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ACS Catal., **Just Accepted Manuscript** • DOI: 10.1021/acscatal.8b04096 • Publication Date (Web): 14 Dec 2018

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Dearomatization and Functionalization of Terpyridine Ligands Leading to Unprecedented Zwitterionic Meisenheimer Aluminum Complexes and Their Use in Catalytic Hydroboration

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Abstract: This paper reports the first example of dearomatization of ubiquitous terpyridine (tpy) ligands via 2'/6'-, 3'/5'- or 4'-selective alkylation of the central pyridine ring. The reaction is mediated by the most abundant metal in the Earth's crust, aluminum (Al), and depending on the conditions employed, exhibits ionic or radical character as suggested by experimental and computational analysis. In the latter case, intermediate formation of an Al^{III} complex supported by π -radical monoanionic ligand (tpy[•])¹⁻ is apparent. The 3'/5'-alkylation leads to unprecedented zwitterionic Meisenheimer Al^{III} complexes in which the ligands are *both* redox- and chemically non-innocent. These isolable compounds were identified as efficient precatalysts for the selective hydroboration of C=O and C \equiv C functionalities. Turnover numbers (TONs) up to ~1000 place the corresponding complexes in the category of the most efficient Al catalysts reported to date for the title reaction. The acquired data suggests that aluminum monohydrides, or more-likely dihydrides, are relevant catalytic species.

Keywords: terpyridine • aluminum • dearomatization • hydroboration • alkynes • redox non-innocent • ligand radical

Introduction.

Homogeneous catalysis with transition metal complexes is an integral part of the scientific-technological revolution in the 20th century.¹ The properties of these complexes are the result of the interactions between the metal center and its surrounding ligands. Not only do these ligands contribute to the electronic and steric properties of the metal center, but they can even be directly involved in the catalytic reaction. Examples include cleaving or forming bonds, gaining or losing electrons and stabilizing transition states via non-covalent interactions.² The last decade of homogeneous catalysis was marked by an extensive development and effective use of transition metal complexes bearing so-called “redox non-innocent” ligands.³ Catalysis with complexes containing these ligands relies on the ligand accepting/releasing electrons or forming/breaking chemical bonds of a substrate with the help of the ligand radical.^{2c, 3c} The tridentate ligand 2,2':6',2''-terpyridine (tpy) along with its 4'-substituted (Xtpy) analogues⁴ are some of the most widely used “redox-active” ligands, as shown in Fig. 1.⁵

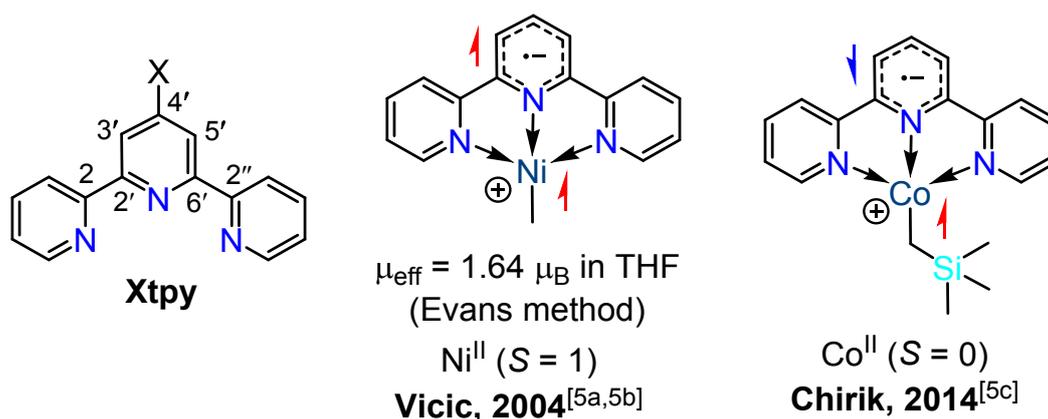


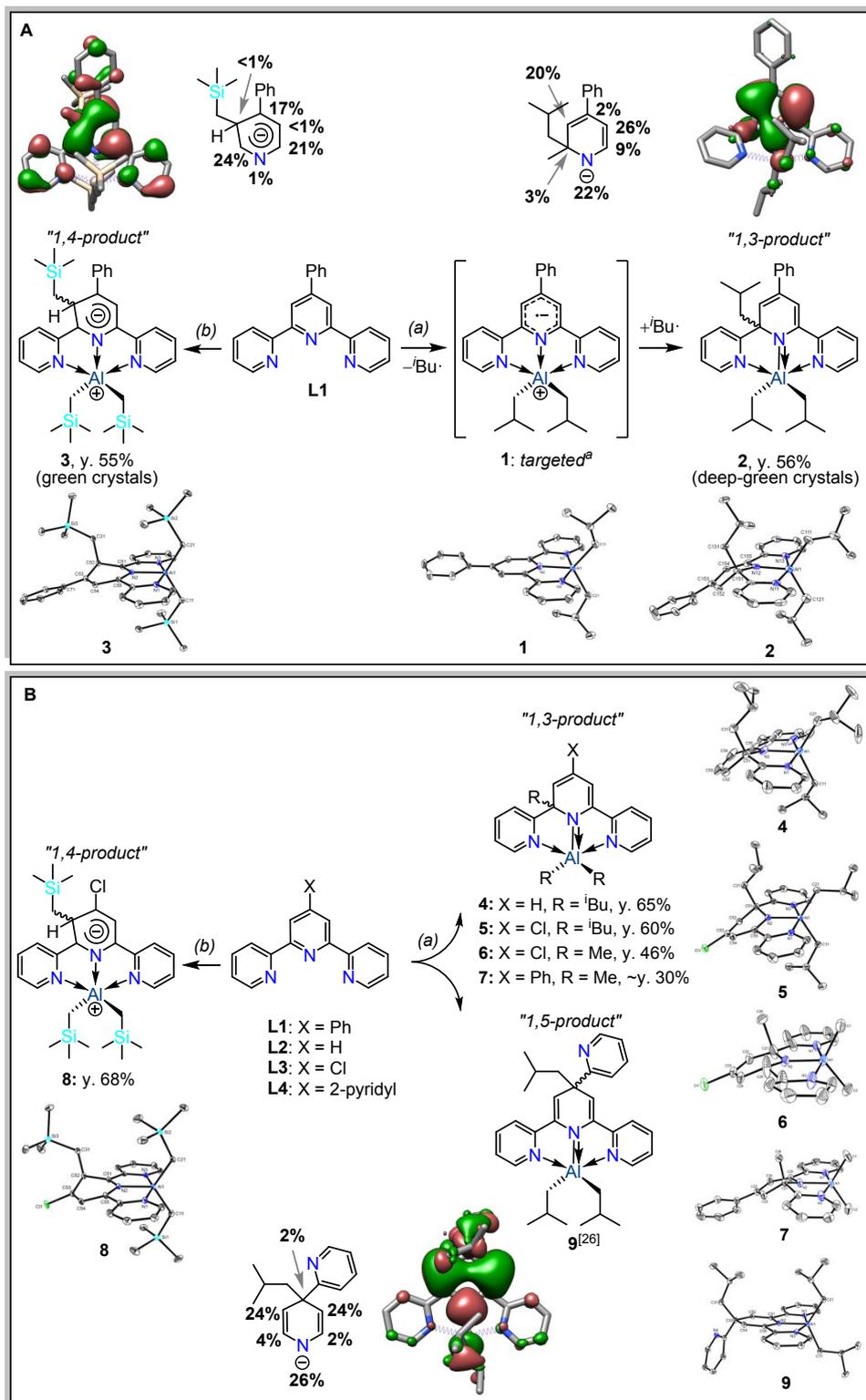
Figure 1. Structure and nomenclature for the Xtpy ligand and examples of isolable complexes based on the π -radical monoanionic ligand $(\text{tpy})^{\cdot-}$. Red arrows: α -spin electron; blue arrow: β -spin electron.⁵

While many homogeneous catalysts have been developed using precious metals,⁶ a rational approach is needed to design metal complexes that make use of Earth-abundant elements.⁷ Over the last ten years, various structurally well-defined catalysts based on first-row transition metal elements have been developed and discussed in some reviews^{7d, 8} and a *Special Issue* in *Acc. Chem. Res.* **2015**.⁹ Recent demands in molecular catalysis science provoked a renaissance of studies with a non-transition metal, Al.¹⁰ In fact, Al was among the first homogeneous catalysts to be discovered (Friedel-Crafts, 1877).¹¹ Until recently,¹² most applications of this metal have relied almost exclusively on the use of its halide/triflate salts (Lewis acid catalysis), rather than on catalysis with well-defined complexes. Not only is Al the

1 most abundant metal in the Earth's crust (8%), it is also inexpensive and much less toxic than
2 heavy metals, which could make it ideal for applications in catalysis.^{10a} The results presented
3 in this work were obtained serendipitously as a result of our initial goal to investigate possible
4 catalytic activity of putative Al^{III} complexes based on redox non-innocent Xtpy ligands.¹³
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9 **Results and Discussion.**

10 In an attempt to prepare complex **1**, which would contain a one-electron reduced ligand
11 (Phtpy·)¹⁻, the popular precursor **L1**¹⁴ and commercial AlⁱBu₃ were reacted under conditions
12 (a) as shown in Scheme 1A. However, the reaction instead resulted in a formal 1,3-migration
13 of one of the three ⁱBu groups from Al to the 2' or 6'-position on the central pyridine ring of
14 **L1**. The corresponding Al^{III} complex **2** was isolated in 56% yield and characterized *via* X-ray
15 diffraction, Elem. Analysis, FTIR and solution NMR.¹⁵ The proposed nature of the bonding of
16 the anionic amido moiety in the "1,3-product" **2** is supported by the relatively short Al(1)–
17 N(12) bond distance of 1.884(8) Å [1.94 Å by density functional theory (DFT) computations].
18 This can be compared to the dative interactions Al(1)–N(11) (2.134(8) Å) [DFT: 2.11 Å] and
19 Al(1)–N(13) (2.132(8) Å) [DFT: 2.13 Å]. Formation of **2**, however, is not entirely unexpected.
20 A similar dearomatization in a lutetium(III) complex has one precedent in the literature,¹⁶ and
21 several examples were reported for related conjugated C–N ligands such as 2,6-
22 diiminepyridine (dimpy).¹⁷ Inspired by these findings, the reaction conditions were further
23 changed to (b) in Scheme 1 in order to prepare a putative complex similar to **2** with a –
24 CH₂Si(CH₃)₃ substituent. To our further surprise, the reaction instead led to the isolation and
25 characterization (X-ray diffraction, Elem. Analysis, FTIR and liquid NMR) of an
26 unprecedented zwitterionic Al^{III} complex, **3**, in 55% yield, as shown in Scheme 1A.¹⁵ By
27 analogy with **2**, the latter can be formally viewed as a "1,4-product" of dearomatized ligand **L1**
28 functionalized at the 3' or 5'-position. However, in contrast to the neutral complex **2**, **3** features
29 a zwitterionic "Meisenheimer-type" nature.¹⁸ Meisenheimer complexes in classical organic
30 chemistry are well-known intermediates in nucleophilic aromatic substitution, and some stable
31 isolable examples have been reported.¹⁸
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Scheme 1. Reactions of ligand **L1** with Al precursors under different conditions: (a) Al^tBu_3 (1.2 eq.), Et_2O , rt, 2 h.; (b) (i) AlCl_3 , THF, 24 h, rt;¹⁹ (ii) $\text{LiCH}_2\text{SiMe}_3$, Et_2O , rt, 2 h; **B.** Scope and limitations of the reaction between various Xtpy ligands **L1–4** and commercial AlR_3 reagents under conditions (a) and (b) in **A**. X-ray structures are shown at 30% thermal ellipsoid probability level. HOMO Plots and Corresponding Atomic Contributions are Shown for the Optimized Structures of **2**, **3** and **9** Computed at the $\omega\text{B97X-D/6-311++G}^{**}/\text{SMD}(\text{diethyl ether})$ Level of Theory.¹⁵ H-atoms are omitted for clarity. ^aIsolable complex **1** has not been unequivocally identified as an intermediate to formation of **2**, but the identity of the former complex is suggested by experimental and computational analyses.

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Complexes of type **3** were actually predicted for the first time by Budzelaar for similar conjugated C–N imine dimpy ligands in 2006,^{17a,20} and very recently observed for the first time by Cámpora et al. for Mg/dimpy as a mixture of two isomers,²¹ but this is the first example for non-imine tpy ligand. While the dative Al(1)–N(1) (2.0752(17) Å) [DFT: 2.10 Å] and Al(1)–N(3) (2.0866(17) Å) [DFT: 2.12 Å] bond lengths are similar to the analogous distances in compound **2**, the Al(1)–N(2) (1.9774(17) Å) [DFT: 2.00 Å] bond length is ~0.1 Å longer than the central Al–N bond distance for **2**. This supports the zwitterionic characterization of **3** in which the negative charge remains delocalized on the ligand. The identity of **2** and **3** as neutral and zwitterionic species, respectively, is also supported by the shapes of the computed Highest Occupied Molecular Orbitals (HOMOs) of these complexes, as shown in Scheme 1A. The liquid NMR spectra for both complexes **2** and **3** are in full agreement with the solid-state structures.¹⁵

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Stoichiometric experiments and DFT computations have been further performed in order to explore the origin of the selective formation of “1,3-” versus “1,4-products” under conditions (a) and (b), respectively. During the work-up process for **2**, we noticed that several pieces of well-shaped green crystals spontaneously crystallized on the vial wall prior to the isolation of **2**.¹⁵ X-ray crystallography identified these crystals to be the targeted complex **1**, which is thus either a reaction by-product or an intermediate, as shown in Scheme 1A. The ligand within complex **1** represents an anion-radical,²² whose combination with ^tBu· radical generated in the reaction mixture under conditions (a) may explain the formation of complex **2**. Attempts to prepare **2** in the presence of a radical trap were unsuccessful,¹⁵ and some free ligand and complex **1** were recovered; this is consistent with the radical nature of the reaction leading to **2**. Computational analysis has been further performed to explore the reaction between **1** and ^tBu· as shown in Fig. 2A.

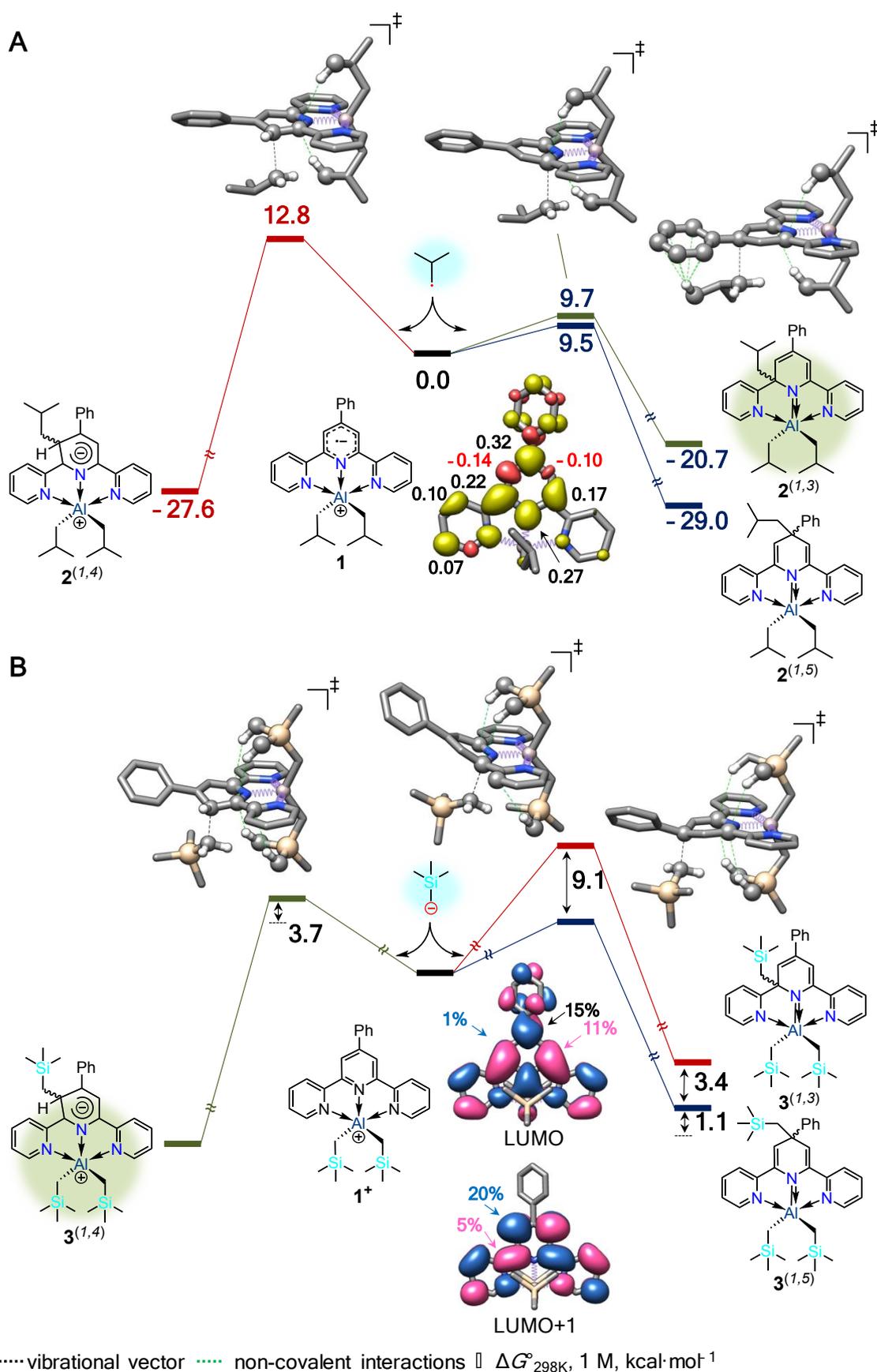


Figure 2. A. Energy Profile for the Radical Reaction Between 1^{\cdot} and Mulliken Spin Density Plot for **1** (yellow: α -spin; red: β -spin); B. Energy Profile for the Ionic Reaction Between $1^{+}/(\text{CH}_3)_3\text{SiCH}_2^{-}$ and LUMO/LUMO+1 Plots for 1^{+} . Computed at the $\omega\text{B97X-D}/6\text{-311++G}^{**}/\text{SMD}(\text{diethyl ether})$ Level of Theory (for the Optimized Structures, Non-critical H atoms are Omitted for Clarity).²³ Experimentally isolated complexes are highlighted in green.

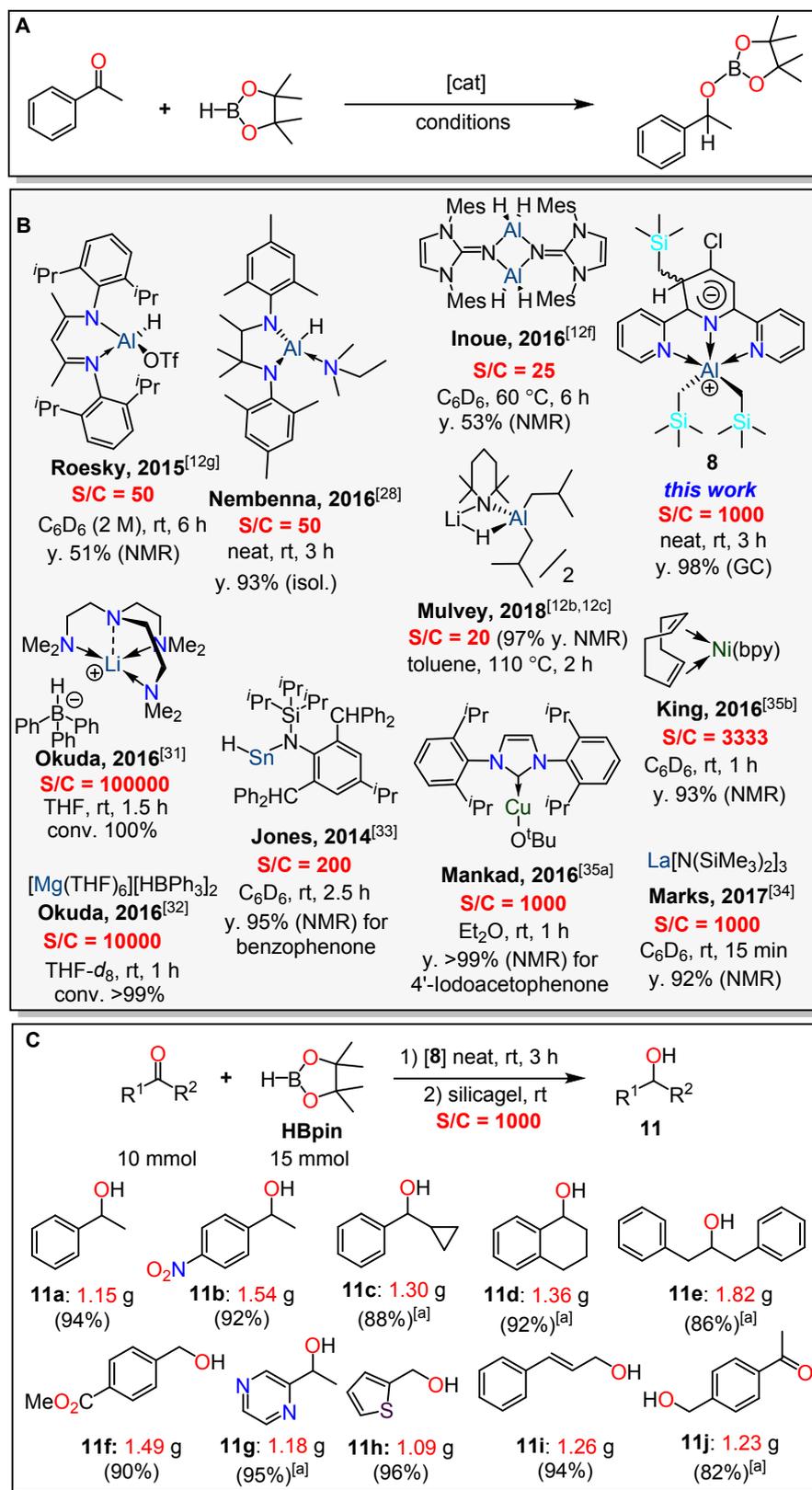
The C–C bond formation leading to the experimentally obtained “1,3-product” $\mathbf{2}^{(1,3)}$ takes place with an activation barrier of $9.7 \text{ kcal}\cdot\text{mol}^{-1}$ ($\Delta G^\circ_{298\text{K}}$, 1 M). The activation barrier becomes higher ($12.8 \text{ kcal}\cdot\text{mol}^{-1}$) for the C–C bond formation leading to an isomeric Meisenheimer-type “1,4-product” $\mathbf{2}^{(1,4)}$. This is consistent with the Mulliken spin density analysis of $\mathbf{1}$, which has limited electron density localized on the *meta*-C atom of the central pyridine ring. Interestingly, $\mathbf{2}^{(1,4)}$ is $6.9 \text{ kcal}\cdot\text{mol}^{-1}$ more stable than $\mathbf{2}^{(1,3)}$ (or $5.8 \text{ kcal}\cdot\text{mol}^{-1}$ on an *E* scale). Therefore, $\mathbf{2}^{(1,3)}$ is formed under kinetic control, and the reaction is irreversible due to an activation barrier of $30.4 \text{ kcal}\cdot\text{mol}^{-1}$ for the reverse reaction. It is also interesting to note that according to the same Mulliken spin density analysis, the unpaired electron of the redox non-innocent ligand within $\mathbf{1}$ is partially localized on the *para*-C atom of the central pyridine ring. Corresponding C–C bond formation may potentially lead to a “1,5-product” $\mathbf{2}^{(1,5)}$. The latter is $8.3 \text{ kcal}\cdot\text{mol}^{-1}$ more stable than $\mathbf{2}^{(1,3)}$ ($6.1 \text{ kcal}\cdot\text{mol}^{-1}$ on *E* scale), and slightly more stable or even isoenergetic with $\mathbf{2}^{(1,4)}$ ($1.4 \text{ kcal}\cdot\text{mol}^{-1}$ on $G^\circ_{298\text{K}}$ scale or $0.3 \text{ kcal}\cdot\text{mol}^{-1}$ on *E* scale). The activation barrier leading to $\mathbf{2}^{(1,5)}$ is computed as isoenergetic with the one leading to $\mathbf{2}^{(1,3)}$ ($\Delta\Delta G^\circ_{298\text{K}} = -0.2 \text{ kcal}\cdot\text{mol}^{-1}$, $\Delta\Delta E = -0.1 \text{ kcal}\cdot\text{mol}^{-1}$). Taking into account the accuracy of DFT (at least $\sim 3 \text{ kcal}\cdot\text{mol}^{-1}$)²⁴ and the nature of calculations themselves (conformational effects, explicit solvation, uncertainty in free energy calculations^{2b}), these calculations suggest: 1) the “1,4-product” $\mathbf{2}^{(1,4)}$ is likely not formed or formed in very minor quantities under conditions (*a*), and 2) along with $\mathbf{2}^{(1,3)}$, one may also expect formation of the neutral “1,5-product” $\mathbf{2}^{(1,5)}$. Though we did not observe $\mathbf{2}^{(1,5)}$ experimentally, this might be possible by varying the nature of the tpy ligand (*e.g.* changing the substituents), Al reagent, solvent, and other conditions used for the reaction. In fact, with respect to the current reaction conditions, the relatively low isolated yield for $\mathbf{2}^{(1,3)}$ (56%) does not preclude accumulation of $\mathbf{2}^{(1,5)}$ in the original mixture prior to crystallization as $\mathbf{2}^{(1,3)}/\mathbf{2}^{(1,5)}$ could have very different solubility due to different molecular symmetry.

In contrast to the radical reaction we project to form $\mathbf{2}$, we propose that $\mathbf{3}$ is formed from the reaction between $\mathbf{1}^+$ generated in the reaction mixture¹⁵ and the anion of $(\text{CH}_3)_3\text{SiCH}_2^-$. Similar to the $\mathbf{1}^i\text{Bu}\cdot$ radical reaction described above, one may anticipate three isomeric products $\mathbf{3}^{(1,3)}\text{--}\mathbf{3}^{(1,5)}$ as shown in Fig. 2B. The relative computed energy of these isomeric complexes is as follows: “1,4-product” \leq “1,5-product” $<$ “1,3-product”,²⁵ similar to the trend for products $\mathbf{2}^{(1,3)}\text{--}\mathbf{2}^{(1,5)}$, where the “1,3-product” is clearly the least-stable one for both cases. Additionally, formation of the “1,3-product” $\mathbf{3}^{(1,3)}$ needs to overcome the highest activation barrier, $5.4 \text{ kcal}\cdot\text{mol}^{-1}$ higher than that required for the formation of experimentally observed $\mathbf{3}^{(1,4)}$. According to the analysis of Lowest Unoccupied Molecular Orbitals (LUMOs), it is

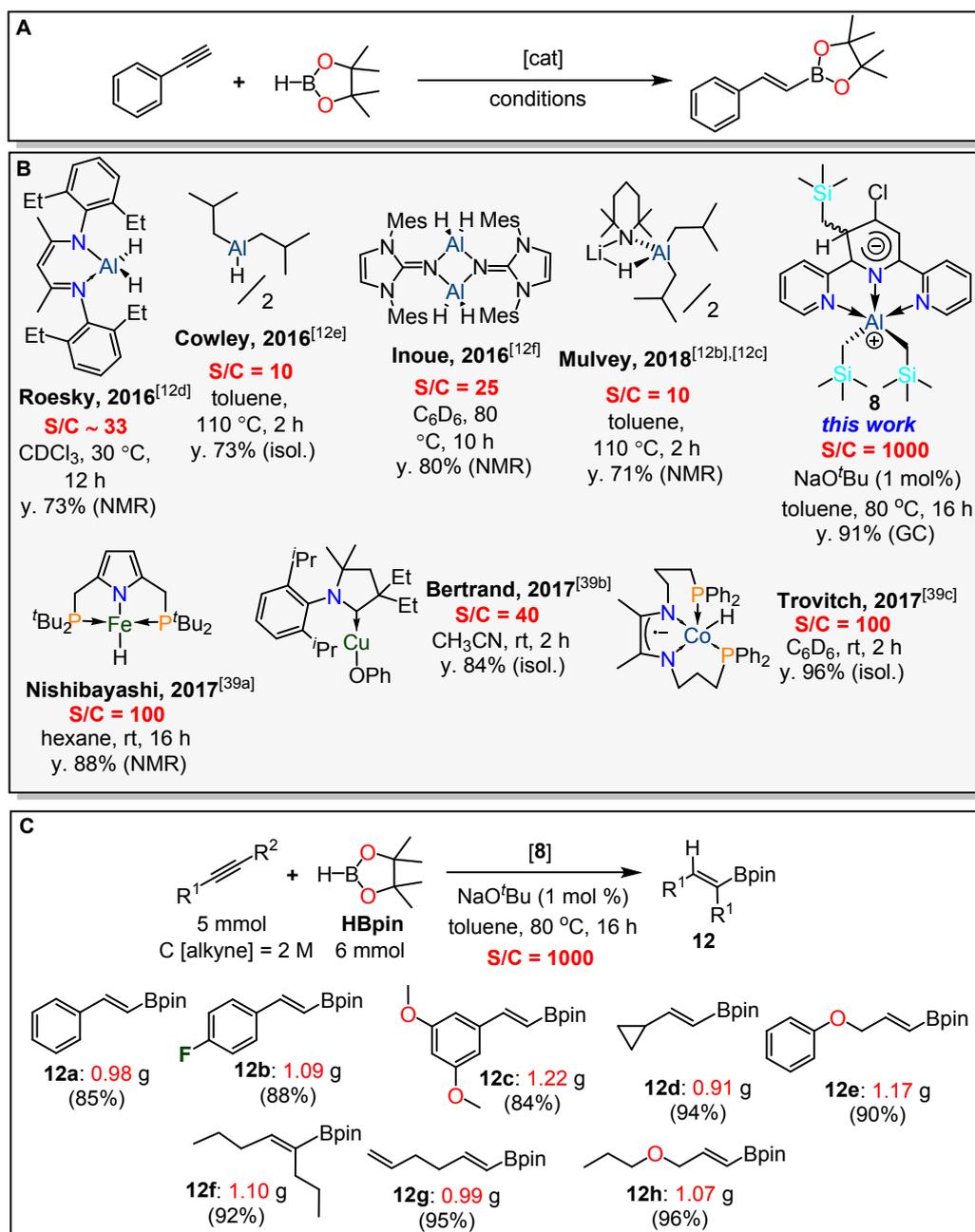
1 LUMO+1 that interacts with the anion of $(\text{CH}_3)_3\text{SiCH}_2^-$ leading to $\mathbf{3}^{(1,4)}$. Interestingly,
2 formation of a hypothetical “1,5-product” $\mathbf{3}^{(1,5)}$ takes place with a slightly lower computed
3 activation barrier ($3.7 \text{ kcal}\cdot\text{mol}^{-1}$, or $3.2 \text{ kcal}\cdot\text{mol}^{-1}$ on *E* scale) than the one leading to $\mathbf{3}^{(1,4)}$ and
4 this happens as a result of the interaction between LUMO and the anion of $(\text{CH}_3)_3\text{SiCH}_2^-$. The
5 energy difference between transition states (TSs) leading to $\mathbf{3}^{(1,4)}$ and $\mathbf{3}^{(1,5)}$ is, however, within
6 DFT noise. In addition, as discussed above, conformational effects, explicit solvation and other
7 factors could have dramatic effects in tuning the energy difference between the corresponding
8 TSs in favor of the formation of $\mathbf{3}^{(1,4)}$. Similar to the radical reaction described above, relatively
9 low isolated yield for $\mathbf{3}^{(1,4)}$ (55%) does not preclude accumulation of $\mathbf{3}^{(1,5)}$ in the original
10 mixture prior to crystallization as $\mathbf{3}^{(1,4)}/\mathbf{3}^{(1,5)}$ could also have very different solubility due to
11 different molecular symmetry. To conclude, computations about the ionic reaction between
12 $\mathbf{1}^+ / (\text{CH}_3)_3\text{SiCH}_2^-$ suggest that the “1,3-product” is likely not formed, whereas one may
13 anticipate the formation of a “1,5-type” product in addition to the experimentally observed
14 “1,4-type” zwitterionic product by changing the reaction conditions discussed above.

15 To explore the scope and limitations of the reactions shown in Scheme 1, we further tested
16 various commercially available Xtpy ligands **L1–4** and AlR_3 reagents under conditions (a) or
17 (b), respectively, as shown in Scheme 1B. Various “1,3-type” **4–7** or “1,4-type” products **8**
18 were isolated in appreciable yields between ~30–68% and fully characterized by IR, solution
19 NMR spectroscopies, elemental analysis and X-ray crystallography.¹⁵ Interestingly, in the
20 reaction of Al^iBu_3 with **L4**, one of the crystals was identified as the “1,5-product” **9** based on
21 X-ray crystallography, whereas the overall mixture is composed of two components.²⁶ The
22 anionic amido moiety of **9** is suggested by the relatively short Al(1)–N(2) bond distance of
23 $1.8967(15) \text{ \AA}$ [DFT: 1.92 \AA], which is comparable to the Al–N_{amido} interaction in the “1,3-
24 product” **2** (*vide supra*). In contrast, the dative Al–N bond lengths in **9** are $2.1012(15)$ [DFT:
25 2.14 \AA] and $2.1026(15) \text{ \AA}$ [DFT: 2.15 \AA], *i.e.* nicely comparable to those found in **2** and **3**,
26 respectively. The identity of **9** as a neutral species is also supported by the shape of the
27 computed HOMO as shown in Scheme 1B. Experimental isolation of **9** further supports our
28 conclusions gleaned from the computational analysis that “1,5-type” products can be
29 potentially obtained and isolated in these reactions.

30 The five-coordinate zwitterionic Meisenheimer Al^{III} complex **8** was further tested in the
31 catalytic hydroboration of acetophenone and phenylacetylene with freshly distilled
32 pinacolborane (HBpin), as shown in Scheme 2A and Scheme 3A, respectively.



Scheme 2. A. Hydroboration of acetophenone with HBpin; B. Comparison of catalytic efficiencies of precatalyst **8** with selected, most efficient examples for reaction in A catalyzed by alkali, alkaline-earth, main-group, lanthanide and transition metals; C. Functionalized ketone hydroboration with **8**. ^[a] Reaction run for 16 h. S/C = substrate-to-catalyst ratio.



Scheme 3. A. *cis*-Selective *anti*-Markovnikov hydroboration of phenylacetylene with HBpin; **B.** All reported examples of aluminum-catalyzed and select recent examples for transition metal-catalyzed transformation in **A**; **C.** Functionalized alkyne hydroboration with **8**. S/C = substrate-to-catalyst ratio.

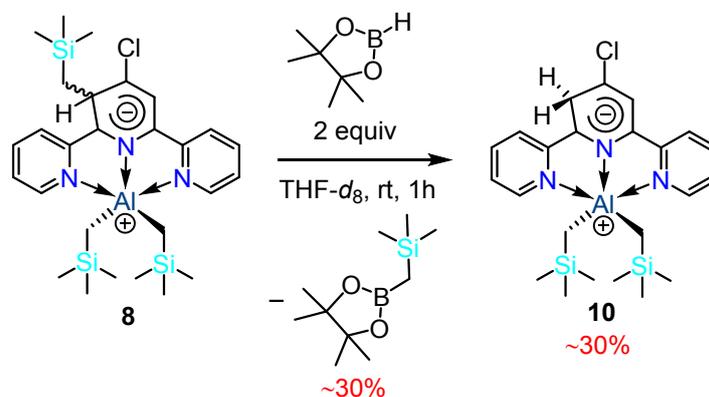
Ketones and alkynes are important raw materials, and their hydroboration (or dehydrogenative borylation of terminal alkynes) represents a powerful method to generate boric and boronic esters (vinylboronates or alkynylboronates), respectively. These hydroboration products are versatile building blocks in the synthesis of fine chemicals in pharmaceutical, material, and agrochemical industries.²⁷ Over the last five decades, various uncatalyzed, base- and acid-catalyzed, and metal-catalyzed (alkali, alkaline-earth, main-group, lanthanide and transition-metals) reactions have been reported using Brown's catecholborane

and Knochel's more robust HBpin reagents.²⁷ The need to access boric and boronic esters of many differing substitution patterns, stereochemistries, and functional groups has triggered the development of well-defined molecular catalysts, typically based on transition metal complexes.

Pioneering studies by Roesky^{12g} and others^{12b, 12c, 12f, 28} represent the only few examples, to the best of our knowledge, of aluminum-catalyzed ketone/aldehydes hydroboration. These catalysts are shown in Scheme 2B and feature turnover numbers (TONs)²⁹ of up to ~50 for ketones as substrates. Zwitterionic Meisenheimer complex **8** was found to catalyze the hydroboration of acetophenone producing the final product with >98% yield and a TON of 980 under neat conditions after 3 h at rt (Scheme 2B).³⁰ While the catalytic efficiency is lower than the most efficient examples of alkali,³¹ alkaline-earth,³² main-group,³³ lanthanide³⁴ and transition-metal³⁵ catalysts, it is comparable to others and outperforms all reported Al catalysts (Scheme 2B).

Further evaluation of the catalytic activity of **8** has been conducted on the catalytic hydroboration of phenylacetylene with HBpin.^{36,37} A diversity of products in catalytic hydroboration of phenylacetylene is expected,³⁸ and the *cis*-selective *anti*-Markovnikov hydroboration represents one possibility as shown in Scheme 3A. We found that **8** catalyzes *cis*-selective *anti*-Markovnikov hydroboration of phenylacetylene with appreciable TON of 910 as shown in Scheme 3B. This can be compared to TONs of ~10–33 reported for all other Al catalysts,^{12b, 12d-f} as well as TONs of ~40–100 for some recently reported first row transition metals (Scheme 3B).³⁹ In order to evaluate the scope and selectivity, various functionalized ketones/aldehydes and alkynes were hydroborated with HBpin in the presence of zwitterionic Meisenheimer Al complex **8**, as shown in Schemes 2C and 3C. All the reactions were performed on a 5–10 mmol (gram) scale to demonstrate the potential practical utility of zwitterionic Meisenheimer Al complex **8**. For both reaction types, the catalyst features excellent selectivities, leaving C=C of alkenes and arenes, CO₂Me, NO₂ and C=N functional groups unaffected. All the products, secondary alcohols and vinylboronates as a result of *anti*-Markovnikov *cis*-addition, were isolated in 84–96 % yield after column chromatography.¹⁵

Intrigued by the impressive catalytic activity of precatalyst **8** in the hydroboration of ketones and internal/terminal alkynes,⁴⁰ preliminary stoichiometric NMR experiments and parallel computational analyses have been performed in order to elucidate the catalytically relevant species. No visible reaction occurs when **8** and 2 equiv. of PhC(O)CH₃ or PhCCH alone are treated in THF-*d*₈ according to the ¹H and ¹³C{¹H} NMR within 1 hour. In contrast, the same reaction with 2 equiv. of HBpin leads to ~30% conversion of **8** into new complex **10** and pinBCH₂Si(CH₃)₃ in a 1:1 ratio as shown in Scheme 4.⁴¹



Scheme 4. Outcome of the reaction between **8** and 2 equiv. of HBpin in THF- d_8 according to the ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra recorded ~ 1 hour after mixing the reagents ($C_8 \sim 0.02$ M).

Several characteristic features exist in the ^1H NMR spectrum of **8** including the signal of the dearomatized CH proton at δ/ppm 4.50 (dd, $^3J_{\text{HH}} = 10$ Hz, $^3J_{\text{HH}} = 2$ Hz), and signals for two diastereotopic CH_2 protons at δ 1.03 (1H, dd, $^3J_{\text{HH}} = 15$ Hz, $^3J_{\text{HH}} = 10$ Hz) and 0.65 (1H, dd, $^3J_{\text{HH}} = 15$ Hz, $^3J_{\text{HH}} = 2$ Hz) for the $\text{CH}_2\text{Si}(\text{CH}_3)_3$ group attached to the stereogenic carbon atom of the central pyridine ring of the ligand. Additionally, four diastereotopic CH_2 protons for two $\text{CH}_2\text{Si}(\text{CH}_3)_3$ groups attached to the metal center appear as a set of second-order signals at -0.80 to -1.00 (4H).¹⁵ For complex **10**, two dearomatized CH_2 protons are chemically equivalent and resonate at δ 2.91 (2H, s), whereas the CH_2 protons of the $\text{CH}_2\text{Si}(\text{CH}_3)_3$ groups overlap with the CH_3 protons at δ/ppm 0.00 (22H, s). The dearomatized carbon atom resonates at δ 37.7 and presumably at $\delta \sim 24$ ppm (overlapped with THF- d_8) for **8** and **10**, respectively in the $^{13}\text{C}\{^1\text{H}\}$ NMR spectrum. The methylene carbon of the $\text{CH}_2\text{Si}(\text{CH}_3)_3$ group attached to the stereogenic carbon atom of the central pyridine ring of the ligand in **8** resonates at δ 14.3. All other C-atoms of the $\text{CH}_2\text{Si}(\text{CH}_3)_3$ groups attached to the metal center resonate in the region from δ 1 to -3 for both complexes respectively in the $^{13}\text{C}\{^1\text{H}\}$ NMR spectra.

Figure 3 shows the computed mechanism for the formation of **10** from **8**, as well as the free energy profile for the further putative σ -bond metathesis reaction⁴² of **10** with HBpin to afford monohydride **11** and dihydride **12**. Complexes **11** and **12** can be assumed to be the likely catalytic species based on stoichiometric studies of related complexes,⁴³ as well as reports of Roesky,^{12d, 12g} Thomas & Cowley^{12a, 12e} and others.^{12b, 12c, 28}

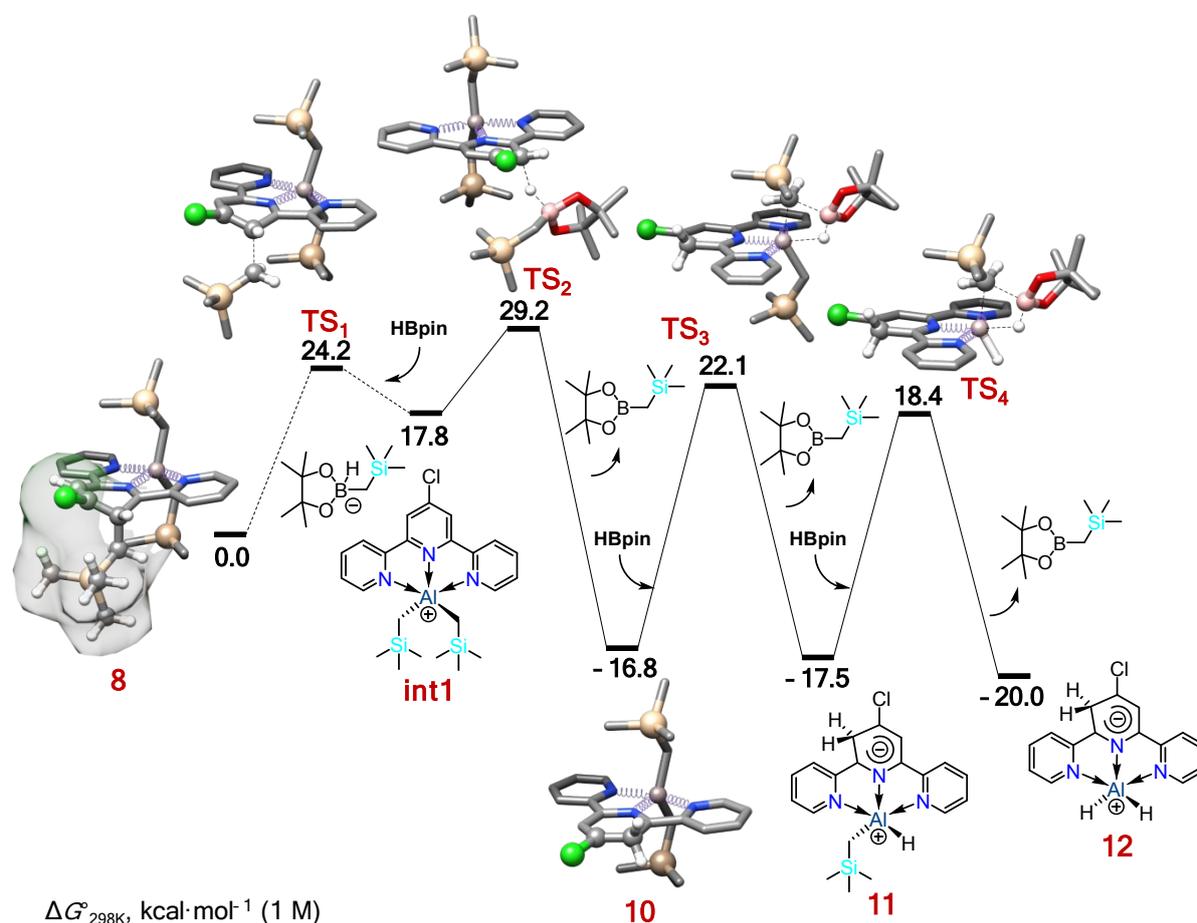
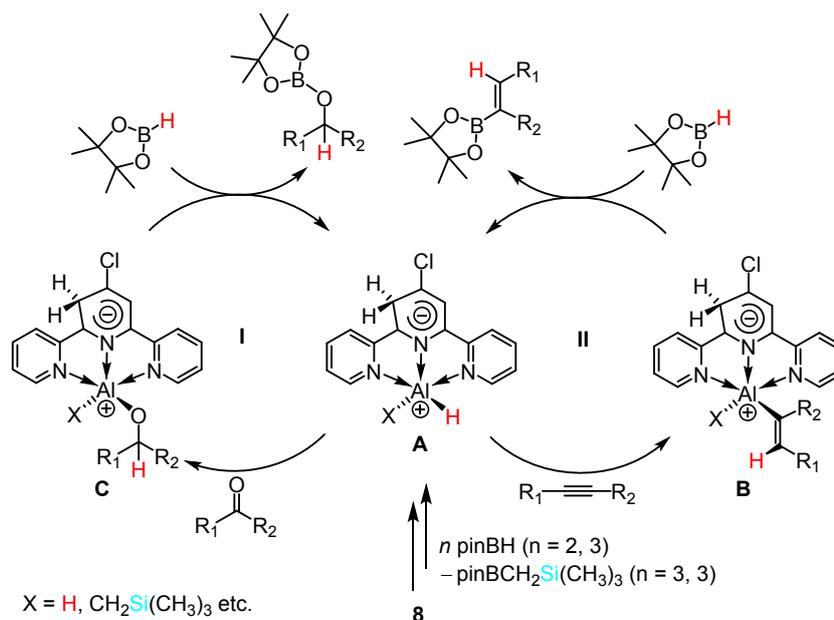


Figure 3. Computed at the ω B97X-D/6-311++G**/SMD(THF) level of theory mechanism for the formation of **10** from **8**, as well as the free energy profile for the further σ -bond metathesis reaction of **10** with HBpin to afford monohydride **11** and dihydride **12**, respectively. For the Optimized Structures, Non-critical H atoms are Omitted for Clarity. Solid line = intrinsic reaction coordinate (IRC)⁴⁴ path; dotted line = non-IRC path.

The formation of **10** from **8** takes place via a classical S_N1 mechanism.⁴⁵ Complex **10** undergoes “slow” dissociation via transition state **TS**₁ to produce the $(\text{CH}_3)_3\text{SiCH}_2^-$ anion and an analog of **1**⁺ cation described above in Figure 2. This step is characterized by an activation barrier $\Delta G^\circ_{298\text{K}}^\ddagger$ of 24.2 kcal·mol⁻¹. $(\text{CH}_3)_3\text{SiCH}_2^-$ further reacts with HBpin to afford the contact ion-pair complex **int1** consisting of the tetrahedral anion of $\text{pinB(H)(CH}_2\text{Si(CH}_3)_3)^-$ and the aforementioned cation, ($\Delta G^\circ_{298\text{K}} = 17.8$ kcal·mol⁻¹). The anion of $\text{pinB(H)(CH}_2\text{Si(CH}_3)_3)^-$ further rapidly transfers the hydride atom to the cation via low-lying **TS**₂ to afford **10** and $\text{pinBCH}_2\text{Si(CH}_3)_3$ ($\Delta\Delta G^\circ_{298\text{K}} = 11.4$ kcal·mol⁻¹ relative **int1**). The overall transformation **8** \rightarrow **10** is very exergonic, and characterized by the $\Delta G^\circ_{298\text{K}} = -16.8$ kcal·mol⁻¹. Favorable formation of **10** from **8** results from a destabilization initially present in **8** due to steric constraint imposed by the bulky $(\text{CH}_3)_3\text{SiCH}_2$ group attached to the central pyridine ring. Indeed, three carbon atoms of the central pyridine ring, including the dearomatized-one, significantly deviate from the plane of the 3'/5'-functionalized tpy ligand in **8** as observed in the X-ray structure. This geometry is fully reproduced in calculations as shown in Figure 3. In

contrast, all carbon atoms of the 3'/5'-functionalized tpy ligand are planar in the optimized geometry of **10**. Finally, the step-wise reaction of **10** with two molecules of HBpin affords monohydride **11**, then dihydride **12**, along with two pinBCH₂Si(CH₃)₃. These steps are characterized by favorable thermodynamics of $-0.7 \text{ kcal}\cdot\text{mol}^{-1}$ and $-3.5 \text{ kcal}\cdot\text{mol}^{-1}$ ($\Delta\Delta G^\circ_{298\text{K}}$ relative to **10**), respectively. The computed activation barriers of $38.9 \text{ kcal}\cdot\text{mol}^{-1}$ and $35.9 \text{ kcal}\cdot\text{mol}^{-1}$ ($\Delta\Delta G^\circ_{298\text{K}}^\ddagger$ relative **10** and **11**), respectively, however, the values are somewhat unsettling due to their considerable magnitudes. Qualitatively, however, this is in agreement with the NMR experiment in THF-*d*₈ as only the partial reaction leading to **10** was observed (computed $\Delta\Delta G^\circ_{298\text{K}}^\ddagger = 24.2 \text{ kcal}\cdot\text{mol}^{-1}$ for the “slowest step”), at least within ~1h after mixing the reagents. In the catalytic reaction pool,⁴⁶ these barriers could be lowered by means of involvement of other molecules in the process (*e.g.* chemically or via specific solvation), including impurities present in substrates. Alternatively, hydrido species **11** and/or **12** could be generated via different σ -bond metathesis mechanisms, for example, via heterolytic Al–CH₂Si(CH₃)₃ bond dissociation following hydride transfer from the pinB(H)(CH₂Si(CH₃)₃)[–], obtained from association of (CH₃)₃SiCH₂[–] and HBpin. One can also imagine Al–CH₂Si(CH₃)₃ to Al–X bond substitution, where X is a better leaving group and an anionic ligand, generated from the reagents and/or impurities. This fragment can further be replaced by H leading to the hydrido species.

Based on the presently accumulated data in this work as well as works by others,^{12a-e, 12g, 28, 43} and the experimental fact that both terminal and internal alkynes can be hydroborated, it is reasonable to propose the plausible mechanisms of ketones and alkynes *anti*-Markovnikov *cis*-selective hydroboration catalyzed by **8** as shown in Scheme 5.



Scheme 5. Plausible mechanisms of ketones (**I**) and alkynes *anti*-Markovnikov *cis*-selective hydroboration catalyzed by **8**. The active species are monohydride and/or more-likely dihydride complex **A**, obtained from the reaction of precatalyst **8** with HBpin.

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2 For both reactions, the key intermediate is the mono-hydrido, or most-likely dihydrido
3 complex **A**, obtained from **8** and HBpin as discussed above. For ketone hydroboration (catalytic
4 cycle I), inner- or outer-sphere hydride transfer⁴⁷ from **A** to a ketone would afford alkoxo
5 species **C** as an active intermediate or an off-loop species. Further reaction with HBpin via σ -
6 bond metathesis or other mechanism would regenerate **A** and produce the reaction product. For
7 alkyne hydroboration (catalytic cycle II), the first step is hydroalumination^{27e} yielding alkenyl
8 species **B**. **B** then reacts with HBpin via σ -bond metathesis or an alternative mechanism to
9 produce the *anti*-Markovnikov *cis*-addition product and regenerate **A**. In summary,
10 unprecedented catalytic activity of aluminum precatalyst **8** seems to be attributed to its unusual
11 electronic and structural properties. The Meisenheimer-type anionic ligand is simultaneously
12 both redox and chemically non-innocent. Overall the ligand seems to increase the positive
13 charge at the aluminum center, which implies a strong Lewis acidic character. A detailed
14 investigation of the nature of *bona fide* catalytic species, effects of the ligand, and catalytic
15 reaction mechanisms for these two reactions is warranted in the future.⁴⁸

26 27 28 **Conclusions.**

29 We report the unprecedented selective dearomatization of popular terpyridine (tpy) ligands
30 via alkylation at various (2'/6' vs 3'/5' and 4') positions of the central pyridine ring mediated by
31 Al^{III}. Although dearomatization and 2'-functionalization of Xtpy ligands has one precedent in
32 the literature,¹⁶ and similar dearomatizations are reported for dimpy or related imine ligands by
33 Budzelaar, Cámpora, van Koten and others,^{17, 21, 49} this work demonstrates the first example of
34 dearomatization and functionalization of Xtpy at 3'/5' or 4'-positions, respectively.
35 Experimental and computational analysis suggest that the nature of these reactions depends on
36 the conditions used, and ionic or radical mechanisms are possible. In the latter case the
37 reactions seems to take place via intermediate formation of complexes supported by π -radical
38 monoanionic ligands (Xtpy⁻)¹⁻. The 3'/5'-functionalized/dearomatized Xtpy ligands leading to
39 a zwitterionic Meisenheimer complexes are of particular interest due to their unusual structures.
40 Indeed, structure is often a key component of a molecular catalyst's design, responsible for
41 achieving high selectivities and turnover efficiencies. Studies in this work indicate that the
42 zwitterionic Meisenheimer complex **8** in particular is an efficient precatalyst in the selective
43 hydroboration of ketones and alkynes with pinacolborane with a turnover number of ~1000,
44 placing the title complexes in the category of the most efficient Al catalysts developed to date
45 for catalytic hydroboration. Preliminary investigations suggest that precatalyst **8** undergoes
46 reaction with HBpin to afford thermodynamically more stable Meisenheimer complex **10**,
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1 which ultimately yields possible relevant catalytic species, the aluminum(III) monohydride or
2 more likely dihydride complexes supported by *both* chemically and redox non-innocent ligands.
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5 ASSOCIATED CONTENT

6 **Supporting Information.** Syntheses, characterizations, NMR spectra/charts, X-ray data for all
7 complexes reported in this work, catalytic experiments, stoichiometric NMR experiments,
8 computational details, three orthogonal views of HOMO's for complexes **2**, **3** and **9** as well as
9 other details see SI. Metrical parameters for the solid-state structures are available free of
10 charge from the Cambridge Crystallographic Data Centre under the reference numbers CCDC
11 1833905 (**1**), 1833906 (**2**), 1585885 (**3**), 1833907 (**4**), 1833909 (**5**), 1833908 (**6**), 1833910 (**7**),
12 1585884 (**8**), 1833911 (**9**) and 1834247 ($[(\mathbf{L3})\text{Al}(\text{H}_2\text{O})_3]\text{Cl}_3(\text{H}_2\text{O})_4$).
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21 ACKNOWLEDGMENT

22 We are grateful to donors of the American Chemical Society Petroleum Research Fund for
23 partial support of this work (54247-UNI3). We also acknowledge the support from the CUNY
24 Collaborative Research Incentive Program, the PSC-CUNY awards (60328-0048) and the Seed
25 grant from the Office for Advancement of Research at John Jay College. Partial support from
26 the National Science Foundation (CHE-1464543) is also gratefully acknowledged.
27 Computations were performed by using Darwin Computational Cluster (LANL).
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The white solids obtained in step (i) are directly used in the following step (ii) after a small work-up.¹⁵

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(23) On a computed G° profile, association of two ions $1^+/(CH_3)_3SiCH_2^-$ is exergonic. For example, the transition state leading to $3^{(1,2)}$ and $3^{(1,2)}$ itself are computed to be -21.1 kcal·mol⁻¹ and -47.5 kcal·mol⁻¹ relative to "free" ions, respectively. In a solution, "free" ions do not exist (each cation and anion are strongly solvated by a solvent), and the association of two ions is expected to have some activation barrier. In order to avoid possible confusion, only relative energies are reported for the ionic reactions in Fig. 2B.

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(26) The identity of the second component in the mixture is now under investigation. Tentatively, it can be identified as a $9^{(1,3)}$ product by comparing the NMR data with those of the structurally related complex **2**. This assignment is also consistent with the Energy Profile in Fig. 2A.

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(40) Complex **8** outperforms all reported Al catalysts by at least one order of magnitude in terms of TON, see Schemes 3-4.

(41) see SI for the full description of the experiment, NMR spectra/charts and peak assignments in THF-*d*₈. ¹H NMR for **10**: δ 0.0 (s, 22H), 2.91 (s, 2H), 7.27 (m, 2H), 7.60 (m, 1H), 7.79 (dt, $J = 8$ Hz, $J = 2$ Hz, 1H), 7.85 (dt, $J = 8$ Hz, $J = 2$ Hz, 1H), 8.22 (d, $J = 8$ Hz, 1H), 8.37 (d, $J = 8$ Hz, 1H), 8.52 (d, $J = 8$ Hz, 1H), 8.61 (vt, 1H); ¹H NMR for pinBCH₂Si(CH₃)₃: δ 1.17 (s, 12H), -0.10 (s, 11H); ¹³C {¹H} NMR for pinBCH₂Si(CH₃)₃: δ -1.4 (s, 3C), 23.0 (s, 1C), ~24.0 (s, 4C), 80.9 (s, 2C). Further progress of the reaction has not been studied for the present contribution.

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(45) S_N2 reaction between **8** and HBpin has an estimated barrier of 100 kcal·mol⁻¹ according to the constrained potential energy surface scan calculations and can be ruled out at least for 1:1 reaction **8**-HBpin. The homolytic C-C bond cleavage in **8** can also be ruled out based on computations performed for similar complex **2** in Fig. 2: the gap in activation barrier for the homolytic/heterolytic C-C bond cleavage is 15.1 kcal·mol⁻¹ in the favor of heterolytic one.

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(48) One can also imagine a mechanism in which the hydride is actually transferred to/from the redox and chemically non-innocent ligand in **10**. In such a scenario, **10** does not need to be converted to **11** or **12** via high-energy σ -bond metathesis processes.

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