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# Enantioselective Michael addition of isobutyraldehyde to nitroalkenes organocatalyzed by chiral primary amine-guanidines



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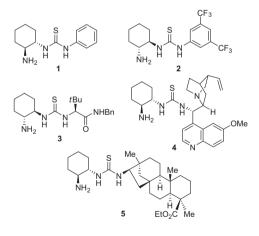
# ABSTRACT

Primary amine-guanidines derived from *trans*-cyclohexane-1,2-diamines are used as organocatalysts for the enantioselective conjugate addition of isobutyraldehyde to arylated and heteroarylated nitroalkenes. The reaction was performed in the presence of imidazole as the additive in aqueous DMF as the solvent at 0 °C. The corresponding Michael adducts bearing a new stereocenter were obtained in high yields and with enantioselectivities of up to 80%. Theoretical calculations are used to justify the observed sense of the stereoinduction.

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# 1. Introduction

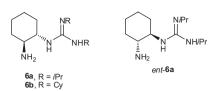
 $\gamma$ -Nitrocarbonyl compounds have gained great importance in recent years as key precursors of various important compounds, such as alkaloids,<sup>1</sup> aminoacids,<sup>2</sup> antitumorals,<sup>3</sup> antibiotics,<sup>4</sup> peptido mimetics,<sup>5</sup> and marine metabolites<sup>6</sup> among others.<sup>7</sup> Nowadays, the enantioselective Michael addition reaction of enolizable carbonyl compounds to nitroalkenes promoted by a chiral organocatalyst is one of the most common and convenient procedures for achieving the synthesis of  $\gamma$ -nitrocarbonyl compounds in an enantiomerically enriched form.<sup>8</sup> Thus, organocatalysts with bifunctional characteristics have been most efficient for the enantioselective addition reaction of aldehydes or ketones to nitroolefins, particularly those containing a primary amine and a thiourea moiety.<sup>9</sup> For instance, the enantioselective Michael addition reaction of aldehydes to nitroalkenes has been successfully performed using the chiral trans-cyclohexane-1,2-diamine-derived primary amine-thioureas 1,<sup>10</sup> 2,<sup>11</sup> and 3,<sup>12</sup> as organocatalysts as well as the Cinchona-derived  $\mathbf{4}^{13}$  the isosteviol-derived  $\mathbf{5}^{14}$  and even calix[4]arene-derived compounds.<sup>15</sup> When using all of these primary amine-containing organocatalysts, the enantioselectivity is induced by the addition of a transient enamine to the nitroolefin, which is hydrogen bond-coordinated by the nitro group to the NH groups of the thiourea.



We have recently reported on the synthesis of primary amineguanidines **6** and *ent*-**6a** from chiral *trans*-cyclohexane-1,2-diamines and their use as organocatalysts in enantioselective Michael addition reactions of aldehydes, mainly  $\alpha, \alpha$ -disubstituted, to maleimides.<sup>16</sup> Herein we explore the use of these primary amine-guanidines **6** as chiral organocatalysts in conjugate addition reactions of  $\alpha, \alpha$ -disubstituted aldehydes such as isobutyraldehyde to nitroalkenes, leading to enantioenriched  $\gamma$ -nitroaldehydes. In addition, theoretical calculations have been used to explain the observed enantioselectivity.



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# 2. Results and discussion

Primary amine-guanidines **6a** and **6b** were employed as organocatalysts and were prepared as previously reported<sup>16b</sup> by monoguanylation of (1*S*,2*S*)-cyclohexane-1,2-diamine with *N*,*N'*-diisopropyl carbodiimide or *N*,*N'*-dicyclohexyl carbodiimide, respectively. The search for the most appropriate reaction conditions (Table 1) began with the Michael addition reaction of isobutyraldehyde **7** to *trans*- $\beta$ -nitrostyrene **8a**, organocatalyzed by **6a** (20 mol %) in toluene as solvent at room temperature, which afforded the corresponding adduct (*R*)-**9a** in only 22% yield and with a modest 53% ee after 5 d reaction time (Table 1, entry 1). The (*R*)-absolute configuration of the final adduct was determined by comparison of the elution order of the corresponding enantiomers in chiral HPLC with those in the literature.<sup>17</sup>

The addition of imidazole as a basic additive, something that proved beneficial when **6a** organocatalyzed the Michael addition reaction of aldehydes to maleimides,<sup>16b</sup> was again effective, increasing the reactivity of the process and allowing the isolation of (*R*)-**9a** in 92% yield in the same reaction time although with a lower ee (Table 1, entry 2). The use of the dicyclohexyl-containing primary amine-guanidine **6b** as the organocatalyst under these reaction conditions resulted in a much lower yield and enantiose-lectivity for (*R*)-**9a** (Table 1, entry 3), therefore we continued with **6a**. Thus, the use of other solvents such as acetone, *tert*-butyl

methyl ether, or methanol gave high yields for (R)-**9a** in 5 d reaction time, but only 34%, 53%, and 35% ee's, respectively (Table 1, entries 4–6), whereas the use of nitromethane as the solvent gave **9a** as a racemic mixture (Table 1, entry 7).

However, the use of DMF as a solvent increased dramatically the reaction rate, quantitatively affording (R)-**9a** in 48% ee (Table 1, entry 8). An increasing reaction rate was also observed when water was used as the solvent, with the enantioselectivity of the process increasing to 71%, and adduct (R)-**9a** was isolated in 80% yield (Table 1, entry 9). Therefore, mixtures of DMF/H<sub>2</sub>O were assayed as solvents, in an attempt to combine the beneficial effects of both solvents. Thus, the use of a DMF/H<sub>2</sub>O mixture in a 2:1 (v/v) ratio as the solvent gave rise to a quantitative yield of (R)-**9a** in 62% ee (Table 1, entry 10). Increasing the amount of water from 1:2 to 1:4 (v/v) ratios resulted in higher enantioselectivities for (R)-**9a** (67% and 70%, respectively) while keeping the quantitative yield (Table 1, entries 11 and 12).

The use of other basic additives (20 mol %) in the reaction using the most appropriate solvent [DMF/H<sub>2</sub>O, 1:4 (v/v)] were was also assayed. The use of triethylamine or 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU) afforded lower enantioselections for (R)-**9a** (Table 1, entries 13 and 14), whereas when 1,4-diazabicyclo[2.2.2]octane (DABCO) was used as the additive, a similar ee to that when using imidazole was observed, although the reaction was not quantitative (Table 1, entry 15). In addition, when an acid additive such as benzoic acid was assayed, almost no reaction was observed (Table 1, entry 16). Moreover, when organocatalyst **6a** and the imidazole additive loadings were lowered down to 10 mol %, adduct (R)-**9a** was isolated quantitatively in 70% ee, although the reaction time increased considerably (Table 1, entry 17).

Attempting to increase the enantioselectivity of the process, we also lowered the reaction temperature. Thus, when the process was carried out at 0  $^{\circ}$ C, the reaction time increased to 2 d, but the

#### Table 1

Screening and optimization of the reaction conditions for the enantioselective Michael addition

		$H \xrightarrow{O} Me + Ph \xrightarrow{NO_2} NO_2 \xrightarrow{cat.} O \xrightarrow{Ph} NO_2$ Me solvent, T $H \xrightarrow{V} Me Me$					
		7 8	Ba	9a			
Entry	Catalyst (mol %)	Additive <sup>a</sup> (mol %)	Solvent	<i>T</i> (°C)	<i>t</i> (d)	Yield <sup>b</sup> (%)	ee <sup>c</sup> (%)
1	<b>6a</b> (20)	_	PhMe	25	5	22	53 (R)
2	<b>6a</b> (20)	Imidazole (20)	PhMe	25	5	92	44 (R)
3	<b>6b</b> (20)	Imidazole (20)	PhMe	25	5	30	27 (R)
4	<b>6a</b> (20)	Imidazole (20)	Acetone	25	5	96	34 (R)
5	<b>6a</b> (20)	Imidazole (20)	TBME	25	5	96	53 (R)
6	<b>6a</b> (20)	Imidazole (20)	MeOH	25	5	99	35 (R)
7	<b>6a</b> (20)	Imidazole (20)	MeNO <sub>2</sub>	25	5	74	0
8	<b>6a</b> (20)	Imidazole (20)	DMF	25	0.7	99	48 (R)
9	<b>6a</b> (20)	Imidazole (20)	H <sub>2</sub> O	25	0.7	80	71 (R)
10	<b>6a</b> (20)	Imidazole (20)	DMF/H <sub>2</sub> O <sup>d</sup>	25	0.7	99	62 (R)
11	<b>6a</b> (20)	Imidazole (20)	DMF/H <sub>2</sub> O <sup>e</sup>	25	0.7	99	67 (R)
12	<b>6a</b> (20)	Imidazole (20)	DMF/H <sub>2</sub> O <sup>f</sup>	25	0.7	99	70 (R)
13	<b>6a</b> (20)	TEA (20)	DMF/H <sub>2</sub> O <sup>f</sup>	25	0.7	97	60 (R)
14	<b>6a</b> (20)	DBU (20)	DMF/H <sub>2</sub> O <sup>f</sup>	25	0.7	90	33 (R)
15	<b>6a</b> (20)	DABCO (20)	DMF/H <sub>2</sub> O <sup>f</sup>	25	0.7	85	70 (R)
16	<b>6a</b> (20)	$PhCO_2H(20)$	DMF/H <sub>2</sub> O <sup>f</sup>	25	0.7	5	63 (R)
17	<b>6a</b> (10)	Imidazole (10)	DMF/H <sub>2</sub> O <sup>f</sup>	25	3	99	70 (R)
18	<b>6a</b> (20)	Imidazole (20)	DMF/H <sub>2</sub> O <sup>f</sup>	0	2	90	80 (R)
19	ent- <b>6a</b> (20)	Imidazole (20)	DMF/H <sub>2</sub> O <sup>f</sup>	0	2	87	80 (S)

<sup>a</sup> TEA: triethylamine; DBU: 1,8-diazabicyclo[5.4.0]undec-7-ene; DABCO: 1,4-diazabicyclo[2.2.2]octane.

<sup>b</sup> Isolated yield after flash chromatography.

<sup>c</sup> Enantioselectivities and absolute stereochemistry determined by chiral HPLC.<sup>8b</sup>

<sup>d</sup> 2:1, v/v.

e 1:2, v/v. f 1:4, v/v. enantioselectivity of adduct (*R*)-**9a** increased to 80%, and was isolated in 90% yield (Table 1, entry 18).

Expecting to achieve an opposite enantioselection, we also performed the reaction using *ent*-**6a** as the organocatalyst, which can be prepared similarly to its enantiomeric counterpart, but using (1R,2R)-cyclohexane-1,2-diamine as the chirality source.<sup>16b</sup> Using this primary amine-guanidine as the catalyst (20 mol %) under the most effective reaction conditions [imidazole as additive (20 mol %), DMF/H<sub>2</sub>O, 1:4 (v/v), 0 °C], the expected adduct (*S*)-**9a** was isolated in 80% ee (Table 1, entry 19).

Next we explored the addition reaction of isobutyraldehyde to other *trans*- $\beta$ -nitroalkenes **8** under the most favourable reaction conditions [6a (20 mol %), imidazole (20 mol %), DMF/H<sub>2</sub>O, 1:4 (v/v), 0 °C], and the results are summarized in Table 2. Thus, when nitroalkenes **8b** and **8c**, bearing electron-releasing groups such as methyl or methoxy in the aromatic ring, were used, the corresponding Michael adducts (*R*)-**9b** and (*R*)-**9c** were isolated in good yields and with enantioselectivities of 80% and 75%, respectively (Table 2, entries 2 and 3). The presence of halogen groups on the aromatic ring of the nitroalkene such as fluoro 8d, chloro 8e, and bromo 8f had a certain influence on the enantioselectivity of the process, with the corresponding adducts (R)-9d, (R)-9e, and (R)-9f being obtained with diminishing ee's down to 65% as the electronegativity of the group was reduced (Table 2, entries 4–6). This apparent beneficial influence of the presence of electron-withdrawing groups in the aromatic ring of the nitroalkene was confirmed when a nitro group was present 8g, with the reaction affording adduct (R)-9g in 80% ee (Table 2, entry 7).

#### Table 2

Enantioselective Michael addition of isobutyraldehyde to nitroalkenes organocatalyzed by **6a** 

	Ле <sub>+ Ar</sub>	NO <sub>2</sub>		<b>6a</b> (20 mol%) dazole (20 mol%) H <sub>2</sub> O 1/4 (v/v), 0 °	<u>→</u> u⁄ ∖	Ar NO <sub>2</sub> Me
7	8					9
Entry	Nitroalkene		t (d)	Adduct no.	Yield <sup>a</sup> (%)	ee <sup>b,c</sup> (%)
	Ar	No.				
1	Ph	8a	2	(R)- <b>9a</b>	90	80
2	4-MeC <sub>6</sub> H <sub>4</sub>	8b	2	(R)- <b>9b</b>	75	80
3	4-MeOC <sub>6</sub> H <sub>4</sub>	8c	2	(R)- <b>9c</b>	89	75
4	$4-FC_6H_4$	8d	2	(R)- <b>9d</b>	73	80
5	4-ClC <sub>6</sub> H <sub>4</sub>	8e	2	(R)- <b>9e</b>	90	75
6	4-BrC <sub>6</sub> H <sub>4</sub>	8f	2	(R)- <b>9f</b>	70	65
7	$4-NO_2C_6H_4$	8g	2	(R)- <b>9g</b>	85	80
8	2-Naphthyl	8h	2	(R)- <b>9h</b>	75	70
9	3-Pyridinyl	8i	2	(R)- <b>9i</b>	91	80
10	2-Furanyl	8j	2	(R)- <b>9j</b>	95	70

<sup>a</sup> Isolated yield after flash chromatography.

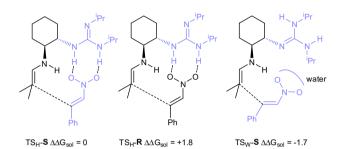
<sup>b</sup> Enantioselectivities determined by chiral HPLC.

<sup>c</sup> Absolute configuration assigned by the order of elution of the enantiomers by chiral HPLC (see Section 4).

When nitroalkene **9h** bearing a 2-naphthyl group was employed as a Michael acceptor, the corresponding adduct (R)-**9h** was obtained in 70% ee (Table 2, entry 8). In addition, the influence of the presence of heteroarylated rings in the nitroalkene was also explored with the use of the 3-pyridinyl- and 2-furanyl-containing nitroalkenes **8i** and **8j** as Michael acceptors, which gave rise to adducts (R)-**9i** and (R)-**9j** in 80% and 70% ee, respectively (Table 2, entries 8 and 10).

The absolute configuration of the known  $\gamma$ -nitroaldehydes **9** was assigned according to the elution order of their enantiomers in chiral HPLC when compared to the literature (see Section 4).

In order to gain further insight into the origin of the observed enantioselectivity, we carried out DFT theoretical calculations. Our goal was to determine the hydrogen bonding activation pattern of the nitro group, including the role of the pendant guanidine moiety and the role of water beyond just being the solvent of the reaction. We assumed that the initial formation of an enamine between the primary amine of the catalyst **6a** and the aldehyde **7** was followed by a nucleophilic attack to nitrostyrene **8a** following Seebach's synclinal model.<sup>18</sup> At that point, the partial negative charge developing in the nitro group during the C—C bond forming transition state might be stabilized by hydrogen bonding with the guanidine (TS<sub>H</sub>-S and TS<sub>H</sub>-R, Fig. 1), or alternatively, stabilized and solvated by the surrounding water molecules (TS<sub>W</sub>-S).



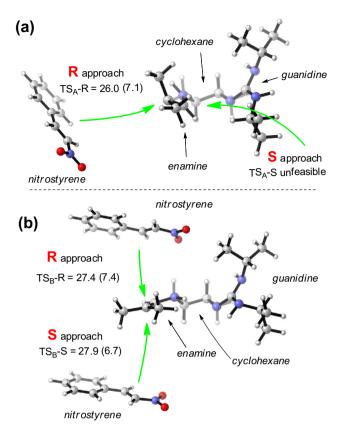
**Figure 1.** Comparison of the guanidine activated transition states ( $TS_H$ -S and  $TS_H$ -R) with the water activated  $TS_W$ -S. Free Gibbs energies computed at B3LYP/6-311+ G(d,p) (CPCM, water) level.

As could be anticipated from our previous report on the related Michael addition catalyzed by **6a**,<sup>16b</sup> we found that if only intramolecular H-bonding was taken into account (TS<sub>H</sub>-S vs TS<sub>H</sub>-R), a preference for the transition state leading to the wrong enantiomer, that is, (*S*), would be predicted, since TS<sub>H</sub>-S (Fig. 1) is 1.8 kcal/mol lower in energy than its isomeric counterpart TS<sub>H</sub>-R. The logical reason for it is that in TS<sub>H</sub>-S, the nitrostyrene and the guanidine subunit are both found in the lower face of the enamine (from our view), adopting a less strained disposition. In contrast, the nitrostyrene and the guanidine lyie in opposite faces of the enamine<sup>19</sup> in TS<sub>H</sub>-R, and the structure needs to twist in order to form the internal H-bonds, adding some strain to the transition structure.

The clear disagreement of this finding with the experimental results can be understood as the first indication of the absence of intramolecular H-bonding in the reaction. Furthermore, confirming this hypothesis, we easily found a preliminary transition state ( $TS_W$ -S, Fig. 1), in which the nitro group was activated by the surrounding aqueous solvent (implicit water solvent model), with 1.7 kcal/mol lower activation energy than  $TS_H$ -S. Water might have a twofold effect to lower the activation energy: it can solvate better the more polar transition state ( $TS_W$ -S vs  $TS_H$ -S), and it can form intermolecular hydrogen-bonds with the nitro group, accompanied by disruption of the intramolecular ones.

If this is so, we should find a polar transition state, lacking intramolecular H-bonds, which would thus explain the preferential formation of the (R)-enantiomer. It is worth noting that the flexibility induced in the catalyst by the lack of internal hydrogen bonding restrictions, introduces some added difficulty to the calculations, due to a higher number of possible conformations in the transition states. Nonetheless, we were able to identify the two most stable conformations of the reactive enamine (Fig. 2a and b), and finally the structures responsible for the formation of the (R)-enantiomer.

Two theoretical approaching trajectories of the nitrostyrene to the catalyst (green arrows) are possible for each enamine. In Figure 2a, the face of the enamine<sup>19</sup> leading to the (*S*)-enantiomer is blocked by the guanidine group, and the corresponding TS<sub>A</sub>-S is not feasible, whilest in TS<sub>A</sub>-R the nitrostyrene approaches from



**Figure 2.** 3D-models (based on computed transition states) for the (R)- and (S)approaches of styrene to the two most stable conformations of the enamine. Free Gibbs energies computed at B3LYP/6-311+G(d,p) (CPCM, water) [M06-2X/6-311+G(d,p) (SMD, water) in parenthesis].

the unhindered side, leading to the transition state with the overall lowest activation energy ( $\Delta G_{solv}^{\sharp} = 26.0 \text{ kcal/mol}, B3LYP$  functional). Meanwhile, the two faces of the other enamine (Fig. 2b) present a similar hindrance, affording transition states TS<sub>B</sub>-R and TS<sub>B</sub>-S of close energy (27.4 and 27.9 kcal/mol, respectively). Thus, the preferential formation of the (*R*)-enantiomer would arise from the predominance of the sum of TS<sub>A</sub>-R and TS<sub>B</sub>-R over TS<sub>B</sub>-S,<sup>20</sup> and the non-existence of TS<sub>A</sub>-S. The steric effect of the guanidine group seems to be the reason behind these observations.

# 3. Conclusion

We conclude that primary amine-guanidines, prepared by a simple monoguanylation of enantiomerically pure *trans*-cyclohexane-1,2-diamines act as organocatalysts in the enantioselective conjugate addition of isobutyraldehyde to nitroalkenes leading to enantiomerically enriched  $\gamma$ -nitroaldehydes. Good yields and enantioselectivities can be achieved by working in aqueous solvents and in the presence of imidazole as a rate-accelerating additive. Theoretical calculations suggest that the stereoinduction exerted by the guanidine arises from its capacity to block one of the faces of the reactive enamine in some of its reactive conformations, while water molecules activate the nitro group towards nucleophilic attack by hydrogen bonding and solvation of the polar transition state.

# 4. Experimental

# 4.1. General

All of the reagents and solvents employed were of the best grade available and were used without further purification. The <sup>1</sup>H and <sup>13</sup>C NMR spectra were recorded at 25 °C on a Bruker AC-300 at 300 and 75 MHz, respectively, using TMS as an internal standard. Nitroalkenes **8** were purchased or prepared according the literature.<sup>21</sup> Absolute configurations for adducts **9** were determined according to the described order of elution of their enantiomers in chiral HPLC. Reference racemic samples of adducts **9** were obtained by performing the reaction using 4-methylbenzylamine (20 mol %) as the organocatalyst in toluene as the solvent at 25 °C.

# 4.2. General procedure for the enantioselective Michael addition reaction

To a solution of **6a**, *ent*-**6a**, or **6b** (0.1 mmol), the nitroalkene (0.5 mmol) and imidazole (6.8 mg, 0.1 mmol) in DMF/H<sub>2</sub>O (1:4, v/v) (1.25 mL) was added isobutyraldehyde (228  $\mu$ L, 2.5 mmol) and the mixture was stirred at 0 °C until reaction completion (TLC). The reaction was quenched with HCl 2 M (10 mL) and the mixture was extracted with AcOEt (3 × 10 mL). The organic phase was washed with H<sub>2</sub>O (2 × 10 mL), dried over MgSO<sub>4</sub>, and the solvent was evaporated (15 Torr) to give a crude product, which was purified by silica gel chromatography (*n*-hexane/AcOEt gradients).

Adducts **9** were identified by comparison of their spectroscopic data with those of the literature. Their enantiomeric excesses were determined by chiral HPLC.

# 4.2.1. (R)-2,2-Dimethyl-4-nitro-3-phenylbutanal 9a<sup>17</sup>

<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  = 9.53 (s, 1H), 7.37–7.28 (m, 3H), 7.23–7.16 (m, 2H), 4.86 (dd, *J* = 13.0, 11.2 Hz, 1H), 4.69 (dd, *J* = 13.0, 4.3 Hz, 1H), 3.78 (dd, *J* = 11.2, 4.3 Hz, 1H), 1.14 (s, 3H), 1.01 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta_{\rm C}$  = 204.3, 135.4, 129.2, 128.8, 128.3, 76.4, 48.6, 48.3, 21.8, 19.0 ppm; HPLC: Chiralcel OD-H,  $\lambda$  = 210 nm, *n*-hexane/2-propanol, 80:20, 0.7 mL/min, *t*<sub>r</sub> (major) = 17.8 min, *t*<sub>r</sub> (minor) = 24.5 min.

#### 4.2.2. (*R*)-2,2-Dimethyl-4-nitro-3-(*p*-tolyl)butanal 9b<sup>17</sup>

<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  = 9.53 (s, 1H), 7.17–7.04 (m, 4H), 4.82 (dd, *J* = 12.9, 11.3 Hz, 1H), 4.67 (dd, *J* = 12.9, 4.2 Hz, 1H), 3.74 (dd, *J* = 11.3, 4.2 Hz, 1H), 2.32 (s, 3H), 1.13 (s, 3H), 1.01 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta_{\rm C}$  = 204.5, 138.0, 132.2, 129.5, 129.0, 76.5, 48.3 (×2), 21.7, 21.1, 19.0 ppm; HPLC: Chiralcel OD-H,  $\lambda$  = 210 nm, *n*-hexane/2-propanol, 75:25, 0.8 mL/min, *t*<sub>r</sub> (major) = 11.3 min, *t*<sub>r</sub> (minor) = 15.5 min.

## 4.2.3. (R)-3-(4-Methoxyphenyl)-2,2-dimethyl-4-nitrobutanal 9c<sup>17</sup>

<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  = 9.53 (s, 1H), 7.15–7.08 (m, 2H), 6.89–6.82 (m, 2H), 4.81 (dd, *J* = 12.8, 11.3 Hz, 1H), 4.66 (dd, *J* = 12.8, 4.3 Hz, 1H), 3.79 (s, 3H), 3.73 (dd, *J* = 11.3, 4.3 Hz, 1H), 1.12 (s, 3H), 1.01 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta_{\rm C}$  = 204.5, 159.4, 130.2, 127.2, 114.2, 76.6, 55.3, 48.5, 48.0, 21.7, 19.0 ppm; HPLC: Chiralcel OD-H,  $\lambda$  = 210 nm, *n*-hexane/2-propanol, 75:25, 0.8 mL/ min, *t*<sub>r</sub> (major) = 13.6 min, *t*<sub>r</sub> (minor) = 20.0 min.

# 4.2.4. (R)-3-(4-Fluorophenyl)-2,2-dimethyl-4-nitrobutanal 9d<sup>17</sup>

<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta_H$  = 9.51 (s, 1H), 7.19 (m, 2H), 7.05-7.02 (m, 2H), 4.82 (dd, *J* = 13.1, 11.3 Hz, 1H), 4.68 (dd, *J* = 13.1, 4.2 Hz, 1H), 3.81–3.76 (dd, *J* = 11.3, 4.2 Hz, 1H), 1.13 (s, 3H), 1.01 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta_C$  = 204.1, 163.7, 161.2, 131.2 (×2), 130.7 (×2), 115.9, 115.6, 76.4, 48.2, 47.8, 21.7, 18.9 ppm; HPLC: Chiralcel OD-H,  $\lambda$  = 210 nm, *n*-hexane/2-propanol, 80:20, 0.8 mL/min, *t*<sub>r</sub> (major) = 12.6 min, *t*<sub>r</sub> (minor) = 21.5 min.

# 4.2.5. (*R*)-3-(4-Chlorophenyl)-2,2-dimethyl-4-nitrobutanal 9e<sup>17</sup>

<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  = 9.51 (s, 1H), 7.35–7.29 (m, 3H), 7.19–7.11 (m, 2H), 4.83 (dd, *J* = 13.1, 11.3 Hz, 1H), 4.69 (dd, *J* = 13.1, 4.2 Hz, 1H), 3.77 (dd, *J* = 11.3, 4.2 Hz, 1H), 1.13 (s, 3H), 1.02 (s, 3H)

ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta_{\rm C}$  = 203.9, 134.1, 130.5, 129.1, 76.3, 48.3, 48.0, 29.8, 21.9, 19.1 ppm; HPLC: Chiralcel OD-H,  $\lambda$  = 210 nm, *n*-hexane/2-propanol, 75:25, 0.8 mL/min,  $t_{\rm r}$  (major) = 12.9 min,  $t_{\rm r}$  (minor) = 20.0 min.

### 4.2.6. (R)-3-(4-Bromophenyl)-2,2-dimethyl-4-nitrobutanal 9f<sup>17</sup>

<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  = 9.49 (s, 1H), 7.48–7.45 (m, 2H), 7.11–7.08 (m, 2H), 4.81 (dd, *J* = 13.1, 11.3 Hz, 1H), 4.68 (dd, *J* = 13.1, 4.2 Hz, 1H), 3.78–3.73 (dd, *J* = 11.3, 4.2 Hz, 1H), 1.12 (s, 3H), 0.99 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta_{\rm C}$  = 203.9, 134.5, 131.9, 130.8, 122.3, 76.1, 48.1, 47.9, 21.8, 18.9 ppm; HPLC: Chiralcel OD-H,  $\lambda$  = 210 nm, *n*-hexane/2-propanol, 80:20, 0.8 mL/min, *t*<sub>r</sub> (major) = 16.4 min, *t*<sub>r</sub> (minor) = 24.1 min.

# 4.2.7. (R)-2,2-Dimethyl-4-nitro-3-(4-nitrophenyl)butanal 9g<sup>17</sup>

<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  = 9.49 (s, 1H), 8.21 (m, 2H), 7.44 (m, 2H), 4.93 (dd, *J* = 13.1, 11.3 Hz, 1H), 4.81–4.76 (dd, *J* = 13.1, 4.2 Hz, 1H), 3.94 (dd, *J* = 11.3, 4.2 Hz, 1H), 1.16 (s, 3H), 1.05 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta_{\rm C}$  = 203.2, 147.7, 143.4, 130.2, 123.9, 75.8, 48.2, 48.1, 21.8, 19.0 ppm; HPLC: Chiralcel OD-H,  $\lambda$  = 210 nm, *n*-hexane/2-propanol, 80:20, 0.7 mL/min, *t*<sub>r</sub> (major) = 12.5 min, *t*<sub>r</sub> (minor) = 20.9 min.

# 4.2.8. (R)-2,2-Dimethyl-3-(naphthalen-2-yl)-4-nitrobutanal 9h<sup>17</sup>

<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  = 9.55 (s, 1H), 7.80–7.75 (m, 3H), 7.70 (m, 1H), 7.50–7.45 (m, 2H), 7.28 (m, 1H), 4.97 (dd, *J* = 13.1, 11.3 Hz, 1H), 4.76 (dd, *J* = 13.1, 4.2 Hz, 1H), 3.97–3.92 (dd, *J* = 11.3, 4.2 Hz, 1H), 1.17 (s, 3H), 1.04 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta_{\rm C}$  = 204.3, 133.3, 132.9 (×2), 128.5, 128.4, 127.9, 127.6, 126.6 (×2), 126.4, 76.4, 48.7, 48.5, 21.8, 19.0 ppm; HPLC: Chiralcel OD-H,  $\lambda$  = 210 nm, *n*-hexane/2-propanol, 80:20, 0.7 mL/min, *t*<sub>r</sub> (minor) = 29.7 min, *t*<sub>r</sub> (major) = 44.6 min.

# 4.2.9. (R)-2,2-Dimethyl-4-nitro-3-(pyridin-3-yl)butanal 9i<sup>22</sup>

<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  = 9.51 (s, 1H), 8.58–8.56 (m, 1H), 8.52–8.51 (m, 1H), 7.60–7.57 (m, 1H), 7.31–7.27 (m, 1H), 4.88 (dd, *J* = 13.7, 11.4 Hz, 1H), 4.75 (dd, *J* = 13.7, 4.1 Hz, 1H), 3.82 (dd, *J* = 11.4, 4.1 Hz, 1H), 1.15 (s, 3H), 1.05 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta_{\rm C}$  = 203.4, 150.6, 149.6, 136.1, 131.4, 123.5, 75.7, 48.2, 46.0, 21.8, 18.9 ppm; HPLC: Chiralpak AD-H,  $\lambda$  = 210 nm, *n*-hexane/2-propanol, 80:20, 1 mL/min, *t*<sub>r</sub> (minor) = 11.1 min, *t*<sub>r</sub> (major) = 13.0 min.

# 4.2.10. (R)-3-(Furan-2-yl)-2,2-dimethyl-4-nitrobutanal 9j<sup>17</sup>

<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta_{\rm H}$  = 9.52 (s, 1H), 7.38–7.37 (d, *J* = 1.6 Hz, 1H), 6.32–6.31 (dd, *J* = 5.2, 4.0 Hz, 1H), 6.22 (d, *J* = 3.2 Hz, 1H), 4.7 (dd, *J* = 12.8, 11.2 Hz, 1H), 4.58 (dd, *J* = 13.1, 4.2 Hz, 1H), 3.94–3.91 (dd, *J* = 11.3, 4.2 Hz, 1H), 1.18 (s, 3H), 1.05 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta_{\rm C}$  = 203.5, 149.8, 142.8, 110.4, 109.7, 74.9, 48.2, 42.3, 21.1, 19.0 ppm; HPLC: Chiralcel OD-H,  $\lambda$  = 210 nm, *n*-hexane/2-propanol, 75:25, 0.8 mL/min, *t*<sub>r</sub> (major) = 8.8 min, *t*<sub>r</sub> (minor) = 13.2 min.

## 4.3. Calculations

All structures were initially optimized using the functional B3LYP and the 6-31G basis set as implemented in Gaussian 09,<sup>23</sup> and then reoptimized at B3LYP/6-311+G(d,p)<sup>24</sup> and M06-2X/6-311+G(d,p)<sup>25</sup> introducing solvent factors with the CPCM<sup>26</sup> model (solvent = water). The stationary points were characterized by frequency calculations in order to verify that they have the right number of imaginary frequencies. The intrinsic reaction coordinate (IRC)<sup>27</sup> were was followed to verify the energy profiles connecting each transition structure to the correct associated local minima.

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- 19. Seebach's model is diastereospecific (see Ref. 18), meaning that each reacting face of the enamine determines the approaching face of the nitrostyrene, and thus the sense of the enantioinduction.
- 20. It is worth noting the notorious difference in activation energies between B3LYP (26–28 kcal/mol) and M06-2X (6–7 kcal/mol) functional, and the fact that the energies of the three transition states are within computational error with M06-2X (7.1, 7.4 and 6.7 kcal/mol), restricting the explanation with this functional to the qualitative difference of approaching trajectories drawn in Figure 2.
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