboxaldehyde and 2-(2, 6-dimethyl-4*H*-pyran-4-ylidine) malononitrile, has been done successfully in the present research. ¹H and ¹³C NMR, FT-IR, CHN analysis, and atomic absorption spectroscopy supported the predicted structure of the product. The electrochemical behaviour of Fc-DCM compound and the electronic absorption spectra was studied in variety of solvents. Extensive single-point calculations with various combinations of functionals are carried out to compute energy gap (Eg) of the ferrocene derivative. The PBE/6-31+G(d,p) level of theory was found to be well-behaved in the calculation of energy gap. B3LYP/6-31G(d) method was applied to accomplish the TD-DFT calculations due to its good agreement with experimental data for prediction of UV-Vis spectra.

4-Experimental

4.1. Materials and Instrumentation

Solvents and reagents were purchased from Merck, Fluka, Aldrich and Yantai Suny Chem. International Co., Ltd. Commercial compounds were used without any kind of purification.

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The ¹H and ¹³C NMR spectra were recorded with a Bruker FT-400 MHz spectrometer at 300 K and with CDCl₃ as solvent. The FT-IR spectra were recorded on a Bruker-Tensor 270 spectrometer. Elemental analyses were carried out with an Elementor Vario EL. III instrument. Iron analysis was performed by Analytikjene (novaa 400) atomic absorption spectrophotometer. The electronic absorption spectra were obtained using SPECORD 250 analytikjena UV/Vis spectrophotometer.

Cyclic voltammetry measurements were performed on 1 mM solutions of ferrocene derivative in different organic solvents (dichloromethane, chloroform, diethyl ether, acetone and acetonitrile) in the presence of 0.100 M Bu₄N(ClO₄) and LiClO₄ as supporting electrolytes using potentiostat/galvanostat Autolab (PGASTAT 30) equipped with a standard three-electrode cell. A 2-mm-diameter GC was used as the working electrode. A silver/silver chloride (Ag/AgCl) electrode and a platinum electrode were used as the reference and the counter electrodes, respectively. All potentials in this study are reported with respect to the Ag/AgCl.

4.2. Theoretical Methods

All calculations were carried out through the Gaussian 09 (Rev. D.01) [22] program package by using density functional theory (DFT) and time-dependent DFT (TD-DFT). The frontier orbital energies were predicted with various methods. The functionals used in single-point calculations were B3LYP [23], BLYP [24], HF [25], MP2 [26], M06-2X [27], and PBE [28]. The basis set used were defined as 6-31G(d), 6-31G(d,p), 6-31+G(d,p), 6-31++G(d,p), 6-31G(d), 6-311+G(d,p), and 6-311++G(d,p) [29]. Structure of novel compound was optimized in the gas-phase. For geometry optimizations, the B3LYP level of theory with the 6-311⁺⁺G(d,p) basis set was employed and no symmetry constraints were imposed. Frequency calculation was done on the optimized structure to ensure the minima nature of the optimized structure by the absence of the imaginary frequency. TD-DFT calculations were carried out in gas-phase and in the presence of different solvents using polarizable continuum model (PCM) for the solvents.

4.3. Synthesis of Fc-DCM

Both ferrocenecarboxaldehyde [18] and 2-(2, 6-methyl-4*H*-pyran-4-ylidene) malononitrile [30] were prepared according to the reference method. A solution of 2-(2, 6-methyl-4*H*-pyran-4-ylidine) malononitrile (**1**) (0.14 g, 1.40 mmol), ferrocenecarboxaldehyde (**2**) (0.20 g, 1.40 mmol) and piperidine (1 mL) in dry acetonitrile (10 mL) was refluxed for 24 hours under argon atmosphere. A red fluorescent colour was observed all along the reaction. The reaction was controlled with TLC method by monitoring the compound **1** in the solution of reaction. After the completion of the reaction, the solution was cooled to room temperature and the product was purified using liquid column chromatography over silica gel and hexane: EtOAc (8:2) as eluent. 0.24 g dark red solid was obtained (63% yield), FT-IR (KBr, cm⁻¹): 3115 (Cp-H), 2205 (C=N), 1690, 1509 (C=C), 1048(Cp); ¹H NMR (CDCl₃, 400 MHz) δ : 7.31 (d, ³*J* = 15.80 Hz, 1H, =C**H**), 6.52 and 6.56 (s, 2H, pyran), 6.29 (d, ³*J* = 15.80 Hz, 1H, =C**H**), 4.53 (t, 2H, C₅H₄), 4.50 (t, 2H,

 C_5H_4), 4.19 (s, 5H, C_5H_5), 2.39 (s, 3H, CH₃); ¹³C NMR (CDCl3, 100 MHz) δ : 160.74, 158.45, 155.51, 138.64, 138.17, 105.21, 104.15, 78.32, 70.39, 69.21, 68.75, 67.30, 18.91; Anal. Found: C, 68.42; H, 4.32; Fe, 15.13; N, 7.56 .Calc. for: $C_{21}H_{16}FeN_2O$: C, 68.50; H, 4.38; Fe, 15.17; N, 7.61%.

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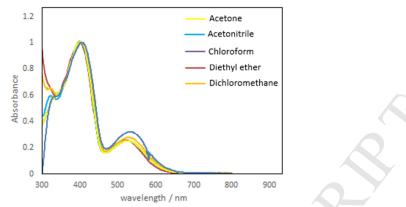


Fig 1. Normalized UV-Vis absorption of Fc-DCM in different solvents

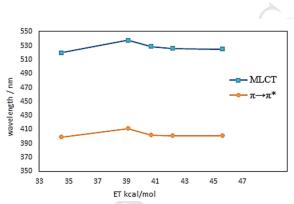


Fig 2. MLCT and $\pi \rightarrow \pi^*$ transition in different solvents



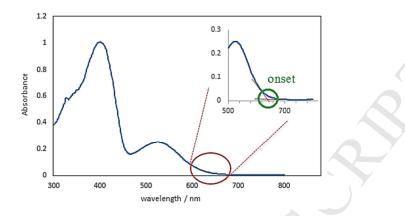


Fig 3. The band gap calculation using UV-Vis spectra.

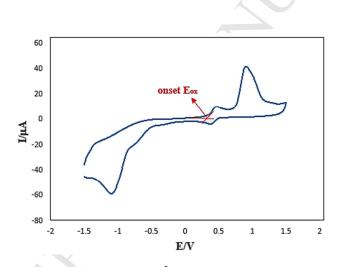


Fig 4. Representative CVs of Fc-DCM (1×10^{-3} M) in CH₃CN/0.100 M LiClO₄ at 50 mV s⁻¹ vs. Ag/AgCl.

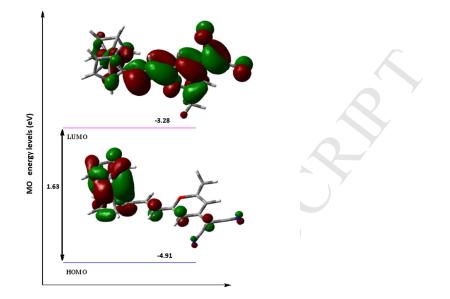


Fig 5. the highest occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital (LUMO) for Fc-DCM

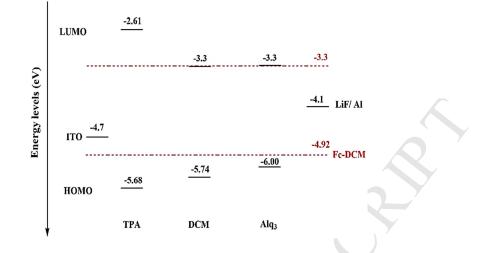


Fig 6. Schematic energy- level diagram of red OLED

	λ_{\max}		
Solvent	$\pi { ightarrow} \pi^*$	MLCT	
Diethyl ether (34.5) ^a	399	520	
Dichloromethane (40.7)	402	529	
Chloroform (39.1)	411	538	
Acetone (42.2)	401	526	
Acetonitrile (45.6)	401	525	
The solvent parameters E_T in kcal.mol ⁻¹			

Table 1. Absorption spectral data of Fc-DCM in various solvents.

Table 2. HOMO and LUMO energy levels obtained from DFT calculations and experiment, where solvent is specified.

	Diethyl ether	Dichloromethane	Chloroform	Acetone	Acetonitrile		
HOMO (DFT)	-4.71	-4.66	-4.70	-4.64	-4.63		
HOMO (experiment CV)	-4.89	-4.88	-4.87	-4.88	-4.88		
LUMO (DFT)	-3.22	-3.21	-3.22	-3.20	-3.19		
LUMO (experiment CV)	-2.89	-2.91	-2.90	-2.89	-2.89		
Eg (TDDFT)	1.49	1.45	1.48	1.44	1.44		
Eg (experiment UV–Vis)	2.00	1.97	1.98	1.96	1.97		

		B3LYP	BLYP	HF	MP2	M06-2X	PBE
`	HOMO (eV)	-5.66	-4.31	-8.12	-8.12	-7.12	-4.53
6-31G*	LUMO (eV)	-2.37	-2.65	0.92	0.92	-1.63	-2.89
	HOMO - LUMO	3.29	1.66	9.04	9.04	5.49	1.64
	HOMO (eV)	-5.66	-5.66	-8.11	-8.11	-7.11	-4.53
6-31G**	LUMO (eV)	-2.37	-2.37	0.92	0.92	-1.63	-2.90
	HOMO - LUMO	3.29	3.29	9.03	9.03	5.48	1.63
	HOMO (eV)	-5.66	-4.67	-8.27	-8.27	-7.34	-4.83
6-31+G**	LUMO (eV)	-2.37	-3.01	0.43	4.27	-1.89	-3.19
	HOMO - LUMO	3.29	1.66	8.7	12.54	5.45	1.69
	HOMO (eV)	-5.96	-4.67	-8.27	-8.27	-7.34	-4.87
6-31++G**	LUMO (eV)	-2.68	-3.01	0.34	0.34	-1.90	-3.24
	HOMO - LUMO	3.28	1.66	8.61	8.61	5.44	1.63
	HOMO (eV)	-5.92	-4.60	-8.25	-8.25	-7.33	-4.78
6-311G*	LUMO (eV)	-2.61	-2.93	0.76	0.76	-1.85	-3.12
	HOMO - LUMO	3.31	1.67	9.01	9.01	5.48	1.66
	HOMO (eV)	-6.02	-4.72	-8.30	-8.30	-7.41	-4.88
6-311+G**	LUMO (eV)	-2.73	-3.07	0.42	0.42	-1.95	-3.24
	HOMO - LUMO	3.29	1.65	8.72	8.72	5.46	1.64
	HOMO (eV)	-6.02	-4.72	-8.30	-8.30	-7.40	-4.88
6-311++G**	LUMO (eV)	-2.73	-3.07	0.33	0.33	-1.95	-3.24
	HOMO - LUMO	3.29	1.65	8.33	8.63	5.45	1.64

Table 3. Energies of the Frontier Molecular Orbitals HOMO and LUMO in gas phase.

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	Theory		Experiment	
	λ max (nm)	f	λ max (nm)	Е
Diethyl ether	389	0.8653	399	28640
Dichloromethane	346	1.0594	402	43068
Chloroform	391	0.8861	411	29476
Acetone	391	0.8331	401	29868
Acetonitrile	391	0.8228	401	28164

Table 4. Calculated lowest-energy absorption maxima for Fc-DCM. The theoretical oscillator strength (f) and the experimental extinction coefficient (ε)

ACCEPTED MANUSCRIPT

Highlights

- 2-(2-Ferrocenylvinyl-6-methyl-4H-pyran-4-ylidine) malononitrile, in the form of donor–spacer–acceptor dyad were synthesized.
- The electrochemical behaviour of synthesized compound and the electronic absorption spectra were studied in a variety of solvents.
- Extensive calculations with various combinations of functionals were carried out to compute energy gap of novel dyad.
- The combined functional and basis set approach PBE/6-31+G(d,p) was found to be well-behaved in the calculation of energy gap.
- B3LYP/6-31G(d) method was applied to do TD-DFT calculations due to its good agreement with experimental data for prediction of UV-Vis spectra.