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# Synthesis, Photo-physical and DFT Studies of ESIPT Inspired Novel 2-(2',4'-Dihydroxyphenyl) Benzimidazole, Benzoxazole and Benzothiazole

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Abstract Novel ESIPT inspired benzimidazole, benzoxazole and benzothiazole were synthesized from 2,4-dihydroxy benzoic acid and 1,2-phenelenediamine, 2-aminophenol, and 2-aminothiophenol respectively. The synthesized 2-(2',4'-dihydroxyphenyl) benzimidazole, benzoxazole and benzothiazole are fluorescent and the emission characteristic are very sensitive to the micro-environment. They show a single absorption and dual emission with large Stokes shift originating from excited state intramolecular proton transfer. The absorption-emission characteristics of all these compounds are studied as a function of pH. The change in the electronic transition, energy levels, and orbital diagrams of synthesized compounds were investigated by the molecular orbital calculation and were correlated with the experimental spectral emission. Experimental absorption and emission wavelengths are in good agreement with those predicted using the Density Functional Theory (DFT) and Time-Dependent Density Functional Theory (TD-DFT) [B3LYP/6-31G(d)].

Keywords ESIPT  $\cdot$  Absorption-emission  $\cdot$  pH sensitive  $\cdot$  TD-DFT

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#### Introduction

There is a growing interest for environment sensitive materials due to potential applications in biotechnology such as biomedical and biosensing areas [1, 2]. Particularly the direct visualization of general biochemical events, specific molecular targets, or defined biochemical processes are indispensible for both in vitro [3-6] and, increasingly, in vivo applications [5-8]. These applications, because of the remarkable advances in imaging techniques, have begun to use an array of different fluorescent labels, probes, and sensors in multi-channel multiples studies [9-11] which include the real-time analysis of cells and whole organisms [12–15]. Dictated by the particular needs of several studies, fluorescent dyes and probes with defined optical, chemical, and biological properties are in high demand [16, 17]. Much effort has been focussed on the development of optical pH chemosensors [18–22] with specific attention paid to highly sensitive indicators within the physiological pH range [23, 24]. Two-band wavelength ratiometric sensing with a single dye is becoming increasingly important in this context [25] to measure pH by a two-band wavelength-ratiometric sensing both the acidic and basic states of the indicator should be ideally fluorescent and exhibit shifted absorption and dualemission spectra. Obtaining two bands in emission spectra requires finding a dye with complex photo physics that exhibit an excited state reaction. In this case one of two bands belongs to the initially excited state and the other to the reaction product. If the molecule exhibits a fast (compared to the rate of fluorescence emission) and reversible excited state reaction, the two band ratiometric detection can provide self-calibration of fluorescence signal which allows compensation of all fluorescence quenching effects [26, 27].

The Excited State Intramolecular Proton Transfer (ESIPT) reactions generally incorporates transfer of a hydroxyl (or amino) proton to the carbonyl oxygen through a pre-existing hydrogen bonding configuration [28]. The resulting proton-transfer tautomer possesses significant differences in structure and electronic configuration from its corresponding normal species. The fundamental requirements of ESIPT process are the presence of intramolecular hydrogen bonding between the acidic proton, basic moiety and the suitable geometry of molecular system [29]. The acidic proton mostly used are -OH,  $-NH_2$  etc. and basic centres are = N-, carbonyl oxygen (=C = O). A large number of potential molecules [30] which show ESIPT are reported in literature and their absorption-emission properties are also studied as a function of their environment.

A variety of molecules have intramolecular hydrogen bonds (H-bonds) that may be photo induced to undergo proton transfer [31]. ESIPT can be observed in variety of such molecules that contain both hydrogen donor and acceptor in close proximity [32]. An intramolecular hydrogen bond generally formed in the ground state will migrate to the neighboring proton acceptor leading to a phototautomer [33]. In the general family of 2-(2' hydroxyphenyl benzimidazole), benzaoxazole, benzthiazole and benztriazole the ESIPT phototautomer gives rise to an emission with large stoke shift [34]. The high intensity of fluorescence emission and large stokes shift due to intramolecular proton transfer phenomena allow these molecules to have many interesting applications [35-37]. Molecules undergoing ESIPT exhibit dual fluorescence, one a normal stoke shifted fluorescence band which originates from a tautomer formed in the exited state (i.e. keto form) and second due to excited enol form. Further the fluorescence band maximum and the fluorescence quantum yield of the tautomer fluorescence band are very sensitive, whereas those of the normal band do not depend much on the environment. It is commonly understood that the molecular structure of the ESIPT compounds regulates their abnormal photo physical properties to a considerable extent, in most cases exceeding the influence of environment on them [34].

In this paper, we present the synthesis and characterization of new ESIPT unit hydroxyl benzimidazole, oxazole and thiazole. The absorption-emission characteristics of all these compounds were studied as a function of pH. The change in the electronic transition, energy levels, and orbital diagrams of synthesized compounds were investigated by the DFT computation and were correlated with the experimental photo-physical properties.

# **Result and Discussion**

#### Chemistry

were prepared by the reaction of 2, 4-dihydroxy benzoic acid 1 with 1,2-phenylenediamines or *o*-aminophenol or *o*aminothiophenol in the presences of polyphosphoric acid as shown in Scheme 1. All synthesised compounds were characterised by spectral analysis.

Synthesized benzimidazole, benzothiazole and benzoxazole molecules which contain acidic hydroxy group at 2'-position and 4'-position with respect to basic -N = moiety. The location of these groups is such that there is existence of intra-molecular hydrogen bonding in the ground state. On excitation, the -N = moiety become strongly basic and -OH group becomes strongly acidic. This leads to the ESIPT and thus the formation of keto isomer (k<sub>1</sub>).

The ESIPT reactions of *o*-hydroxy and *o*-amino benzazoles (benzimidazole, benzoxazole and benzothiazole) have been studied extensively and it has been reported that *o*-amino benzazoles have lower fluorescent quantum yield accompanied by shorter Stokes shift as compared to the respective *o*hydroxy benzazoles [38]. This observation has been explained in the light of the fact that NH<sub>2</sub> group is low acidic compared to the -OH group. In addition improving the basicity of = Non the azole ring will also help in accepting proton from the -OH or -NH<sub>2</sub> facilitating the ESIPT phenomenon. In this paper we report ESIPT molecules where the basicity of = Ngroup on the azole ring is enhanced by the presence of -OH group on the phenyl ring at 2 and 4 position.

#### **Phtotophysical Properties**

Synthesised compounds are highly fluorescent in solution under irradiation of UV light; absorption and emission of compounds were measured in water as well as in DMF. The absorption and fluorescence emission spectra of these compounds are shown in the Figs. 1, 2 and 3. All analyses were performed at room temperature and concentration was  $1 \times$  $10^{-6}$  M. The absorption and emission properties of compound in water and DMF are summarised in Table 1. The Stokes shift values of new compounds 5–7 are relatively higher than the reported hydroxy benzimidazole, benzoxazole and benzothiazole derivatives. The Stokes shift for molecules that do not show structural change in the excited state is generally found between 50 and 70 nm [37]. In contrast, novel compounds 5–7 exhibit ESIPT



Scheme 1 Synthesis of 4-(1H-benzo[d]imidazole, thiazole, oxazole-2-yl) benzene-1,3-diol



Fig. 1 Absorption of compound 5, 6 and 7 in DMF

phenomena with Stokes shift between 42 and 148 nm. The occurrence of the dual emission of synthesised compound is clearly reflected in emission spectrum. The spectrum consists of two emission maxima ranging from 302 nm to 546 nm. Compounds 5-7 absorb in the range 317-335 nm and emit in the range 371-513 nm in DMF. Compounds 4-6 show multiple emissions due to ESIPT process. Compound 5 shorter emission (shoulder peak) observed at 374 nm and longer emission at 444 nm. In case of compound 6 shorter emission at 387 nm and longer emission at 447 nm. Compound 7 shows three emissions shortest at 371 nm, and longer emission at 513 nm in between these two emissions one more emission observed at 459 nm it may be due to rotamers of compounds. Quantum yields of compounds for short as well as longer emission were evaluated. Quantum yields of compounds 5-7 for short as well as long emission were evaluated and results are summarized in Table 1. Results reveal that Quantum yield is high at short emission as compared to longer emission.

Further we compared emission properties of synthesised compounds with its reported analogues 2(2'-hydroxyphenyl benzimidazole (2-HBI) [39], 2(2'-hydroxyphenyl benzoxazole (2-HBO) [40] and 2(2'-hydroxyphenyl benzothiazole (2-HBT) [41]. Emission properties of synthesised azoles 5–7 are same like reported 2-HBI, 2-



Fig. 2 Emission spectra of compound 5 in DMF



Fig. 3 Emission spectra of compound 6 and 7 in DMF

HBO and 2-HBT. The emission of 2-HBI (354 nm and 463 nm) are same like its 2,4-di hydroxy benzimidazole analogue 5 (374 and 444 nm). 2 (2'-Hydroxyphenyl benzoxazole shows single broad emission (506 nm), however 2 (2'4'-hydroxyphenyl benzoxazole 6 shows wellsplitted two emission shorter emission at 387 nm and longer emission at 447 nm. Blue shift is observed for 2(2',4'dihydroxy benzimidazole. In case of thiazole analogue 2,4dihydroxy substituted 7 shows three significant emission maxima shorter emission at 371 nm, longer emission at 513 nm and in between emission at 459 nm with high quantum yield (0.57), But 2-HBT shows dual emission, prominent peak at 510 nm and shoulder peak at 535 nm. Incorporation of hydroxy at 4-position with respect to azole ring enhances the ESIPT process in terms of well defined emission band.

# Effect of pH

pH-Sensing is critical in chemistry and biology because it usually plays a pivotal role in a variety of systems. The most common pH sensors are glass electrodes. However, the known limitations of the glass pH electrodes make them unsuitable for certain applications like intracellular pH and microscopy studies. In contrast to the electrochemical methods, optical measurements based on fluorescent probes have no such limitations like common pH glass electrodes [42–46]. Moreover, fluorescent probes have their obvious advantages in terms of sensitivity, selectivity, and more convenient operations in many applications like remote sensing with optical fibers [46].

In the literature, various fluorescent compounds are reported as sensors for pH in the acidic region (less than 4). Rhodamine derivatives are extensively used as pH sensors because of their excellent photophysical properties like long absorption, emission wavelength, high quantum yield and good photostability. Fluorescent sensors for pH range 7–13 [46] are scarce. In this paper we report dual emitting fluorophores which show a large stokes shift with good fluorescence intensity and quantum yield. Considering the

| Compd | $\lambda_{max}, nm$ | $\lambda_{ems}$ , nm<br>(Short emission) | Stokes shift, cm <sup>-1</sup> | Quantum yield at short emission | λ <sub>ems</sub> , nm<br>(Long emission) | Stokes shift, cm <sup>-1</sup> | Quantum yield at longer<br>emission |
|-------|---------------------|--|--------------------------------|---------------------------------|--|--------------------------------|-------------------------------------|
| 5     | 326                 | 374                                      | 3937                           | 0.05                            | 444                                      | 8152                           | 0.11                                |
| 6     | 335                 | 387                                      | 4011                           | 0.09                            | 447                                      | 7479                           | 0.13                                |
| 7     | 317                 | 371                                      | 4592                           | 0.18                            | 459                                      | 9759                           | 0.20                                |
|       |                     |  |                                |                                 | 513                                      | 12053                          | 0.19                                |

Table 1 Absorption, emission and quantum yield of synthesized compounds 5-7 in DMF solvent

 $\lambda_{max}$  = absorption maxima;  $\lambda_{ems}$  = emission maxima;  $\epsilon$  = molar extinction coefficient

excellent properties of fluorophores we tested the effect of pH on fluorescence emission. Effect of pH on fluorescence emission was studied from pH 7–13 and results are summarised in Table 2. The results reveal that all the compounds are sensitive towards pH. All compounds show dual absorption at different pH with single emission, compounds emit approximately at the same wavelength at all pH. Absorption and emission spectra of compounds are shown in Figs. 4, 5 and 6.

#### Effect of Solvent Polarity

The investigation of solvent polarity on the absorption and fluorescence characteristics of organic compounds has been a subject of interest [47, 48]. Excitation of a molecule by photon causes a redistribution of charges leading to conformational changes in the excited state. The excited state is stabilized in solvents; the stability of excited state depends on polarities of solvent and dipole moment of compounds in excited state causes blue and red shift [47, 48]. Effects of solvent polarities on absorption and emission properties of compounds were studied in mixture of water and DMSO ratio and results are summarised below, results reveals that all the compounds shows similar absorption and emission pattern in all the mixtures (Table 3).

Time Dependent Density Functional Theory

#### Structural Properties of Compounds 5-7

The structural changes due to ESIPT phenomenon in terms of bond angle, bond distances and geometry are investigated with the help of the optimized geometry at the B3LYP/6-31G(d) level of theory as shown in Table 4, 5 and 6. It clearly indicates that due to the intra-molecular hydrogen bonding the molecule has a six-member ring conformation in excited state. The molecular structures like stoichiometry, framework group, degree of freedom and point group of compounds remain the same in both the enol and keto forms Tables 4, 5 and 6 for all compounds. In compound 5 bond length [ $\mathbf{R}_{\text{Enol}}$  ( $\mathbf{O}_7$ - $\mathbf{C}_5$ ); 1.341)] and [ $\mathbf{R}_{\text{Keto}}$  ( $\mathbf{O}_7$ - $\mathbf{C}_5$ ); 1.271] and bond angle [ $\mathbf{A}_{\text{Enol}}$  ( $\mathbf{N}_{13}$ - $\mathbf{C}_9$ - $\mathbf{N}_4$ ); 128 and  $\mathbf{A}_{\text{keto}}$  ( $\mathbf{N}_{13}$ - $\mathbf{C}_9$ - $\mathbf{N}_4$ ); 123] differ from each other in enol and keto form

Table 2 Absorption and fluorescence spectra of compound 5-7 at various pH

| pН | Compound 5  |  | Compound 6  |  | Compound 7   |  |
|----|---|--|---|--|--|--|
|    | $\lambda_{\max}$ , nm<br>( $\epsilon$ , L. mol <sup>-1</sup> . cm <sup>-1</sup> ) | λ <sub>ems</sub> , nm<br>(intensity, a.u.) | $\lambda_{\max}$ , nm<br>( $\epsilon$ , L. mol <sup>-1</sup> . cm <sup>-1</sup> ) | λ <sub>ems</sub> , nm<br>(intensity, a.u.) | $\lambda_{\max}$ , nm<br>( $\epsilon$ , L. mol <sup>-1</sup> .cm <sup>-1</sup> ) | λ <sub>ems</sub> , nm<br>(intensity, a.u.) |
| 7  | 315 (0.786)   | 410 (511)                                  | 291 (0.323)<br>336 (0.392)  | 450 (186)                                  | 294 (0.405)<br>345 (0.552)   | 404 (722)                                  |
| 8  | 291 (0.562)<br>315 (0.735)  | 410 (475)                                  | 291 (0.331)<br>330 (0.443)  | 450 (57)                                   | 291 (0.398)<br>315 (0.450)   | 416 (223)                                  |
| 9  | 294 (0.612)<br>330 (0.703)  | 398 (380)                                  | 291 (0.297)<br>333 (0.423)  | 448 (153)                                  | 291 (0.420)<br>330 (0.465)   | 408 (305)                                  |
| 10 | 294 (0.777)<br>339 (0.779)  | 404 (235)                                  | 294 (0.338)<br>336 (0.466)  | 448 (226)                                  | 291 (0.408)<br>330 (0.519)   | 406 (372)                                  |
| 11 | 294 (0.681)<br>342 (0.822)  | 404 (239)                                  | 294 (0.339)<br>375 (0.589)  | 443 (223)                                  | 294 (0.376)<br>351 (0.512)   | 400 (481)                                  |
| 12 | 294 (0.735)<br>342 (0.870)  | 400 (249)                                  | 294 (0.354)<br>375 (0.604)  | 450 (214)                                  | 294 (0.342)<br>351 (0.483)   | 400 (454)                                  |
| 13 | 294 (0.727)<br>342 (0.879)  | 406 (249)                                  | 294 (0.305)<br>375 (0.569)  | 450 (236)                                  | 294 (0.405)<br>345 (0.552)   | 404 (722)                                  |

 $\lambda_{max}$  = absorption maxima;  $\lambda_{ems}$  = emission maxima;  $\varepsilon$  = molar extinction coefficient

Fig. 4 Absorption and fluorescence spectra of compound 5 Vs pH



respectively, change in bond length clearly indicates ESIPT phenomenon observed in synthesised molecules. Similar trends observed for molecules 6 and 7. Compounds 5–7 are roughly planar in enol and keto forms with dihedral angle is apprometly 0° between two rings and facilitate excited state intra-molecular hydrogen transfer. In the enol form lone pair present on oxygen is involved in resonance. In this process single bond character is converted in to double bond character, bond length between O-H decreases as compared to keto form, this trend observed in all compounds. In ESIPT compounds change in bond length, bond angle and dihedral angle due to excited state intramolecular phenomenon for all compounds were summarised in Tables 4, 5 and 6. The bond length O-C of hydroxy is different for the enol and keto forms, the change in bond length clearly indicates that hydrogen is transferred in excited state and the enol form is (single bond, C-O) converted in keto form (double bond, C =O) by ESIPT. For the ESIPT process, the geometry between two rings is also very important.

# *Vertical Excitation and Emission Study of Compounds 5–7 in Water and DMSO*

Compounds are fluorescent in solution on irradiation with UV light. Photophysical properties of compounds 5–7 were evaluated in water and DMSO solvents. Observed absorption and fluorescence properties were compared with absorption and emission obtained by DFT and TDDFT. Experimental observed absorption values of compounds are well in agreement with computed vertical excitation obtained by DFT. Compounds

Fig. 5 Absorption and fluorescence spectra of compound 6 Vs pH

shows single emission in between 404–410 nm. Experimental emissions were compared with TDDFT emission. The difference between experimental mission and TD DFT emission is 40 nm. Compounds show dual emission in DMSO, short emission is well agreement with TDDFT emission. In water as well as in DMSO excitation is from HOMO-LUMO with almost same population. Observed absorption, emission, computed vertical excitations and emission are summarized in Table 7.

# **Experimental**

# General

All commercial reagents and solvents were procured from s. d. fine chemicals (India) and were used without purification. The reactions were monitored by TLC using on 0.25 mm E-Merck silica gel 60 F<sub>254</sub> precoated plates, which were visualized with UV light. Melting points were measured on standard melting point apparatus from Sunder industrial product Mumbai and are uncorrected. The FT- IR spectra were recorded on a Perkins-Elmer 257 spectrometer using KBr discs. <sup>1</sup>H NMR spectra were recorded on VXR 300-MHz instrument using TMS as an internal standard. LC-MS were recorded on FINNIGAN LCQ ADVANTAGE MAX instrument from Thermo Electron Corporation (USA). The absorption spectra of the compounds were recorded on a Spectronic Genesys 2 UV-Visible spectrophotometer; UV-Visible emission spectra were recorded on JASCO – FP 1520.







# Computational Methods

Compounds 5–7 were studied to find out electronic state and energy level by DFT computation methods. The ground state geometry of the compounds 5–7 in their C<sub>s</sub> symmetry were optimized using the tight criteria in the gas phase using Density Functional Theory (DFT) [49]. The functional used was B3LYP. The B3LYP method combines Becke's three parameter exchange functional (B3) [50] with the nonlocal correlation functional by Lee, Yang and Parr (LYP) [51]. The basis set used for all atoms was 6-31G(d) [52–54]. The vibrational frequencies at the optimized structures were computed using the same method to verify that the optimized structures correspond to local minima on the energy surface. The vertical excitation energies at the ground-state equilibrium geometries were calculated with TD-DFT [55]. The low-lying first singlet excited state ( $S_1$ ) of each tautomer was relaxed using the TD-DFT to obtain its minimum energy geometry. The difference between the energies of the optimized geometries at the first singlet excited state and the ground state was used in computing the emissions [56, 57]. All electronic structure computations were carried out using the Gaussian 09 program [58].

Table 3 Effect of solvent polarity on absorption and emission properties of synthesized compounds

| Compounds | Solvent ratio (Water/DMSO) | $\lambda_{max},nm$ | $\lambda_{ems}, nm$ | Stokes shift, cm <sup>-1</sup> |
|-----------|----------------------------|--------------------|---------------------|--------------------------------|
| 5         | 20 % Water: 80% DMSO       | 319                | 358                 | 3415                           |
|           |                            |                    | 446                 | 8926                           |
|           | 40 % Water: 60% DMSO       | 319                | 355                 | 3179                           |
|           |                            |                    | 440                 | 8621                           |
|           | 60 % Water: 40% DMSO       | 316                | 356                 | 3556                           |
|           |                            |                    | 432                 | 8497                           |
|           | 80 % Water: 20 % DMSO      | 316                | 356                 | 3556                           |
|           |                            |                    | 420                 | 7836                           |
| 6         | 20 % Water: 80% DMSO       | 334                | 389                 | 4233                           |
|           |                            |                    | 443                 | 7367                           |
|           | 40 % Water: 60% DMSO       | 334                | 358                 | 2007                           |
|           |                            |                    | 453                 | 7865                           |
|           | 60 % Water: 40% DMSO       | 334                | 389                 | 4233                           |
|           |                            |                    | 447                 | 7569                           |
|           | 80 % Water: 20 % DMSO      | 334                | 390                 | 4299                           |
|           |                            |                    | 443                 | 7367                           |
| 7         | 20 % Water: 80% DMSO       | 322                | 358                 | 3123                           |
|           |                            |                    | 411                 | 6725                           |
|           | 40 % Water: 60% DMSO       | 319                | 363                 | 3800                           |
|           |                            |                    | 428                 | 7983                           |
|           | 60 % Water: 40% DMSO       | 334                | 389                 | 4233                           |
|           |                            |                    | 447                 | 7569                           |
|           | 80 % Water: 20 % DMSO      | 319                | 355                 | 3179                           |
|           |                            |                    | 416                 | 7310                           |
|           |                            |                    |                     |                                |

| J | Fluorese |
|---|----------|
| J | Fluoresc |

# **Table 4** Structural properties of compound 5

| Properties                       | 5a (Enol)   |             | 5b (Keto)  |             |
|----------------------------------|---|-------------|--|-------------|
| Stoichiometry<br>Framework group | $\begin{array}{l} C_{13}H_{10}N_{2}O_{2}\\ C_{1}(\times(C_{13}H_{10}N_{2}O_{2})\end{array}$ |             | $C_{13}H_{10}N_2O_2$<br>$C_1(\times(C_{13}H_{10}N_2O_2)$ |             |
| Point group                      |   |             |  |             |
| Bond distances                   | Bond  | Bond length | Bond   | Bond length |
|                                  | $R(O_7-C_5)$  | 1.341       | $R(O_7-C_5)$   | 1.271       |
|                                  | $R(N_{13}-C_9)$   | 1.328       | $R(N_{13}-C_9)$  | 1.354       |
|                                  | $R(C_1-O_8)$  | 1.363       | $R(C_1-C_8)$   | 1.364       |
| Bond angle                       | Angle   | Bond angle  | Angle  | Bond angle  |
|                                  | $A(N_{13}-C_9-C_4)$   | 123         | $A(N_{13}-C_9-C_4)$                                      | 123         |
|                                  | $A(O_7-C_5-C_4)$  | 122         | $A(O_7-C_5-C_4)$   | 122         |
| Dihedral angle                   | $A(N_{13}-C_9-C_4-C_5)$   | 0.01        | $A(N_{13}-C_{9}-C_{4}-C_{5})$                            | 0.00        |

Bond angle in degree,

Bond length in ° A Unit

| Properties      | 6a (Enol)                                      |             | 6b (Keto)  |             |
|-----------------|--|-------------|--|-------------|
| Stoichiometry   | C <sub>13</sub> H <sub>9</sub> NO <sub>3</sub> |             | C <sub>13</sub> H <sub>9</sub> NO <sub>3</sub>                     |             |
| Framework group | $C_1(\times(C_{13}H_9NO_3)$                    |             | $C_1(\times(C_{13}H_9NO_3))$                                       |             |
| Point group     | $C_1$  |             | $C_1$  |             |
|                 |  |             |  |             |
| Bond distances  | Bond   | Bond length | Bond   | Bond length |
|                 | $R(O_{16}-C_3)$                                | 1.343       | $R(O_{16}-C_3)$  | 1.270       |
|                 | $R(N_8-C_7)$                                   | 1.311       | $R(N_8-C_7)$   | 1.345       |
|                 | $R(C_1 - O_{17})$                              | 1.362       | $R(C_1-O_{17})$  | 1.364       |
| Bond angle      | Angle  | Bond angle  | Angle  | Bond angle  |
|                 | $A(N_8-C_7-C_4)$                               | 126         | $A(N_8-C_7-C_4)$   | 124         |
|                 | $A(C_4-C_3-O_{16})$                            | 122         | $A(C_4-C_3-O_{16})$  | 122         |
| Dihedral angle  | $A(N_8-C_7-C_4-C_3)$                           | 0.00        | A(N <sub>8</sub> -C <sub>7</sub> -C <sub>4</sub> -C <sub>3</sub> ) | 0.01        |

# **Table 5** Structural properties of compound 6

Bond angle in degree,

Bond length in ° A Unit

Table 6 Structural properties of compound 7

| Properties      | 7a (Enol)   |             | 7b (Keto)   |             |
|-----------------|---|-------------|---|-------------|
| Stoichiometry   | C <sub>13</sub> H <sub>9</sub> NSO <sub>2</sub>     |             | C <sub>13</sub> H <sub>9</sub> NSO <sub>2</sub>     |             |
| Framework group | $C_1(\times(C_{13}H_9NSO_2))$                       |             | $C_1(\times(C_{13}H_9NSO_2))$                       |             |
| Point group     | $C_1$   |             | C <sub>1</sub>                                      |             |
|                 |   |             |   |             |
| Bond distances  | Bond  | Bond length | Bond  | Bond length |
|                 | R(N <sub>10</sub> -C <sub>9</sub> )                 | 1.311       | $R(N_{10}-C_9)$                                     | 1.347       |
|                 | $R(O_7-C_4)$  | 1.341       | $R(O_7-C_4)$  | 1.270       |
|                 | $R(C_2-O_8)$  | 1.361       | $R(C_2-O_8)$  | 1.361       |
| Bond angle      | Angle   | Bond angle  | Angle   | Bond angle  |
|                 | A(N <sub>10</sub> -C <sub>9</sub> -C <sub>5</sub> ) | 123         | A(N <sub>10</sub> -C <sub>9</sub> -C <sub>5</sub> ) | 122         |
|                 | $A(C_4-C_5-C_9)$                                    | 119         | $A(C_4-C_5-C_9)$                                    | 117         |
|                 | $A(O_7-C_4-C_5)$                                    | 122         | $A(O_7-C_4-C_5)$                                    | 122         |
| Dihedral Angle  | $A(N_{10}-C_9-C_5-C_4)$                             | 0.00        | $A(N_{10}-C_9-C_5-C_4)$                             | 0.00        |

Bond angle in degree,

Bond length in ° A Unit

### Relative Quantum Yield Calculations

Quantum yield of synthesized compounds in different solvents were calculated by using anthracene as reference standard in different solvents using the comparative method [59–63]. Absorption and emission characteristics of the standard and the compounds in polar as well as non-polar solvents were measured at different concentrations at (2, 4, 6, 8, and 10 ppm level). Emission intensity values were plotted against absorbance values and linear plots were

Table 7 Observed UV-visible absorption, emission and computed vertical excitation and emission of compounds 5-7 in water and DMSO

| Compd          | Experimental absorption, $\lambda_{max}$ , nm | Verti<br>excit<br>(TDI | ical<br>tation<br>DFT) | f    | % Deviation | Assignment   | Observed emission, $\lambda_{ems}$ , nm | Stokes shift, cm <sup>-1</sup> | TD-DFT emission, $\lambda_{ems}$ , nm |
|----------------|---|------------------------|------------------------|------|-------------|--------------|---|--------------------------------|---------------------------------------|
|                |   | nm                     | eV                     |      |             |              |   |                                |                                       |
| 5 <sup>a</sup> | 315   | 307                    | 4.0257                 | 0.63 | 2.5         | H→L (0.6325) | 410                                     | 7356                           | 367                                   |
| 6 <sup>a</sup> | 336   | 321                    | 3.9801                 | 0.73 | 4.4         | H→L (0.7019) | 450                                     | 7540                           | 375                                   |
| 7 <sup>a</sup> | 294   | 333                    | 3.7207                 | 0.75 | 13          | H→L (0.6463) | 404                                     | 9261                           | 357                                   |
| 5 <sup>b</sup> | 311   | 311                    | 3.9741                 | 0.81 | 0.0         | H→L (0.6530) | 360°<br>446 <sup>d</sup>                | 4377<br>9733                   | 367                                   |
| 6 <sup>b</sup> | 334   | 315                    | 3.9305                 | 0.88 | 5.6         | H→L (0.6469) | 366 <sup>c</sup><br>446 <sup>d</sup>    | 2618<br>7519                   | 375                                   |
| 7 <sup>b</sup> | 322   | 335                    | 3.6941                 | 0.80 | 4.0         | H→L (0.6502) | 381 <sup>c</sup><br>384 <sup>d</sup>    | 4809<br>5014                   | 394                                   |

<sup>a</sup> Absorption and emission were measured in Water solvent

<sup>b</sup> Absorption and emission were measured in DMSO solvent

<sup>c</sup> Observed emission at shorter wavelength

<sup>d</sup>Observed emission at longer wavelength

f: Oscillator Strength

obtained. Gradients were calculated for compounds in each solvent and for the standards. All the measurements were done by keeping the parameters constant such as solvent and slit width. Relative quantum yields of synthesized compounds in different solvents were calculated by using Eq. 1[59–63].

$$\phi_x = \Phi_{st} \times \frac{Grad_x}{Grad_{st}} \times \frac{\eta_x^2}{\eta_{st}^2} \tag{1}$$

Where:

| $\Phi_x$ | Quantum | yield | of coi | npound |  |
|----------|---------|-------|--------|--------|--|
|          |         |       |        |        |  |

- $\Phi_{st}$  Quantum yield of standard sample
- Grad<sub>x</sub> Gradient of compound
- Grad<sub>st</sub> Gradient of standard sample
- $\eta_x$  Refractive index of solvent used for synthesized compound
- $\eta_{st}$  Refractive index of solvent used for standard sample

Synthesis of Compounds 5-7

*Synthesis of 4-(1H-benzo[d]imidazol-2-yl)benzene-1,3-diol* (5)

2,4-Dihydroxy benzoic acid 1 (10 g, 64.9 mmol), and *o*phenylenediamine 2 (7.01 g, 64.9 mmol) were mixed in polyphosphoric acid (121.94 g). The mixture was stirred at 250 °C for 4 h and then it was allowed to cool at room temperature, poured into 1,200 mL ice-cold water with constant stirring. Dark brown precipitate was obtained which was filtered and dissolved in cold solution of 10 % Na<sub>2</sub>CO<sub>3</sub> (15.75 g dissolved in 137 mL of water) acidified with 1:1 HCl (40 mL) at 10 °C. This solution was kept overnight at 0 °C to give the final product **5**. Compound was recrystallized from ethanol.

Yield 54 %, Melting point = >300 °C.

<sup>1</sup>H NMR (CD<sub>3</sub>)<sub>2</sub>SO: δ 6.88 (d, 1H, *J*=6.4 Hz), 6.88 (d, 1H, *J*=6.4 Hz), 7.05 (s, 1H), 7.34 (s, 2H), 7.71 (s, 2H), 8.07 (s, 1H), 11.2 (s, 1H) ppm.

**FT-IR (KBr):** 3438 (phenolic O-H), 3322 (N-H), 1651 (imine C = N), 1571 (aromatic C = C), 1516, 1421, 1389, 1203 (phenol C-O) cm<sup>-1</sup>.

Mass: m/z 226 (M+).

#### Synthesis of (1H-benzo[d]thiazole-2-yl)benzene-1,3-diol (6)

2,4-Dihydroxy benzoic acid 1 (10 g, 64.9 mmol), and *o*aminothiophenol 3 (8.11 g, 64.9 mmol) were mixed in polyphosphoric acid (121.94 g). The mixture was stirred at 150 °C for 4 h and then it was allowed to cool to room temperature, poured into 1,200 mL ice-cold water with constant stirring. Dark green precipitate was obtained. It was filtered and dissolved in cold solution of 10 % Na<sub>2</sub>CO<sub>3</sub> (15.75 g dissolved in 137 mL of water) acidified with 1:1 HCl (40 mL) at 10 °C. This solution was kept overnight at 0 °C to give the product **6**. Compound was recrystallized from ethanol.

Yield: 61 %, Melting point = >300 °C.

<sup>1</sup>**H-NMR (CD<sub>3</sub>)<sub>2</sub>SO**: δ 6.25 (m, 2H), 6.82 (d, 1H *J*=8.9 Hz), 6.95 (d, 1H *J*=9.1 Hz), 7.59 (d, H), 7.82 (m, 2H). 10.60 (s, 2H) ppm.

**FT-IR (KBr<sup>1</sup>)** : 3341 (phenolic O-H stretching), 1696 (amide C = O), 1651 (imine C = N), 1583 (aromatic C = C), 1501, 1448, 1422, 1215 (phenol C-O) cm<sup>-1</sup>.

**MASS:** m/z: 243 (M<sup>+</sup>).

# Synthesis of (1H-benzo[d]oxazole-2-yl)benzene-1,3-diol (7)

2,4-Dihydroxy benzoic acid 1 (10 g, 64.9 mmol), and *o*aminophenol 4 (7.07 g, 64.9 mmol) were mixed in polyphosphoric acid (121.94 g). The mixture was stirred at 150 °C for 4 h and then it was allowed to cool to room temperature, poured into 1,200 mL ice-cold water with constant stirring. Dark green precipitate was obtained. It was filtered and dissolved in cold solution of 10 % Na<sub>2</sub>CO<sub>3</sub> (15.75 g dissolved in 137 mL of water) acidified with 1:1 HCl (40 mL) at 10 °C. This solution was kept overnight at 0 °C to give the product 7. Compound was recrystallized from ethanol.

Yield: 63 %, Melting point = >300 °C.

<sup>1</sup>H-NMR (CD<sub>3</sub>)<sub>2</sub>SO:  $\delta$  6.87 (s, 1H), 6.9 (s, 2H), 7.56 (d, H, *J*=7.3 Hz), 7.89 (d, H, *J*=7.3 Hz), 8.2 (s, 2H), 11.71(s, 1H) ppm.

**FT-IR (KBr)** : 3315 (phenolic O-H stretching), 1679 (amide C = O), 1647 (imine C = N), 1611 (aromatic C = C), 1567, 1237 (phenol C-O) cm<sup>-1</sup>.

**MASS:** m/z: 227 (M<sup>+</sup>).

#### Conclusion

Novel dual emitting 2-substituted benzimidazole, benzothiazole and benzoxazole were synthesised. The photophysical properties, absorption and emission of the compounds 5–7 in DMF were obtained experimentally and correlated with the computational results. Computed absorption and emission of the compounds are in good agreement with the experimental results. Structural properties of the compounds were evaluated for the keto as well as enol form, and the results clearly indicate that the ESIPT process is observed in the present compounds. The effect of pH and polarity of solvents on the photophysical properties were studied at pH range 7–13 and the results reveal that the compounds are sensitive towards pH and solvent polarity.

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