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Mitochondria-targeting nanomedicine self-assembled from GSH-responsive paclitaxel-ss-berberine conjugate for synergetic cancer treatment with enhanced cytotoxicity

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Abstract:

Mitochondria play a fundamental role in plenty of cellu'an instabolic processes, and mitochondria-homing drug delivery is a promising and effective tategy for cancer treatment. Paclitaxel (PTX) is a broad-spectrum anticancer drug, but its the apeutic effect is highly limited due to the development of multidrug resistance. Berberine (B3R) can selectively accumulate in tumor cell mitochondria and inhibit the growth of carce cells with different biological action mechanism from PTX. Here, these two "old" drug me incules, BBR and PTX were linked together by a disulfide bond rope to construct GSH esponsible drug-drug conjugate (PTX-ss-BBR). Molecular dynamics simulation results r vealed that PTX-ss-BBR conjugate could be self-assembled in water to form nanoparticles (PTX-ss-BBR NPs) forced by π - π stacking and hydrophobic interactions and the average size of NPs was around 165 nm measured by DLS. The better in vitro potency of PTX-ss-EB' NPs against A549 cells might be ascribed to the simultaneous drug release and nitochondria-targeting delivery, which dissipated mitochondria membrane potential, upregula ad NOS levels in cancer cells, arrested cells in phase G2/M, elicited apoptosis of cancer cells and inhibited the growth of tumors. Furthermore, PTX-ss-BBR NPs also exerted efficacy beaur tan or comparable to BBR on S. aureus and E. coli, which were closely associated with the development of lung cancer. The synergistic effect of PTX and BBR enhanced the treatment effect of conventional chemotherapy drugs against A549 cells.

Keywords: Paclitaxel, berberine, mitochondria-targeting, self-assembled nanomedicine

1. Introduction

Cancer is the primary cause of disease-related mortality all over the world. Therefore, scientists and researchers spare no efforts to finding better approaches and solutions to fight against cancer [1]. In the middle of varieties of treatment approaches of cancer, chemotherapeutics remains an obbligato method. Paclitaxel (PTX), a broad-spectrum anticancer drug acting on microtubule and many of its nano-formulations have been successfully employed in clinical therapy of ovarian cancer, lung cancer, breast cancer, and so on [2,3]. However, the occurrence of multidrug

resistance (MDR) has severely limited the clinical usage of PTX [4]. A leading cause of MDR development is that therapeutic drugs are pumped out by power-dependent efflux pump from the cancer cells, which is obligate in the lower accumulation of drug in the cells and failed treatment outcome [5]. Cellular energy production is mainly derived from aerobic metabolism in the mitochondria, which play critical roles in configuring the apoptosis pathway for cancer cells [6]. Some reports have also found that PTX exerts a direct effect on mitochondria of cancer cells, rendering the apoptosis of cancer cells [7-9]. Therefore, homing delivery of therapeutic drugs to the mitochondria from cancer cells is a hopeful solution to diminish MDR in tumor chemotherapy.

Among mitochondria-targeting approaches, delocalized lipophilic cations (DLCs) are the most fashionable chemicals such as triphenylphosphonium, mitochond ia-penetrating peptides which are usually conjugated to small molecules or decorated on the surface of drug delivery platforms [10,11]. Due to more negative charged mitochondrial membrane potentials, DLCs are more likely to accumulate in the mitochondria of tumor cells than norm locale. These drug delivery platforms offer preferential mitochondrial accumulation and enhanced drug uptake [12]. Berberine (BBR) is an isoquinoline alkaloid with plenty of prominent pharmace logical effects including antimicrobial [13], antiviral [14], antidiabetic [15], anti-influminatory[16] and anticancer [17] activities. Strikingly, BBR can selectively accumulate into the mitochondria in cancer cells, which may be put down to its amphiphilic structure and delocalized positive charge [18-21]. Moreover, cancer cell apoptosis induced by BBR acting on mitochondrial membrane potential, upregulating ROS levels, inducing changes in permeabilitive f mitochondrial membrane [17,18].

Nanomedicine delivery system, such as micelles [22], inorganic materials [23,24], liposomes [25,26], vesicles [27] and de. 4rm ers [28], have been immensely investigated in order to elevate the physio-chemical properties and efficacy of therapeutic drugs. In the midst of the nanomedicine delivery platforms, and 4rm er formed by small molecule self-assembly have shown great potential for their high drug loading and reduced side effects without usage of drug carriers [29,30]. Besides, the nanosystems are able to deliver drugs to the tumor sites by making use of the enhanced permeability and retention (EPR) effect [31]. Glutathione (GSH) is a strong biological reducing tripeptide and is as commonly used stimuli factors for disulfide bond at certain concentration [32]. The mitochondria in cancer cells express a higher level of GSH than that in the normal tissue (varying between 2 and 10 μ mol·L⁻¹), enough to reduce the disulfide bond [33]. Therefore, mitochondria-targeting GSH-sensitive drug delivery platform is expected to enhance the effect of chemotherapy.

Taking above observations into account, considering the excellent mitochondria-targeting feature and potential synergetic anticancer effect of BBR and the clinically outstanding contribution of PTX, we described herein a new GSH-responsive conjugate, which was

constructed through a disulfide bond spacer to connect paclitaxel with berberine. Scheme 1 illustrated the structure and synthetic routes of the mitochondria-targeting paclitaxel-ss-berberine (PTX-ss-BBR) conjugate, which was able to form unanimous nanoparticles (PTX-ss-BBR NPs). Based on the aforementioned advantages, the NPs announced better *in vitro* potency on A549 cells due to the simultaneous drug release and mitochondria-targeting drug delivery.

2. Materials and methods

2.1. Materials

Berberine hydrochloride, paclitaxel, methanol (CH₃OH), acetonitrile (CH₃CN) and glutathione (GSH) were provided by Shanghai Adamas Reagent Co. Ltd. (Shanghai, China). 4-Dimethylaminopyridine (DMAP), 3,3-dithiodiphonenic acid and *N,N*-Dicyclohexylcarbodiimide (DCC) were obtained from Nanjing Juyou Scientific Equipment Co., Ltd. (Nanjing, China). 3-(4,5-Dimethyl-2-tetrazolyl)-2 p-diphenyltetrazolium bromide (MTT) chloroform (CH₃Cl₃) were purchased from Nanjing Wa iqui, Chemical Glassware Istrument Co., Ltd. (Nanjing, China). Mitotracker Red was purchased fro. plangsu KeyGEN Biotech Co., Ltd. (Nanjing, China). Other reagents were provided by local commercial suppliers.

2.2. Chemistry synthesis of GSH-responsiv P.X-ss BBR conjugate

Synthesis of Compound **2**: Berberine hydrocaloride (5.0 g, 13.47 mmol) in vacuo was stirred at 190 °C for 60 minutes to obtain crude product, which was purified *via* column chromatography on silica gel (eluent: chloroform/methanol = 9/1, V/V) to produce compound **2** (3.68 g) as red-brown solid with 85% yield.

Synthesis of Compound **3**: 1.termediate **2** (4.22 g, 13.14 mmol) and K₂CO₃ (1.81 g, 13.14 mmol) in CH₃CN (18 r L) vere reacted at 50 °C for 30 minutes. 2-Bromoethanol (1.65 g, 13.14 mmol) was added to u. a mixed system, and subsequently the reacted temperature was gradually heated to 82 °C and went on for 24 h. After the end of the reaction, the temperature was decreased to 25 °C slowly, and the remaining CH₃CN was evaporated by rotary evaporation method. The condensed solution was purified *via* column chromatography on silica gel (eluent: chloroform/methanol = 9/1, V/V) to produce compound **3** (5.03 g) as yellow solid with 86% yield. ¹H NMR (600 MHz, MeOD+CDCl₃) δ 9.95 (s, 1H), 8.62 (s, 1H), 8.05 (d, *J* = 9.1 Hz, 1H), 8.00 (d, *J* = 9.0 Hz, 1H), 7.59 (s, 1H), 6.93 (s, 1H), 6.13 (s, 2H), 4.99–4.93 (m, 2H), 4.54–4.50 (m, 2H), 4.12 (s, 3H), 4.00–3.96 (m, 2H), 3.31–3.26 (m, 2H). HRMS (ESI) calcd. for C₂₁H₂₀NO₅ [M-Br]⁺, 366.1336; found, 366.13315.

Synthesis of Compound **4**: Berberine derivative **3** (4.48 g, 10.11 mmol) was refluxed in CH_3OH (35 mL) for a quarter, then sodium borohydride (1.15 g, 30.33 mmol) was added to the solution

little by little accompanied by vigorous stirring at 0 °C. Twelve hours later, the CH₃OH was evaporated by rotary evaporation method. The condensed solution was purified *via* column chromatography on silica gel (eluent: methanol/chloroform = 1/50, V/V) to obtain compound **4** (3.06 g) as light yellow solid with 57% yield. ¹H NMR (600 MHz, CDCl₃) δ 6.88 (d, *J* = 8.4 Hz, 1H), 6.79 (d, *J* = 8.4 Hz, 1H), 6.72 (s, 1H), 6.59 (s, 1H), 5.91 (s, 2H), 4.26 (d, *J* = 15.5 Hz, 1H), 4.16–4.12 (m, 1H), 4.04 (dd, *J* = 10.3, 5.8 Hz, 1H), 3.88–3.81 (m, 5H), 3.57–3.51 (m, 2H), 3.22 (dd, *J* = 15.9, 3.3 Hz, 1H), 3.17 (dd, *J* = 10.4, 4.7 Hz, 1H), 3.10 (d, *J* = 11.2 Hz, 1H), 2.82 (dd, *J* = 15.5, 11.6 Hz, 1H), 2.63 (dd, *J* = 21.8, 10.6 Hz, 2H).; HRMS (ESI) calcd. for C₂₁H₂₃NO₅ [M+H]⁺, 370.1654; found, 370.16589.

Synthesis of Compound **5**: The 20 mL pyridine liquid including te hydroberberine **4** (3 g, 8.13 mmol) was added to the mixture system of DMAP (98.95 mg, (.81 hmol), DCC (2.52 g, 12.14 mmol), 3,3'-dithiodipropionic acid (1.71 g, 8.13 mmol) in pyridine under dry N₂, and the mixted system was reacted at 0 °C for 48 h. Then, residual pyridine was evaporated by rotary evaporation method. The condensed solution was purified by column chromatography on silica gel (eluent: methanol/chloroform = 1/20, V/V) and dried under vacio, or aining the pure compound **5** (2.87 g) as light yellow solid with 63% yield. ¹H NMR ($\epsilon \mathcal{V}$, N Hz, MeOD) δ 7.03 (s, 2H), 6.91 (s, 1H), 6.71 (s, 1H), 5.96 (s, 2H), 4.47 (s, 1H), 4.42 \therefore 3' (m, 2H), 4.35–4.30 (m, 2H), 3.86 (d, *J* = 3.7 Hz, 3H), 3.72 (s, 1H), 3.64 (dd, *J* = 17.0, 4.3 if (1H), 3.37 (s, 1H), 3.22 (s, 1H), 3.11–3.09 (m, 1H), 3.07–2.99 (m, 2H), 2.96 (dd, *J* = 13.5, 5 2 Hz, 4H), 2.82 (t, *J* = 6.9 Hz, 2H), 2.66 (t, *J* = 7.0 Hz, 2H), 2.59 (t, *J* = 6.1 Hz, 1H).; HRM 1S (TSI) calcd. for C₂₇H₃₁NO₈S₂ [M+H]⁺, 562.1569; found, 562.15688.

Synthesis of Compound **6**[•] T₁, 20 mL pyridine liquid including paclitaxel (2.0 g, 2.34 mmol) was added little by little to the mixture system of DCC (724.22 mg, 3.51 mmol), compound **5** (1.31 g, 2.34 mmol). L MA) (28.09 mg, 0.23 mmol) in pyridine under dry N₂, and the mixted system was reacted at $\sqrt{}^{\circ}$ C for 48 h. Then, the residual pyridine was evaporated by rotary evaporation method. The condensed solution was purified *via* column chromatography on silica gel (eluent: methanol/chloroform = 1/50, V/V) and dried under vacuo, obtaining the pure compound **6** (2.78 g) as light yellow solid with 85% yield. IR (KBr) v: 3448, 2944, 1727, 1666, 1484, 1452, 1373, 1240, 1074, 979, 707. ¹H NMR (600 MHz, CDCl₃) δ 8.14 (d, *J* = 7.6 Hz, 2H), 7.75 (d, *J* = 7.2 Hz, 2H), 7.61 (t, *J* = 7.4 Hz, 1H), 7.55–7.48 (m, 3H), 7.44–7.37 (m, 6H), 7.34 (d, *J* = 7.5 Hz, 1H), 7.06 (s, 1H), 6.87 (d, *J* = 8.7 Hz, 1H), 6.78 (d, *J* = 8.3 Hz, 1H), 6.72 (s, 1H), 6.58 (d, *J* = 7.1 Hz, 1H), 5.53 (s, 1H), 4.97 (d, *J* = 9.0 Hz, 1H), 4.44 (t, *J* = 8.5 Hz, 1H), 4.38 (t, *J* = 9.4 Hz, 2H), 4.32 (d, *J* = 8.5 Hz, 1H), 4.26 (dd, *J* = 17.7, 14.3 Hz, 2H), 4.22–4.16 (m, 2H), 3.81 (d, *J* = 10.5 Hz, 4H), 3.51 (s, 2H), 3.22 (d, *J* = 15.0 Hz, 1H), 3.12 (d, *J* = 24.4 Hz, 2H), 2.91 (t, *J* = 7.0

Hz, 2H), 2.87 (d, J = 5.1 Hz, 2H), 2.85–2.75 (m, 4H), 2.65 (d, J = 14.5 Hz, 2H), 2.54 (d, J = 14.7 Hz, 2H), 2.45 (s, 3H), 2.40–2.33 (m, 1H), 2.22 (s, 3H), 2.19–2.13 (m, 1H), 1.94 (s, 3H), 1.91–1.81 (m, 2H), 1.68 (s, 3H), 1.23 (s, 3H), 1.13 (s, 3H).; HRMS (ESI) calcd. for $C_{74}H_{80}N_2O_{21}S_2$ [M+H]⁺, 1397.4773; found, 1397.47749.

Synthesis of PTX-ss-BBR conjugate 7: NBS (86.49 mg, 0.48 mmol) was added little by little to the solution of compound 6 (450 mg, 0.32 mmol) in CHCl₃ (10 mL), and reacted at 50 $^{\circ}$ C for 6 h. The reaction solution was decreased to 25 °C and then filtered. The condensed solution was purified via column chromatography on silica gel (eluent: chloroform/methanol = 9/1, V/V) and dried under vacuum, obtaining the pure compound 7 (133.57 mg) as yellow solid with 45% yield. IR (KBr) v: 3421, 2935, 1739, 1637, 1600, 1502, 1450, 1390, 13 5, 1240, 1074, 927, 711. ¹H NMR (600 MHz, CD₃OD, ppm): δ 9.75 (s, 1H), 8.70 (s, 1H), 8.1 (d, z = 8.4 Hz, 3H), 8.00 (d, J =9.1 Hz, 1H), 7.79 (d, J = 8.5 Hz, 2H), 7.67 (d, J = 8.6 Hz, 1F), 7.55 (s, 1H), 7.60 (d, J = 7.9 Hz, 2H), 7.52 (d, J = 7.5 Hz, 1H), 7.47–7.43 (m, 6H), 7.26 (t, C = 7.2 Hz, 1H), 6.94 (s, 1H), 6.40 (s, 1H), 6.10 (s, 2H), 6.01 (d, J = 10.1 Hz, 1H), 5.78 (d, J = 6.3 Hz, 1H), 5.61 (d, J = 7.2 Hz, 1H), 5.46 (d, J = 6.7 Hz, 1H), 4.97 (d, J = 7.9 Hz, 1H), 4.° +4. 1 (m, 2H), 4.67 (t, J = 4.0 Hz, 2H), 4.56 (d, J = 8.2 Hz, 2H), 4.29 (d, J = 11.0 Hz, 1H, ...1 ; (s, 2H), 4.10 (s, 3H), 3.76 (d, J = 7.2 Hz, 1H), 3.27 - 3.24 (m, 2H), 2.86 (d, J = 6.3 P., 2 H), 2.81 (dd, J = 14.5, 8.1 Hz, 4H), 2.67 (t, J = 6.8Hz, 2H), 2.37 (s, 3H), 2.13 (s, 3H), 1.87 (s, 21), 1.76 (dd, J = 14.6, 4.6 Hz, 2H), 1.63 (s, 3H), 1.28 (s, 3H), 1.12 (s, 3H), 1.09 (s, 3H), 0.90 (* J = 7.0 Hz, 1H).; HRMS (ESI) calcd. for $C_{74}H_{77}N_2O_{21}S_2$ [M-Br]⁺, 1393.4455; found, 1393.44′.5?.

¹H NMR spectra were recorde ' on a Bruker AVANCE III HD NMR spectrometer operating at 600 MHz and using deuterated velocoform (CDCl₃) or methanol (CD₃OD) as solvent. The high resolution mass spectra (HKMS) were recorded on a Bruker solanX 70 FT-MS with ESI resource. FT-IR spectra were carried out on Bruker RFS100/S spectrophotometer (Bio-Rad, Cambridge, MA, USA) using KBr pe lets in the 400-4000 cm⁻¹ range.

2.3. Preparation and characterization of PTX-ss-BBR NPs

PTX-ss-BBR NPs were prepared from the nano-precipitation method. The PTX-ss-BBR conjugate (10 mg) was dissolved in DMSO (0.5 mL), followed by ultrasound about 5 min and the solution was injected gradually into the 20 mL deionized water to form the nanomedicine. After that, the resulting nanoparticles were dialyzed (MWCO = 2000 Da) against deionized water for 48 h for complete removal of the DMSO and unbond compounds.

The morphological observation of the PTX-ss-BBR NPs was checked by the TEM (JEM-2100F, JEOL). The size and size distribution of the NPs was detected by DLS (Malvern Zetasizer Nano-ZS90, Malvern). The light scattering intensities (Kcps) of acquous solutions containing

varying concentration PTX-ss-BBR conjugates were measured on DLS analyzer, and then plot over the concentration (log scale) of PTX-ss-BBR to determine the critical micelle concentration.

2.4. In vitro drug release

The *in vitro* drug release activity of PTX-ss-BBR NPs was evaluated by using a typical dialysis strategy. Briefly, 1 mL of NPs solution was placed in the dialysis bag (MWCO = 2000 Da) and immersed in 30 mL buffer solution (pH = 7.4, with or without 10 mM GSH) containing 1% (v/v) Tween 80 with continuously shaking at a ratio of 100 rpm and keeping at 37 °C. At set time points, 2 mL release medium were taken out for fluorescence measurement and an equal amount of fresh PBS was used to replace the release medium. A fluoromax 4 spectrofluorometer (HORIBA, USA) (excitation at 370 nm) was employed for measuring the amount of relea. a BBR.

2.5. Cell culture.

A549 cell is a human lung adenocarcinoma cell line, HeL. cell is a cervical cancer cell line and HepG2 cell is a human hepatoma cell line, which 's received from China Pharmaceutical University (Nanjing, China). All cells were cultured in RPMI 1640 or DMEM medium complemented with 100 μ g/mL streptomycin, 16 \times F3S, 100 U/mL penicillin and 100 μ g/mL streptomycin in a humidified incubator at 5 % CO₂, 27 °C.

2.6. Cell viability assay.

The MTT assay was employed to fetal the *in vitro* cytotoxicity of PTX-ss-BBR NPs and PTX against A549, HeLa and HepG2 (ells. The 96-well plates $(1 \times 10^4 \text{ cells per well})$ were used to seed various cells and kept over. Tht. Following the attachment of cells, fresh culture medium containing PTX-ss-BBR NPs and PTX were added at tested concentrations of 0.625, 1.25, 2.5, 5, 10, 20 and 40 μ M and ir cub, tion time was set 24 h and 48 h. After washing twice, 25 μ L 5% MTT stock solution was acted to dissolve generated formazan precipitate and the absorbance intensity at 570 nm of each sample measured by microplate-680 reader (Bio-Rad, CA). Cell viability (%) of experimental groups against the different cells was determined and untreated cells as the control group. Each group was performed in parallel three times and GraphPad Prism software was employed for calculating the half-maximal inhibitory concentration (IC₅₀) value.

2.7. Mitochondrial targeting

Laser confocal scanning microscope (CLSM) was used to observe the mitochondrial localization of BBR and PTX-ss-BBR NPs in A549 cells. The glass-bottomed dish $(1\times10^4$ cells per dish) were used to seed A549 cells for 12 h at 5% CO₂ and 37 °C and cultured with 5 μ M BBR or PTX-ss-BBR NPs for another 60 or 120 minutes under the same culture conditions. After

treatment, the A549 cells were washed 3 times with 2 ml of buffer solution in dark conditions, and then the A549 cells were stained with mitochondrial localization reagent Mitotracker Red (1 μ M) and cultured for 25 minutes under the same culture conditions. After the completion of the culture, the cells were washed again by using 2 ml of the buffer solution three times, and then the fluorescence of the cells was observed by CLSM.

2.8. Mitochondrial membrance potential

The JC-1 (Jiangsu KeyGEN, Nanjing, China), a fluorescent dye was used to measure the change of mitochondrial membrance potential ($\Delta \psi m$). The 6-well plates (1×10⁵ cells per dish) were used to seed A549 cells for 12 h at 5% CO₂ and 37 °C and cultured with 5 µM BBR or PTX-ss-BBR NPs for another 48 h under the same culture conditions. After treatment, the A549 cells were washed 3 times with 2 ml of buffer solution in dark conditions, and then the A549 cells were stained with 0.5 mL of 5 µg/mL JC-1 and cultured for a quarter under the same culture conditions. After the completion of the culture, the cells were washed again by using 2 ml of the buffer solution three times before analysis by FACScan new sytemeter.

2.9. ROS detection assay

The intracellular production of ROS .na cea by different groups was measured using a 2',7'-dichlorodihydrofluorescein diacetate ($\CFH-DA$) kit (Jiangsu KeyGEN, Nanjing, China). The 6-well plates (1×10^5 cells per dish) ...ere used to seed A549 cells for 12 h at 5% CO₂ and 37 °C and cultured with 5 μ M BBR of P Γ > -ss-BBR NPs for another 48 h under the same culture conditions. After treatment, the ...54> cells were washed 3 times with 2 ml of buffer solution in dark conditions, and then the ...549 cells were stained with 0.5 mL of 20 μ M DCFH-DA and cultured for 60 minutes unCort the same culture conditions. After the completion of the culture, the cells were washed aga n by using 2 ml of the buffer solution three times before detection of dichlorofluorescein (DCT) by FACScan flow cytometer.

2.10. Apoptosis effect in vitro

The cell apoptosis effect of tested groups was measured using Annexin V-FITC/PI apoptosis detection kit (Jiangsu KeyGEN, Nanjing, China). The 6-well plates $(1 \times 10^5$ cells per dish) were used to seed A549 cells for 12 h at 5% CO₂ and 37 °C and cultured with 5 μ M BBR or PTX-ss-BBR NPs for another 48 h under the same culture conditions. After treatment, the A549 cells were washed 3 times with 2 ml of buffer solution in dark conditions, and then the A549 cells were stained with 5 μ L iodide and 5 μ L Annexin V-FITC and cultured for 30 minutes under the same culture conditions. After the completion of the culture, the cells were washed again by using 2 ml of the buffer solution three times before analysis by FACScan flow cytometer.

2.11. Cell-cycle analysis

The 6-well plates $(1 \times 10^5$ cells per dish) were used to seed A549 cells for 12 h at 5% CO₂ and 37 °C and cultured with 5 µM BBR or PTX-ss-BBR NPs for another 48 h under the same culture conditions. After treatment, the A549 cells were washed 3 times with 2 ml of buffer solution in dark conditions, and then the A549 cells were resuspended in buffer solution with 50 µg/mL PI and 50 µg/mL RNase A. and cultured for 30 minutes under the same culture conditions. After the completion of the culture, the cells were washed again by using 2 ml of the buffer solution three times before analysis by FACScan flow cytometer.

2.12. Statistical analysis

All data are presented as the average \pm standard deviation (5D, n = 3). One-way ANOVA analysis by GraphPad Prism software was employed for the an ay_{\pm} is of statistical significance.

3. Results

The target PTX-ss-BBR conjugate **7** was (yn hesized via multi-step reactions from commercially available berberine, brom a no. 3,3'-dithiodipropionic acid, paclitaxel. The synthetic process was presented in Schemet 1. Compound **2** was easily obtained by selective 9-position demethylation of berberine at 190 °C under vacuo for 60 minutes. Berberine intermediate **2** was ulteriorly reacted with bromoethanol through nucleophilic substitution in CH₃CN under reflux to gain compound **3** in 86 % yield, which was reduced by NaBH₄ in methanol to produce compound **4** and 3,3'-dithiodipropionic acid in pyridine with DMAP and DCC. Compound **6** was obtained by a condensation reaction of compound **5** and PTX in pyridine with DMAP and DCC. The target paclitaxel-berberine conjugate **7** was obtained in 28 % yield by the oxidation of compound **6** with NBS in CHCl₃ at 50 °C for 6 h. Targeted conjugate PTX-ss-BBR and intermediates were confirmed by ¹H NMR, and HRMS spectra.

Scheme 1

Reagents and conditions: i) 190 °C/vacuo; ii) K₂CO₃, CH₃CN, bromoethanol, reflux, 24 h; iii) NaBH₄, CH₃OH, 0 °C; iv) 3,3'-dithiodipropionic acid, pyridine, DMAP, DCC, 0 °C, 48 h; v) PTX, pyridine, DCC, 0 °C; vi) NBS, CHCl₃, 50 °C.

Scheme 1. Synthetic route of GSH-responsive PTX-ss-BBR conjugate.

3.2. Characterization of PTX-ss-BBR NPs

The TEM and DLS were used to observe and characterize the morphology and size distribution of PTX-ss-BBR NPs. TEM image demonstrated that the PTX-ss-BBR NPs showed a spherical structure with the size of approximately 150 nm (Fig. 1A). The DLS analysis suggested that PTX-ss-BBR NPs exhibited a mean diameter of 165.8 ± 2.597 nm (Fig. 1C) with a narrow polydispersity index (PDI = 0.115) and showed a small critical micelle concentration (CMC = 1.26 μ M) of PTX-ss-BBR conjugates (Fig. 1F). The diameter measured by DLS remained unvaried over 3 days, suggesting excellent stability of PTX-ss-BBR NPs in PBS and in PBS including 10% fetal bovine serum (FBS, Fig. 1D). The reducing agent-induced dissociation of disulfide bonds in PTX-ss-BBR NPs was monitored by TEM in the presence of 10 mM GSH for 6 h (Fig. 1B).

Figure 1

Fig. 1. (A) TEM image of PTX-ss-BBR NPs. (B) TEM image of PTX-ss-BBR NPs treated with 10 mM GSH for 6h. (C) Particle size of PTX-ss-BBR NPs. (1) Changes of diameter of PTX-ss-BBR nanoparticles in PBS or in PBS and FBS for 3 day. (L) *In virto* release kinetics of drug from NPs in pH 7.4 PBS with or without GSH. (F) The $\frac{11}{2}$ to attering intensities (Kcps) of acquous solutions containing varying concentration PTX-ss-B₁'R conjugates.

3.3. Self-assembly mechanism of PTX-ss-BBR conjugate

Computer simulation provides another way to evaluate the self-assembly process of complex systems [34]. To unravel the self-assembly prince, to of the PTX-ss-BBR conjugate, molecular dynamics (MD) simulations for conjugates were performed in water environment. As shown in Fig. 2, ten PTX-ss-BBR conjugates gathered wickly and uniformly to form a cluster of multimer. After the end of self-assembly, the whole nanocluster's position varied, but remained aggregated together. Noncovalent hydrophobic interactions and π - π stacking accounted for the self-assembly of the ten PTX-ss-BBR conjugates because PTX moieties with multiple phenyl fragments (green color) were in the internal part of the cluster and the more polar BBR fragments (pink color) surrounded the internal pai, and were exposed to the water media. Furthermore, it was observed that in the first 50ns of the simulation the solvent accessible surface area and the number of hydrogen bonds (Fig. 'A) between the cluster and water were reduced gradually, which announced that the complex system was formed gradually. After longer simulation times, the cluster was apt to keep stable. When the solvation free energy is a negative value, the solvation will be thermodynamically favorable [35]. In this research (Fig. 3B), the solvation free energy was always a negative value and it gradually increased in the first 50 ns. After then, it remained almost unchanged, which indicated that the intermolecular and intramolecular interactions of conjugates contributed more to the formation of nanosystems than spontaneous solvation.

Figure 2

Fig. 2. The supramolecular assembly process of PTX-ss-BBR conjugate in water by MD simulations.

Fig. 3. (A) The solvent accessible surface areas of the assembled nanostructures and the number of hydrogen bonds between the cluster and water. (B) Solvation free energy of assembled nanostructures.

3.4. In vitro drug release of PTX-ss-BBR NPs

The mitochondria in cancer cells express a drastically higher content of GSH than that in the normal environment, enough to reduce the disulfide bond [36]. BBR and PTX were linked together by a disulfide bond rope, which made PTX-ss-BBR NPs liable to disassembly by reducing agent and the nanosystems could be employed as a GSH-responsible drug delivery platform for stimulating release of the drugs in cancer cells. To estimate the GSH sensitivity, the in vitro release kinetics of the drugs from PTX-ss-BBR NPs was vecked by a typical dialysis method in PBS (pH = 7.4, with 10 mM GSH) or PBS (pH = 7., w. hout GSH), simulating the reductive environment of cytoplasm of tumor cells and ron. a physiological environment, respectively. Here, the accumulative amount of BBR released from PTX-ss-BBR NPs was studied since both BBR and PTX will release simultaneously. A cot, ing to Fig. 1E, the PTX-ss-BBR NPs was GSH-sensitive and cumulative release amount of DBK was \approx 55% after 10 h treatment at 37 °C. Only approximately 7% BBR released fr vr. I TX-ss-BBR NPs in normal physiological environment over a period of 55 h, which we due to dynamic thermodynamic equilibrium between free PTX-ss-BBR monomer and solf assembled NPs. These release results suggested that the PTX-ss-BBR NPs were as-expectedly minimal drug release in blood circulation and could instantly disassembly and release drugs is a nighly reductive microenvironment.

3.5. In vitro anti-tumor efficacy c PTA ss-BBR NPs

In vitro anticancer activity of rTX-ss-BBR NPs against A549, HeLa and HepG2 cells were studied using MTT assay and the cell viability details and IC₅₀ values were presented in Table 1 and Fig. 4, respectively. The PTX and BBR were chosen as positive control. As shown in Fig. 4, anticancer activity was e thanced with increasing PTX-ss-BBR NPs concentrations and extending treatment time, suggesting that the anticancer efficacy of PTX-ss-BBR NPs was concentration- and time-dependent. BBR exhibited moderate anticancer activity on all cells tested after 48 h treatment. Fig. 4A and B disclosed that self-assembled NPs showed considerably higher anticancer activity on A549 cells than the free PTX, especially after 48 h treatment, the inhibition activity of PTX-ss-BBR NPs (IC₅₀ = 0.243 μ M) increased by approximately 22% compared to PTX (IC₅₀ = 0.31 μ M), which might be reasonably interpreted by the fast disassembly of the NPs under reductive tumor microenvironment and the promotion of mitochondria-targeting of drug delivery. While the inhibition efficacy of NPs against HeLa cells was weaker than PTX after 24 h incubation, the anti-tumor activity of NPs (IC₅₀ = 1.512 μ M) was better than PTX (IC₅₀ = 1.653 μ M) after 48 h incubation, which might be due to the effective release of the PTX-ss-BBR NPs

after a longer incubation period in HeLa cells. In contrast, HepG2 cells seemed to be less sensitive to PTX-ss-BBR NPs, the IC_{50} values of NPs on HepG2 were higher than PTX after either 24 or 48 h incubation.

Figure 4

Fig. 4. *In vitro* anticancer activity of self-assembled PTX-ss-BBR NPs, PTX and BBR against A549 cells for 24h (A) or 48h (B), HeLa cells for 24h (C) or 48h (D), HepG2 cells for 24h (E) or 48h (F). Data are presented as the mean \pm SD (n=3). **P* < 0.05 *vs*. PTX; **P* < 0.05 *vs*. BBR; ****P* < 0.01 *vs*. BBR; ****P* < 0.001 *vs*. BBR.

Table 1 IC₅₀ values of free BBR, PTX and PTX-SS-BBR NPs on A549, HeLa and HepG2 cells at different times.

Table 1

3.6. In vitro antibacterial efficacy of PTX-ss-BBR NPs

The formation and development of cancer, especially for lung cancer is closely related to bacterial infections, which occurs in up to 70% lung cancer patients and markedly influence the clinical outcome and patient survival [37]. In parti to at *Escherichia coli* (*E. coli*), *Staphylococcus aureus* (*S. aureus*) and *Streptococcus pneuromula* (*S. pneumoniae*) are the most common in lung cancer [38]. Therefore, we evaluated the fit cacy of PTX-ss-BBR NPs above three bacteria and berberine as the control group (Table 2). Albeit the NPs exhibited weak activity against *S. pneumoniae* than BBR, it could effect only repress the growth of *S. aureus* (minimal inhibitory concentration (MIC) = 4 μ M) and the inhibition ability was twice that of BBR. Moreover, PTX-ss-BBR NPs exhibited ar anti-*E. coli* activity comparable to that of BBR with the MIC value of 8 μ M.

Table 2 In vitro antibacterial advity data as MIC (μ M) for PTX-ss-BBR NPs and BBR against S. aureus, S. pneumonia and E. coli.

Table 2

3.7. Apoptosis-inducing effect in vitro by PTX-ss-BBR NPs

In order to check the apoptosis effect of PTX-ss-BBR NPs, a quantitative annexin V-FITC/PI staining experiment was performed. In Fig. 5, the total apoptotic ratio (early and late apoptosis) in A549 cells after incubation by PTX-ss-BBR NPs was 48.46%, which was much higher than free BBR with 22.07% apoptotic ratio and free PTX with 32.23% apoptotic ratio, respectively. The substantially elevated apoptosis-inducing effect of the PTX-ss-BBR NPs could be a result of the accumulated amount of intracellular BBR and PTX, and the activation of mitochondria-based apoptotic pathway.

Fig. 5. (A) *In vitro* apoptosis analysis against A549 cells treated by BBR, PTX-ss-BBR NPs, and PTX and analyzed by Annexin-v-FITC/PI double staining method. (B) Quantitative ratio of apoptosis. Data are presented as the mean \pm SD (n=3). ^{###}P < 0.001 vs. Control; $\square \square \square P < 0.001 vs$. BBR; ^{***}P < 0.001 vs. PTX.

3.8. Cell cycle analysis of A549

A549 cells were harvested with BBR, PTX-ss-BBR NPs and PTX and the untreated cells served as control group. As seen in Fig. 6, BBR, PTX-ss-BBR NPs and PTX induced notable cell-cycle interference in A549. A remarkable decrease in the phase of G0/G1 cells was noticed from 42.90 % (control) to 37.56 %, 29.81 % (lower 30.5% than control), and 32.02 %, while a great increase in G2/M phase of cells was observed from 16.76 % (control) to 23.14 %, 30.84 % (higher 84.1% than control) and 26.07 %, after 48 h exposure to BBR, PTX-sp-DRk NPs and PTX. The cell apoptosis and cell cycle assays indicated that PTX-ss-BBR NP: were conducive to the entry of BBR and PTX into A549 cells and the enhancement of apop oster from 5.

Figure 6

Fig. 6. (A) *In vitro* cell cycle distribution on A54° cells after applying BBR, PTX-ss-BBR NPs and PTX by flow cytometry. (B) G2/M phase rate. of .549 cells. Data are presented as the mean \pm SD (n=3). [#]P < 0.05 vs. Control; ^{###}P < 2.201 vs. Control; ^{**}P < 0.01 vs. BBR; $^{\Delta}P$ < 0.05 vs. PTX-ss-BBR NPs.

3.9. Co-localization in the mitochondria *FPTX-ss-BBR NPs*

Mitotracker Red was used as a fluc e cent probe to evaluate the capacity of the mitochondria target of PTX-ss-BBR NPs. C⁺.S.⁴ was employed to observed the mitochondria localization of NPs using A549 cells cultur. 4 a. 37 °C for 1h and 2h, respectively. The yellow fluorescence produced by the overlap of given fluorescence and red fluorescence suggested that the chemical achieved mitochondria a transited localization. As shown in Fig. 7, both BBR and NPs were observed with significant green fluorescence that predominantly localized in mitochondria stained as red fluorescence by Mitotracker Red and the fluorescence intensity increased in a time-dependent manner for PTX-ss-BBR NPs with a high Pearson's coefficient (0.91 for Fig. 8A4, 0.94 for Fig. 8B4, 0.98 for Fig. 8C4), suggesting its efficient co-localization in the mitochondria of A549 cells.

Figure 7

Fig. 7. Mitochondria targeting of the BBR and PTX-ss-BBR NPs in A549 cells. The cells in (A1, B1, C1) the green channel, (A2, B2, C2) the red channel and (A3, B3, C3) the merged image were co-loaded with BBR or PTX-ss-BBR NPs with Mitotracker. (A4) The intensity profile of the linear regions of interest across the cell (white line in (A3)). (B4) The intensity profile of the linear regions of interest across the cell (white line in (B3)). (C4) The intensity profile of the linear regions of interest across the cell (white line in (C3)). Green channel: $\lambda_{EX} = 405$ nm, $\lambda_{EM} =$

500–600 nm; red channel: $\lambda_{EX} = 561$ nm, $\lambda_{EM} = 580$ –660 nm.

3.10. Mitochondrial membrane potential ($\Delta \psi m$)

The energy produced by respiration on the mitochondrial inner membrane drives protons through the inner mitochondrial membrane while establishing mitochondrial membrane potential ($\Delta\psi$ m), which is essential for many biological functions of cells, and its alteration activates the apoptotic pathway of the cell [39]. JC-1, a lipophilic cationic dye, is capable of selectively accumulating in the matrix of mitochondria and it will shift from red J-aggregates to green monomers when the mitochondrial electrochemical gradient is destructed. As indicated in Fig. 8, compared to the control group, A549 cells treated with BBR, PTX-ss-BBR NPs and PTX exhibited obviously decreased $\Delta\psi$ m. In contrast, the loss of $\Delta\psi$ m of PTX-ss-BBR NPs was the most significant, which was almost 1.36-times and 2.14-times h ghe) than that of the BBR and PTX.

Figure 8

Fig. 8. (A) Changes in the mitochondrial membrane potential measured by flow cytometry in A549 cells after treatment with BBR, PTX-ss-F B', NPs and PTX. (B) Quantitative ratio of decreased mitochondrial membrane potential (/ ψ). Data are presented as the mean ± SD (n=3). ^{**}*P* < 0.01 *vs.* BBR; ^{###}*P* < 0.001 *vs.* PTX.

3.11. ROS production

ROS is obligate in maintaining redex comeostasis in cells. Cancer cells are more sensitive to high contents of ROS than normal cells and enhanced intracellular ROS elicits cell death by damaging mitochondrial memorane [40,41]. 2,7-Dichlorofluorescein diacetate (DCFH-DA) is a cell-permeant fluorescent probe, which is employed for visualizing ROS level. As shown in Fig. 9, BBR and PTX-ss-BB's N.'s exerted pronounced increase in the fluorescence intensity of 2,7-dichlorofluorescein (DCF) after 48 h treatment with BBR and PTX-ss-BBR NPs in A549 cells by comparison to the control groups and PTX, maybe due to its higher mitochondrial accumulation.

Figure 9

Fig. 9. (A) Intracellular ROS generation measured by flow cytometry in A549 cells after treatment with BBR, PTX-ss-BBR NPs and PTX. (B) Quantitative ratio of mean fluorescent intensity of ROS. Data are presented as the mean \pm SD (n=3). $^{\#}P < 0.05 vs$. Control; $^{\#\#\#}P < 0.001 vs$. Control; $^{*}P < 0.05 vs$. BBR; $^{\Delta\Delta}P < 0.01 vs$. PTX-ss-BBR NPs.

4. discussion

In addition to providing the energy necessary for cellular metabolism, mitochondria are also associated with mitochondria in the occurrence of multidrug resistance to many chemotherapeutic

drugs, thus transporting the chemotherapeutic drug to mitochondria are expected to address the resistance of chemotherapy drugs. Considering the excellent mitochondria-targeting feature, antibacterial activity and potential synergetic anticancer effect of BBR and the clinically outstanding contribution of PTX, our work described herein a new GSH-responsive conjugate, which was constructed through a disulfide bond spacer to connect PTX with BBR.

The prepared PTX-ss-BBR NPs had a particle size of about 165 nm, which was relatively stable, and had a prominent GSH-responsible characteristic in the environment of the tumor compared to the normal environment, and contributed to the rapid release of the drug in cancer cells. Molecular simulations revealed that the main driving forces for the formation of nanoparticles were hydrophobic interactions and π - π stacking, which provided heoretical guidance for the development of new self-assembling nanodrugs.

The results of cytotoxicity showed that the PTX-ss-BBR NPs bind better anticancer activity, especially against A549 cells. After 48 hours of treatment, the inbidition effect of cancer cells was 20% higher than that of PTX, which might be due to the m_r id release of PTX by the PTX-ss-BBR NPs in A549 cells and the synergistic anticancer effect of BBR. Therefore, the biological evaluation by PTX-ss-BBR NPs is worthy of further study. Some researches had found that more than 50% lung cancers are caused by bacterial infections [37, 38]. We also studied the ability of the PTX-ss-BBR NPs to inhibit common ung bacteria, and it was found to have a better activity than BBR against *Staphylococcus aureus* with bacterial infection.

PTX-ss-BBR NPs could promote approxisis of cancer cells, which might be due to the action of BBR and PTX on mitochondric. To further investigate the possible mitochondrial mechanisms of nanoparticles, we conducted mitochondrial-related studies. The experimental results found that PTX-ss-BBR NPs could be conjected for delivery to mitochondria and was time dependent. The nanoparticles prometed a decrease in mitochondrial membrane potential and production of reactive oxygen species. In addition, the nanoparticles could also arrest cancer cells in the G2/M phase.

5. Conclusion

In summary, we developed a mitochondria-targeting nanoparticles (PTX-ss-BBR NPs) which were formed through hydrophobic interactions and π – π stacking, as being revealed and verified by using MD simulations. The prepared nanoplatform was sensitive to tumor microenvironment and was imparted the ability for controlled release of drugs. Moreover, PTX-ss-BBR NPs accumulated in the mitochondria of A549 cells *via* charge and lipocationic properties at BBR moiety. The *in vitro* anticancer potency of PTX-ss-BBR NPs on A549 cells was enhanced due to upregulated ROS levels, dissipated mitochondria membrane potential. We also confirmed that PTX-ss-BBR NPs exerted comparable efficacy to BBR against bacteria closely associated with the development

of lung cancer. The synergistic effect of PTX and BBR improved the treatment effect of conventional chemotherapy drugs against A549 cells.

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Lists of tables, figures and schemes captions

Scheme 1 Synthetic route of GSH-responsive PTX-ss-BBR conjugate.

Fig. 1. (A) TEM image of PTX-ss-BBR NPs. (B) TEM image of PTX-ss-BBR NPs treated with 10 mM GSH for 6h. (C) Particle size of PTX-ss-BBR NPs. (D) Changes of diameter of PTX-ss-BBR nanoparticles in PBS or in PBS and FBS for 3 days. (E) *In virto* release kinetics of drug from NPs in pH 7.4 PBS with or without GSH. (F) The light scattering intensities (Kcps) of acquous solutions containing varying concentration PTX-ss-BBR conjugates.

Fig. 2. The supramolecular assembly process of PTX-ss-BBR conjugate in water by MD simulations.

Fig. 3. (A) The solvent accessible surface areas of the assembled anostructures and the number of hydrogen bonds between the cluster and water. (B) Solvation has energy of assembled nanostructures.

Fig. 4. *In vitro* anticancer activity of self-assembled PTX ss PB & NPs, PTX and BBR against A549 cells for 24h (A) or 48h (B), HeLa cells for 24h (C, \sim 43h (D), HepG2 cells for 24h (E) or 48h (F). Data are presented as the mean \pm SD (n=3). **P* < $^{\circ}$.J5 *vs*. PTX; [#]*P* < 0.05 *vs*. BBR; ^{###}*P* < 0.001 *vs*. BBR.

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Fig. 7. Mitochondria targeting of the BBR and PTX-ss-BBR NPs in A549 cells. The cells in (A1, B1, C1) the green channel, (A2, B2, C2) the red channel and (A3, B3, C3) the merged image were co-loaded with BBR or PTX-ss-BBR NPs with Mitotracker. (A4) The intensity profile of the linear regions of interest across the cell (white line in (A3)). (B4) The intensity profile of the linear regions of interest across the cell (white line in (B3)). (C4) The intensity profile of the linear regions of interest across the cell (white line in (C3)). Green channel: $\lambda_{EX} = 405$ nm, $\lambda_{EM} = 500-600$ nm; red channel: $\lambda_{EX} = 561$ nm, $\lambda_{EM} = 580-660$ nm.

Fig. 8. (A) Changes in the mitochondrial membrane potential measured by flow cytometry in A549 cells after treatment with BBR, PTX-ss-BBR NPs and PTX. (B) Quantitative ratio of decreased mitochondrial membrane potential ($\Delta \psi$). Data are presented as the mean \pm SD (n=3). **P < 0.01 vs. BBR; ^{###}P < 0.001 vs. PTX.

Fig. 9. (A) Intracellular ROS generation measured by flow cytometry in A549 cells after treatment with BBR, PTX-ss-BBR NPs and PTX. (B) Quantitative ratio of mean fluorescent intensity of ROS. Data are presented as the mean \pm SD (n=3). $^{\#}P < 0.05 vs$. Control; $^{\#\#\#}P < 0.001 vs$. Control; $^{*}P < 0.05 vs$. BBR; $^{\Delta\Delta}P < 0.01 vs$. PTX-ss-BBR NPs.



Formulation	IC_{50} (µM) on A549 cells		IC_{50} (µM) on HeLa cells		IC_{50} (µM) on HepG2 cells	
	24 h	48 h	24 h	48 h	24 h	48 h
PTX	1.545 ± 0.232	0.31 ± 0.021	8.876 ± 0.147	1.653 ± 0.117	8.471±0.027	1.936 ± 0.085
BBR	_	28.59 ± 0.352	_	40.18 ± 0.537	_	37.72 ± 0.489
PTX-SS-BBR NPs	1.295±0.132	0.243±0.014	10.573±0.231	1.512±0.201	12.402±0.134	2.515±0.148

Table 1 IC₅₀ values of free BBR, PTX and PTX-SS-BBR NPs on A549, HeLa and HepG2 cells at different times.

Solution

Formulation	S. pneumoniae	S. aureus	E. coli
BBR	16	8	8
PTX-ss-BBR NPs	32	4	8

Table 2 *In vitro* antibacterial activity data as MIC (μ M) for PTX-ss-BBR NPs and BBR against *S. aureus*, *S. pneumonia* and *E. coli*.

Mitochondria-targeting nanomedicine self-assembled from GSH-responsive paclitaxel-ss-berberine conjugate for synergetic cancer treatment with enhanced cytotoxicity

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Mitochondria-targeting GSH-sensitive nanoparticles (PTX-ss-BBF NPs) were formed through hydrophobic interactions and π - π stacking. The prepared nanoplation, was sensitive to tumor microenvironment and was imparted the ability for controlled release of drugs. The *in vitro* anticancer potency of PTX-ss-BBR NPs on A549 cells was envia, cer. due to upregulated ROS levels, dissipated mitochondria membrane potential.



New mitochondria-targeting PTX-ss-BBR conjugate was developed.

Computer simulation studies rationalized the self-assembly process of PTX-ss-BBR.

▶PTX-ss-BBR NPs exhibited better activity against A549 cells and *S. aureus*.

▶ PTX-ss-BBR NPs were GSH-sensitive and mitochondria-targeting.

▶PTX-ss-BBR NPs can effectively dissipate mitochondrial membrane potential, upregulate ROS levels, arreste cells in phase G2/M.