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Positive Effect of Water in Asymmetric Direct Aldol Reactions with Primary-Amine Organocatalyst: Experimental and Computational Studies

Shin A. Moteki,^[a] Hiroki Maruyama,^[a] Keiji Nakayama,^[a] Hai-Bei Li,^[b,c] Galina Petrova,^[b] Satoshi Maeda,^[d] Keiji Morokuma,^{*[b]} and Keiji Maruoka^{*[a]}

Dedication ((optional))

Abstract: The origin of higher reactivity in the water-accelerated asymmetric aldol reactions with our designed *primary*-amine organocatalyst was elucidated by both computational and experimental methods. As suggested by the calculated transition-state structures for water-promoted imine-enamine isomerization, anti-selective aldol reaction and hemiaminal formation, the rate of this aldol reaction was found experimentally to be even more accelerated by the addition of *cis*-2-butene-1,4-diol as additive.

The asymmetric aldol reaction is one of the most important and efficient carbon-carbon bond-forming reactions in organic synthesis for the construction of complex chiral polyol architectures.^[1] In addition to aldolase enzymes and chiral metal catalysts, a variety of small organic molecules, including proline and various other chiral pyrrolidine derivatives, have been shown to be efficient organocatalysts for asymmetric aldol reactions.^[2-3] These proline-based organocatalysts are believed to catalyze the direct aldol

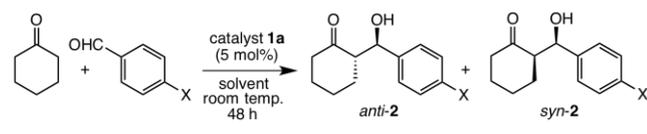
reaction via the enamine mechanism, which mimics the behavior of natural class I aldolase.^[4] Such organocatalytic, asymmetric aldol reactions, if carried out in water solvent, are reported to exhibit high reactivity and selectivity,^[5] although Armstrong and Blackmond clarified that the effect of water in the proline-catalyzed aldol reaction was not directly related to the catalytic cycle.^[6] In contrast, acyclic *primary*-amino acids (alanine, threonine, tryptophan, etc.) and their derivatives have recently been found to be more effective in the asymmetric aldol reactions in aqueous solvents than polar aprotic solvents.^[7] According to the mechanism of natural aldolase containing a primary amine site, the tautomerization of imine and enamine, and the subsequent aldol reaction are assisted by water-mediated proton relay system.^[8] We assume that the role of water in *primary*-amine catalyzed aldol reaction is different from that of secondary amines such as proline. In this context, we are interested in the role of water^[6,9] in the asymmetric aldol reactions with certain *primary*-amine organocatalysts. Here we wish to report our new findings on the origin of higher reactivity in the water-accelerated asymmetric aldol reactions with our designed *primary*-amine organocatalyst **1**^[10] by both experimental and computational methods.

We first experimentally investigated the asymmetric aldol reaction of cyclohexanone with aldehydes catalyzed by *primary*-amine organocatalyst **1a** in polar aprotic solvents in the absence or presence of water, and selected results of forming *anti*- and *syn*-**2** are shown in Table 1. The reaction between cyclohexanone and aromatic aldehydes exhibited generally both higher reactivity and anti selectivity in aqueous solvents, particularly aqueous MeCN (entries 2 and 10 vs. 1 and 9, respectively). Furthermore, higher enantioselectivity was observed in aqueous THF (entries 6 and 12 vs. 5 and 11, respectively). In a ¹H NMR study of the condensation of *p*-nitrobenzaldehyde and *primary*-amine catalyst **1a** in CD₃OD under standard conditions, we observed a single peak of imine **3a** at δ 8.56. Although oxazolidinone formation is a major path in the case of proline catalyst,^[6] we were not able to observe any formation of oxazolidinone **4a** by using *primary*-amine catalyst **1a**.



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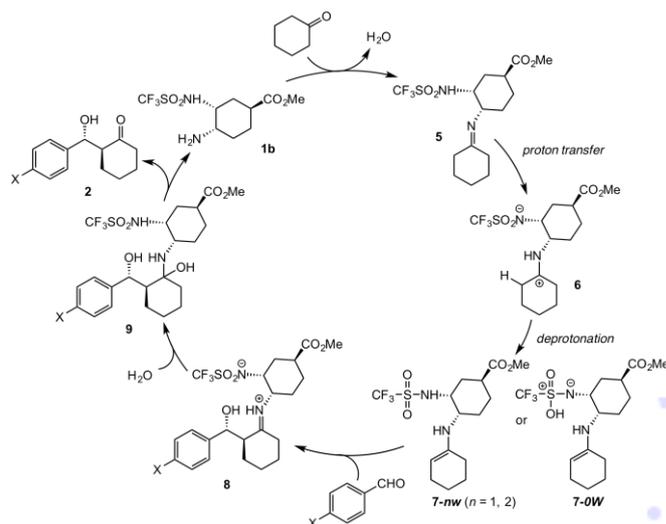
Supporting information for this article is available on the WWW under <http://dx.doi.org/10.1002/asia.200xxxxxx>. ((Please delete if not

Table 1. Effect of water in the asymmetric aldol reactions with *primary*-amine catalyst **1a**.^[a]


Entry	Aldehyde (X)	Solvent	Yield [%] ^[f] (<i>anti/syn</i>) ^[g]	ee [%] ^[h]
1	<i>p</i> -NO ₂	MeCN	<5 (- : -)	94
2		<i>aq.</i> MeCN ^[b]	96 (92:8)	98
3		neat	54 (75:25)	92
4		H ₂ O	51 (91:9)	97
5		THF ^[c]	43 (75:25)	87
6		<i>aq.</i> THF ^[d]	98 (94:6)	98
7		<i>i</i> PrOH	79 (90:10)	97
8		MeOH	83 (80:20)	91
9	<i>p</i> -CN	MeCN	<5 (- : -)	95
10		<i>aq.</i> MeCN ^[e]	93 (91:9)	97
11		THF	66 (74:26)	92
12		<i>aq.</i> THF ^[d]	98 (92:8)	97

[a] Unless otherwise specified, asymmetric direct aldol reaction of cyclohexanone (30 equiv) and *p*-nitrobenzaldehyde (1 equiv) in the presence of 5 mol% of catalyst **1a** in solvents (1.8 mL) at room temperature. [b] MeCN/H₂O = 17:1. [c] The change of the conversion with time was measured by NMR analysis with mesitylene as an internal standard in primary-amine-catalyzed asymmetric aldol reaction in THF-d₈ at room temperature. See Supporting Information. [d] THF/H₂O = 1:1. [e] MeCN/H₂O = 1:1. [f] Isolated yield. [g] The *anti/syn* ratio of aldol products was determined by ¹H NMR analysis. [h] Enantiopurity of aldol products was determined by HPLC analysis using a chiral column [DAICEL Chiralpak AD-H].

Based on the proposed mechanism of the proline-catalyzed asymmetric aldol reaction,^[11] the asymmetric aldol reaction of cyclohexanone and substituted benzaldehydes catalyzed by *primary*-amine organocatalyst **1** has been assumed to proceed as shown in Figure 1. In order to explore the real detailed mechanism on the asymmetric aldol reaction of cyclohexanone and substituted benzaldehydes catalyzed by *primary*-amine organocatalyst **1**, we explored systematically and theoretically various plausible reaction pathways using the recently developed powerful reaction path search method, AFIR (artificial force induced reaction) method,^[12] and performed density functional calculations for the *primary*-amine-catalyzed asymmetric aldol reaction. The AFIR method applies an artificial force between reacting molecules and efficiently explores many possible reaction pathways to determine the most preferred one without prior knowledge to transition states. The reaction has been found to proceed via the enamine mechanism as illustrated in Figure 1.^[13-15]

Figure 1. Theoretically proposed mechanism of the asymmetric aldol reaction with *primary*-amine organocatalyst **1b**.

In this mechanism, a *primary*-amine organocatalyst **1b** forms an imine intermediate **5** with cyclohexanone. Subsequently, conversion of **5** to the corresponding enamine **7-nw** is necessary for the following aldol reaction.^[7b,12] This conversion has been found to occur by a stepwise proton transfer process via intermediate **6**. The sulfonamide proton in **5** is labile due to the strong electron-withdrawing effect of the Tf group and easily transfers to imine nitrogen with low free-energy barrier height (~7-10 kcal/mol), with or without water, to give **6**. The subsequent transfer of a proton from the β -carbon of the cyclohexyl group of **6** to the sulfonyl oxygen (without water) or the sulfonamide nitrogen (with water) gives rise to different enamine intermediates **7-nw** (Figure 1). Without water, the proton cannot reach the sulfonamide nitrogen, and the resultant intermediate is very unstable, thereby inducing the reverse reaction predominantly. At the transition state **TS6/7-nw** ($n = 1, 2$) one or two water molecules relay the proton to the desired sulfonamide nitrogen (See Figure 2), with free-energy barrier of ~22-24 kcal/mol, a possible rate-determining step for the entire reaction, to give stable intermediates **7-nw** ($n = 1, 2$). Thus, these intermediates are the starting points for the subsequent reactions.

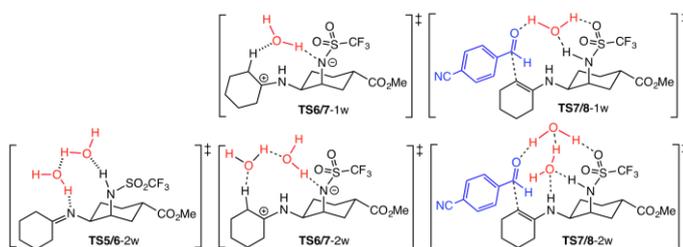


Figure 2. Transition state structures with one or two water molecules

The next step is the C-C bond formation reaction between **7** and *p*-substituted benzaldehyde to give the intermediate **8**. In this step, a proton is transferred from the sulfonamide NH to the carbonyl oxygen via hydrogen bonding network through one or two water molecules to activate the aldehyde by increasing its electrophilicity, and the C-C bond is formed between carbonyl carbon and enamine

β -carbon, via the **TS7/8-*nw*** ($n = 1, 2$) (See Figure 2) to give the intermediate **8-*nw*** ($n = 1, 2$) with a free energy barrier of ~ 4 – 7 kcal/mol, depending on the stereoselective transition states. This step is the stereoselectivity determining step, as in the case of proline-catalyzed aldol reaction.^[11,16]

One notices in Figure 2 that proton transfers take place via two water molecules forming a hydrogen bond network. This finding suggests that some solvent molecule that can form a similar dihydrogen-bonding structure may be able to play a role of two water molecules and accelerates the reaction, as illustrated in Figure 3.

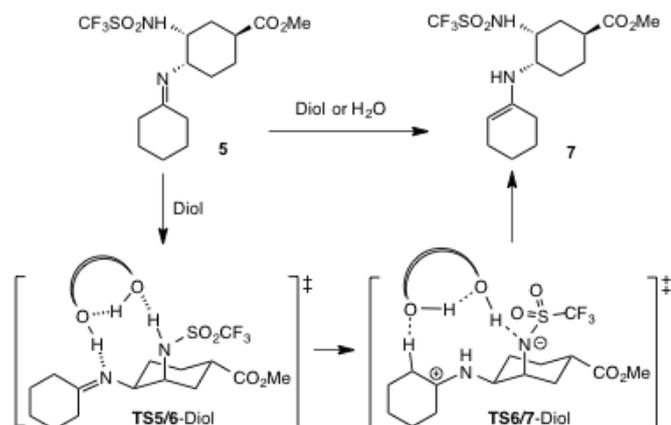


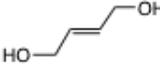
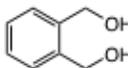
Figure 3. Effect of water and diol replacement in the transformation from imine to enamine by primary-amine **1b**.

Based on these computational findings, we further examined the effect of water by using replacements that may play a similar role of 2 equiv of water. Accordingly, some diol substrates were selected and screened as shown in Table 2.^[17] Interestingly, the rate of this aldol reaction was even more accelerated by the addition of these diols in MeCN. Especially, *cis*-2-butene-1,4-diol afforded anti selective aldol adduct in excellent yield and enantioselectivity (entry 3 in Table 2). At the transition state **TS5/6-diol** and **TS6/7-diol**, two hydroxyl groups are found to play the role of two water molecules relaying the protons. The barrier heights in the process of enamine formation are very similar with two waters (**TS6/7-2w**, ~ 23.6 kcal/mol) and *cis*-2-butene-1,4-diol replacement (**TS6/7-Diol**, ~ 26.5 kcal/mol). Thus, these theoretical and experimental findings support each other on the role of water and water replacement.

Table 2. Effect of water and some diol replacements in asymmetric aldol reaction catalyzed by primary-amine **1a**.^[a]



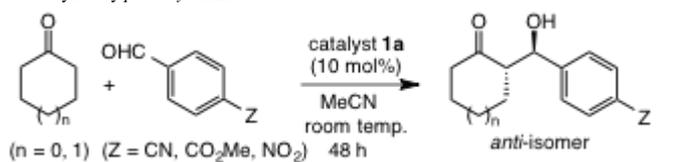
Entry	Additive (equiv)	Yield [%] ^[c] (<i>anti/syn</i>) ^[d]	<i>ee</i> [%] ^[e]
1	none	<5 (- : -)	94
2	H ₂ O (10) ^[b]	67 (89:11)	95
3	 (5) ^[b]	91 (90:10)	97

4	 (5)	61 (76:24)	92
5	 (5)	49 (93:7)	98
6	 (5)	11 (75:25)	91
7	MeOH	69 (78:22)	92

[a] Unless otherwise specified, asymmetric direct aldol reaction of cycloalkanone (30 equiv) and *p*-substituted benzaldehyde (1 equiv) with 10 mol% of catalyst **1a** in the absence or presence of additive in MeCN (1.8 mL) at room temperature. [b] The change of the conversion with time was measured by NMR analysis with mesitylene as an internal standard in primary-amine-catalyzed asymmetric aldol reactions in the presence of *cis*-2-buten-1,4-diol or water in MeCN-*d*₃. See Supporting Information. [c] Isolated yield. [d] The *anti/syn* ratio of aldol products was determined by ¹H NMR analysis. [e] Enantiopurity of aldol products was determined by HPLC analysis using a chiral column [DAICEL Chiralpak AD-H].

Other selected examples are listed in Table 3, which indicates the superiority of *cis*-2-butene-1,4-diol as efficient water replacement in the asymmetric aldol reaction of cycloalkanone with aldehyde catalyzed by primary-amine organocatalyst **1a**.

Table 3. Superiority of *cis*-2-butene-1,4-diol as additive in asymmetric aldol reaction catalyzed by primary-amine **1a**.^[a]



Entry	Substrate	Additive (equiv)	Yield [%] ^[b] (<i>anti/syn</i>) ^[c]	<i>ee</i> [%] ^[d]
1	$n = 1$; Z = CN	H ₂ O (10)	73 (91:9)	94
2		<i>cis</i> -2-butene-1,4-diol (5)	89 (92:8)	97
3	$n = 1$; Z = CO ₂ Me	H ₂ O (10)	77 (90:10)	91
4		<i>cis</i> -2-butene-1,4-diol (5)	98 (91:9)	94
5	$n = 0$; Z = NO ₂	H ₂ O (10)	64 (98:2)	90
6		<i>cis</i> -2-butene-1,4-diol (5)	88 (98:2)	95

[a] Unless otherwise specified, asymmetric direct aldol reaction of cycloalkanone (30 equiv) and *p*-substituted benzaldehyde (1 equiv) with 10 mol% of catalyst **1a** in the absence or presence of additive in MeCN (1.8 mL) at room temperature. [b] Isolated yield. [c] The *anti/syn* ratio of aldol products was determined by ¹H NMR analysis. [d] Enantiopurity of aldol products was determined by HPLC analysis using a chiral column [DAICEL Chiralpak AD-H].

Conclusions

In summary, we have succeeded in elucidating the origin of higher reactivity in the water-accelerated asymmetric aldol reactions with our designed primary-amino organocatalyst **1** by both experimental

and computational methods. Some diols have been found to work as replacement of two water molecules and accelerate the rate of the Aldol reaction. Our interpretation is in principle applicable to other asymmetric aldol reaction with acyclic *primary*-amino acids (alanine, threonine, tryptophan, etc.) and their derivatives as organocatalysts, and further effort to this end is currently underway in our laboratories.

Experimental Section

General procedure for asymmetric aldol reaction with primary-amine catalyst **1a**: To a mixture of catalyst **1a** (9.6 mg, 10 mol%) in MeCN (1.8 ml) was added aldehyde (0.3 mmol), *cis*-2-butene-1,4-diol (130 mg, 5 equiv), and cyclohexanone (0.9 ml, 9.0 mmol). The mixture was stirred at room temperature for 48 hours. Then, saturated NH₄Cl solution and ethyl acetate were added with vigorous stirring, the organic layer was separated and washed with brine. The organic phase was dried over Na₂SO₄ and concentrated in vacuo. The residue was then purified by column chromatography on silica gel (mixture of ethyl acetate/hexane) to give the 2-(hydroxy-*p*-nitrophenylmethyl)cyclohexan-1-one as colorless solid (68 mg, 91% yield).

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Keywords: aldol • amine • organocatalysis • water • computation

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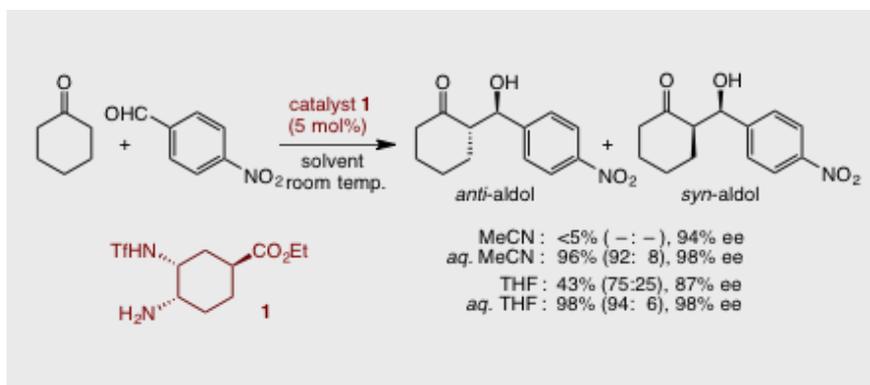
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Positive Effect of Water in Asymmetric Direct Aldol Reactions with Primary-Amine Organocatalyst: Experimental and Computational Studies

The origin of higher reactivity in the water-accelerated asymmetric aldol reactions with our designed *primary*-amine organocatalyst was elucidated by both computational and experimental methods.

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