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CuH-Catalyzed Enantioselective Ketone Allylation with 1,3-Dienes: Scope, Mechanism, and Applications

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ABSTRACT: Chiral tertiary alcohols are important building blocks for the synthesis of pharmaceutical agents and biologically active natural products. The addition of carbon nucleophiles to ketones is the most common approach to tertiary alcohol synthesis, but traditionally relies on stoichiometric organometallic reagents that are difficult to prepare, sensitive, and uneconomical. We describe a mild and efficient method for the copper-catalyzed allylation of ketones, using widely available 1,3-dienes as allylmetal surrogates. Homoallylic alcohols bearing a wide range of functional groups are obtained in high yield and with good regio-, diastereo-, and enantioselectivity. Mechanistic investigations using density functional theory (DFT) implicate the *in situ* formation of a rapidly equilibrating mixture of isomeric copper(I) allyl complexes, from which Curtin-Hammett kinetics determine the major isomer of product. A stereochemical model is provided to explain the high diastereo- and enantioselectivity of this process. Finally, this method was applied toward the preparation of an important drug, (*R*)-Procyclidine, and a key intermediate in the synthesis of several pharmaceuticals.

INTRODUCTION

Enantiomerically enriched tertiary alcohols and their derivatives feature prominently in a variety of important pharmaceutical agents and complex natural products.¹ Consequently, their efficient synthesis has attracted great attention from synthetic chemists.² Traditionally, the addition of organomagnesium (Grignard) reagents to ketones has been a popular method to obtain tertiary alcohols in racemic form.³ However, the harsh methods required to prepare these organometallic reagents, as well as their instability and Brønsted basicity, have limited the tolerance of these reagents toward polar functional groups. Furthermore, the necessity to use stoichiometric organometallic reagents, and often, chiral auxiliaries for enantioselective transformations, is intrinsically inefficient and operationally complicating. Thus, the development of highly efficient catalytic, asymmetric strategies for constructing tertiary alcohols remains a goal of high priority in organic synthesis.⁴

1,3-Dienes are important industrial raw materials that are produced on an enormous scale annually (Figure 1a). These chemicals include butadiene⁵ (about 13×10^6 ton/year production), isoprene⁶ (about 8×10^5 ton/year) and myrcene⁷ (about 2500 ton/year). Recently, several groups have considered the use of these inexpensive and stable compounds as surrogates for stoichiometric organometallic reagents in carbonyl addition reactions. In 2005, a pioneering report by Gendre and Moïse⁸ demonstrated the first titanium-catalyzed aldehyde allylation using conjugated dienes as reagents (Figure 1b), although due to the highly reactive nature of the titanium-allyl species, the functional group tolerance was limited. Subsequently, Krische^{5b,c} has developed ruthenium-catalyzed stereoselective aldehvde (or alcohol) allylations with 1.3-butadiene (Figure 1c). Unfortunately, the same method cannot be generally applied to ketones for the synthesis of tertiary alcohols. Despite reports of a number of other transition-metal-catalyzed reductive

couplings (Ni,⁹ Ru,¹⁰ Rh¹¹ and Ir¹²) with conjugated dienes, reactions involving ketones, rather than aldehydes, remain challenging, even in a non-stereoselective manner.



 $\begin{array}{c} O \\ 1 \\ H \end{array} + \begin{array}{c} R^2 \\ R_3 SiH \end{array} \begin{array}{c} OH \\ R^2 \\ R^2 \\ R_1 \\ R^1 \end{array}$

(c) Ru-catalyzed asymmetric allylation of aldehydes with 1,3-dienes (Krische)



(d) Cu-catalyzed asymmetric ketone allylation with 1,3-dienes (this work)







Over the past several years, a number of research groups, including ours, have reported approaches for the copper-catalyzed hydroamination of unsaturated substrates through the *in situ* generation of alkylcopper nucleophiles.¹³ Using this strategy, activated pronucleophiles such as enynes and allenes were

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feedstock reagents

successfully engaged in nucleophilic addition reactions with ketones.¹⁴ This reactivity pattern has since also been extended to the reductive coupling of olefin pronucleophiles with imines.^{2e,15}

Following this general concept, herein we describe a highly regio- and enantioselective copper-catalyzed method for the allylation of ketones using readily available 1,3-dienes (Figure 1d). Previously, we had reported a single, unoptimized example of this transformation.^{14b} In addition, we report a computational study of the mechanism of this class of transformations, revealing a complex kinetic basis for diastereo- and enantioselectivity resulting from an equilibrating mixture of allylcopper intermediates of similar energy. Furthermore, we propose a stereochemical model for these allylation processes using non- C_2 -symmetric JOSIPHOS-derived chiral ligands. Finally, we apply our method toward an efficient and concise synthesis of the pharmaceutical agent (*R*)-procyclidine and key intermediates in the synthesis of (*R*)-Oxyphencyclimine, (*R*)-Oxybutynin and (*R*)-Oxyphenonium bromide.

RESULTS AND DISCUSSION

We began by studying the reaction between 4-methoxyacetophenone (1a) and 1,3-butadiene (1b) under conditions previously reported for Cu-catalyzed reductive coupling reactions (Table 1, entry 1).¹⁴ With (R)-DTBM-SEGPHOS (L1) as the ligand, homoallylic alcohol 1 was obtained with 44% yield, 1.2:1 dr and 83.5:16.5 er for the major diastereomer (65.5:34.5 er for the minor). Based on ¹H NMR analysis of the crude reaction mixture, the remainder of the ketone underwent direct reduction by copper hydride. When the ligand was exchanged for (S,S)-Ph-BPE (L2), this reduction pathway was suppressed,^{14b} and a 96% yield of 1 was obtained with moderate dr and ee (Table 1, entry 2). Further ligand screening revealed that use of the commercially available JOSIPHOS¹⁶ derivative SL-J011-1 further improved the stereoselectivity to 4:1 dr and 97:3 er (96:4 er for the minor diastereomer, Table 1, entry 3), while maintaining high chemoselectivity for coupling over ketone reduction.

Table 1. Evaluation of Reaction Conditions for the CuH-Catalyzed

 Allylation of 4-Methoxyacetophenone.^a

Cu(OAc)2 (5.0 mol%)

ligand (6.0 mol%) Ŵе Me(OMe)₂SiH (4.0 equiv) MeO (2.0 equiv) temp, solvent, 12 h 1a 1b 1 En-Lig-Solvent Temp Yield^b dr er^c (°C) try and 1 (%) major (minor) 1 L1 PhMe 25 44 12.183 5.16 5 (65.5:34.5)2 L2 96 86.5:13.5 PhMe 25 3.1:1 (84:16) 97:3 3 L3 PhMe 25 90 4:1 (96:4) 4 96.5:3.5 L3 CyH 25 71 3:1 (94:6) THF 88 96.5:3.5 5 L3 25 4:1 (94:6) L3 MTBE 97.3 6 25 83 3.4:1 (94.5:5.5) L3 96 5.3 5 7 Dioxane 25 55 3.9:1 (94:6) 8 L3 40 75 95.5 PhMe 3.6:1 (93:7)



^aConditions: 0.2 mmol ketone (1 equiv), 1,3-butadiene (2 equiv), copper(II) acetate (0.05 equiv), ligand (0.06 equiv), dimethoxy(methyl)silane (4 equiv) in solvent (0.2 mL), ketone was added slowly by syringe pump; see the Supporting Information for details. ^bYield and diastereomeric ratio were determined by ¹H NMR spectroscopy of the crude mixture, using dibromomethane as an internal standard. ^cEnantiomeric ratio was determined by HPLC or SFC analysis on commercial chiral columns, and the relative configuration of **1** was determined by comparing its NMR data with reported data.¹⁷

Evaluation of the reaction solvent (Table 1, entry 4-7) indicated that toluene was optimal for this transformation. The results were very sensitive to the reaction temperature: the yield, dr, and er were all diminished at slightly elevated temperatures (40 °C, Table 1, entry 8). However, excellent yield (94%), dr (4:1), and er (98:2 and 97:3 respectively for the major and minor diastereomers) were achieved when the reaction was performed at 0 °C (Table 1, entry 9). Further lowering of the reaction temperature (-20 °C) significantly decreased the dr again (Table 1, entry 10).

Next, the substrate scope of the asymmetric reductive coupling of diverse ketones with acyclic 1,3-dienes was examined (Table 2). A range of chiral homoallylic tertiary alcohols were prepared with excellent yields and enantiomeric purity (>94:6 er). The reaction was compatible with ether (1), alcohol (2), secondary (3) and tertiary amine (4) groups, as well as aromatic heterocycles (5, 6). Cyclic ketones such as 7a reacted with particularly good diastereoselectivity, as well as excellent yield and enantioselectivity. Using acetylferrocene, we obtained enantiomerically enriched ferrocene 8. Substituted dienes were also generally effective in our reductive coupling reaction. 2alkyl- (isoprene-derived 9 and myrcene-derived 10), 1-aryl-(11), and 2-aryl-substituted (12) diene reactants were coupled with ketones in moderate to good yield and with high stereoselectivity. Unfortunately, when 1-alkyl groups were introduced, reactions resulted in complex mixtures of regioisomeric products, each in several diastereomeric forms.

We also surveyed the scope of ketone allylation using cyclic 1,3-dienes. However, under the conditions used for acyclic dienes, the yield of the desired product was unsatisfactory, and direct reduction of the ketone was instead the major reaction that was observed (see Supporting Information for details). We hypothesized that in the case of cyclic dienes, the L3-ligated CuH is unable to react with the diene at a rate competitive with direct ketone reduction. Revisiting our initial ligand evaluation data, we noticed that the use of (*S*,*S*)-Ph-BPE (L2) provided less ketone reduction byproduct than with L3 (Table 1, entries 2 and 3).^{14b} Accordingly, we hypothesized that substituting L2 for L3 might be useful in these cases where ketone reduction is a problem: indeed, the catalyst derived from L2 provided greatly improved yields with cyclic diene substrates.

HO Me

Table 2. Evaluation of the Scope of the Ketone Allylation with Acyclic Dienes.^a



^{*a*}Yields indicate the isolated yield of product as a mixture of two diastereomers on a 1.0 mmol scale. Diastereomeric ratios were determined by ¹H NMR spectroscopy for both the crude and purified products; enantiomeric ratios were determined by HPLC or SFC analysis on commercial chiral columns; enantiomeric ratios of minor diastereomers are indicated in parentheses after those of the major diastereomers. Yields, diastereomeric ratios, and enantiomeric ratios are the averages for two identical runs. See Supporting Information for full details. ^{*b*}L2 was used instead of L3.

Using L2, several classes of ketones were coupled with cyclic 1,3-dienes in high regio- and enantioselectivity (Table 3). The reaction is most efficient for aryl methyl ketones. A broad range of aromatic carbonyl substituents, including an ortho-substituted arene (14), a pyridine (18), a pyrrole (19), a bromopyrazole (20), and a ferrocene (23) were evaluated, all providing good results. Several additional types of ketones were converted with high yield and stereoselectivity under the same conditions. For instance, a dialkyl ketone (16) and a vinyl methyl ketone (17) underwent allylation with high enantioselectivity. We proposed that the low diastereoselectivity observed in the case of 16 may be due to the minimal steric differentiation between the methyl and methylene groups attached to the carbonyl. Accordingly, we found that our method can be particularly useful on symmetric dialkyl ketones, which react to form homoallylic alcohol products with exceptionally high yield and

enantioselectivity (**21**, **22**). Finally, a larger ring diene, 1,3-cycloheptadiene, is also an effective reagent, providing **24** with moderate yield and excellent stereoselectivity.

Table 3. Scope Evaluation of Ketone Allylation with Cyclic Dienes.^a



^aYields indicate the isolated yield of product as a mixture of two diastereomers on a 1.0 mmol scale. Diastereomeric ratios were determined by ¹H NMR spectroscopy for both the crude and purified products; enantiomeric ratios were determined by HPLC or SFC analysis on commercial chiral columns; enantiomeric ratios of minor diastereomers are indicated in parentheses after those of the major diastereomers. Yields, diastereomeric ratios, and enantiomeric ratios are the averages for two identical runs. See Supporting Information for full details. ^bThe yield was determined by ¹H NMR versus an internal standard due to the volatility of the product.

MECHANISTIC STUDIES

The proposed catalytic cycle of this CuH-catalyzed allylation reaction is summarized in Figure 2. We envisioned that a primary allylic copper intermediate (**III**) might be formed by hydrocupration of a diene. Selectivity-determining nucleophilic addition of **III** to the ketone would provide copper alkoxide **V**. Subsequently, σ -bond metathesis with a hydrosilane **VI** should rapidly regenerate the copper hydride catalyst **I**, with concomitant formation of the silylated homoallylic alcohol (**VII**) in a process that is well precedented.^{14b}



Figure 2. Proposed catalytic cycle.

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We performed density functional theory (DFT) calculations to investigate several aspects of this proposed reaction mechanism. First, a comparison of the candidate hydrocupration mechanisms was performed to understand the mechanism of generation of the key allylcopper intermediate. Next, the energies and interconversion barriers of several possible allylic complexes were evaluated. From here, a thorough consideration of possible insertion transition states for the addition of the allylcopper intermediate to ketones was undertaken to reveal the origin the observed diastereoselectivity. Finally, we sought to explain the π -facial selectivity with respect to the ketone. While the mechanism of chiral induction in enantioselective reactions utilizing C2-symmetric ligands such as Ph-BPE has been frequently rationalized using quadrant-diagrams,^{18a-c} analogous intuitive models for less symmetric ligands such as JOSIPHOS derivatives are rare.^{18d} Therefore, we focused on developing an understanding of the high enantioselectivity observed with L3supported copper catalysts.

We started our computational investigation with a conformational search on the L3-supported CuH catalyst. Two lowestenergy conformers with almost identical energies (25a and 25b, Figure 3) were located. These conformers differ in the arrangement of the six-membered chelate ring. In 25a, the chiral carbon center is puckered out-of-plane, while the two phosphorus atoms and the Cu are nearly co-planar with one of the Cp rings of the ferrocene. In contrast, in 25b, the Cu is puckered out-ofplane, while the two phosphorus atoms and the chiral carbon are nearly co-planar with the ferrocene Cp ring. As a result, the PtBu and P-Ar substituents in 25a and 25b adopt different orientations, and thus create distinct steric environments around the Cu center. In 25a, the P-tBu group in quadrant IV and the Paryl group in quadrant II are placed in closer proximity to the Cu center, while the P-tBu and P-aryl groups in quadrants I and III are more distal from the Cu. Therefore, the steric environment of this conformer resembles those of C_2 -symmetric ligands. In contrast, conformer **25b** is pseudo- C_S symmetric. The P-tBu and P-aryl groups in quadrants IV and III are placed closer to the Cu center, while quadrants I and II are relatively unoccupied by the ligand as the P-substituents in these quadrants are placed further away from the Cu. Considering the similar stability of 25a and 25b, both ligand conformations were considered when locating the transition states in the proposed catalytic cycle. Our calculations indicated the hydrocupration, 1,3-migration, and ketone addition transition states all are lower in energy when the ligand adopts the conformation in 25a, which has a pseudo- C_2 -symmetric steric environment (see below). This is consistent with the high efficiency of CuH catalysts with C_2 -symmetric ligands such as Ph-BPE in promoting similar transformations.



Figure 3. Conformers of the CuH catalyst supported by the SL-J011-1 ligand (**L3**). The *P*-Ar and *P*-*t*Bu groups proximal to the Cu center are highlighted in red.

(a) Quadrant diagrams of the 1,2-hydrocupration transition states



(b) Most favorable 1,2- and 1,4-hydrocupration transition states



Figure 4. Optimized geometries of the 1,2- and 1,4-hydrocupration transition states. The diene is highlighted in yellow. The *P*-aryl and *P*-*t*Bu groups "proximal" to the Cu center are highlighted in red in Figure 4a.

We selected 2-acetonaphthone and 1,3-butadiene as the model substrates for our computational investigation of the catalytic cycle. Experimentally, this pair of substrates react with 95% yield, 2.5:1 dr, and 93:7 er (for the major diastereomer, 90.5:9.5 er for the minor diastereomer) under the standard reaction conditions (see Supporting Information for details). We hypothesized that, initially, the hydrocupration of 1,3-butadiene

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might proceed via either direct 1,4-hydrocupration of the diene or via 1,2-hydrocupration followed by a 1,3-migration. Our calculations suggest that this process strongly prefers to occur through the 1,2-addition pathway (TS1a, Figure 4) to form a secondary allylcopper intermediate (26, Figure 5). In comparison, the 1,4-hydrocupration of the diene requires 9.6 kcal/mol higher activation energy (TS1c, Figure 4b). The 1,2-hydrocupration proceeds with moderate π -facial selectivity ($\Delta \Delta G^{\ddagger} = 0.8$ kcal/mol, Figure 4a) leading initially to an (S)-allylcopper intermediate. However, this stereocenter is rapidly ablated: the secondary allyl complex 26 undergoes facile 1,3-migration via either TS2-cis or TS2-trans to form primary allylcopper intermediates **27-cis** and **27-trans**, which are similar in energy to each other, and both more stable than 26 (Figure 5). This 1,3-migration step requires a very low barrier and is reversible. Therefore, the *cis/trans* isomers of the primary allylcopper intermediates exist in equilibrium with each other, and with the branched isomers, prior to the nucleophilic addition to the ketone.

The enantio- and diastereoselectivity are both determined in the subsequent ketone addition step. We found that the ketone addition occurs through a six-membered Zimmerman-Traxlertype transition state.¹⁹ After exhaustive computational investigation of possible transition state isomers, **TS3a** and **TS3b** were identified as the most favorable pathways for the ketone additions (see SI for other less favorable TS structures). In both **TS3a** and **TS3b**, the bulkier aryl group on the ketone is placed in a pseudo-equatorial orientation, and the methyl substituent is pseudo-axial. Counterintuitively, the preferred pathway for reaction with the ketone takes place from the cis-allylcopper species 27-cis via TS3a, which places the terminal methyl substituent of the allyl group pseudo-axial.^{19b} In comparison, the ketone addition process from 27-trans, which involves a pseudoequatorial methyl substituent, requires an additional 1.3 kcal/mol of activation energy (TS3b). An examination of TS3b reveals the origin of this destabilization: the methyl substituent on the C=C double bond is placed between the aryl and methyl groups of the ketone, and thus induces substantial gauche repulsions at the forming C-C bond. On the other hand, gauche repulsions in **TS3a** are weaker because there is only one Me/Ar interaction and the forming C-C bond distances in TS3a and **TS3b** are both around 2.2 to 2.3 Å. In addition, the 1,3-diaxial repulsions with the same methyl substituent in TS3a are relatively weak because only one H...Me interaction is expected to contribute.19b The most favorable ketone addition transition state TS3a leads to the alkoxycopper intermediate 29a, from which rapid σ -bond metathesis with a silane generates the observed major product in silyl-protected form (30a). This step is known to be very rapid for copper alkoxides, which renders the ketone addition step effectively irreversible.^{14b}



Figure 5. Computed energy profiles of the CuH-catalyzed allylation of 2-acetonaphthone **28**. The calculations were performed at the M06-2X/SDD–6-311+G(d,p)/SMD(toluene)//B3LYP/SDD–6-31G(d) level of theory. All energies are with respect to the separate L*CuH catalyst (**25a**) and reactants (**1b** and **28**).

We next turned our attention to the enantioselectivity of this process, which is determined by the π -facial selectivity of the ketone addition step as dictated by the ligand. Relative to favored transition state structure **TS3a**, disfavored structures **TS3c** and **TS3c'** involves the addition to the opposite face of the ketone (Figure 6). In **TS3c**, the α -methylene group and the pseudo-axial methyl group of the ketone are both placed in the two quadrants occupied by the "proximal" *P*-aryl and *P*-*t*Bu groups (highlighted in red in Figure 6). As such, **TS3c** is destabilized by the steric repulsions with the ligand. In contrast, in the more stable transition state **TS3a**, the α -methylene group and the pseudo-axial methyl group are both placed in the "unoccupied" quadrants, in which the *P*-substituents are further away ("distal") from the Cu center and the substrate. In **TS3c**', although such ligand-substrate repulsions are also minimized by placing the α -methylene group and the pseudo-axial aryl substituent in the "unoccupied" quadrants, the greater 1,3-diaxial interactions with the aryl group destabilize this TS isomer. **TS3c** and **TS3c'** are 4.0 and 2.6 kcal/mol less stable than **TS3a**, respectively, which is in qualitative agreement with the high levels of enantioselectivity observed in the experiment.



Figure 6. Origin of enantioselectivity. All energies are with respect to the separate L*CuH catalyst (25a) and reactants (1b and 28).

APPLICATIONS

To demonstrate the synthetic utility of this asymmetric transformation, we sought to prepare chiral tertiary alcohol 33, a key intermediate in the synthesis of anticholinergic agents (R)-Oxybutynin,²⁰ (R)-Oxyphenonium bromide²¹ and (R)-Oxyphencyclimine.²² Currently, these drugs are typically administered in their racemic form, which is synthesized through the addition of a cyclohexyl Grignard reagent to a ketone.²³ However, motivated by the decreased side effects²⁴ and higher efficiency^{22,25} associated with the single enantiomer forms, several groups have developed synthetic routes to enantioenriched key chiral intermediate 33 using chiral auxiliaries,²⁶ chiral pool synthesis,^{20c} an organocatalytic aldol-elimination-hydrogenationdeprotection sequence,^{20b} or palladium-catalyzed asymmetric allylic alkylation followed by functional group interconversions.^{20d} Using our method, we devised an alternative, catalytic, enantioselective synthetic route to this key chiral intermediate (Scheme 1) that yields enantiopure product and does not require the purification of intermediates. From commercially available starting material **31**, after CuH-catalyzed coupling with cyclohexadiene, reduction, hydrolysis, and recrystallization, 33 was

obtained in 53% overall yield and with over 99.5:0.5 er in a onepot sequence without the need for chromatography.

We also applied our method toward a new synthetic route to (*R*)-Procyclidine, a treatment for Parkinson's disease.³⁰ Biological testing suggests that (*R*)-Procyclidine has a higher affinity for the relevant muscarinic receptor both in humans and in animal models.^{22b,30} Thus, an efficient asymmetric synthesis of (*R*)-Procyclidine would be valuable. We studied the copper-catalyzed allylation of commercially available ketone **34**, which provided chiral tertiary alcohol **35**. Again, without requiring chromatographic purification, the mixture containing **35** was subjected to simple hydrogenation and direct crystallization to yield (*R*)-Procyclidine (**36**) in 58% overall yield and over 99.5:0.5 er.

Scheme 1. Synthesis of a Key Tertiary α -Hydroxy Acid Intermediate.



^{*a*}Parenthetical data reflect results of an independent run for which intermediates were isolated, purified, and characterized. See the Supporting Information for details. ^{*b*}After recrystallization. See the Supporting Information for details.

Scheme 2. Synthesis of (R)-Procyclidine.



^{*a*}Parenthetical data reflect results of an independent run for which intermediates were isolated, purified, and characterized. See the Supporting Information for details.

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CONCLUSION

In summary, we have developed a highly efficient coppercatalyzed allylation of ketones with feedstock linear and cyclic conjugated dienes. A large variety of chiral tertiary alcohols were prepared in excellent yield, regio-, and enantioselectivity, and with a high level of functional group compatibility. Guided by DFT calculations, a rationale explaining the factors responsible for the enantio- and diastereoselectivity of this transformation was derived. From a mixture of rapidly equilibrating allylcopper intermediates of similar energy, selective reaction of the cis-allyl complex generates the observed diastereomer. Furthermore, a model for the enantioselectivity of the addition of the allylcopper intermediates to ketones was proposed for catalysts bearing the non- C_2 -symmetric JOSIPHOS ligands. Our method also enabled a new, concise, and enantioselective synthesis of pharmaceutically important drug (R)-Procyclidine and a key intermediate for anticholinergic drugs (R)-Oxyphencyclimine, (R)-Oxybutynin and (R)-Oxyphenonium bromide.

ASSOCIATED CONTENT

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/jacs.

Experimental procedures and characterization data for all compounds (PDF) NMR spectra (PDF) SFC and HPLC traces (PDF) Computational details and Cartesian coordinates of optimized geometries (PDF)

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