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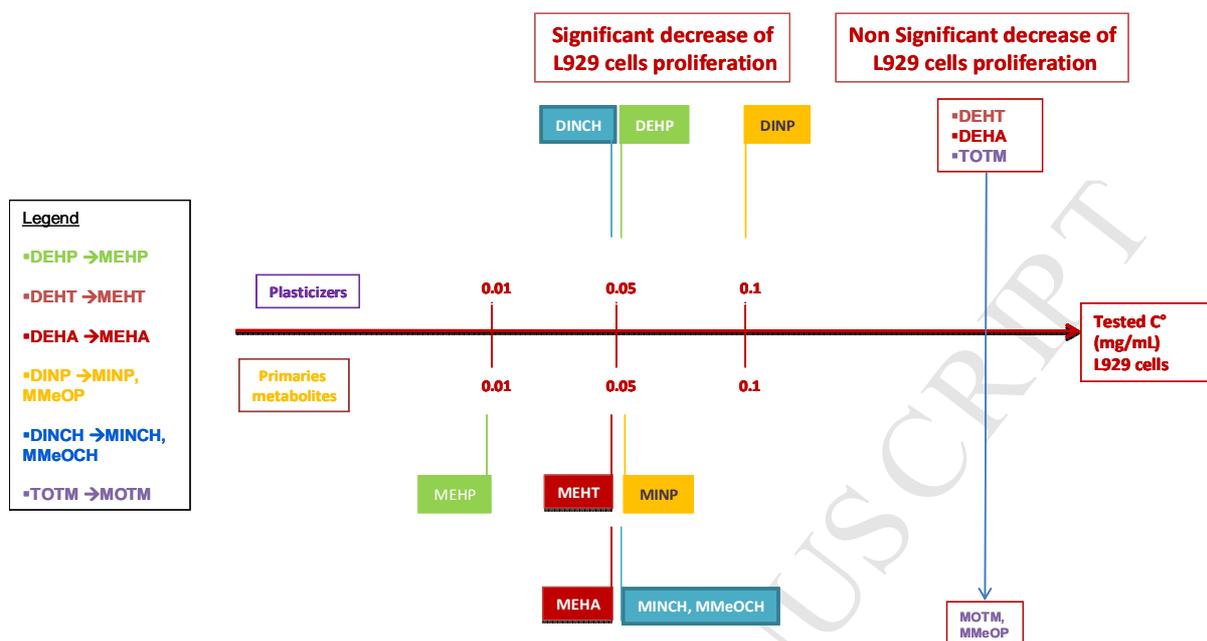
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Graphical abstract



1 ***In vitro* cytotoxic effects of DEHP-alternative plasticizers and their primary metabolites**
2 **on a L929 cell line**

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21
22 **Abstract**

23 Phthalic acid esters have been widely used to improve the plasticity of PVC medical
24 devices. They carry a high exposure risk for both humans and the environment in
25 clinical situations. Our study focuses on the cytotoxicity of alternative plasticizers.
26 Postulated primary metabolites were synthesized, not being commercially available.
27 Cytotoxicity assays were performed on L929 murine cells according to the ISO-EN
28 10993-5 standard design for the biocompatibility of medical devices. The tested
29 concentrations of plasticizers (0.01, 0.05 and 0.1 mg/ml) covered the range likely to
30 be found in biological fluids coming into direct contact with the medical devices.
31 **DEHP, DINP** and **DINCH** were cytotoxic at the highest concentration (0.1 mg/ml) for 7
32 days of exposure. Their corresponding metabolites were found to be more cytotoxic,
33 for the same concentration. By contrast, **TOTM** and its corresponding metabolite
34 **MOTM** were not found to be cytotoxic. **DEHA** showed no cytotoxicity, but its
35 corresponding monoester (**MEHA**) produced a cytotoxic effect at 0.05 mg/ml. In
36 clinical situations, medical devices can release plasticizers, which can come into
37 contact with patients. *In vivo*, the plasticizers are quickly transformed into primary
38 metabolites. It is therefore important to measure the effects of both the plasticizers

1 and their corresponding metabolites. Standard first-line cytotoxicity assays should be
2 performed to ensure biocompatibility.

4 **Keywords**

5 Plasticizers, metabolite synthesis; cytotoxicity, DEHP-alternative plasticizers, phthalates

7 **Abbreviations**

8 ARMED®, Assessment and Risk Management of Medical Devices in Plasticized
9 Polyvinylchloride; **DEHA**, diethylhexyladipate; **DEHP**, diethylhexyl phthalate; **DEHT**, di-
10 ethylhexylterephthalate; **DINCH**, diisononyl cyclohexane-1,2-dicarboxylate; **DINP**, di-
11 isononylphthalate; **MEHP**, monoethylhexyl phthalate; **MMeOP**, mono(4-
12 methyloctyl)phthalate; **MMeOCH**, mono(4-methyloctyl) cyclohexane-1,2-dicarboxylate;
13 **MEHT**, monoethylhexylterephthalate; **MINCH**, monoisononyl cyclohexane-1,2-dicarboxylate;
14 **MINP**, monoisononylphthalate; **MOTM**, monoethyltrimellitate; **SCENIHR**, Scientific
15 Committee on Emerging and Newly-Identified Health Risks; **TOTM**, triethyltrimellitate.

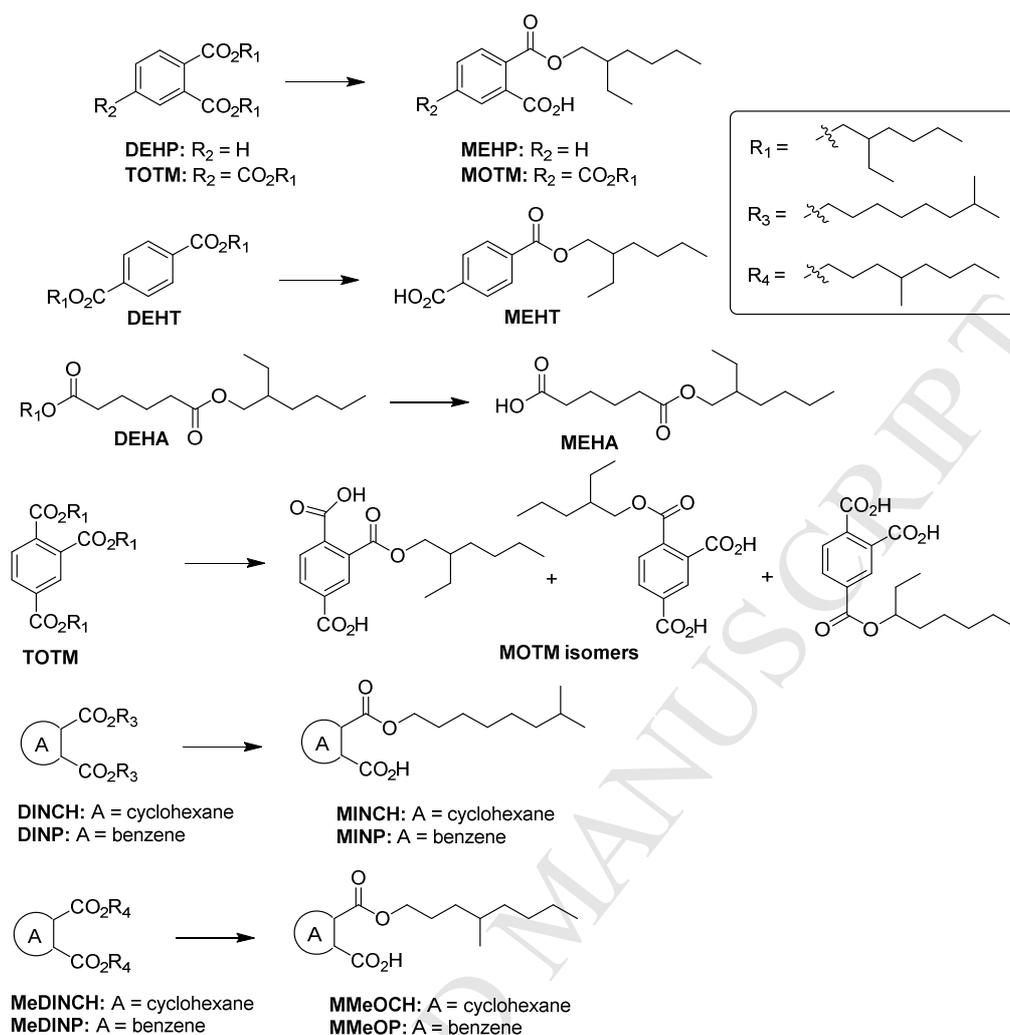
16 **1. Introduction**

17 PVC (polyvinyl chloride) is widely used in medical devices, in particular to produce flexible
18 tubing such as in infusion sets or extracorporeal circulation lines. To confer and maintain
19 flexibility, plasticizers are added to the PVC matrix. However, it is now generally agreed that
20 plasticizers can migrate from the PVC matrix into infused drug solutions or biological fluids,
21 and thereby come into contact with the patient. Some of these chemicals are likely to be
22 hazardous for patients, as demonstrated for diethylhexyl phthalate (**DEHP**), now classified as
23 CMR 1B (carcinogenic, mutagenic or toxic to reproduction) under the CLP Regulation
24 (European Union, R 2008). It is also well known that the monoethylphthalate **MEHP**,
25 produced *in vivo* by enzymatic hydrolysis of the plasticizer, is even more toxic than the
26 plasticizer itself (ECB, 2004; CRHER, 2005; SCENIHR, 2015). The use of **DEHP** in PVC
27 medical devices was therefore challenged by the European authorities (European Union, R
28 2007), and in 2012 a French law banned the use of **DEHP** in plasticized PVC medical tubing
29 in neonatology and maternity services as from July 1, 2015 (French law, 2012). Supported by
30 physical and chemical properties, and some animal toxicology data, manufacturers turned to
31 triethyltrimellitate (**TOTM**), di-ethylhexylterephthalate (**DEHT**), diisononyl cyclohexane-1,2-
32 dicarboxylate (**DINCH**), di-isononylphthalate (**DINP**) and diethylhexyladipate (**DEHA**), to
33 replace DEHP in PVC medical devices (Figure 1). However, data on the migration of these
34 additives from medical devices, and on their potential human toxicity are still insufficient.

1 To be marketed, medical devices have to meet certain essential requirements. These mainly
2 concern their performance, safety, toxicity and biocompatibility. The last three properties
3 concern interactions between tissues in contact with the materials, but other tissues may also
4 be affected. The evaluation of a device has to take into account not only the substances
5 released from it, but also those derived from their degradation. Lastly, the duration of contact
6 with the body must be considered. To study toxicity and biocompatibility, *in vivo* and *in vitro*
7 tests are proposed in the standard EN ISO 1993. Devices have to undergo tests prescribed
8 according to the level of risk to which patients are exposed. The cytotoxicity test described in
9 EN ISO 10993-5 is mandatory, regardless of the medical device: the authors of the standard
10 consider that the toxicity assessment of a medical device, material or additive on a cell model
11 can be predictive of *in vivo* toxicity. An apparent toxicity in this model does not necessarily
12 demand rejection of the product concerned, but calls for further investigation. Recommended
13 tests depend on the final use of the medical device. For example, an infusion set will not
14 need to meet the same requirements as a central catheter coming directly into contact with
15 blood. Manufacturers mostly test the medical device itself and not the separate materials
16 used in its composition. Secondary materials can include bonding substances and
17 accessories such as fittings. Most often no data is available on the additives used for either
18 the main material or secondary ones. Many additives serve to facilitate the manufacture or
19 use of the medical device: for example, manufacturers often use plasticizers, lubricants or
20 dyes. The biocompatibility of these additives, which affect the ergonomic properties of
21 medical devices, is poorly evaluated. We studied the cytotoxicity of plasticizers added to
22 PVC medical devices using the MTT test, and the cell line (L929 cell line) described in EN 10
23 993-5. The concentrations studied were those found in biological fluids during direct contact
24 with the medical devices (Takahashi et al., 2008; Kambia et al., 2011; Scenirh 2015; Eckert
25 et al., 2016)

26 Information is lacking on the toxicity of the primary metabolites of **DEHP**-alternative
27 plasticizers. The aim of our study was to assess the toxicity of several such alternative
28 plasticizers and their primary metabolites, with cytotoxicity assays performed according to
29 the EN 10993-5 standard using the MTT assay. The primary metabolites of **TOTM**, **DEHT**,
30 **DEHA**, **DINCH**, **DINP** and **DEHA** are not commercially available, so had to be chemically
31 synthesized. The production of pure metabolites enabled us to study their respective
32 cytotoxicities, and to compare them with that of **MEHP** in the same conditions.

33



1
2 **Figure 1.** Plasticizers most often used in medical devices and their corresponding primary
3 metabolites
4

5 **2. Materials and methods**

6 **2.1. Chemicals, biochemical and reagents**

7 Unless otherwise stated, all manipulations were performed under argon; all reagents were
8 purchased from the following commercial suppliers: Sigma-Aldrich, Acros Organics, Carlo
9 Erba, TCI Europa, and Alpha Aesar. Anhydrous DMF and anhydrous triethylamine were
10 purchased from Acros Organics. THF was distilled over benzophenone and sodium.
11 Dichloromethane was distilled over calcium hydride. Nuclear magnetic resonance (NMR)
12 spectra were acquired on a Bruker AC-200 instrument operating at 200 MHz and 50 MHz for
13 1H NMR and ^{13}C NMR, respectively. All 1H NMR spectral peaks are reported in δ units, parts
14 per million (ppm), and the coupling constants are indicated in hertz (Hz). The following
15 abbreviations are used for spin multiplicity: s = singlet, d = doublet, t = triplet, q = quadruplet,

1 m = multiplet, and br = broad. The chromatographic system consisted of an HTC PAL
2 autosampler, and a Transcend TLX-1 HTLC System (Thermo Fisher Scientific, San Jose,
3 United States), The HRMS analysis was performed using a Thermo Exactive benchtop
4 Orbitrap® instrument. TLC was performed on pre-coated silica gel sheets (POLYGRAM®
5 60F254 plates) and visualized under UV light (254 nm). Column chromatography was
6 performed using silica gel normal phase (35–70 µm). Uncorrected melting points (Mp) were
7 recorded on an Electrothermal IA9300 apparatus. Infrared spectra (IR) were recorded on a
8 Bruker FT Vector 22 instrument.

9 **DEHP** (Ref: D201154, CAS: 117-81-7), **TOTM** (ref: 538140, CAS: 3319-31-1), **DEHA** (ref:
10 524197 CAS: 103-23-1), **DINP** (ref: 376663, CAS: 28553-12-0), and **DEHT** (ref: 525189,
11 CAS: 6422-56-2) were purchased from Sigma Aldrich, France. **DINCH** (CAS: 166-412-78-8)
12 was supplied by BASF, France. The primary metabolites **MEHP**, **MEHT**, **MINP**, **MINCH**,
13 **MEHA**, **MMeOP**, **MMeOCH** and **MOTM** were synthesized and characterized by the UMR 990
14 team, Clermont-Ferrand, France.

15 Dimethylsulfoxide DMSO (ref: D8418 CAS: 67-68-5), Mouse fibroblasts L929 (ref: 85011425
16 lot: 10L019), MTT assay (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide, ref:
17 M2128) were also purchased from Sigma Aldrich. Dulbecco's modified Eagle Medium
18 (DMEM) (fetal calf serum (SVF), phosphate saline buffer (PBS), penicillin (P), streptomycin
19 (S), and actinomycin D were purchased from Life technologies SAS, France.

20

21 2.2. Methods for the synthesis of primary metabolites

22 Metabolites **MEHP (3)**, **MOTM (5)**, **MEHA (8)**, **MINCH (19)**, **MINP (21)**, **MeMINCH (30)** and
23 **MeMINP (31)** were synthesized from the appropriate anhydrides **(1a, b)**, **(7)**, **(18) or (19)** and
24 the appropriate alcohol derivatives 2-ethylhexanol **(2)**, 7-methyloctanol **(17)** or 4-
25 methyloctanol **(29)** as previously described (F. Nüti et al., 2005). Scheme 1S, 2S, 3S, 5S and
26 6S depict the synthesis of the primary metabolites listed above (See Supporting Information).
27 Briefly, to a solution of the appropriate anhydride **(1a, b)**, **(7)**, **(18) or (19)** (1 eq.) dissolved in
28 dry pyridine, was added 2-ethylhexanol **(2)**, 7-methyloctanol **(17)** or 4-methyloctanol **(29)**
29 (1 eq.). The resulting mixture was stirred at 125 °C (external temperature) until the anhydride
30 derivative had disappeared (TLC monitoring). The mixture was quenched with cold water
31 (50 mL) and extracted with diethyl ether (8 × 40 mL). The combined organic layer was
32 washed with a 10% solution of hydrochloric acid (100 mL). Finally, the mixture was extracted
33 with 0.4 M K₂CO₃ (100 mL). The aqueous basic layer was acidified to pH 1 with a solution of
34 hydrochloric acid (1M) and then extracted with Et₂O (4 × 100 mL). The combined organic
35 layer was washed with brine (150 mL), dried over MgSO₄ and evaporated under reduced
36 pressure.

1 The metabolite **MEHT (12)** was obtained by esterification of the terephthalate derivative **(11)**
2 and 2-ethylhexanol **(2)** (See Supporting Information, scheme 4S).

3

4 **2.3. Biocompatibility assays**

5 **2.3.1. Solubilization of the plasticizers**

6 All the plasticizers and their metabolites were solubilized in DMSO (stock solutions) at 1%
7 concentration (10 mg/mL). The final concentrations of the respective stock solutions were
8 checked by GC-MS (Supporting Information, Table S1). Work solutions of each plasticizer
9 were obtained by dilution of the stock solutions to the concentrations 0.01 mg/ml (0.1%);
10 0.05 mg/ml (0.5%) and 0.1 mg/ml (1%) in the culture medium (DMEM).

11 **2.3.2. Cells cultures**

12 L929 cells were cultured in 96-well plates (without plasticizers) with DMEM supplemented
13 with 10% of SVF, 100 IU/mL of penicillin and 100 µg/mL of streptomycin (complete DMEM)
14 at 37°C with 5% of CO₂.

15 **2.3.3. MTT assay procedure**

16 The MTT reagent was used at a concentration of 5 mg/mL in PBS. At the end of the cell
17 cultures, the media were removed, and 30 µL of the MTT reagent was added to each well.
18 Cells were then incubated for 3 h at 37 °C with 5% of CO₂. The MTT reagent was removed,
19 and 200 µL of dimethyl sulfoxide was added to each well (multiwell plates without
20 plasticizers). After 1 h of formazan dissolution, the optical density (OD) of each well was
21 measured at 570 nm (spectrophotometer VICTOR™ Multilabel HTS Counter PerkinElmer).

22 **2.3.4. Specificity of the MTT assay**

23 L929 cells were seeded in triplicate at 10⁴ cells/well. After 24 h, the medium was replaced by
24 200 µL of the complete DMEM (control) or 200 µL of the complete DMEM supplemented with
25 0.1% of actinomycin D. Cells were then cultured for 5 days with a medium change every day.
26 MTT assays were performed every day for each condition. Cell viability percentage was
27 calculated using the formula: ((OD test – OD control)/OD control).

28 **2.3.5. Linearity of the MTT assay**

29 L929 cells were seeded in triplicate at increasing numbers of cells per well (5×10³ - 10×10³ -
30 50×10³ - 100×10³ - 150×10³ - 200×10³ - 250×10³ - 300×10³ - 350×10³) in 96-well plates.
31 After 8 h of adherence, the MTT assay was performed as described above.

2.3.6. Viability assay for the DMSO solvent

According to the work plasticizer solutions, L929 cells were cultured in complete DMEM supplemented with 0.1%, 0.5%, 1%, 2.5% and 5% of DMSO. Cells were seeded in triplicate at 10^4 cells/well. After 24 h, the medium was replaced every day by 200 μ L of the complete DMEM for the control and 200 μ L of the complete DMEM supplemented with DMSO at the selected concentrations. Cells were cultured for 7 days. An MTT assay was performed every day according to the MTT assay procedure.

2.3.7. Viability assays for the plasticizers

For the viability assay of the plasticizers, cells were seeded in triplicate at 10^4 cells/well. After 24 h, the media were replaced by 200 μ L of the followings solutions: (i) control conditions with complete medium, (ii) control DMSO conditions with the complete medium supplemented with the same concentration of DMSO as the plasticizer work solutions, and (iii) work solutions (0.1%, 0.5 % and 1%) of the plasticizers. Cells were cultured for 7 days with a medium change every day. MTT assay and quantification of the viability percentage were carried out every day.

2.3.8. Statistical analysis

The experiments performed in triplicate were repeated at least twice, and the outcomes of one representative data set of each plasticizer are reported. Differences in viability percentages between the control conditions and the test conditions were analyzed using the Mann-Whitney non-parametric test. A *p* value less than 0.05 was considered significant.

3. Results

3.1. Synthesis of metabolites

Primary metabolites **MEHP (3)** (F. Nüti et al., 2005), **MOTM (5)**, **MEHA (8)**, **MINCH (19)**, **MINP (21)**, **MMeOCH (30)** and **MMeOP (31)** were synthesized by esterification of the appropriate anhydride ((**1a**, **b**), (**7**), (**18**) and (**20**)) by the appropriate alcohol: 2-ethylhexanol (**2**), 7-methyloctanol (**17**) or 4-methyloctanol (**29**) (Figure 2) as previously described (Nüti et al., 2005). For **MOTM**, a condensation of anhydride (**1b**) and (**2**) yielded a mixture of two isomers, which was not separated. **MEHA (8)** was straightforwardly obtained from anhydride (**7**) (Cisneros, 2012) and alcohol (**2**) with good yield. We also synthesized the **MEHT** metabolite. Starting material terephthalic acid (**9**), after protection with benzyl bromide, then treatment with thionyl chloride followed by an esterification with (**2**), and a final catalytic hydrogenation, afforded **MEHT (12)**.

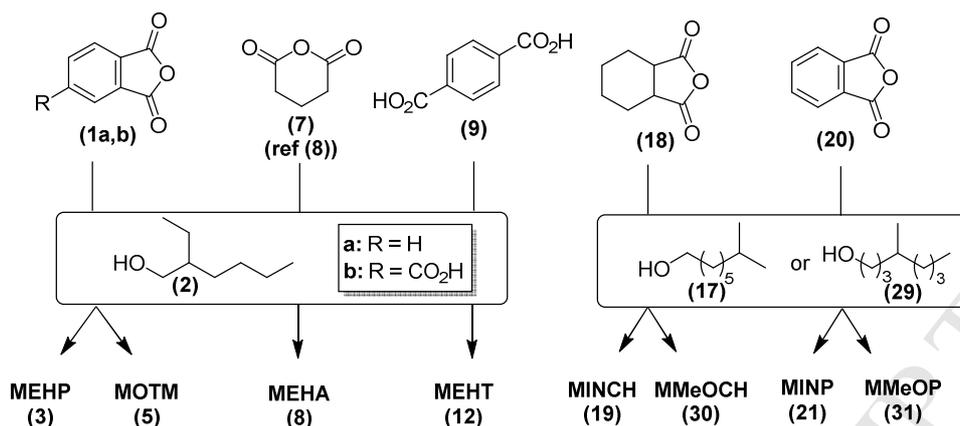


Figure 2. Access to **MEHT**, **MEHA**, **MOTM**, **MINCH**, **MINP**, **MMeOCH** and **MMeOP** from 2-ethylhexanol (**2**), 7-methyloctanol (**17**) or 4-methyloctanol (**29**)

MINCH and **MINP** were obtained by condensation of 7-methyloctan-1-ol (**17**) and dihydro-2H-pyran-2,6-(3H)-dione (**18**) or hexahydroisobenzofuran-1,3-dione (**20**), respectively. Compound 7-methyloctan-1-ol (**17**) was commercialized, but was very costly. We therefore synthesized it in four steps as described in Scheme 5S. **MMeOCH** and **MMeOP** were obtained by a similar procedure with 4-methyloctan-1-ol (**29**) (Scheme 6S). All intermediates and final compounds were analyzed by IR, ¹H and ¹³C NMR, and HRMS. For all details, see Supporting Information. The purity of all our synthesized metabolites and their corresponding intermediates exceeded 95%.

3.2. Biocompatibility assay

3.2.1. Specificity of MTT assay

Figure 3 shows the optical density obtained after MTT assay on L929 cells cultured in complete medium with and without actinomycin D for 5 days. The optical density of control wells gradually increased from D1 to D5; L929 cells proliferated normally. The optical density in the wells cultured in the presence of actinomycin D fell significantly from D1 compared with the control, and tended to zero at D3. The apoptosis-inducing effect of actinomycin D (Kleeff et al., 2000) time-dependently caused cell death, which was close to 100% at D3 in the MTT assay.

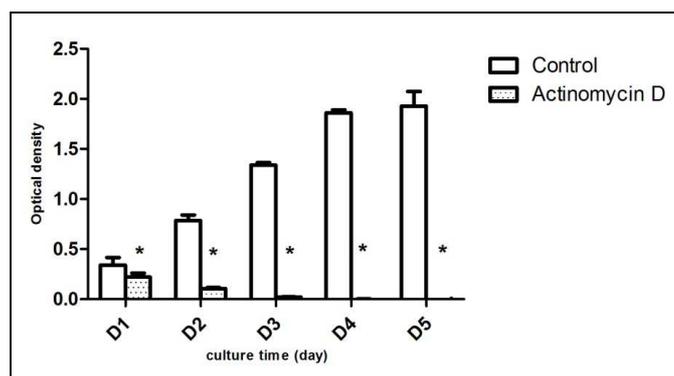


Figure 3. Specificity of the MTT assay. Optical density of the control wells vs. the optical density of the actinomycin D wells. L929 cells were seeded at 10^4 cells/well, and cultured in Complete DMEM with and without actinomycin D (0.1%) for 5 days. MTT assays were carried out every day for each condition. * $p < 0.05$ control vs. test (DMEM + %DMSO)

3.2.2. Linearity of MTT assay

Figure 4 shows the linearity of the MTT assay. A linear correlation ($r^2 = 0.9812$) was found between the number of seeded cells and the optical density obtained at the end of the MTT assay after 8 h of adhesion.

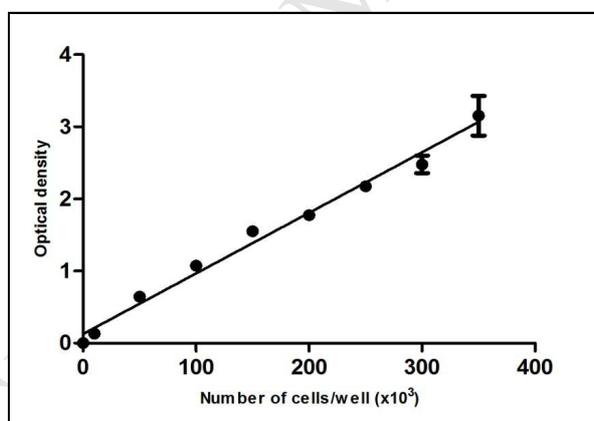
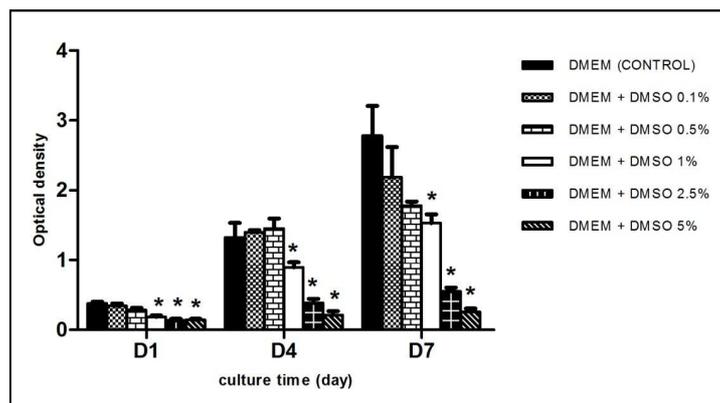


Figure 4. Linearity of MTT assay. L929 cells were seeded at increasing numbers of cells per well (10×10^3 - 50×10^3 - 100×10^3 - 150×10^3 - 200×10^3 - 250×10^3 - 300×10^3 - 350×10^3) in complete medium. After 8 h of adherence, the MTT assay was performed for each well.

3.2.3. DMSO solvent effect on cell viability

Figure 5 shows the proliferation of L929 cells cultured in complete medium with and without DMSO (0.1%, 0.5%, 1%, 2.5% and 5%) for 7 days. There was no significant difference in cell proliferation between control (DMEM) and the cells cultured in DMEM supplemented with 0.1% and 0.5% of DMSO. Cells cultured in DMEM supplemented with 1% of DMSO

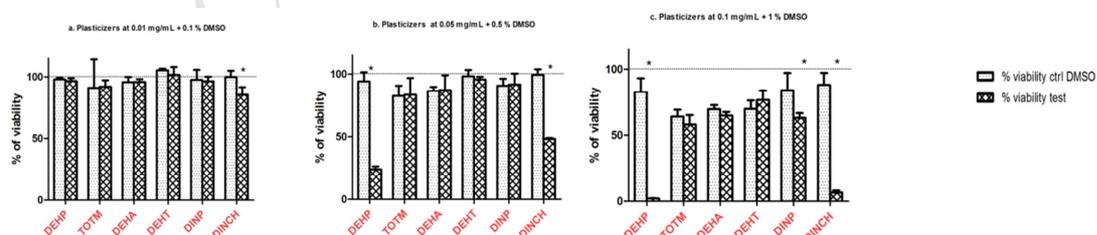
1 proliferated for the 7 days of culture, but their proliferation was significantly lower than that of
 2 the control. Beyond 1% of DMSO, L929 cells did not proliferate.



3
 4 **Figure 5.** Viability assays of the solvent DMSO. L929 cells were seeded at 10^4 cells/well, and
 5 cultured in complete medium with and without DMSO (0.1%, 0.5%, 1%, 2.5% and 5%) for 7
 6 days. MTT assay was carried out every day. * $p < 0.05$ control vs. test (DMEM + %DMSO)
 7

8 3.2.4. Viability of cells when exposed to the plasticizers and their primary 9 metabolites

10 Figure 6 shows the results of viability tests, at day 7 (D7), performed on L929 cells cultured
 11 in the presence of three concentrations of plasticizers after 7 days of contact. Plasticizers
 12 were not toxic to the cells at the concentration of 0.01 mg/ml compared with the control
 13 containing DMSO at the same concentration. A decrease in cell viability was observed for
 14 **DEHP** and **DINCH** from the concentration of 0.05 mg/mL. For these two plasticizers, this
 15 decrease in viability was higher than 50%. **DINP** reduced cell proliferation from a
 16 concentration of 0.1 mg/mL (40%). For the other plasticizers (**TOTM**, **DEHA** and **DEHT**),
 17 there were no significant differences compared with DMSO at any of the three concentrations
 18 tested.
 19
 20

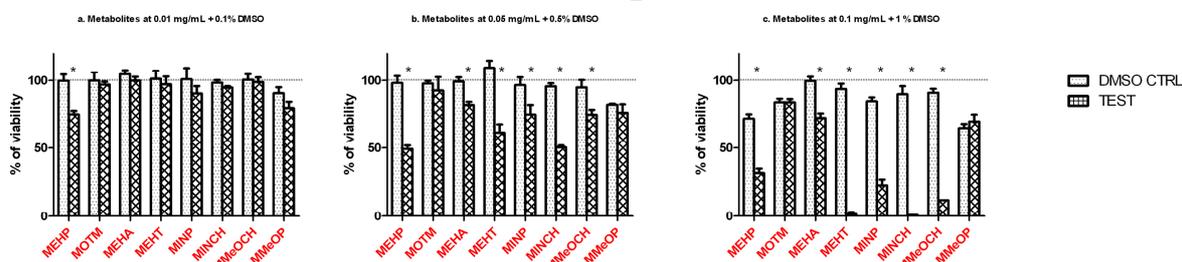


21
 22 **Figure 6.** Cytotoxicity test of plasticizers at 0.01 mg/mL (a), 0.05 mg/mL and 0.1 mg/mL, day
 23 7. Outcomes of the cell viability analysis using MTT assay. L929 cells were seeded at 10^4
 24 cells/well and cultured for 7 days in three conditions with medium change every day: control

1 (complete medium), control DMSO (complete medium supplemented with DMSO 0.1%, 0.5%
 2 and 1%) and test (work solutions of plasticizer in complete medium at 0.1% (0.01 mg/mL),
 3 0.5% (0.05 mg/mL) and 1% (0.1 mg/mL). MTT assay and quantification of the viability
 4 percentage were carried out every day. * $p < 0.05$ DMSO control vs. Test.

5
 6 Figure 7 depicts the results of the viability tests on primary metabolites of the plasticizers.
 7 From 0.01 mg/mL, only **MEHP** had an impact on cell growth. **MEHP** expressed a cytotoxicity
 8 of 50% and 70% respectively at 0.05 mg/mL and 0.1 mg/ml. Compared with **MEHP**, the
 9 effect on the L929 proliferation was different for all the other primary metabolites studied
 10 except for **MINCH** and **MEHT**: at 0.05 mg/ml, these latter were as cytotoxic as **MEHP** (about
 11 50% cell death). From 0.1 mg/ml, they were much more cytotoxic than **MEHP** (98% and 99%
 12 of cell death observed for **MEHT** and **MINCH**, respectively versus 70% for **MEHP**). For
 13 **MMeOCH**, a structural isomer of **MINCH**, results were close to those obtained for **MINCH**
 14 from 0.05 to 0.1 mg/mL. By contrast, the **MMeOP**, a structural isomer of **MINP**, never
 15 significantly decreased cell viability at any of the concentrations tested. For **MOTM**, no
 16 significant decrease in proliferation was observed compared with the DMSO control at any of
 17 the concentrations tested. **MEHA** was weakly toxic, its cytotoxicity lying in the range 20–30%
 18 at 0.05 and 0.1 mg/mL, respectively.

19



20
 21 **Figure 7.** Cytotoxicity test of primary metabolites at 0.01 mg/mL (a), at 0.05 mg/mL and at
 22 0.1 mg/mL, day 7. Outcomes of the cell viability analysis using MTT assay. L929 cells were
 23 seeded at 10^4 cells/well and cultured for 7 days in three conditions with medium change
 24 every day: control (complete medium), control DMSO (complete medium supplemented with
 25 DMSO 0.1%, 0.5% and 1%) and test (work solutions of plasticizer in complete medium at
 26 0.1% (0.01 mg/mL), 0.5% (0.05mg/mL) and 1% (0.1 mg/mL). MTT assay and quantification
 27 of the viability percentage were carried out every day. * $p < 0.05$ DMSO control vs. Test

28
 29 In summary, we observed that **MOTM** and **MMeoP** were not cytotoxic at any of the
 30 concentrations tested. **MEHA** was weakly cytotoxic. **MEHP**, **MEHT**, **MINP**, **MINCH** and
 31 **MMeOCH** were cytotoxic with a concentration effect.

32

4. Discussion

1 Since the plasticizer **DEHP** was classified as CMR 1B, manufacturers have used various
2 alternative plasticizers with high molecular weights or different solubility properties, such as
3 other phthalates, trimellitates, citrates, alkyl sulfonic phenyl esters (ASEs), C10-21-alkanes,
4 acetylated monoglycerides of hydrogenated castor oil (COMGHAs), or acetates. In PVC
5 medical devices, the plasticizers most commonly added are **TOTM** and **DINCH**, but **DINP**
6 and **DEHA** are also frequently found. **DEHT** is seldom used in MDs as the main plasticizer,
7 but it is also a contaminant of **TOTM** (Gimeno et al., 2014, Bourdeaux et al., 2016). Here we
8 focus on plasticizers commonly used in medical devices. Special attention was of course
9 paid to all phthalate substitutes because of their endocrine-disrupting and reprotoxic
10 properties. Except for **TOTM**, there are many studies on alternative PVC plasticizers used by
11 manufacturers. For **DEHA**, carcinogenic properties were observed toward female B6C3F1,
12 and a disturbance of the estrous cycle and increased ovarian follicle atresia were detected in
13 rats (Miyata et al., 2006). For **DEHT**, no effects were reported on reproductive tissues,
14 organs, kidneys, liver hepatocytes or peroxisomes, which are known targets of **DEHP**-toxicity
15 (Wirnitzer et al., 2011; Deyo, 2008). **DINP** and **DINCH** have been studied more thoroughly.
16 Levels of **DINP** exposure are far below those that have no observed adverse effects in
17 animals, and also below health-based exposure guidance values set by regulatory authorities
18 and other authoritative bodies as acceptable (Borch et al., 2004; Patynaa et al., 2006;
19 Kransler et al., 2012). **DINCH** has been studied by Schütze and co-workers. **DINCH** is
20 neither a reproductive toxicant nor an endocrine disruptor in rodents. Thyroid hyperplasia
21 and signs of renal toxicity were only observed at relatively high dose levels in animals of
22 either sex (Schütze et al., 2015; Schütze et al., 2014).

24 Until now, all these numerous studies have estimated the kinetics of metabolism of **DEHP**
25 from the urinary excretion of its main primary and secondary metabolites, or the parent
26 substance, after administration of the PVC plasticizer (Fromme et al., 2016; Schütze et al.,
27 2012, 2014; Anderson et al., 2011; Koch et al., 2011, 2012, 2013). For other studies,
28 analyses were conducted using deuterated plasticizers (D2 or D4-DEHP, D2 or D4-DINCH,
29 D2 or D4-DINP): after administration to mice, urine samples were analyzed by LC-MS to
30 measure metabolite concentrations (Anderson et al., 2001, 2011; Koch et al., 2005, 2007).

31 Besides these results, the literature reports that plasticizers are metabolized *in vivo*. In a first
32 metabolism step, they are cleaved into monoesters (or diester for **TOTM**), which are further
33 oxidized in various ways into alcohol, ketone or acid derivatives. These secondary
34 metabolites are recovered in urine (Martis et al., 1987). These metabolites are not all
35 currently available on the market, and several were produced after oral or intravenous
36 administration of the plasticizers to animals and collected as glucuronated derivatives.
37 Primary and secondary metabolites were obtained by hydrolysis of these derivatives. The

1 low quantities obtained by these methods do not always allow full cytotoxicity studies. To
2 overcome this limitation, we undertook here for the first time the total synthesis of the
3 postulated primary metabolites of these plasticizers, namely **MOTM**, **MEHA**, **MEHT**, **MINP**,
4 **MINCH**, **MMeOP** (isomer of MINP) and **MMeOCH** (isomer of MINCH) as they are described
5 in the literature.

6 All the primary metabolites were successively synthesized (for details, see Figure 2 and
7 supplementary material). The synthesized metabolites were chemically conformant (purity
8 95%), and so the results of the cytotoxicity assays can be considered as reflecting the
9 synthesized substance and not impurities. The objective of our preliminary work was to
10 compare the effect of these plasticizers and their metabolites on the *in vitro* cell culture
11 models used to evaluate the biocompatibility according to the EN 10993. However, authors
12 report difficulties applying the EN 10993-5 standard for plasticizers: these substances are
13 hydrophobic, and cannot be used as they are for direct contact in a culture medium. It is
14 therefore necessary to use a solvent for these materials, which is itself non-cytotoxic toward
15 the cells, to perform the tests. DMSO was used for this purpose (Wang et al., 2012).
16 Solubility tests showed that the plasticizers were soluble up to a concentration of 1% in
17 DMSO (data not shown). We validated the DMSO maximum concentrations that can be used
18 in the presence of L929 according to our experimental protocol (7 days of cell culture at an
19 initial cell density of 10^4 cells/well). DMSO could thus be used up to a maximum
20 concentration of 1%. To avoid bias, all tests were compared with a control DMSO (medium
21 supplemented with DMSO at the same concentration).

22 All the plasticizers and their corresponding primary metabolites were cultured directly with
23 murine L929 fibroblasts at three different concentrations (0.01, 0.05 and 0.1 mg/mL) for 7
24 days. Concerning cytotoxicity of plasticizers, no effect was observed at 0.01 mg/mL. **DEHP**
25 and **DINCH** caused a decrease in L929 cell proliferation from the concentration of
26 0.05 mg/mL, and their effect was massive at the highest concentration, with total cell death.
27 **DINCH** was as toxic as **DEHP** for the L929 fibroblast cells. These results were unexpected
28 because the chemical structures of the two substances are widely different. **DEHP** is a
29 phthalate with two ethylhexanyl chains, whereas **DINCH** has no aromatic scaffold, and two
30 more lipophilic chains grafted on the ester function. Except for **DINP**, which caused cell
31 proliferation inhibition (20–30%) from 0.1 mg/mL, all the other plasticizers (e.g. **TOTM**, **DEHA**
32 and **DEHT**) showed no significant differences compared with DMSO at any of the three
33 concentrations tested. By contrast, **TOTM**, one of the most widely used alternative
34 plasticizers, though banned in food applications, presented a favorable toxicity profile. If we
35 consider the cytotoxicity threshold described in the standard EN 10993-5, **DEHP** and **DINCH**
36 were equivalent in terms of cytotoxicity (viability threshold < 70%).

1 These results show a clear difference in the cytotoxicities of the plasticizers evaluated.
2 Köksal et al. studied the cytotoxicity of cyclohexyl butyl phthalate (BHP) with the MTT assay
3 on L929 cell lines. They found an ICC at 0.29 $\mu\text{g/ml}$ for BHP. (Köksal et al., 2016).
4 Alternative plasticizers evaluated in our study showed significantly lower cytotoxicities. The
5 most cytotoxic (except for DEHP) did not lower cell viability at a concentration of 0.01 mg/ml,
6 30 times higher than the IC50 of BHP.

7
8 Concerning the primary metabolites of the PVC plasticizers, their effects on L929 cell viability
9 were very different when they were tested at the same three concentrations. From
10 0.05 mg/mL, all the metabolites were cytotoxic except for **MOTM**. **MEHT** and **MINCH** were as
11 toxic as **MEHP**. Their corresponding parent plasticizers (**DEHT** and **DINCH**) had no effect at
12 this concentration. At 0.1 mg/mL, **MEHT** and **MINCH** were as toxic as **DEHP** and more toxic
13 than **MEHP**. **MINP** was weakly toxic at 0.05 mg/mL, but cell viability fell sharply at 0.1 mg/mL
14 to reach 80% cell death, i.e. more toxic than **MEHP** and with an effect similar to that
15 observed with **DEHP**. The isomer of **MINCH** (**MMeOCH**) had a similar cytotoxic activity at
16 0.05 mg/mL (15% cell death), but was also more toxic than **MEHP** and behaved like **DEHP**
17 at 0.1 mg/mL.

18 The metabolization of plasticizers increases their cytotoxicity. For example, in the literature,
19 **MEHP**, the **DEHP** bioactive metabolite (Frederiksen et al., 2007), was shown to be 10 times
20 more potent than **DEHP** (Huber et al., 1996). **MEHP** is a well-known activator of the PPAR
21 family of nuclear receptors (Hurst and Waxman, 2003; Maloney and Waxman, 1999). The
22 toxicities of the other metabolites are not well known. A recent study reports that **MINCH** (50,
23 100 μM) like **MEHP** (50 μM), is also a potential PPAR- α agonist and a metabolic disruptor,
24 able to induce SVF preadipocyte differentiation, which may interfere with the endocrine
25 system in mammals (Capioli et al., 2015). The implications of primary metabolite toxicities
26 higher than that of plasticizers themselves depend on the *in vivo* metabolism and species.
27 This information is not available, and so there is a need to perform *in vivo* animal toxicity
28 studies on primary and secondary metabolites identified for each plasticizer. Koch et al.
29 (2013) have measured the amount of **MINCH** excreted in urine after oral absorption of
30 **DINCH**. However, they do not report the absorption percentage of **MINCH**, its metabolism
31 rate, or the rate of metabolization of **MINCH** to its secondary metabolites (**Cx-MINCH**, **OH-**
32 **MINCH** and **OXO-MINCH**). The value of 1% for **MINCH** measured in urine is therefore not
33 predictive of the amount of **MINCH** formed and excreted from the vascular system. The
34 literature shows that absorption of plasticizers after oral exposure is very low in rodents (50%
35 for **DEHP** (Anderson et al., 2011))

5. Conclusion

The main finding of our study is that **TOTM** showed lower toxicity on L929 cells than the other plasticizers. Its primary metabolite, **MOTM**, did not display any toxicity compared with the other metabolites. This finding is important because we recently demonstrated a predominance of **TOTM** in 32 PVC medical devices, accompanied by some **DEHP** (<0.1% w/w), **DEHT**, and sometimes **DEHA** (Bourdeaux et al., 2016). Under conditions appropriate for obtaining biosimilar model results, **TOTM** thus presents a favorable profile as a plasticizer for medical devices. Our results still have to be interpreted and correlated with plasticizer extraction tests performed in clinical conditions of use. An infusion set used once in the life of a patient for 24 hours presents a lower risk than a hemodialysis circuit used for 4 hours three times a week. Hence to assess patient exposure risk, it will be necessary to determine the amount of plasticizer extracted per unit mass of PVC in clinical conditions. Such work is particularly necessary for medical devices used for vascular access. One objective of the ARMED project is to propose a migration simulation model (Bernard et al., 2015): the SCENIHR 2015 reported different migration profiles among plasticizers. Given the primary metabolite toxicities of several DEHP alternatives (**MEHT**, **MINP**, **MINCH** and **MMeOCH**), it will also be necessary to look for the presence of their secondary metabolites, and study their toxicities.

Declaration of interest

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Highlights

- All plasticizers induce an action on cell proliferation at 0.1 mg/ml
- **DEHP, ATBC** and **DINCH** are considered as potentially toxic in the standard EN 10993-5
- All plasticizers primaries metabolites cause a decrease in cell viability except **MOTM**
- **MEHT, MINP, MINCH** reduce significantly the cell proliferation at 0.1 mg/ml
- **MINCH** causes a very high inhibition of cell proliferation