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Antioxidant Properties of Heterocyclic Intermediates of the Maillard Reaction and Structural Related Compounds

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1 ABSTRACT

2 It is well established that a wide range of reductones is formed in the course of the
3 Maillard reaction and that these substances contribute to the oxidative stability of food. The
4 aim of this study was to analyze twelve important heterocyclic intermediates with and without
5 reductone structure as well as structural related substances under equal conditions to compare
6 their antioxidant properties in detail. For this purpose, five methods were selected including
7 photometrical methods like the trolox equivalent antioxidant capacity assay and an electron
8 paramagnetic resonance spectroscopic method. Reductones with furan-3-one structure and
9 2,3-dihydro-3,5-dihydroxy-6-methyl-4*H*-pyran-4-one were reducing in all assays while
10 isomaltol and maltol did not react in assays based on the reduction of metal ions because of
11 their complexing abilities. The introduction of protecting groups to the free hydroxyl
12 functions of selected reductones could nearly eliminate their reducing abilities. In addition,
13 the oxidation products of the different reductive heterocycles were compared after treatment
14 with iodine. Mainly short-chained organic acids like lactic, glycolic and glyceric acid are
15 formed as result of the degradation which indicate 1,3-dicarbonyl cleavage reactions of
16 corresponding tricarbonyl compounds as intermediates of the oxidation.

17

18 KEYWORDS

19 Maillard reaction; antioxidant; prooxidant; reductone; heterocyclic compound

20 INTRODUCTION

21 Most foods are treated with heat during their production or preservation, which leads to the
22 thermal degradation of reducing carbohydrates in the corresponding food matrices, especially
23 in the presence of amino compounds like amino acids or proteins.¹⁻³ The multitude of
24 reactions taking place under the described circumstances is commonly summarized under the
25 term Maillard reaction. Products of this complex reaction cascade exhibit color, flavor, taste
26 and texture impressions that the consumer typically associates with certain foods.

27 Beside their significance for organoleptic characteristics Maillard reaction products are
28 known for their functional abilities such as their complexing⁴⁻⁸ or reducing⁹⁻¹⁴ properties,
29 which can take influence on the oxidative stability of different foods. In roasting processes
30 during the production of beer,¹⁵ coffee^{16; 17} and cacao^{18; 19} antioxidants and prooxidants are
31 formed through degradation of carbohydrates to a relevant extent. In literature, the reductive
32 potential of Maillard reaction mixtures is mostly traced back to a substance class called
33 reductones, a term either used without structural relation or to describe a structure with an
34 enediol next to a carbonyl (structure **1** in Figure 1). The latter can react analogous to ascorbic
35 acid and consequently protect sensitive substrates from oxidation agents or radicals through
36 an oxidation reaction (**A**) to the corresponding tricarbonyl compound **2**, which defines them
37 as antioxidants. But again in analogy to ascorbic acid they are capable of reducing metal ions
38 such as copper(II) or iron(III) (**B**). This behavior may eliminate these ions as oxidizers,
39 however, unlike other oxidizing agents their reduced form is not inactive, but generates
40 reactive oxygen species (ROS) under aerobic conditions in a Fenton like reaction (**C**).²⁰⁻²²
41 Thus, reductones can create a prooxidative milieu in presence of metal ions, but like indicated
42 in Figure 1, they are capable of compensating their self-induced ROS generation at the
43 expense of their antioxidant capacity.

44 Our previous investigations¹⁴ showed that early Maillard intermediates with potential
45 reductone isomers such as the 1,2-dicarbonyl compounds 1-deoxyglucosone and glucosone
46 exhibit reducing and radical scavenging properties, but the heterocyclic intermediate maltol
47 was reacted considerably faster. Therefore, the aim of the present work was to investigate the
48 antioxidant properties of important heterocyclic Maillard reaction products of different
49 substance classes in comparison to structural related compounds. An overview of the
50 examined substances is given in Figure 2.

51 Most of the used Maillard intermediates are successors of 1,2-dicarbonyls and some carry
52 over the reductone structure of their precursors. In these particular compounds, one of the
53 hydroxyl functions of the reductone is involved in an ether bridge, for this reason they are
54 called reductone ethers.²³ 1-deoxyglucosone is known to form a wide range of different
55 heterocyclic degradation products, for example furans like 2-acetylfuran **5**²⁴ and isomaltol,²⁵
56 furan-3-ones like norfuraneol **10**²⁶ and furaneol **11a**²⁴ or pyran-4-ones like 2,3-dihydro-3,5-
57 dihydroxy-6-methyl-4*H*-pyran-4-one (DHHM) **16**.^{2; 26} The corresponding 1-deoxyosone
58 derivatives of maltose and lactose degrade additionally to the pyran-4-one maltol **13a**²⁷ and
59 the furan *O*-galactosylisomaltol **6a**.^{28; 29} But the main degradation products of 1-deoxyosones
60 derivatives of mono- and disaccharides are the pyran-4-ones **16** and **13a**, respectively. In
61 contrast, osones and 3-deoxyosones form a smaller product range and the main degradation
62 pathways lead to furans in both cases: furfural **3** and HMF **4**, respectively.² 2-acetylpyrrole **7**
63 as the only pyrrole structure in this selection is formed by 3-deoxyosones.²⁴ Several of the
64 named compounds are also formed through aldol reactions of short-chained degradation
65 products of C6-1,2-dicarbonyls, for example **4** from methylglyoxal and glyceric aldehyde³⁰ or
66 **11a** from methylglyoxal.³¹ The formation of sotolon **8** and abhexon **9**, which show strong
67 structural similarities to **11a** and **12**, is described in literature^{32; 33} via aldol reaction or
68 condensation from different acids and aldehydes.

69 Besides these Maillard reaction products, structural related substances were analyzed in
70 comparison, like **12** and **14** as ethyl derivatives of **11a** and **13a** or acetyl protected derivatives
71 of different reductone ethers like **6b**, **11b** and **13b**. Kojic acid **15** and deferiprone **17** were
72 included for their structural similarities to **13a**.

73 Up to now, the majority of studies on antioxidant Maillard reaction intermediates did focus
74 on reaction mixtures or fractions of these and only a small amount did analyze isolated
75 substances. The results of these publications are hard to compare and consequently, the
76 antioxidant properties of many important compounds are only partially described. Therefore,
77 we analyzed all the substances mentioned above under identical conditions with an array of
78 five methods in comparison to ascorbic acid to overcome the described shortcomings.
79 Additionally, we investigated the oxidation products of selected reductone ethers and
80 postulated an according reaction pathway. These results might help in understanding the
81 effects of Maillard reaction products on the oxidative stability of food.

82 **MATERIALS AND METHODS**

83 **Chemicals.** 2-acetylfuran, 5-hydroxymethylfurfural, acetyl chloride, deuterium oxide,
84 dichloromethane-d₂, ethylmaltol, furaneol, glycolic acid, L-ascorbic acid, piperidine and
85 propionic acid were purchased from Acros Organics (Geel, Belgium); aqueous hydrogen
86 chloride solution (1 mol/L) and hydrogen chloride (37 %) were purchased from Bernd-Kraft-
87 GmbH (Duisburg, Germany); methanol-d₄ was purchased from Euriso-top (Paris, France);
88 ammonium acetate and petroleum ether were purchased from Fisher Scientific (Hagen,
89 Germany); aqueous sodium hydroxid solution (1 mol/L), formic acid, iron(III) nitrate
90 nonahydrate, lactose monohydrate, potassium dihydrogen phosphate, potassium hydrogen
91 phosphate, silica gel 60, sodium carbonate and sodium chloride were purchased from Merck
92 (Darmstadt, Germany); acetic acid, aqueous iodine reference solution (0,05 mol/L), D-
93 glucose, hexane and sodium sulfate were purchased from Roth (Karlsruhe, Germany); 1,10-
94 phenanthroline, 2-acetylpyrrole, abhexone, ammonium 2,2'-azino-bis(3-ethylbenzothiazoline-
95 6-sulphonate), acetic anhydride, copper(II) sulfate pentahydrate, deferiprone, ethylfuraneol,
96 Folin-Ciocalteu reagent (2 mol/L), furfural, hemicalcium glycerate dehydrate, kojic acid,
97 maltol, mesifuran, trimethylsilyl chloride/*N,O*-bis(trimethylsilyl)trifluoroacetamide (1:99,
98 v/v), norfuraneol, *N-tert*-butyl- α -phenylnitron, 6-hydroxy-2,5,7,8-tetramethylchroman-2-
99 carboxylic acid, potassium nitrosodisulfonate, potassium persulfate, sodium
100 bathocuproinsulfonate, sodium lactate, sotolone and β -galactosidase (13,4 units/mg) were
101 purchased from Sigma-Aldrich (Steinheim, Germany); dodecyl chloroformate was purchased
102 from TCI chemicals (Eschborn, Germany); 2-propanol, ethanol, ethyl acetate, methanol and
103 trichloromethane were purchased from VWR chemicals (Darmstadt, Germany).

104 **2,3-dihydro-3,5-dihydroxy-6-methyl-4H-pyran-4-one (DHHM 16).** The synthesis of **16**
105 was carried out as described by Kim et al.²⁵, Voigt et al.³⁴, Davidek et al.³⁵ with minor
106 modifications. 36.03 g D-glucose (0.2 mol) and 19.8 mL piperidine (0.2 mol) were solved in

107 150 mL ethanol and stirred for 90 min at 90 °C. A mixture of 11.4 mL glacial acetic acid
108 (0.2 mol) and 30 mL ethanol was added dropwise through the reflux condenser. The reaction
109 mixture was stirred for 22 h under reflux, concentrated under reduce pressure to one third of
110 the initial volume, filtered and the residue was washed with 150 mL 2-propanol. The solvent
111 of the filtrate was removed in vacuum and the residue was taken up in 200 mL water. The pH
112 value was adjusted to 4 after addition of 60 g sodium chloride. The solution was extracted
113 overnight with 400 mL ethyl acetate in a rotational perforator at room temperature. The
114 organic layer was dried over sodium sulfate and the solvent was removed under reduced
115 pressure. The crude product was cleaned up with column chromatography (silica gel 60; ethyl
116 acetate/petroleum ether, 1:1, v/v) and vacuum distillation (0.1 mbar; oil bath at 180 °C). The
117 product fraction between 120 and 127 °C was taken up in 50 mL diethyl ether and stored at –
118 20 °C. The product crystallized overnight, was filtered off and again taken up in 20 mL
119 diethyl ether. After storage at –20 °C 1.993 mg (13.4 mmol; 7 %) of the product in form of
120 beige crystals were obtained overnight. ¹H-NMR (500 MHz, methanol-d₄): δ (ppm) = 2.03 (s,
121 3H); 4.07 (dd, *J* = 11.15 Hz; *J* = 9.60 Hz, 1H); 4.17 (dd, *J* = 9.63 Hz; *J* = 4.68 Hz, 1H); 4.32
122 (dd, *J* = 11.18 Hz; *J* = 4.68 Hz, 1H). ¹³C-NMR (125 MHz, methanol-d₄): δ (ppm) = 15.5;
123 69.0; 72.7; 132.8; 161.1; 189.2. GC-MS: *t*_R = 24.30 min; *m/z* = 144 [M⁺, 57 %], 101 (49), 73
124 (31), 55 (24), 43 (100).

125 ***O*-galactosylisomaltol (6c).** **6c** was synthesized according to Fox et al.³⁶ ¹H-NMR
126 (500 MHz, deuterium oxide): δ (ppm) = 2.35 (s, 3H) 6.54 (d, *J* = 2.12 Hz, 1H); 3.71–3.74 (m,
127 3H); 3.81–3.86 (m, 2H); 3.96 (d, *J* = 3.35 Hz, 1H); 5.06 (d, *J* = 7.80 Hz, 1H); 6.62 (d,
128 *J* = 2.05, 1H) 7.62 (d, *J* = 2.05 Hz, 1H). ¹³C-NMR (125 MHz, deuterium oxide): δ (ppm) =
129 26.1; 60.7; 68.3; 70.2; 72.5; 75.9; 101.9; 104.4; 137.5; 148.7; 154.1; 189.2. ESI-MS:
130 *m/z* = 289 [M+H, 2 %], 127 [isomaltol+H, 100 %], 311 [M+Na, 91 %], 327 [M+K, 28 %],
131 306 [M+NH₄, 3 %].

132 **Isomaltol (6a).** **6a** was synthesized from **6c** according to Fox et al.³⁶ β -galactosidase was
133 used for the cleavage of **6c** instead of defatted almond meal. $^1\text{H-NMR}$ (500 MHz,
134 dichloromethane- d_2): δ (ppm) = 2.42 (s, 3H); 6.34 (d, J = 2.04 Hz, 1H); 7.36 (d, J = 2.03 Hz,
135 1H). $^{13}\text{C-NMR}$ (125 MHz, dichloromethane- d_2): δ (ppm) = 24.4; 104.7; 137.0; 146.2; 156.7;
136 189.8. GC-MS: t_{R} = 18.50 min; m/z = 126 [M^+ , 68 %], 111 (100), 83 (8), 55 (23), 43 (28).

137 **Acetylation of Reductones.** All acetylation reactions were performed as described by
138 Sasaki et al.³⁷ for furaneol. Esterifications with acetic anhydride were carried out at 80 °C for
139 6 h and esterifications with acetyl chloride at room temperature for 3 h.

140 **O-Acetylisomaltol (6b).** Acetyl chloride was used as acetylation reagent and furaneol **11a**
141 was replaced by **6a**. Purification of the crude product was done by column chromatography
142 (silica gel 60; ethylacetate/petroleum ether, 1:1, v/v). $^1\text{H-NMR}$ (500 MHz, dichloromethane-
143 d_2): δ (ppm) = 2.35 (s, 3H); 2.45 (s, 3H); 6.67 (d, J = 2.00 Hz, 1H); 7.52 (d, J = 2.00 Hz, 1H).
144 $^{13}\text{C-NMR}$ (125 MHz, dichloromethane- d_2): δ (ppm) = 20.6; 26.8; 108.6; 140.8; 143.1; 144.5;
145 167.2; 185.4. GC-MS: t_{R} = 26.02 min; m/z = 168 [M^+ , 7 %], 126 (54), 111 (77), 43 (100), 42
146 (5).

147 **O-Acetylfuraneol (11b).** Acetyl chloride was used as acetylation reagent. Purification of
148 the crude product was done by column chromatography (silica gel 60; ethylacetate/petroleum
149 ether, 3:7, v/v). $^1\text{H-NMR}$ (500 MHz, deuterium oxide): δ (ppm) = 1.44 (d, J = 7.25 Hz, 3H);
150 2.22 (s, 3H); 2.27 (s, 3H); 4.83 (q, J = 7.10 Hz, 1H). $^{13}\text{C-NMR}$ (125 MHz, deuterium oxide):
151 δ (ppm) = 13.6; 15.3; 19.5; 82.8; 128.2; 171.0; 185.5; 199.2. GC-MS: t_{R} = 25.88 min;
152 m/z = 170 [M^+ , 5 %], 128 (32), 85 (38), 72 (10), 57 (28), 43 (100).

153 **O-Diacetylfuraneol (11c).** Acetic anhydride was used as acetylation reagent. Purification
154 of the crude product was done by column chromatography (silica gel 60;
155 ethylacetate/petroleum ether, 1:1, v/v). $^1\text{H-NMR}$ (500 MHz, dichloromethane- d_2):
156 δ (ppm) = 2.16 (s, 3H); 2.24 (s, 3H). $^{13}\text{C-NMR}$ (125 MHz, dichloromethane- d_2):

157 δ (ppm) = 10.9; 20.0; 128.0; 138.0; 167.8. GC-MS: t_R = 27.81 min; m/z = 212 [M^+ , 17 %],
158 170 (35), 128 (99), 127 (26), (31), 43 (100).

159 **O-Acetylmaltol (13b).** Acetic anhydride was used as acetylation reagent and furaneol **11a**
160 was replaced by maltol **13a**. Purification of the crude product was done by column
161 chromatography (silica gel 60; ethylacetate). $^1\text{H-NMR}$ (500 MHz, deuterium oxide):
162 δ (ppm) = 2.31 (s, 3H); 2.34 (s, 3H); 6.53 (d, J = 5.65 Hz, 1H); 8.06 (d, J = 5.65 Hz, 1H). $^{13}\text{C-}$
163 NMR (125 MHz, deuterium oxide): δ (ppm) = 14.5; 19.6; 115.5; 137.3; 157.5; 163.4; 171.0;
164 175.0. GC-MS: t_R = 28.33 min; m/z = 168 [M^+ , 4 %], 126 (100), 71 (33), 55 (17), 43 (87).

165 **Oxidative Degradation of Selected Reductone Ethers.** To 10 mL of aqueous solutions of
166 norfuraneol **10**, furaneol **11a**, ethylfuraneol **12**, maltol **13a**, ethylmaltol **14** or DHHM **16**
167 (20 mmol/L) 4 mL of aqueous iodine reference solution (50 mmol/L iodine/potassium iodid)
168 were added dropwise. After every 1 mL of added iodine solution the pH value of the reaction
169 mixture was adjusted to 5 and after addition of all 4 mL to 7.

170 **GC-MS.** For all GC-MS analyses the following system was used: autosampler, Shimadzu
171 AOC-20i; gas chromatograph, Shimadzu GC-2010; detector, Shimadzu GCMS-QP2010 Plus
172 (Duisburg, Germany); column, Supelco SLBTM-5ms 60 m x 0.25 mm x 0.25 μm (Bellefonte,
173 USA).

174 **GC-MS Analysis of Heterocyclic Compounds.** The following settings were used: carrier
175 gas, helium; flow, 2.00 mL/min; split, 1:5; injection volume, 1 μL ; injection temperature,
176 200 $^\circ\text{C}$; interface temperature, 270 $^\circ\text{C}$; ion source temperature, 200 $^\circ\text{C}$; ionization energy,
177 70 eV; solvent cut time, 12.50 min; mass scan, 35–250 m/z ; temperature gradient, 30 $^\circ\text{C}$ for
178 3 min, 5 $^\circ\text{C}/\text{min}$ to 120 $^\circ\text{C}$, 13 $^\circ\text{C}/\text{min}$ to 180 $^\circ\text{C}$, 20 $^\circ\text{C}/\text{min}$ to 300 $^\circ\text{C}$, 300 $^\circ\text{C}$ for 5 min. The
179 samples were dissolved in ethyl acetate (1 mmol/L) for analysis.

180 **GC-MS Analysis of Organic Acids as Trimethylsilyl Derivatives.** The following
181 settings were used: carrier gas, helium; flow, 1.00 mL/min; split, 1:10; injection volume,
182 1 μL ; injection temperature, 250 $^\circ\text{C}$; interface temperature, 300 $^\circ\text{C}$; ion source temperature,

183 200 °C; ionization energy, 70 eV; solvent cut time, 8.00 min; mass scan, 28–800 m/z;
184 temperature gradient, 80 °C for 1 min, 6 °C/min to 240 °C, 240 °C for 20 min, 10 °C/min to
185 320 °C, 320 °C for 4 min. The solvent of 200 µL sample was removed under a nitrogen
186 stream and the residue taken up in 50 µL pyridine and 50 µL silylation reagent (trimethylsilyl
187 chloride/*N,O*-bis(trimethylsilyl)trifluoroacetamide, 1:99, v/v). After derivatization over night
188 at room temperature the samples were diluted with 150 µL ethyl acetate and subjected to GC-
189 MS analysis.

190 **GC-MS Analysis of Acetic and Formic Acid as Dodecyl Esters.** The following settings
191 were used: carrier gas, helium; flow, 1.64 mL/min; split, 1:30; injection volume, 1 µL;
192 injection temperature, 220 °C; interface temperature, 270 °C; ion source temperature, 200 °C;
193 ionization energy, 70 eV; solvent cut time, 7.10 min; mass scan, 25–450 m/z; temperature
194 gradient, 100 °C for 0 min, 50 °C/min to 200 °C, 10 °C/min to 270 °C, 270 °C for 2 min,
195 5 °C/min to 300 °C, 300 °C for 20 min. 100 µL sample and 50 µL pyridine were mixed with
196 50 µL dodecyl chloroformate for derivatization. After 5 min the samples were extracted with
197 200 µL hexane, 100 µL of the organic layer were taken off and diluted with 200 µL hexane
198 before subjected to GC-MS analysis.

199 **ESI-MS.** A Thermo Scientific TSQ Vantage System mass spectrometer (Darmstadt,
200 Germany) equipped with an Ion Max Source (H-ESI II probe) ion source was used for direct
201 injection. The ESI-MS was controlled via Thermo Xcalibur v2.1.0 and the settings were as
202 following: ionization voltage, 3000 V; ionization temperature, 450 °C; capillary temperature,
203 270 °C; flow, 100 µL/min. The samples were solved in methanol/water mixtures with
204 50 mmol/L ammonium acetate as modifier.

205 **Electron Paramagnetic Resonance (EPR) Spectroscopy.** EPR measurements were
206 performed with a Magnetech MiniScope MS 100 spectrometer (Berlin, Germany).

207 **Recording of EPR Radical Scavenging Kinetics.** Potassium nitrosodisulfonate (Fremy's
208 salt) was used as stabilized radical. The following settings were used: magnetic flux density,

209 338.8 mT; sweep, 7.0 mT; sweep time, 30 s; modulation, 0.150 mT; microwave attenuation,
210 10 dB; gain, 6; passes, 1; measurement interval, 60 s⁻¹; measurement time, 30 min.

211 Approximately 300 mg of Fremy's salt were dissolved in 100 mL phosphate buffer
212 (50 mmol/L, pH 7.4). This solution was diluted until a 1:1 mixture with a trolox solution
213 (0.25 mmol/L) showed a radical degradation of 50 % after 10 min.

214 100 μ L diluted Fremy's salt solution (1 mmol/L) and 100 μ L sample (diluted with water)
215 were mixed for 10 s and measured in comparison to a blank sample that consisted of 100 μ L
216 diluted Fremy's salt solution (1 mmol/L) and 100 μ L phosphate buffer (pH 7.4). For the
217 calculation of the antioxidant capacity, the measurement point at 30 min was used.

218 **Recording of EPR Spectra of PBN radical adducts.** The following settings were used:
219 magnetic flux density, 338.6 mT; sweep, 7.0 mT; sweep time, 30 s; modulation, 0.100 mT;
220 microwave attenuation, 10 dB; gain, 500; passes, 16.

221 100 μ L PBN solution (100 mmol/L) in phosphate buffer (50 mmol/L, pH 7.4) were mixed
222 with 100 μ L sample (20 mmol/L) and incubated at 50 °C for 2 h before measurement.
223 Addition of metal ions was not necessary because of trace amounts of copper(II) and iron(III)
224 in all used chemicals and the membrane filtrated water.

225 **Recording of EPR Spectra of Copper(II) Complexes.** The following settings were used:
226 magnetic flux density, 312.0 mT; sweep, 150.0 mT; sweep time, 30 s; modulation, 1.000 mT;
227 microwave attenuation, 10 dB; gain, 100; passes, 16.

228 Complex solutions with final concentration of 10 mmol/L copper(II) and 20 mmol/L
229 coordinator were prepared in membrane filtrated water. 250 μ L complex solution were mixed
230 with 750 μ L membrane filtrated water or phosphate buffer (50 mmol/L, pH 7.4).

231 **UV-Vis Photometric Assays.** For the TEAC and the Folin-Ciocalteu assay, a Bio-Tek
232 Instruments UVIKON XL photospectrometer was used. Semi-micro disposable cuvettes were
233 purchased from VWR (Darmstadt, Germany).

234 **TEAC Assay.** Six trolox standards (0.05–0.50 mmol/L) were used for calibration.
235 Solutions of ABTS (0.5 mmol/L) and potassium persulfate (10 mmol/L) were prepared in
236 PBS buffer (5 mmol/L, pH 7.2–7.4). For the measurement 750 μ L ABTS solution and 150 μ L
237 sample were mixed in a 1.5 mL reaction tube. The reaction was started with addition of
238 300 μ L potassium persulfate solution. The mixture was homogenized and after 6 min of
239 incubation the absorption at 734 nm was measured in comparison to an empty cuvette.

240 **Folin–Ciocalteu Assay.** The calibration was performed with five trolox standards (0.20–
241 2.00 mmol/L). 150 μ L sample, 750 μ L Folin & Ciocalteus phenol reagent (diluted 1:10 with
242 membrane filtrated water) and 600 μ L sodium carbonate solution (7.5 wt%) were mixed for
243 the measurement. This mixture was incubated for 15 min at 35 °C and measured at 736 nm
244 compared to a blank sample (membrane filtrated water instead of sample).

245 **Microplate Assays.** For the CUPRAC and phenanthroline assay, a Tecan Infinite M200
246 microplate reader was used. Micro plates with 96 wells were purchased from TPP
247 (Trasadingen, Switzerland).

248 **CUPRAC Assay.** The calibration was performed with five trolox standards (0.050–
249 0.100 mmol/L). 50 μ L sample, 50 μ L membrane filtrated water and 100 μ L BCS solution
250 (0.63 mmol/L) were mixed for the initial measurement at 490 nm. After addition of 50 μ L
251 copper(II) solution (0.25 mmol/L) and 30 min incubation time the absorbance at 490 nm was
252 measured again.

253 **Phenanthroline Assay.** The calibration was performed with five trolox standards (0.050–
254 0.100 mmol/L). 50 μ L sample, 160 μ L membrane filtrated water and 40 μ L phenanthroline
255 solution (6.66 mmol/L) were mixed for the initial measurement at 505 nm. After addition of
256 40 μ L iron(III) solution (1.875 mmol/L) and 180 min incubation time the absorbance at
257 505 nm was measured again.

258 **RESULTS & DISCUSSION**

259 **Antioxidant Capacity.** For the determination of antioxidant properties, it is important to
260 consider different antioxidant assays, because of the different reaction mechanisms of each
261 assay and antioxidant.³⁸⁻⁴⁰ Therefore, five assays with trolox as reference were used: the
262 trolox equivalent antioxidant capacity (TEAC) assay to measure the inhibition of ABTS
263 radical cation formation, the Folin–Ciocalteu reagent (FCR) assay to measure the reduction of
264 an inorganic oxidizer, an electron paramagnetic resonance (EPR) spectroscopic method to
265 determine the radical scavenging abilities, the cupric ion reducing antioxidant capacity
266 (CUPRAC) assay to measure the reduction of copper(II) ions and the phenanthroline assay to
267 measure the reduction of iron(III) ions.

268 The results of the antioxidant assays expressed as trolox equivalents (TE) are shown in
269 Figure 3. Compounds reaching 1000 mmol TE per mol of substance (mmol TE/mol) exhibit
270 the same antioxidant capacity as trolox. In addition, the weighted average antioxidant capacity
271 (WAAOC) as suggested by Tabart et al.³⁸ was calculated for the metal based and non-metal
272 based assays separately to present the results in a more comprehensive way (Figure 4). The
273 reductone ascorbic acid (asc in Figure 3 and 4) was measured in comparison to the reductone
274 ethers and did not perform equally in all assay in respect to trolox. While the metal based
275 assays give nearly identical antioxidant capacities with (1012 ± 49) mmol TE/mol (CUPRAC)
276 and (1061 ± 102) mmol TE/mol (phenanthroline), ascorbic acid shows varying capacities of
277 (272 ± 73) mmol TE/mol (TEAC), (1745 ± 206) mmol TE/mol (FCR) and
278 (939 ± 19) mmol TE/mol (EPR) in the reducing and radical scavenging assays. Nevertheless,
279 both WAAOCs are close to the reference trolox with 0,88 and 1,03. Similar observations
280 could be made for the reductones **10**, **11a**, **12**, **13** and **16**. Their WAAOCs are on comparable
281 level, but the results of the single assays are diverse especially for the maltol derivatives **13a**,
282 **14**, **15**, **16** in the non-metal based assays. This underlines the need to use a range of several

283 assays instead of single methods to produce representative results for the measurement of
284 antioxidant capacities.

285 Based on the results of the used assays the tested compounds can be divided into three
286 different groups (compare Table 1). The first group does not exhibit reducing abilities at all.
287 Under the conditions of the used testing systems this includes all compounds lacking a
288 reductone structure like furfural **3**, HMF **4**, 2-acetylfuran **5** or 2-acetylpyrrole **7** as well as
289 compounds with protected reductone functions like the acetylated derivatives **6b**, **11b**, **11c**
290 and **13b**. The second group shows reducing abilities in all assays, whereas the third and last
291 group is non-reductive in the CUPRAC and phenanthroline assay but in the rest of the assays.
292 Although all substances of the second and third group are reductone ethers only the furaneol
293 derivatives **10**, **11a** and **12** as well as DHHM **16** are reducing under all conditions. Isomaltol
294 **6a** and the maltol derivatives **13a**, **14**, **15**, **17** exhibit complexing abilities in presence of metal
295 ions, which are used as oxidizers in the CUPRAC and phenanthroline assay, respectively.
296 This behavior is well described in literature⁴⁻⁸ and explains the missing reducing abilities of
297 the latter substances in both assays.

298 **Reducing Abilities and Radical Scavenging.** The furaneol derivatives **10**, **11a**, **12**, maltol
299 **13a** and DHHM **16** exhibit WAAOCs between 70 and 80 % of ascorbic acid. While the effect
300 of the alkyl sidechains of **10** and **12** on the antioxidant behavior in comparison to **11a** is
301 negligible, the ethyl derivative of maltol **14** shows a significant lower antioxidant capacity in
302 the TEAC and the FCR assay than maltol **13a** resulting in a lower WAAOC as well. The
303 maltol isomer **6a** exhibits even weaker reducing abilities than **14**. In contrast, the structural
304 related compounds kojic acid **15** and deferiprone **17** show higher performances in single
305 assays (Figure 3) than **13a** causing higher WAAOCs for these substances (Figure 4). In the
306 case of **17** the WAAOC is twofold of its *O*-analogue **13a**. In general, the antioxidant
307 capacities of the furaneol derivatives are more homogeneous in the single assays than the
308 capacities of the substances structural related to maltol. The introduction of protecting groups

309 nearly eliminates the reducing abilities of all tested reductone ethers and even the weak
310 reducing abilities of **6a** get lowered. Consequently, the precursor of **6a**, *O*-galactosylisomaltol
311 **6c**, does not exhibit a relevant WAAOC as well.

312 The antioxidant properties of sotolon **8** and abhexon **9**, which are isomers of **11a** and **12**,
313 are comparable to **6a** although both compounds do not possess a reductone structure.

314 **Reduction of Metal Ions.** Even though the CUPRAC and the phenanthroline assay are
315 commonly used to measure antioxidant capacities, the reduction of metal ions might cause
316 prooxidative effects due to radical generation as mentioned in the introduction. Therefore,
317 substances that do not show reducing abilities in these assays are not necessarily weak
318 antioxidants.

319 Likewise, as for the reducing and radical scavenging assays, the WAAOCs of the furaneol
320 derivatives **10**, **11a**, **12** as well as the WAAOC of DHHM **16** are on an equal level between 70
321 and 80 % of ascorbic acid (Figure 4). The maltol derivatives **13a**, **14**, **15**, **17** and isomaltol **6a**
322 are known to form stable complexes with metal ions involving their carbonyl and hydroxyl
323 functions⁴⁻⁸ and consequently do not exhibit reducing abilities in both metal based assays.
324 The different behavior of selected reductones in presence of copper(II) ions was additionally
325 analyzed with EPR spectroscopy (Supporting Information A). While **6a** and **13a** show strong
326 complex signals at pH 7.4 **11a** and **16** do not give signals, indicating that they are not capable
327 of complex formation under the given conditions. Considering, that **11a** and **16** share relevant
328 structural features with the complexing agents **6a** and **13a**, the different reactivity has to be
329 caused by other factors. The most obvious distinction of these substances is their conjugated
330 double-bond system. **11a** and **16** are only partially sp²-hybridized and might be more prone to
331 oxidation than the aromatic compounds **6a** and **13a** which also show a considerably slower
332 radical scavenging behavior in the EPR assay (Figure 3) in support of this hypothesis.
333 Furthermore, the additional hydroxyl function of **16** could possibly interfere during the

334 complex formation and the known enolization reactions of **11a** could affect its complexing
335 abilities negatively.

336 Even though the sotolon derivatives **8** and **9** did show antioxidant capacities in the FCR
337 and the TEAC assay, they are not reducing in presence of copper(II) or iron(III). EPR
338 experiments confirmed that this is not due to their complexing abilities (data not shown).

339 **Prooxidative Behavior.** The radical generation via Fenton reaction (Figure 1) by
340 reductone ethers is already reported for DHHM **16**⁴¹ and the furaneol derivatives **10**⁴², **11a**⁴³;
341 ⁴⁴, **12**⁴⁵ and 4-hydroxy-2-(hydroxymethyl)-5-methylfuran-3(2*H*)-one.⁴⁶ Consequently, for
342 most of these substances effects like DNA strand breaking and mutagenicity could also be
343 observed by the respective authors. These results are in compliance with the behavior of **10**,
344 **11a**, **12** and **16** in the CUPRAC and the phenanthroline assay where they did show reducing
345 abilities (Figure 3 and 4). To compare the radical generation of the reducing substances used
346 in this study under identical conditions EPR spin trap experiments were conducted with
347 selected reductone ethers as well as their acetylated derivatives. As expected, samples of the
348 furaneol derivatives **10**, **11a** and **12** give a PBN signal due to radical generation while the
349 complexing agents **13a** and **14** do not (selected spectra are in Supporting Information B). The
350 signal of the protected furaneol derivative **11b** is significant smaller than the signal of **11a**.
351 Surprisingly, **6a** and **6b** give comparable small signals even though **6a** has complexing
352 abilities and **6b** carries a protecting group. This observation might be caused by thermal
353 degradation of both compounds under the chosen incubation conditions (5 h at 50 °C)
354 because, unlike all other samples the solutions of **6a** and **6b** turned yellow after incubation. **16**
355 induces a smaller PBN signal than **10**, **11a** and **12** corresponding to its weaker metal reducing
356 abilities found in the CUPRAC and the phenanthroline assay (Figure 3 and 4).

357 **Oxidation Mechanism.** Our results show that especially the furaneol derivatives **10**, **11a**,
358 **12** and DHHM **16** exhibit antioxidant capacities that are comparable to the reductone ascorbic
359 acid, even though one of the hydroxyl functions is etherified in the respective heterocycles. It

360 could be assumed that the free hydroxyl function of the reductone ethers is of importance for
361 the oxidation mechanism, what could be verified with the introduction of protecting groups.
362 The mono- and diesterification of **11a**, which leads to **11b** and **11c**, did additionally show the
363 significance of the carbonyl function adjacent to the enediol. **11a** exhibits keto-enolic
364 tautomerism in aqueous solutions, which is evident in NMR spectra recorded in deuterium
365 oxide (data not shown), because of the hydrogen-deuterium exchange. Theoretically, keto-
366 enolic tautomerism is still possible for the monoester **11b** and consequently the enediol could
367 still be oxidized, but **11b** did exhibit less than 20 % of the WAAOC of **11a** indicating a slow
368 enolization. This is supported by NMR data that show only a slow H/D exchange (the
369 corresponding signal of the exchanged proton decreased by approximately 75 % within 4
370 weeks relative to the signals of the remaining protons). Consequently, the diester **11c** did not
371 show relevant reducing abilities because of the inability to form an enediolic structure. These
372 results confirm that enolization reactions which form oxidizable enediols only occur next to
373 carbonyls, which stabilize them, at least at near neutral pH values.

374 It seems likely that the oxidation of **11a** is initiated by the abstraction of a proton and an
375 electron from the free hydroxyl function (Figure 5). In more recent publications^{43; 44} the
376 authors suggest a ring opening through hydrolysis before the actual oxidation, but **11a** is
377 stable in neutral aqueous or phosphate buffered (pH 7.4) solutions over a longer time frame
378 (data not shown) and able to reduce iodine, ABTS radical cations, Fremy's salt and metal ions
379 under these conditions. Therefore, we propose that the hydrolysis is induced by the oxidation
380 and occurs in the course of the reaction rather than beforehand. The radical **18** resulting from
381 the first electron transfer could be resonance-stabilized by the π -system of the molecule.
382 Lacking a second free hydroxyl function, the reductone ether **11a** cannot transfer an
383 additional proton and electron in its original form. Thus, the addition of water in the course of
384 the second electron transfer may lead to the tricarbonyl compound acetylformoin **19**. This
385 compound is also suggested as an intermediate in literature.^{43; 44} Both isomers of **19**, the open-

386 chained form as well as the cyclic hemiacetal, are reductones but an oxidation to the
387 corresponding tetracarbonyl compound as suggested by Yamashita et al.⁴⁴ seems unlikely.
388 Even though **19** is considered to be a reductone (ether) it is a highly insTable tricarbonyl
389 compound at the same time. Following this mechanism furaneol **11a** could be oxidized two
390 times in a row and should consequently show the twofold antioxidant capacity of ascorbic
391 acid what could not be observed. Additionally, the identified oxidation products do not
392 indicate the tetracarbonyl as possible intermediate. For these reasons, **19** is more probable to
393 be cleaved after the first oxidation than to be oxidized a second time.

394 The oxidation of **11a** yielded mainly lactic acid **20** while methylglyoxal **21** was formed as
395 byproduct in trace amounts (Figure 5). Both compounds are typical products of dicarbonyl
396 cleavage reactions^{25; 47-50} and this particular combination could be derived from hydrolytic
397 1,3-dicarbonyl cleavage of **19** between C-3 and C-4. The formation of an acid and a ketene
398 structure from a tricarbonyl compound was suggested before by Voigt et al.³⁴ The highly
399 reactive ketene could easily be hydrolyzed to lactic acid **20** or rearrange to methylglyoxal **21**
400 via keto-enolic tautomerism. The formation of a ketene structure seems unlikely, but the
401 nearly exclusive formation of **20** supports the described reaction sequence. The corresponding
402 cleavage between C-2 and C-3 would yield acetic acid as well as 3-hydroxy-2-oxobutanal or
403 2,3-dihydroxybutanoic acid, respectively. This pathway seems to be less favored considering
404 that acetic acid is only found in trace amounts. The cleavage of the tetracarbonyl as
405 hypothetical oxidation product of **19** would produce lactic **20** and pyruvic acid but the latter
406 cannot be detected.

407 Experiments with derivatives of furaneol show that the mechanism described in Figure 5
408 can be transferred to other reductone ethers as well. The organic acids that derive from
409 oxidation of norfuraneol **10** and ethylfuraneol **12** comply with the substitution patterns of their
410 precursors (Figure 6). While **11a** yields two identical C-3 bodies as cleavage products the

411 unsymmetrical substituted derivatives degrade to equal amounts of lactic **20** and glycolic acid
412 **22** or **20** and 2-hydroxybutanoic acid **23**.

413 Comparable cleavage patterns can be observed for maltol derivatives (Figure 7). The
414 common cleavage product of **13a** and **14** is 3-hydroxyacrylic acid **25** that was not available as
415 reference standard but the mass and corresponding fragments of its trimethylsilyl derivative
416 can be found with GC-MS. Additionally, based on the substitution lactic **20** or 2-
417 hydroxybutanoic acid **23** are formed. The oxidation of DHHM **16** yields glyceric acid **24** and
418 **20**. Both compounds were previously found by Beck et al.⁵¹ which were also able to detect an
419 ester of **20** and **24** as possible intermediate indicating that the missing link in the reaction
420 sequence could indeed be a tricarbonyl compound analogous to **19** (Figure 5). But the
421 postulated tricarbonyl derived from **16** could perform a 1,3-dicarbonyl cleavage in its cyclic
422 form while **19** only could be cleaved in its open-chained form.

423 **Connection between Heterocyclic Intermediates and Summarized Antioxidant**
424 **Properties of Maillard Reaction Mixtures.** In our previous work,¹⁴ we could show that there
425 is a correlation between browning and antioxidant activity in Maillard reaction mixtures
426 derived from D-glucose or D-fructose with L-alanine addition suggesting that in all stages of
427 the reaction antioxidant products are formed. But even though 1-desoxyglucosone and
428 glucosone could be identified as reductones, the antioxidant properties of these early
429 intermediates were not sufficient to explain the measured antioxidant activities of the reaction
430 mixtures. The heterocyclic substances investigated in the present work represent compounds
431 of the so-called intermediate stage,¹ which are more stable than their 1,2-dicarbonyl
432 successors. As expected, all tested reductone ethers showed reducing abilities based on their
433 stabilized endiol structure incorporated in the corresponding heterocycles (Supporting
434 Information C) and are likely to make a relevant contribution to the summarized antioxidant
435 properties of Maillard reaction mixtures not only under laboratory condition but also in food.
436 However, because of the prooxidative behavior of compounds like the furaneol derivatives

437 **10**, **11a** and DHHM **16** analogue to ascorbic acid mixtures of Maillard reaction products bear
438 the risk to lower the oxidative stability dependent on the content of redox active metal ions.
439 On the other hand, intermediates like isomaltol **6a** and maltol **13a** are capable to form
440 complexes with metal ions (Supporting Information C). Further investigations have to show
441 the behavior of isolated reductones in complex mixtures and how different reductones may
442 interact in a positive or negative way. Moreover, it remains an open question in which way
443 the Maillard reaction might be controlled during food technological processes in order to
444 increase the oxidative stability of resulting products.

445 **ABBREVIATIONS USED**

446 ABTS, ammonium salt of 2,2'-azino-bis(3-ethylbenzothiazoline-6-sulphonic acid); asc,
447 ascorbic acid; BCS, sodium salt of bathocuproine sulfate; com, complexing; CUPRAC,
448 cupric ion reducing antioxidant capacity; DHHM, 2,3-dihydro-3,5-dihydroxy-6-methyl-4*H*-
449 pyran-4-one; EPR, electron paramagnetic resonance; ESI, electron spray ionization FCR,
450 Folin–Ciocalteu Reagent; GC, gas chromatography; HPLC, high performance liquid
451 chromatography; HMF, 5-hydroxymethylfurfural; in, inactive; MS, mass spectroscopy;
452 PBN, *N-tert*-butyl- α -phenylnitrone; red, reducing; ROS, reactive oxygen species; TE, trolox
453 equivalents; TEAC, trolox equivalent antioxidant capacity; WAAOC, weighted average
454 antioxidant capacity.

455 **SUPPORTING INFORMATION**

456 EPR spectra of copper(II) complexes of selected reductone ethers; EPR spectra of PBN
457 spin adducts after incubation of selected reductone ethers and PBN; structures of complexing
458 and reducing reductone ethers.

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599 **FIGURE CAPTIONS**

600 **Figure 1:** Reductones can act as antioxidants by reduction of oxidizers and radicals (**A**) or
601 as prooxidants by reduction of metal ions such as iron(III) or copper(II) (**B**) initiating a redox
602 cycling of these via Fenton reaction (**C**) what causes generation of reactive oxygen species
603 (ROS).

604

605 **Figure 2:** Overview of the analyzed Maillard reaction products and structural related
606 substances. Substitution patterns and according names are given in Table 1.

607

608 **Figure 3:** Antioxidant capacities for all substances in Figure 2 and ascorbic acid (asc) as
609 reference.

610

611 **Figure 4:** WAAOCs for all substances in Figure 2 and ascorbic acid (asc) as reference.

612

613 **Figure 5:** Possible oxidation pathway of furaneol **11a**.

614

615 **Figure 6:** Oxidation products of norfuraneol **10**, furaneol **11a** and ethylfuraneol **12**.

616

617 **Figure 7:** Oxidation products of DHHM **16**, maltol **13a** and ethylmaltol **14**.

Table 1: Names and substitution patterns for the substances in Figure 2. The columns inactive (in), reducing (red) and complexing (com) summarize the antioxidant behavior of all tested compounds. “y” indicates positive; “n” indicates negative; “w” indicates weak.

No.	name	R'	R''	in	red	com
3	furfural			y	n	n
4	HMF			y	n	n
5	2-acetylfuran			y	n	n
6a	isomaltol		H	n	w	y
6b	<i>O</i> -acetylisomaltol		Ac	y	n	n
6c	<i>O</i> -galactosylisomaltol		Gal	y	n	n
7	2-acetylpyrrole			y	n	n
8	sotolon	Me		n	w	n
9	abhexon	Et		n	w	n
10	norfuraneol	H	H	n	y	n
11a	furaneol	Me	H	n	y	n
11b	<i>O</i> -acetylfuraneol	Me	Ac	y	n	n
11c	<i>O</i> -diacetylfuraneol	Me	Ac	y	n	n
12	ethylfuraneol	Et	H	n	y	n
13a	maltol	Me	H	n	y	y
13b	<i>O</i> -acetylmaltol	Me	Ac	y	n	n
14	ethylmaltol	Et		n	y	y
15	kojic acid			n	y	y
16	DHHM			n	y	n
17	deferiprone			n	y	y

FIGURES

Figure 1 (single-column graphic)

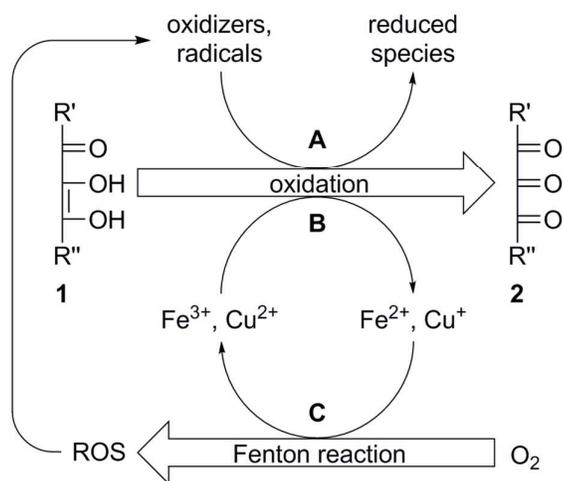


Figure 2 (double-column graphic)

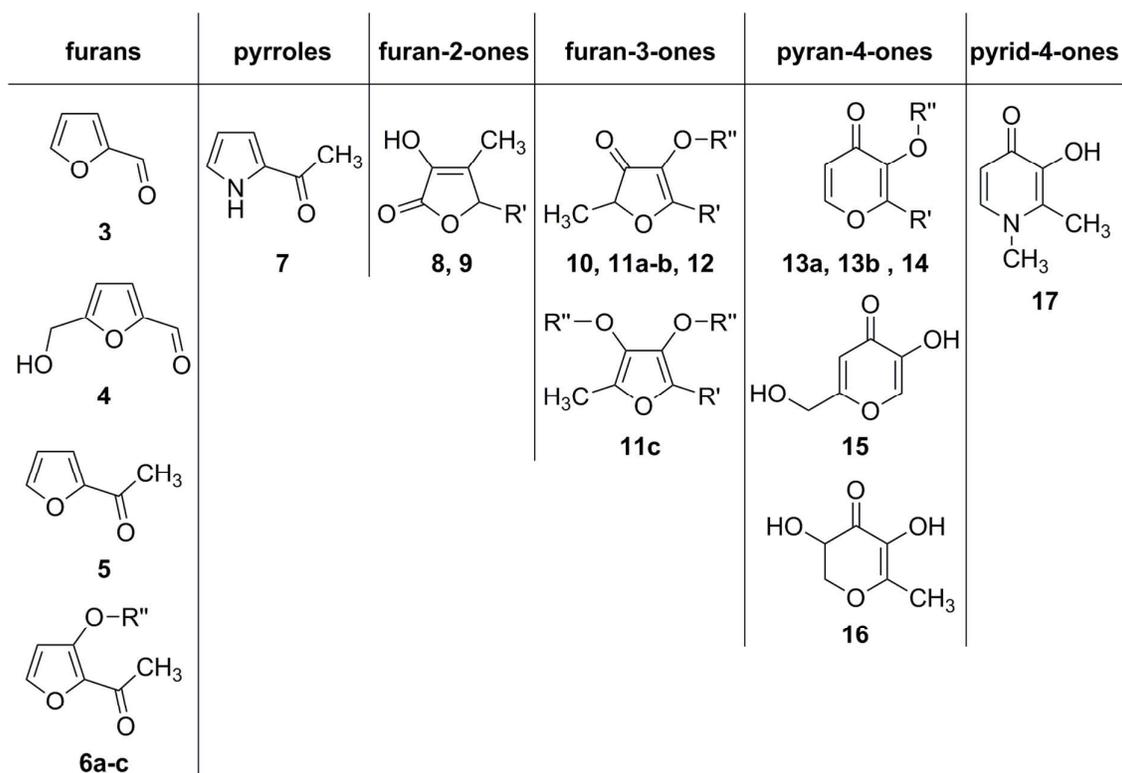


Figure 3 (double-column graphic)

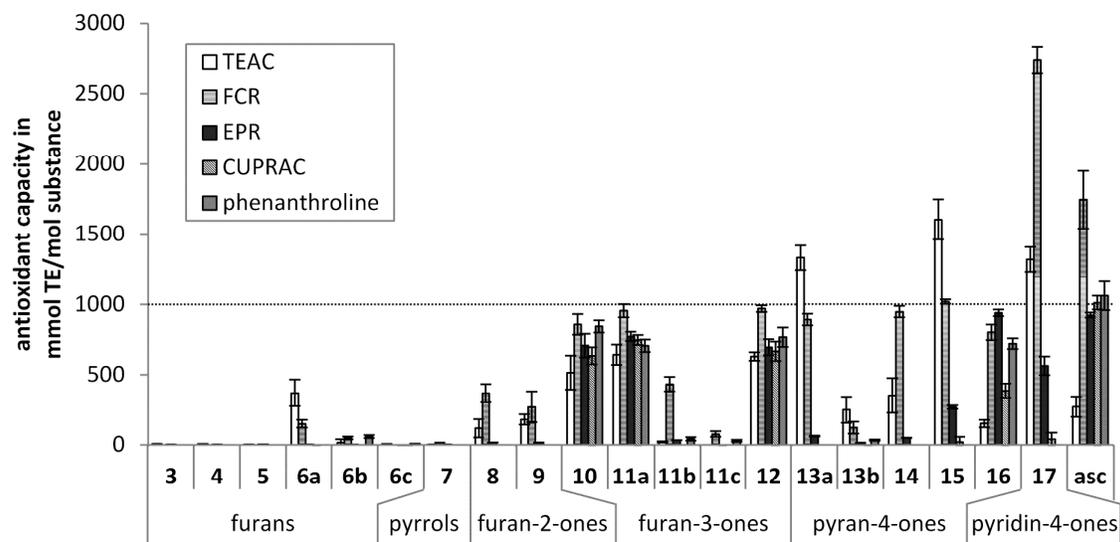


Figure 4 (double-column graphic)

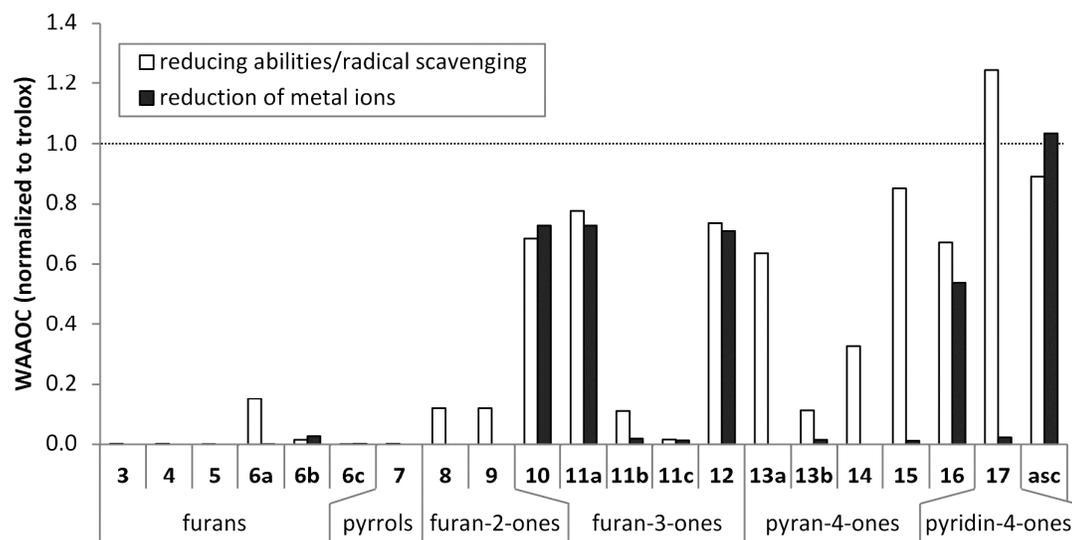


Figure 5 (double-column graphic)

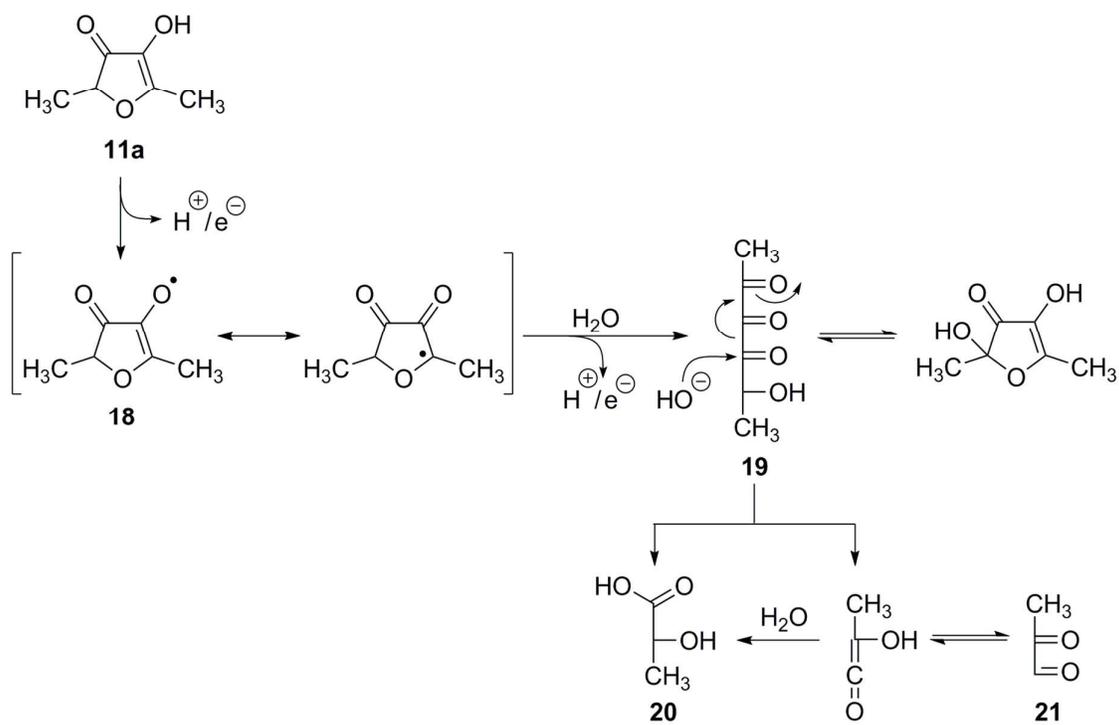


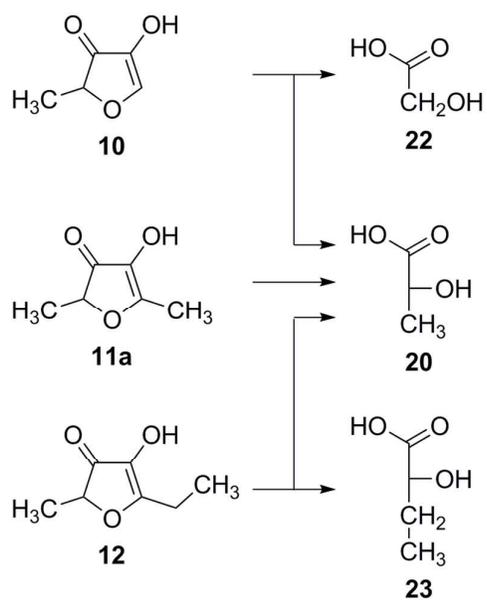
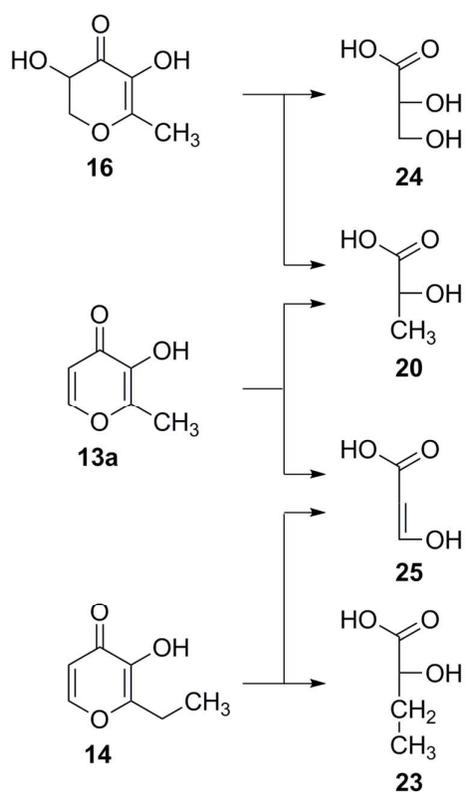
Figure 6 (single-column graphic)

Figure 7 (single-column graphic)



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