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### Article

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Sunghee Bang, Hee-Sung Chae, Changyeol Lee, Hyun Gyu Choi, JiYoung Ryu, Wei Li, Hanna Lee, Gil-Saeng Jeong, Young-Won Chin, and Sang Hee Shim

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## New Aromatic Compounds from the Fruiting Body of *Sparassis crispa* (Wulf.) and Their Inhibitory Activities on PCSK9 mRNA Expression

Sunghee Bang,<sup>†</sup> Hee-Sung Chae,<sup>‡</sup> Changyeol Lee,<sup>†</sup> Hyun Gyu Choi,<sup>†</sup> JiYoung Ryu,<sup>†</sup> Wei Li,<sup>§</sup> Hanna Lee,<sup>#</sup> Gil-Saeng Jeong,<sup>⊥</sup> Young-Won Chin,<sup>‡</sup> and Sang Hee Shim<sup>†,\*</sup>



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3	
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5	Hanna Lee, <sup>#</sup> Gil-Saeng Jeong, <sup><math>\perp</math></sup> Young-Won Chin, <sup>‡</sup> and Sang Hee Shim <sup>†,*</sup>
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## 21 ABSTRACT

22	Successive chromatography of EtOAc-soluble extracts of the fruiting body of Sparassis
23	crispa (Wulf.) resulted in isolation of four new aromatic compounds, sparoside A, 1, and
24	sparalides A-C, 3-5, two new naturally-occurring compounds, 2 and 6, in addition to eight
25	known compounds, 7-14. The chemical structures were determined by interpretation of NMR
26	and MS spectroscopic data. Extract, solvents-soluble fractions of the extract, and all the pure
27	compounds isolated from the fractions were subjected to mRNA expression assay for
28	proprotein convertase subtilisin/kexin type 9 (PCSK9). Among them, sparoside A, 1,
29	hanabiratakelide A, 8, adenosine, 11, and 5α,6α-epoxy-(22 <i>E</i> ,24 <i>R</i> )-ergosta-8(14),22-diene-
30	$3\beta$ , $7\beta$ -diol, 14, exhibited potent inhibitory activities on PCSK9 mRNA expression with IC <sub>50</sub>
31	values of 20.07, 7.18, 18.46 and 8.23 $\mu$ M, respectively (berberine, positive control, IC <sub>50</sub> =
32	8.04 $\mu$ M), suggesting that compounds 1, 8, 11, and 14 are suitable for use in supplements to
33	the statins for hyperlipidemia treatments.
34	
35	KEYWORDS: Sparassis crispa, proprotein convertase subtilisin/kexin type 9 (PCSK9),

36 phthalide, sparoside A.

### 38 INTRODUCTION

39	Sparassis crispa (Wulf.) is an edible/medicinal mushroom belonging to the family of
40	Sparassidaceae and is called "cauliflower mushroom" due to its appearance. The mushroom,
41	which mostly grows on the stubs of coniferous trees, is distributed throughout northern
42	temperature zones of the world. <sup>1</sup> The fruiting bodies of <i>S. crispa</i> produce various bioactive
43	substances, including $\beta$ -glucan, <sup>2</sup> benzoate derivatives, <sup>3</sup> sesquiterpenoids, <sup>4</sup> and maleic acid
44	derivatives. <sup>5</sup> In particular, $\beta$ -glucan is a major constituent, present in more than 40% of <i>S</i> .
45	crispa. They have been demonstrated to show a variety of pharmacological activities,
46	including antitumor, <sup>6-8</sup> hematopoietic response-enhancing, <sup>9</sup> wound healing, <sup>10</sup> antimetastatic,
47	antihypertensive, and antidiabetic effects. <sup>11</sup>
48	Proprotein convertase subtilisi/kexin type 9 (PCSK9) is noted to interfere with the
49	function of low-density lipoprotein receptor (LDLR) on the liver cell surface that transport
50	LDL-cholesterol (LDL-C) into the liver for metabolism, leading to high levels of LDL-C.
51	Thus, PCSK9 inhibitor was proposed to be a new LDL-C lowering agent. When PCSK9
52	inhibitor was used in combination with a statin, known as a HMG-CoA reductase inhibitor, it
53	has been shown to dramatically lower LDL-C levels by up to 60%. Therefore, PCSK9
54	inhibitor has recently emerged as a new strategy to treat hyperlipidemia. To date two PCSK9
55	inhibitors (evolocumab and alirocumab) have been approved by FDA to treat familial
56	hypercholesterolemia and several PCSK9 inhibitors are currently under clinical trial. <sup>12</sup> In
57	addition, several natural compounds such as berberine and curcumin have been reported to
58	inhibit PCSK9 mRNA expression. <sup>13</sup> For these reasons, more investigation is required to
59	discover new PCSK9 inhibitors, which could be good supplements to statin treatment due to
60	their effects on PCSK9 mRNA.

3

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61	The extracts of the cauliflower mushroom were reported to exhibit antihypertensive and	
62	antidiabetic activities related to hyperlipidemia. To our knowledge there are no reports on	
63	effects of cauliflower mushroom and its constituents on PCSK9 mRNA expression. We	
64	therefore examined the effects of the extracts and its pure compounds on PCSK9 mRNA	
65	expression to see if their hypocholesterolemic effects could be partly explained by additional	
66	effects on the PCSK9 mRNA expression. We found that some compounds from the	
67	cauliflower mushroom extracts inhibit the PCSK9 mRNA expression. Therefore, we herein	
68	report the isolation of compounds, their structural determination, and their PCSK9 inhibitory	
69	activities from the extract of S. crispa.	
70		
71	MATERIALS AND METHODS	
72	General experimental procedures.	
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83	$5\mu\text{m},$ ZORBAX SB-C18 (Agilent Technologies). Semi-preparative HPLC was operated on a
84	600 controller (Waters, Milford, MA) with a 996 PDA detector using the column ZORBAX
85	SB-C18 (250 mm x 21.2 mm i.d., 5 µm, Agilent Technologies). Column chromatography was
86	operated over silica gel 60 (70-230 mesh) (Merck, Darmstadt, Germany). Silica gel 60 F <sub>254</sub>
87	and RP-18 $F_{254S}$ plates (Merck) were used for analysis by thin layer chromatography (TLC)
88	under detection of UV and 10% H <sub>2</sub> SO <sub>4</sub> reagent to visualize the compounds. Analytical grade
89	of solvents were used for the whole experiments.
90	
01	
91	Plant Material.
92	Dried fruiting bodies of S.crispa were provided by Gyeongshin Bio Co. (Euiwang,
93	South Korea) in August 2016. This sample was botanically identified by the corresponding
94	author (S.H. Shim). A voucher was deposited at the pharmacognosy laboratory of College of
95	Pharmacy, Duksung Women's University (specimen No.: NPC-16-08).
96	
97	Extraction and Isolation.
98	Dried fruiting bodies of S. crispa (1 kg) were extracted with 100% MeOH (3.0 L) under
99	reflux three times to afford 153.0 g of the extracts. The extracts were suspended in distilled
100	water (1.0 L) and partitioned using <i>n</i> -hexane (3 x 1.0 L), $CH_2Cl_2$ (3 x 1.0 L), EtOAc (3 x 1.0
101	L), and <i>n</i> -BuOH (3 x 1.0 L) consecutively, yielding <i>n</i> -hexane (17.6 g), $CH_2Cl_2(2.7 \text{ g})$ , EtOAc
102	(9.8 g), <i>n</i> -BuOH (15.0 g), and $H_2O$ (107.9 g) layers, respectively. The EtOAc-soluble layer
103	(9.8 g) was set apart by vacuum liquid chromatography (VLC) (40 x 9 cm) over silica gel

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104	using gradient solvents of <i>n</i> -hexane/EtOAc/MeOH (10:1:0, 2.5:1:0, 1.5:1:0, 1:1:0.2; each 5
105	L), CHCl <sub>3</sub> /MeOH/H <sub>2</sub> O (10:1:0, 5:1:0.1; each 5 L), and 100% MeOH (3 L) to obtain seven
106	fractions (Fr. E1 $\sim$ Fr. E7). Silica gel column chromatography was employed to fraction E2
107	with the elution of <i>n</i> -hexane/acetone gradient solvents (20:1, 15:1; each 0.2 L) to afford
108	compound 2 (8.6 mg). Fraction E4 (1.4 g) was fractionated on silica gel column
109	chromatography (15 x 8 cm) with gradient solvents of CHCl <sub>3</sub> /acetone (65:1, 20:1, 10:1, 7:1;
110	each 1 L) and 100% MeOH (1 L) to afford six fractions (Fr. E4-1 ~ Fr. E4-6). Fraction E4-1
111	was further purified with reversed-phase HPLC using a H <sub>2</sub> O/acetonirile (60:40 $\rightarrow$ 45:55, v/v)
112	gradient to yield compounds 12 (15 mg), 13 (18.5 mg), and 14 (1.5 mg). Compounds 1 (2.4
113	mg), 5 (2.9 mg), 6 (1.7 mg), 7 (1.8 mg), 8 (5.6 mg), 9 (7.3 mg), and 10 (4.1 mg) were
114	obtained from fraction E5 using reversed-phase HPLC with a gradient of $H_2O/MeOH$ (80:20
115	$\rightarrow$ 0:100, v/v). Fraction E6 was subjected to reversed-phase HPLC with gradient solvents of
116	H <sub>2</sub> O/MeOH (95:5 $\rightarrow$ 50:50, v/v) to furnish compounds <b>3</b> (13.0 mg), <b>4</b> (6.5 mg), and <b>11</b> (2.9
117	mg).

119 Sparoside A (2-hydroxy-3-methoxy-6-methyl benzoic acid methyl ester 4-O- $\alpha$ -D-riboside, 1).

120 Yellowish amorphous solid; (+) HRESIMS m/z 367.1003 [M + Na]<sup>+</sup> (calcd for

- 121  $C_{15}H_{20}NaO_{9}$ , 367.1000); <sup>1</sup>H and <sup>13</sup>C NMR (Table 1); HMBC correlations (CD<sub>3</sub>OD, H  $\rightarrow$  C)
- 122  $H-5 \rightarrow C-1, C-3, C-4, and CH_3; H-1' \rightarrow C-4, C-2', C-3', and C-4'; H-2' \rightarrow C-1'; H-3' \rightarrow C-1', H-3' \rightarrow C-1'$
- 123 C-2', C-4', and C-5'; H<sub>2</sub>-5'  $\rightarrow$  C-3' and C-4'; CH<sub>3</sub>  $\rightarrow$  C-5 and C-6; OCH<sub>3</sub>  $\rightarrow$  C-3; COOC<u>H<sub>3</sub></u>  $\rightarrow$
- 124 <u>C</u>OOCH<sub>3</sub>.

125

126 Sparalide A (5-methoxy-phthalide 7-O- $\alpha$ -D-riboside, 3).

- 127 White amorphous powder; (+) HRESIMS m/z 335.0738 [M + Na]<sup>+</sup> (calcd for
- 128  $C_{14}H_{16}NaO_8$ , 335.0737); <sup>1</sup>H and <sup>13</sup>C NMR (Table 1); HMBC correlations (DMSO- $d_6$ , H  $\rightarrow$  C)
- 129 H-3  $\rightarrow$  C-1, C-3a, C-4, C-5, and C-7a; H-4  $\rightarrow$  C-3, C-5, C-6, C-7, and C-7a; H-6  $\rightarrow$  C-1, C-4,
- 130 C-5, C-7, and C-7a; H-1'  $\rightarrow$  C-3', C-4', and C-7'; H-3'  $\rightarrow$  C-1'; H-4'  $\rightarrow$  C-3'; H<sub>2</sub>-5'  $\rightarrow$  C-3' and
- 131 C-4'; OCH<sub>3</sub>  $\rightarrow$  C-5.
- 132
- 133 Sparalide B (6-methoxy-4, 5, 7-trihydroxy phthalide, 4).
- 134 Yellow amorphous solid; (+) HRESIMS m/z 235.0212 [M + Na]<sup>+</sup> (calcd for C<sub>9</sub>H<sub>8</sub>NaO<sub>6</sub>,
- 135 235.0213); <sup>1</sup>H and <sup>13</sup>C NMR (Table 1); HMBC correlations (DMSO- $d_6$ , H  $\rightarrow$  C) H<sub>2</sub>-3  $\rightarrow$  C-1,
- 136 C-3a, C-4, C-5, C-7, and C-7a;  $OCH_3 \rightarrow C-6$ .
- 137
- 138 Sparalide C (5,6-dihydroxy-7-methoxy phthalide, 5).
- 139 Colorless amorphous solid; (+) HRESIMS m/z 219.0268 [M + Na]<sup>+</sup> (calcd for
- 140 C<sub>9</sub>H<sub>8</sub>NaO<sub>5</sub>, 219.0264); <sup>1</sup>H and <sup>13</sup>C NMR (Table 1); HMBC correlations (CD<sub>3</sub>OD, H  $\rightarrow$  C)
- 141  $H_2-3 \rightarrow C-1, C-3a, C-4, C-5, and C-7a; H-4 \rightarrow C-3, C-5, C-6, and C-7a; OCH_3 \rightarrow C-7.$
- 142

#### 143 Acid hydrolysis of compounds 1 and 3.

- 144 Each 1 mg of compounds 1 and 3 was hydrolyzed with 1N HCl (1 mL) at 80 °C for 2 h
- to afford aglycone and sugar moieties. The reaction mixtures were extracted with EtOAc to

146	separate a sugar moiety-containing aqueous fraction from the aglycone-containing fraction.
147	The aqueous fraction was evaporated and then analyzed on silica gel TLC plates with a
148	gradient of acetone/ $H_2O$ for the comparison with authentic D-ribose and L-ribose (Sigma-
149	Aldrich, St. Louis, MO).

#### 151 **Determination of absolute configuration of ribose.**

152 To determine absolute configuration of the ribose in compounds 1 and 3, derivatives of the sugar moieties were analyzed.<sup>14</sup> Acid hydrolysis of each compound afforded aglycone and 153 154 sugar moieties. The sugar moieties (each 0.5 mg) were dissolved in pyridine (100  $\mu$ L), supplemented with L-cysteine methyl ester hydrochloride (0.5 mg), and placed at 60  $^{\circ}$ C for 1 155 156 h for reaction. Ten microliters of o-tolyl isothiocyanate was then added to the mixture to 157 allow for a reaction at 60 °C for 1 h. Each of the mixture was evaporated and subjected to 158 reverse-phase HPLC for analysis; ZORBAX SB-C18 column (Agilent Technologies, 250 x 4.6 mm i.d., 5  $\mu$ m); column temperature 35 °C; mobile phase H<sub>2</sub>O/acetonitrile (75:25, v/v) 159 160 for 30 min; flow rate 0.8 mL/min; detection wavelength at 250 nm. Both authentic D-ribose 161 and L-ribose were reacted in the same manner as described above. The absolute configuration 162 of ribose in compounds 1 and 3 was assigned by comparing their retention times with those of the authentic derivatives (*t*<sub>R</sub>: D-ribose derivative 12.68 min, L-ribose derivative 8.20 min). 163

164

#### 165 PCSK9 mRNA Expression Assay.

166 RNA extraction procedure provided by the TRIzol (Life Technologies, Carlsbad, CA)

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167	was employed for total RNA extraction. In brief, cDNA was prepared by adding reverse
168	transcriptase (200 U) and oligo-dT primer (500 ng) to total RNA (1 µg) in 50 mM Tris-HCl
169	(pH 8.3), 75 mM KCl, 3 mM MgCl <sub>2</sub> , 10 mM DTT, and 1 mM dNTPs at 42 $^{\circ}$ C for 1 h.
170	Incubation of the solution at 70 °C for 15 min terminated the reaction and an aliquot of the
171	cDNA mixture (1 $\mu$ L) was adopted for enzyme amplification. Polymerase chain reactions
172	were conducted by cDNA (1 $\mu$ L), master mix (9 $\mu$ L) containing iQ SYBR Green Supermix
173	(Bio-Rad, Hercules, CA), 5 pmol of forward primer, and 5 pmol of reverse primer using a
174	CFX384 Real-Time PCR System (Bio-Rad) with the following conditions: 3 min at 95 °C,
175	subsequently 40 cycles for 10 s at 95 °C, then 30 s at 55 °C, and finally plate reading. The
176	fluorescence which was generated using SYBR Green I DNA dye was quantified in the
177	course of the annealing. Specificity of the amplification was ascertained through a melting
178	curve analysis. CFX Manager Software (Bio-Rad) was used for acquisition of data, which
179	were presented as the cycle threshold ( $C_T$ ). Then, relative abundance of an interesting gene
180	was standardized to that of glyceraldehyde 3-phosphate dehydrogenase ( $\Delta\Delta C_T$ ). The 2 <sup>-(<math>\Delta\Delta CT</math>)</sup>
181	method <sup>15</sup> was employed for calculation of mRNA abundance of the sample. Specific primer
182	sets used in this study were as follows $(5'-3')$ : GAPDH: GAAGGTGAAGGTCGGAGTCA
183	(forward), AATGAAGGGGTCATTGATGG (reverse), PCSK9:
184	GGGCATTTCACCATTCAAAC (forward), TCCAGAAAGCTAAGCCTCCA (reverse).
185	Custom-synthesized gene-specific primers were provided by Bioneer (Daejeon, Korea).

186

## 187 Statistical Analyses.

188 Data were expressed as the mean  $\pm$  SEM. Analysis of variance (ANOVA) determined

189	the level of statistical significance and Dunnett's t-test was used for multiple comparison
190	procedures. P values (calculated probability) less than 0.05 were regarded to be significant.

#### 192 **RESULTS AND DISCUSSION**

#### 193 Structural Elucidation.

A series of chromatographic methods carried out on the extract of *S. crispa* led to the isolation of fourteen compounds, which include four new aromatic compounds, **1** and **3-5**, two new naturally-occurring compounds, **2** and **6**, and eight known compounds, **7-14** (Figure 1).

198 The known compounds were identified to be methyl 2,4-dihydroxy-3-methoxy-6-

199 methylbenzoate,  $2^{16}$  5-hydroxy-7-methoxyphthalide,  $6^{17}$  5-methoxy-7-hydroxyphthalide,

200 **7**,<sup>17</sup> hanabiratakelide A, **8**,<sup>18</sup> nicotinamide, **9**,<sup>19</sup> 5'-deoxy-5'-methylthioadenosine, **10**,<sup>20</sup>

adenosine, **11**,<sup>21</sup> ergosterol, **12**,<sup>22</sup> ergosterol peroxide, **13**,<sup>23</sup> and  $5\alpha$ , $6\alpha$ -epoxy-(22*E*,24*R*)-

ergosta-8(14),22-diene- $3\beta$ , $7\beta$ -diol,  $14^{24}$  by comparing their NMR and MS data with those in

the reference. Of these, although compounds **2** and **6** have been reported as synthetic

intermediates, they have been reported for the first time in nature in this study.

205 Compound 1 was obtained as a yellowish amorphous solid. Positive HRESIMS

suggested its molecular formula to be  $C_{15}H_{20}O_9$ . The <sup>1</sup>H NMR spectrum of **1** displayed an

aromatic proton at  $\delta_{\rm H}$  6.66 (1H, s, H-5), one sugar unit at  $\delta_{\rm H}$  5.73-3.65, two methoxyl groups

at  $\delta_H 3.93$  (3H, s, COO<u>C</u>H<sub>3</sub>) and 3.85 (3H, s, 3-OCH<sub>3</sub>), and methyl group at  $\delta_H 2.43$  (3H, s, 6-

209 CH<sub>3</sub>). The <sup>13</sup>C NMR spectrum of **1** suggested existence of a carbonyl group ( $\delta_{C}$  172.81), six

210	aromatic carbons ( $\delta_C$ 156.45, 154.57, 137.40, 137.22, 112.34, and 111.09), one sugar unit ( $\delta_C$
211	102.64, 88.46, 73.82, 71.23, and 63.30), two methoxyl groups ( $\delta_C$ 61.63 and 52.68), and a
212	methyl group ( $\delta_C$ 23.28). One sugar unit was confirmed to consist of a ribose by <sup>1</sup> H and <sup>13</sup> C
213	NMR data, which was further supported by chemical reaction. Acid hydrolysis of 1 followed
214	by TLC with authentic ribose supported that the sugar was ribose. Moreover, the 4.4 Hz of
215	coupling constant for the anomeric proton at $\delta_{\rm H}$ 5.73 suggested an $\alpha\text{-configuration}.$ HMBC
216	correlation of the methoxyl protons at $\delta_{\rm H}3.93$ with the carbonyl carbon at $\delta_{C}$ 172.81 indicated
217	that the methyl carboxylate was attached to the aromatic ring. The positions of the methoxyl,
218	methyl group and ribose at the aromatic ring were assigned by analysis of the HMBC
219	spectrum. HMBC correlations of the methoxyl protons at $\delta_{\rm H}3.85$ with the aromatic carbon at
220	$\delta_C$ 137.22 and the anomeric proton at $\delta_H$ 5.73 with the aromatic carbon at $\delta_C$ 154.57 allowed
221	for the assignment of the carbons bearing the methoxyl group and the ribose. HMBC
222	correlation of the methyl protons at $\delta_{\rm H}2.43$ with the aromatic methine carbon at $\delta_{C}112.34$
223	and the non-protonated aromatic carbon at $\delta_C$ 137.40 and the aromatic methine proton at $\delta_H$
224	6.66 with the carbons at $\delta_C$ 111.09, 137.22, and 154.57 indicated the methyl, the methyl
225	carboxylate, the methoxyl, and the ribose were attached to the C-6, C-1, C-3, and C-4
226	positions, respectively. Determination of the absolute configuration of the ribose was
227	conducted by comparing retention time of L-cysteine methyl ester and o-tolyl isothiocyanate
228	derivative of acid-hydrolysate with those for authentic D-/L- ribose derivatives in HPLC-UV.
229	The derivatives of the authentic D-ribose and L-ribose eluted at $t_{\rm R}$ 12.68 (D-ribose) and 8.20
230	(L-ribose) min, respectively on the isocratic HPLC. Since the derivative of compound 1
231	eluted at $t_{\rm R}$ 11.93 min, the ribose in 1 was confirmed to have D configuration. Thus, the
232	structure of 1 was determined to be 2-hydroxy-3-methoxy-6-methyl benzoic acid methyl ester

233 4-O- $\alpha$ -D-riboside and was named as sparoside A.

234	Compound 3 was obtained as a white amorphous powder. Its positive HRESIMS data
235	suggested the molecular formula to be $C_{14}H_{16}O_8$ . The <sup>1</sup> H NMR spectrum displayed two
236	aromatic methine protons at $\delta_{\rm H}6.82$ (1H, s, H-4) and 6.81 (1H, s, H-6), an oxymethylene at
237	$\delta_{H}$ 5.28 (2H, s, H-3), one sugar unit at $\delta_{H}$ 5.95-3.45 and a methoxyl group at $\delta_{H}$ 3.85 (3H, s, 5-
238	OCH <sub>3</sub> ). The <sup>13</sup> C NMR spectrum of <b>3</b> suggested the presence of a carbonyl group ( $\delta_{C}$ 168.89),
239	six aromatic carbons ( $\delta_C$ 166.11, 156.23, 151.68, 107.05, 102.59, and 100.49), one pentose
240	moiety ( $\delta_C$ 101.47, 87.99, 71.74, 69.77, and 61.48), an oxymethylene ( $\delta_C$ 68.98), and a
241	methoxyl carbon ( $\delta_C$ 56.19). It was presumed to be bicyclic compound to meet seven
242	unsaturations obtained from its molecular formula. The presence of an aromatic ring, an
243	oxymethylene group, together with carboxyl carbon indicated that compound <b>3</b> has a
244	phthalide skeleton, which has previously been reported in S. crispa. The pentose was
245	presumed to be ribose, based on the carbon chemical shifts and proton resonances. The
246	coupling constant (3.9 Hz) of the anomer proton suggested that the ribose was attached to the
247	aglycone with an $\alpha$ -configuration. The presence of ribose was verified by the direct
248	comparison of acid hydrolysate of <b>3</b> with commercially available authentic ribose. The
249	HMBC correlations of the oxymethylene at $\delta_{\rm H}$ 5.28 with the carboxyl carbon ( $\delta_C$ 168.89) and
250	the aromatic carbon ( $\delta_C$ 151.68 and 107.05) supported the idea that <b>3</b> had a $\gamma$ -lactonylated
251	aromatic compound, known as a phthalide. The singlet aromatic methine protons suggested
252	the methoxyl group and the ribose moiety were not attached to the adjacent carbon atoms of
253	the aromatic ring. Their positions were confirmed by HMBC data. HMBC correlations of the
254	anomeric proton ( $\delta_H$ 5.95) with the carbon ( $\delta_C$ 156.23) and methoxyl protons ( $\delta_H$ 3.85) with
255	the carbon ( $\delta_{C}$ 166.11) indicated that the ribose and the methoxyl groups were attached to

256	carbons at $\delta_C$ 156.23 and 166.11, respectively. HMBC correlations of the H-4 methine proton
257	at $\delta_{\rm H}$ 6.82 of the phthalide with the methoxylated carbon ( $\delta_{C}$ 166.11), another aromatic
258	methine carbon ( $\delta_C$ 102.59), and the non-protonated aromatic carbon ( $\delta_C$ 107.05) indicated
259	that the methoxyl group and the ribose were attached to C-5 and C-7, respectively. Thus a
260	planar structure could be established. Assignment of the absolute configuration of ribose was
261	conducted as described above. Since the retention time of the ribose derivative of acid
262	hydrolysate for <b>3</b> was same as that for the D-ribose derivative, structure of compound <b>3</b> was
263	determined as 5-methoxy-phthalide 7- $O$ - $\alpha$ -D-riboside, named sparalide A.
264	Compound 4 was obtained as a vellow amorphous solid in which its molecular formula
204	Compound 4 was obtained as a yenow amorphous sond, in which its molecular formula
265	was established as $C_9H_8O_6$ on the basis of positive HRESIMS. In its <sup>1</sup> H NMR spectrum, one
266	oxymethylene and one methoxyl signal appeared at $\delta_{\rm H}$ 5.09 (2H, s, H-3) and 3.79 (3H, s, 6-
267	OCH <sub>3</sub> ), respectively. Six aromatic carbons ( $\delta_{C}$ 141.94, 139.30, 139.19, 135.44, 124.99, and
268	106.25), an oxymethylene carbon ( $\delta_C$ 66.79), and a carbonyl group ( $\delta_C$ 168.90) shown in the
269	$^{13}$ C NMR spectrum suggested that <b>4</b> had a phthalide skeleton, similar to compound <b>3</b> . The
270	position of the methoxyl group was assigned to be attached to C-6 on the ground that the
271	methoxyl protons ( $\delta_H$ 3.79) showed HMBC correlations with C-6 ( $\delta_C$ 139.31) while the
272	oxymethylene protons ( $\delta_H$ 5.09) showed HMBC correlations with C-7 ( $\delta_C$ 141.94), C-5 ( $\delta_C$
273	139.19), C-4 ( $\delta_{C}$ 135.44), C-3a ( $\delta_{C}$ 124.99), and C-7a ( $\delta_{C}$ 106.25) (Figure 2). <sup>4</sup> J <sub>CH</sub> HMBC
274	correlations between H <sub>2</sub> -3 and C-5 and C-7 in addition to ${}^{3}J_{CH}$ HMBC correlations between
275	the H <sub>2</sub> -3 and C-4 ascertained that the methoxyl group was attached to C-6 rather than C-4, C-
276	5 or C-7. From these evidences, the structure of <b>4</b> was determined to be 6-methoxy-4,5,7-
277	trihydroxy phthalide, named sparalide B.

Compound 5 was a colorless amorphous solid and the molecular formula was confirmed

279	to be $C_9H_8O_5$ by positive HRESIMS. The <sup>1</sup> H NMR spectrum of <b>5</b> showed an aromatic proton
280	at $\delta_{\rm H}$ 6.68 (1H, s, H-4), an oxymethylene at $\delta_{\rm H}$ 5.15 (2H, s, H-3), and a methoxyl group at $\delta_{\rm H}$
281	4.01 (3H, s, 7-OCH <sub>3</sub> ). Its $^{13}$ C NMR spectrum exhibited six aromatic carbons ( $\delta_{C}$ 155.49,
282	147.44, 142.32, 139.62, 109.50, and 104.67), an oxymethylene carbon ( $\delta_C$ 70.46), a carboxyl
283	carbon ( $\delta_C$ 172.14), and a methoxyl carbon ( $\delta_C$ 62.65). The <sup>1</sup> H and <sup>13</sup> C NMR data presented
284	similarities to those for 4, except for an additional aromatic proton at $\delta_{\rm H}$ 6.68. Thus, it was
285	assumed that two hydroxyl groups and a methoxyl group were attached to the aromatic ring
286	of the phthalide skeleton, which was also supported by the observation of HR-ESI-MS. The
287	positions of the aromatic methine carbon and the methoxylated carbon were assigned to be C-
288	4 and C-7, respectively, supported by HMBC correlations of H-4 ( $\delta_{\rm H}$ 6.68) with C-3 ( $\delta_{C}$
289	70.46), C-5 ( $\delta_C$ 155.49), C-6 ( $\delta_C$ 139.62), C-3a ( $\delta_C$ 142.32), and C-7a ( $\delta_C$ 109.50) and the
290	methoxyl proton ( $\delta_H$ 4.01) with C-7 ( $\delta_C$ 147.44) (Figure 2). Using these data, the structure of
291	5 was established to be 5,6-dihydroxy-7-methoxy phthalide, named sparalide C.

#### 293 Evaluation of PCSK9 mRNA expression.

The MeOH extract and polarity-based solvent-soluble layers of *S. crispa* were tested on inhibitory activity of PCSK9 mRNA expression using a HepG2 cells. The EtOAc-soluble layer showed the most potent inhibitory activities at 10  $\mu$ g/mL, which prompted us to elucidate the active compounds from the EtOAc-soluble layer (Figure 3). Bioactivity-guided fractionation led to isolation of fourteen compounds, **1-14**, from this layer. All the isolated compounds were assessed for their PCSK9 mRNA expression. The results demonstrated that compounds **1**, **8**, **11**, and **14** were found to potentially inhibit PCSK9 mRNA expression with

301  $IC_{50}$  values of 20.07, 7.18, 18.46, and 8.23  $\mu$ M, respectively, at the concentration of 20  $\mu$ M, 302 whereas the IC<sub>50</sub> of berberine, positive control, was 8.04  $\mu$ M at the same concentration as the 303 isolated compounds. When compared with the positive control, compound 8 304 (hanabiratakelide A) was found to be a stronger PCKS9 inhibitor than berberine, which is 305 known to be one of the most potent PCSK9 inhibitors in nature far. 306 Concerning the structure-activity relationship, the results showed that 4,5,6-trioxygenated 307 pattern in phthalide moiety seemed to be important for the PCSK9 inhibitory activity rather 308 than di-oxygenation or tetra-oxygenation pattern as shown in compound 8. In the case of 309 simple benzoic acid derivatives (compounds 1 and 2), ribose moiety seemed to be important 310 in the activity as shown for compound 1. The ribose moiety seemed also to play an important 311 role in adenosine derivatives (compounds 10 and 11), where the OH group at C-5 of ribose 312 moiety seemed to be effective rather than  $SCH_3$ . In the case of ergosterol derivatives, the OH 313 group and epoxide substituents on the B ring seemed to be more effective than when they had 314 endoperoxide or diene system. 315 The results suggest that hanabiratakelide A, 8, and  $5\alpha$ ,  $6\alpha$ -epoxy-(22E, 24R)-ergosta-316 8(14),22-diene- $3\beta$ ,7 $\beta$ -diol, 14, as well as the extract of *S. crispa* could be good supplements 317 to statins for the treatment of hyperlipidemia. Moreover, further studies regarding the 318 mechanistic and *in vivo* efficacies for compounds 8 and 14 might be required.

319

#### Supporting Information

320 The Supporting Information is available free of charge on the ACS Publications 321 website at DOI:

322 NMR and HRMS spectra of compounds 1 and 3-5 (PDF)

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Legends:
Figure 1. Structures of compounds 1–14 from <i>S. crispa</i> .
Figure 2. Key HMBC correlations of compounds 1, 3, 4, and 5.
Figure 3. Effect of (A) MeOH extracts and solvent-soluble fractions of <i>S. crispa</i> and (B)
isolated compounds from EtOAc-soluble fractions. Expression of PCSK9 mRNA was
assayed by qRT-PCR in cells treated with 10 $\mu$ g/mL of solvent-soluble fractions and 20
μM of isolated compounds.

## 415 **Figure 1.**





9











## **Figure 2.**









430 **Figure 3**.



No.	<b>1</b> <sup>a</sup>		<b>3</b> <sup>b</sup>		<b>4</b> <sup>b</sup>		<b>5</b> <sup>a</sup>	
-	$\delta_{C}$	$\delta_{\rm H}$ multi (J in Hz)	$\delta_{\mathrm{C}}$	$\delta_{\rm H}$ multi (J in Hz)	$\delta_{\mathrm{C}}$	$\delta_{\rm H}$ multi (J in Hz)	$\delta_{\mathrm{C}}$	δ <sub>H</sub> multi (J in Hz)
1	111.09		168.89		168.90		172.14	
2	156.45							
3	137.22		68.98	5.28 s	66.79	5.09 s	70.46	5.15 s
3a			151.68		124.99		142.32	
4	154.57		100.49	6.82 s	135.44		104.67	6.68 s
5	112.34	6.66 s	166.11		139.19		155.49	
6	137.40		102.59	6.81 s	139.30		139.62	
7			156.23		141.94		147.44	
7a			107.05		106.25		109.50	
1'	102.64	5.73 d (4.4)	101.17	5.95 d (3.8)				
2'	73.82	4.32 dd (4.5, 6.4)	71.74	4.10 dd (4.0, 5.8)				
3'	71.23	4.10 dd (2.8, 6.5)	69.77	3.89 d (5.6)				
4'	88.46	4.16 m	87.99	4.00 dd (3.6, 5.8)				
5'	63.30	3.70 dd (3.4, 12) 3.65 dd (3.9, 12)	61.48	3.45 m				
OCH <sub>3</sub>	61.63	3.85 s	56.19	3.85 s	61.60	3.79 s	62.65	4.01 s
$CH_3$	23.28	2.43 s						
<u>C</u> OOCH <sub>3</sub>	172.81							
COO <u>C</u> H <sub>3</sub>	52.68	3.93 s						
<sup>a</sup> Measured in CD <sub>3</sub> OD								

443 **Table 1.** The  ${}^{1}$ H and  ${}^{13}$ C NMR Data of Compounds 1 and 3-5.

445 <sup>b</sup> Measured in DMSO- $d_6$