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Computationally Assisted Mechanistic Investigation into Hypervalent Iodine Catalysis: Cyclization of *N*-Allylbenzamide

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△G[‡] of cyclization correlates with Arl oxidation potential BUT, most easily formed Arl(OCOCF₃)₂ decompose rapidly

ABSTRACT: Previous experimental work identified 2-iodoanisole as the best precatalyst

for the oxidative cyclization of N-alkenylamides into 2-oxazolines. Herein, we describe our investigation into the effect on reaction rate based on the structure of the iodoarene precatalyst. We also reveal the mechanism of the cyclisation based on DFT modelling and obtain a clear correlation between observed reaction rates and computationally derived activation energies for different iodoarenes. In addition, the rate-limiting step is shown to be the cyclization of the substrate which is zero order in the concentration of the iodoarene precatalyst. The rate of the cyclization is found to correlate with the ease of oxidation of the iodoarene, however the most easily oxidized iodoarenes generate iodine(III) species that decompose readily. Finally, loss of iodoarene from the cyclized intermediate can proceed by either ligand-coupling or S_N2 displacement (reductive elimination), and this is shown to be substrate dependent.

INTRODUCTION

Hypervalent iodine chemistry has continued to receive considerable attention from synthetic practitioners over the past decades with a wide variety of useful reactivities revealed.¹ Hypervalent iodine compounds can possess reactivity profiles comparable to some heavy metal and transition metal complexes but may not suffer from disadvantages such as toxicity, cost or scarcity. In particular, the ability to access reactive λ^3 -iodanes insitu from iodoarenes and an oxidant has permitted the discovery of a range of reactions that are catalytic in iodoarene.² This is especially important as the preparation and isolation of λ^3 -iodanes is problematic in many cases and often requires considerable experimental investigation to identify suitable conditions.³ As such, the in-situ generation and reaction of λ^3 -iodanes can be advantageous as isolation is avoided and, of course, allows catalytic applications.

Fuchigami reported in 1994 that 4-iodoanisole can be used in as little as 5 mol% to catalyze the *gem*-difluorination of dithioketals in an electrochemical cell.⁴ However, the first examples of iodoarene catalysis are often ascribed to the two groups of Ochiai and Kita who simultaneously published their studies in 2005. Ochiai described the iodobenzene-catalyzed α -acetoxylation of ketones employing *m*-chloroperbenzoic acid

as the stoichiometric oxidant.⁵ Four other iodoarene precatalysts were investigated in this

study but these were all found to give similar or inferior yields of product (67% with pmethoxy-, 84% with p-methyl-, 81% with p-chloro-, and 58% with p-nitroiodobenzene); however, there is no mention of the effect on the rate of the reaction. Kita and co-workers reported the spirocyclization of phenols using as little as 1 mol% of hypervalent iodine reagent with *m*-chloroperbenzoic acid as the re-oxidant.⁶ Later, Li and co-workers investigated the syn-diacetoxylation of alkenes catalyzed by six different iodoarenes and discovered that iodomesitylene provided the highest yields and diastereoselectivities (Scheme 1a).⁷ Similarly, Zhdankin demonstrated that 5-iodo-*m*-xylene was superior to four other iodoarenes in the conversion of oximes into nitrile oxides.⁸ Murphy described an iodoarene-catalyzed intramolecular alkene arylation strategy to access polycyclic aromatic hydrocarbons which was superior with 4-iodotoluene rather than iodobenzene, 4-iodoanisole or 1-iodo-4-nitrobenzene (Scheme 1b).9

Scheme 1. Effect of iodoarene substitution on reaction outcomes

(a) Li, 2012



In this regard, we reported that 2-iodoanisole is a superior precatalyst for the oxidative

cyclization of N-alkenylamides such as 1 into 2-oxazolines 2 compared to several other

iodoarenes (Scheme 1c).¹⁰ We also discovered that the cyclization of *N*-propargyl amides and β -amidoketones performed better with 2-iodoanisole as the precatalyst compared to iodobenzene.¹¹

We were interested in investigating the mechanism of our cyclization of *N*-alkenylamides using kinetic and computational techniques, especially as relatively few such studies of hypervalent iodine reactions have been reported to date.^{12,13} Of crucial importance to our study, was the determination of the reasons behind the different reaction outcomes with iodoarene precatalyst variation.

Houk, Xue and co-workers recently published a computational study on the mechanism and origins of chemo- and stereoselectivities in the chiral iodoarene-catalyzed difluorination of styrenes.¹⁴ This report is a tour de force in rationalizing the reasons for enantioselectivies in the difluorination reaction but does not consider the initial iodoarene oxidation. Indeed, the authors state that "the overall activation free energy of 18.9 kcal/mol is not consistent with the 60 h reaction times required experimentally." Studying the early part of the reaction mechanism is critical for our study as we are interested in

understanding the generation and catalytic properties of the hypervalent iodine species involved.

RESULTS AND DISCUSSION

Model Reaction, Initial Rate Data and Proposed Catalytic Cycle. We initiated our study by investigating the rate of cyclization of *N*-allylbenzamide **1** using different iodoarene precatalysts under our standard reaction conditions of 1.5 equivalents of Selectfluor as oxidant, 1.5 equivalents of trifluoroacetic acid (TFA) in acetonitrile at room temperature, ~20 °C (Scheme 2). We saw a clear and substantial difference in initial rates between different precatalysts as well as marked variation in final yields of products. With iodobenzene 4 as precatalyst, the initial rate was just 5.16 x 10^{-6} s⁻¹ meaning that 50% conversion of starting material took 52 hours. Employing 2-iodotoluene 5 led to a somewhat faster reaction; however, the presence of the electron-withdrawing p-nitro group in 6 dramatically reduced the rate. The electron-donating o-methoxy and pmethoxy groups in 2-iodoanisole 7 and 4-iodoanisole 8 respectively led to significantly

increased rates. The presence of the second *o*-methoxy substituents in **9** had a detrimental effect on the rate of cyclization compared to **7**, which was somewhat countered by the ester in the *para*-position of iodoarene **10**. Most important from a synthetic standpoint is that the use of 2-iodoanisole led to the highest yield of oxazoline

Scheme 2. Initial rates for conversion of *N*-allylbenzamide **1** into oxazoline **3** with iodoarene precatalysts **4-10**, determined by ¹H NMR analysis



2 after purification, in accord with our previous observations.

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In order to determine the order of the reaction with respect to the iodoarene concentration, we carried out the Variable Time Normalization Analysis (VTNA), which involved changing the initial quantity of 2-iodoanisole 7 and monitoring the rate of reaction by NMR analysis.¹⁵ The profiles of reactions performed with different catalyst loadings are plotted on a normalized time scale, $f(cat)_{T}$, against the concentration of the substrate 1. The best fit of the data led to the conclusion that the reaction is zero order in 2-iodoanisole 7 (Scheme 3). This unusual finding is in accord with our previous experimental findings during reaction optimization but it does not help to rationalize the relatively high catalyst loadings required for acceptable yields of products. A reaction which is zero order in catalyst is one where the catalyst is fully saturated in substrate(s) and this is more common in photochemical reactions,¹⁶ or heterogeneous catalysis.¹⁷ In our case, this finding can be rationalized by consideration of the NMR spectra obtained during the kinetic experiments, as only the iodoarenes were observed in their initial concentrations. That is, no λ^3 -iodanes were observed by NMR analysis during the course of the reactions. This suggested that the concentration of λ^3 -iodane in the reaction mixture was very low and upon formation by oxidation of an iodoarene, the λ^3 -

iodane initiated the reaction sequence. In addition, λ^3 -iodanes tend to have low

solubility (especially compared to the precursor iodoarenes), therefore the reaction

could be occurring in a heterogeneous fashion; indeed, precipitation occurs during the

course of these reactions.

Scheme 3. Determination of reaction order with respect to concentration of 2-

iodoanisole 7



The mechanism proposed in the original study involved oxidation of the iodoarene

precatalyst to the iodine(III) species, which coordinated to the alkene of N-

allylbenzamide 1 and activated it to intramolecular attack by the amide oxygen forming intermediate 11 (Scheme 4). Loss of a proton and displacement of the iodonium by trifluoroacetate formed the final product 3. It was found that some of the trifluoroacetate esters 3 were unstable to chromatographic purification, therefore saponification to the alcohol 2 was performed during workup. It was anticipated that trifluoroacetate would be bound to the iodine(III) center during the course of the reaction, but fluoride was also present in solution. Similar reaction mechanisms have been proposed for other cyclizations of alkene substrates with hypervalent iodine compounds.¹⁸

Scheme 4. Proposed mechanism for the iodoarene-catalyzed cyclization of *N*-allylbenzamides 1



At this point we decided to study the reaction mechanism computationally to help rationalize the differences in rates observed with different iodoarenes precatalysts with the intention to use the knowledge gained to identify superior precatalysts.

Mechanism of Iodoarene-Catalyzed Cyclization of *N*-allylbenzamide 1. We began our modelling of the reaction mechanism by ignoring the initial oxidation of the iodoarene, like other published studies, and started with bis(trifluoroacetoxy)iodobenzene 12, which should be formed under the reaction conditions (Figure 1). Loss of a trifluoroacetate ligand from 12 to form 13 followed by formation of activated alkene complex 14, i.e. a dissociative mechanism, was found to be possible but of high energy. In contrast, an

associative mechanism whereby a trifluoroacetate ligand formed a hydrogen-bond with the incoming amide and underwent a concerted rotation-coordination process was of lower energy, i.e. formation of intermediate 15a via TS[14-15a]. However, the barrier was further reduced to 21.3 kcal mol⁻¹ when an extra molecule of trifluoroacetic acid was involved in the alkene activation *i.e.*, **TS[14-15b]**, leading to the intermediate **15b**. Cyclization of 15b (and 15a) proceeded through TS[15-16], which was higher in energy by 4.7 kcal mol⁻¹, to form iodonium **16**. Loss of a proton formed oxazoline **17** which was then converted to the final product 3 with loss of iodobenzene 4. Two possible mechanisms were envisaged here: ligand coupling and S_N2 displacement, the latter of which can also be termed reductive elimination. Modelling revealed that the S_N2 process would proceed through TS[17-3]S which is 5 kcal mol⁻¹ lower in energy than the corresponding ligand coupling transition state TS[17-3]LC. Attempts to model the reaction mechanism with λ^3 -iodanes bearing fluoride ligands were unsuccessful.¹⁹ Attempts to find mechanistic pathways involving *cis*-addition to the alkene were also not fruitful.





The optimized geometries of all structures in the calculated mechanism conformed to

our expectations with all bond lengths and angles being as anticipated (Figure 2).

Importantly, the whole mechanistic pathway has been connected by IRC (intrinsic

reaction coordinate) calculations (see SI for details).





15a O48-I41-O34 = 114.5° C20-I41-O34 = 165.3°



TS[14-15b] O47-I41-O34 = 137.8° C20-I41-O34 = 153.5°





TS[15-16]

15b O47-I41-O34 = 118.8° C20-I41-O34 = 173.6°

2.12



Figure 2. Calculated geometries of intermediates and transition structures of the $PhI(O_2CCF_3)_2$ -mediated cyclization of *N*-allylbenzamide **1**. Some hydrogen atoms are omitted for clarity.

Comparing and Contrasting Different Iodoarene Precatalysts. The rate of cyclization of *N*-allylbenzamide catalyzed by iodobenzene is slow at room temperature and the calculated value of 25.1 kcal mol⁻¹ for **TS[15-16]** is high for a room temperature process. At this point, we decided to model the transition state of the rate-limiting step with all of the iodoarenes studied in our initial kinetic work (assuming no change in mechanism or rate-limiting step).

The modelling of the **TS[15-16]** transition state for each iodoarene proceeded as expected, however the presence of a substituent in the 2-position led to two diastereomeric transition states. For example, with 2-iodoanisole the two diastereomeric transition states **18a** and **18b** had an energy difference of 1.3 kcal mol⁻¹ (Figure 3).



Figure 3. Calculated geometries of two diastereomeric transition states **18a** and **18b** and the subsequent cyclized intermediates derived from 2-iodoanisole.

Comparing the experimentally determined initial rates with the computationally derived free energy values for the rate-limiting step showed a good match for all of the iodoarenes studied (Table 1). The data suggested that installing an electronwithdrawing group *para* to the iodine led to a raising of the activation energy and a lowering of the rate (entry 3) whereas an electron-donating group in the ortho or para

position lowered the activation energy and increased the rate (entries 4 and 5).

Interestingly, having two ortho-methoxy groups did not lead to an additive effect and the

activation energy was similar to having a single methoxy group in the ortho or para

position (entry 6 versus entries 4 and 5). The only outlier was iodoarene 10 which

performed worse than anticipated from its calculated value (entry 7).

Table 1. Comparison of experimental rate data with calculated free energies of rate-

limiting step with different iodoarenes

entry	Arl	k / s ⁻¹	$\Delta {\sf G}^{*}_{\sf calc}$ /
			kcal mol ⁻¹
1	iodobenzene 4	5.16 x 10 ⁻ ⁶	25.1
2	2-iodotoluene 5	7.73 x 10 ⁻	25.9
3	2-iodo-5- nitrotoluene 6	1.01 x 10 ⁻ ⁶	26.0
4	2-iodoanisole 7	5.78 x 10 ⁻ ₅	23.4

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5	4-iodoanisole 8	7.00 x 10 ⁻	23.2
		5	
6	2-iodo-1,3-	5.66 x 10⁻	23.5
	dimethoxybenzene	5	
	0		
	9		
7	methyl 4-iodo-3,5-	5.87 x 10 ⁻	21.9
7	methyl 4-iodo-3,5- dimethoxybenzoate	5.87 x 10 ⁻ ⁵	21.9
7	9 methyl 4-iodo-3,5- dimethoxybenzoate 10	5.87 x 10 ⁻ ⁵	21.9

Oxidation of lodoarenes. Computing transition state energies is computationally demanding, therefore we sought a simple, fast method to predict iodoarene structures with lower TS[15-16] transition state energies than 2-iodoanisole. The oxidation of the iodoarene to the λ^3 -iodane had been ignored up to this point in our computations as it was presumed to be complex with several discrete mechanistic steps. However, our hypothesis was that more easily oxidized iodoarenes correlated with lower transition state energies and faster reaction rates. Accordingly, the oxidation potential was computed for our studied iodoarenes using the method described by Nicewicz and coworkers.²⁰ These values were compared with the experimental rate values and a clear correlation was

observed, confirming our hypothesis (Figure 4). In addition, the experimental rate values were shown to be proportional to the corresponding calculated HOMO energies of the

iodoarenes.



Figure 4. Correlation between calculated oxidation potentials of iodoarenes and experimental rate data derived free energies of **TS[15-16]**

With this correlation confirmed, the identities of iodoarenes with lower oxidation potentials were sought as these were envisaged to lead to superior catalysts. 1-lodo-2,4-dimethoxybenzene **19** and 2-iodo-1,3,5-trimethoxybenzene **20** were quickly identified as being more easily oxidized and their oxidation potentials were calculated to be 1.40 V and 1.34 V respectively. Unfortunately, kinetic experiments with these precatalysts in our

cyclization reaction indicated that the reactions were somewhat slower than when using 2-iodoanisole 7 as the precatalyst and, crucially, the yields of product were only 20-30%. Furthermore, the iodoarenes **19** and **20** *disappeared* during the course of the reactions. In order to probe the oxidation of the iodoarenes, the rate of oxidation by Selectfluor was monitored by ¹H NMR analysis (Scheme 5). lodobenzene **4**, 2-iodotoluene **5** and ester 10 required about 24 hours to reach full conversion to the λ^3 -iodane (entries 1, 2) and 3). 2-lodoanisole 7 was fully oxidized in about 14 hours (entry 4) and 4-iodoanisole 8 was slightly guicker (entry 5). However, 1-iodo-2,4-dimethoxybenzene 19 and 2-iodo-1,3,5-trimethoxybenzene 20 were completely consumed by the oxidant but no products were evident by NMR analysis (entries 6 and 7). It is known that 1,3,5-trimethoxybenzene decomposes under electrolysis in aqueous sulfuric acid to generate carboxylic acids, carbon dioxide and water.²¹ It appears that iodoarenes 19 and 20 undergo a similar decomposition process under our reaction conditions on a slightly faster time scale to the desired cyclization which accounts for the low chemical yields of 2-oxazoline obtained. Fujita and co-workers discovered that 2-iodo-1,3-dimethoxybenzene 9 can combine with its oxidized form, i.e. 2-(diacetoxyiodo)-1,3-dimethoxybenzene, under acidic conditions to

generate a diaryliodonium salt; this side-reaction was proposed to be the cause of the

relatively low yield of product using 9 as a pre-catalyst in an oxylactonization reaction.²²

Scheme 5. Monitoring of the oxidation of iodoarenes by ¹H NMR

		Ar—I	4 equiv Se 5 equiv	lectfluor TFA	TI Ar—I	=A
entry		Ar	1	E _{1/2} vs	TI time/h	-A conversion/%
				SCE/V		
1	4			2.21	24	90
2	5) Me	2.10	24	100
3	10	MeO ₂ C	OMe I OMe	1.88	24	77
4	7		OMe	1.76	14	100
5	8	MeO		1.63	11	93
6	19	MeO	J OMe	1.40	24	decomp
7	20	MeO	OMe I OMe	1.34	24	decomp

Minor modifications to 2-iodoanisole **7** (still our optimal precatalyst) could be investigated to identify a slightly more efficient catalytic system, however these analogs would probably not be commercially available and, so, would be of little impact. 1-lodo-2,4-dimethoxybenzene **19** could be a highly efficient precatalyst in other hypervalent

iodine mediated processes that occur on a faster timescale than its decomposition; however, not being able to recover the iodoarene is a disadvantage.

Interestingly, the experimentally derived initial rate of cyclization catalyzed by iodoarene **10** matches well with the calculated oxidation potential and HOMO energy but not with the calculated activation energy value (vide supra). This suggests that there was a change in the rate determining step with this iodoarene from the cyclization event to the iodoarene oxidation. Indeed, the rate of oxidation of **10** was comparable with that of iodobenzene **4**.

Displacement of lodoarene by Ligand Coupling or S_N^2 Displacement. In our original report, cyclization of *cis*-alkene 21 was reported to lead to formation of product 2 as only one diastereomer; with the relative stereochemistry being assigned by NMR analysis.⁹ Applying the calculated mechanism for *N*-allylamide 1 to the cyclization of 21 suggested that the all-*syn* diastereomer 23 would be formed rather than 22. It was suspected that a change in mechanism was responsible for the observed stereochemistry of 22 due to ligand coupling being favored over S_N^2 displacement. This S_N^2 process was more

difficult in this case because of the increased sterics at the secondary carbon atom bonded to the iodane compared to the primary carbon in **17**. In order to confirm this hypothesis, the cyclization of **24** was calculated using 2-iodoanisole **7** as the precatalyst (Scheme 6). To reduce the computational resource required, the methyl amide **24** was modelled rather than the 4-methoxyphenyl amide **21** as this was not envisaged to impact on the stereochemistry determining step.

Scheme 6. Issues of diastereoselectivity



The free energies of the cyclized structures 26 and 27 resulting from *trans*-addition to the alkene compare favorably with the energies of the corresponding structures 16 and 17 for the cyclization of *N*-allylamide 1. As previously observed, reaction pathways proceeding through *cis*-additions could not be found. Conversion of iodane 27 to the final product could be achieved through either S_N2 displacement or ligand coupling, but, in contrast to *N*-allylamide, the latter pathway was favored by 3.9 kcal mol⁻¹ resulting in a highly diastereoselective reaction. This result corroborated our stereochemical assignment of the product 22 and confirmed our hypothesis. Note that the all-*sym* diastereomer 29 is thermodynamically more stable than 28 but the reaction is under kinetic control. The optimized geometries are shown in Figure 5.



Figure 5. Optimized geometries of intermediate **27** and the ligand coupling and $S_N 2$ transition states.

CONCLUSION

An in-depth analysis of the iodoarene-catalyzed cyclization of *N*-allylbenzamide 1 has

been conducted using a combination of computational and kinetic experiments. A

mechanism has been delineated which accounts for the experimental differences in rate

observed with different iodoarene precatalysts and, importantly, the calculated free

energy values correlate with our experimental results. The cyclization event has been

shown to be rate-limiting and more electron-rich iodoarenes lead to lower ΔG^{\dagger} values for this step. The reaction has been found to be zero order in the precatalyst 2-iodoanisole **7** but the reaction model breaks down with more electron-rich iodoarenes due to competitive decomposition. The optimal choice of precatalyst for related processes is likely to be affected by the rate of the desired reaction; i.e. if the rate of reaction is faster than decomposition then a more electron-rich precatalyst such as 1-iodo-2,4dimethoxybenzene **19** could be the best option.

Loss of iodoarene from the reaction intermediate can occur by either $S_N 2$ displacement by a nucleophile (reductive elimination) or by ligand coupling at the iodine(III) center. Both pathways are possible but $S_N 2$ displacement is favored at primary carbons whereas ligand coupling is preferred with secondary carbons.

EXPERIMENTAL SECTION

General. ¹H NMR spectra were recorded in ppm from tetramethylsilane with the solvent resonance as the internal standard. All purchased reagents were used as received without further purification. Compounds 1^{23} 10^{24} and 20^{25} were prepared by the literature procedures and the characterization data matched the reported values.

General Procedures for Kinetic Experiments

Rate Data: Amide **1** (1 equiv) was added to a borosilicate NMR tube along with the iodoarene precatalyst (0.2 equiv), Selectfluor (1.5 equiv), TFA (1.5 equiv) and CD₃CN (1 mL) to generate a 0.3 M solution of **1**. The reaction mixture was monitored and the conversion of amide **1** was recorded by ¹H NMR spectroscopy (400 MHz, 298 K) for up to 24 hours at 25 °C. Assuming the reaction is first order in **1**, the rate constant was determined by linearization of the decay curve followed by linear fitting for only the initial part of the reaction. Results are summarized in the supporting information table S1.

Effect of Catalyst Loading: The order of the cyclization reaction with respect to 2-iodoanisole was determined by the Variable Time Normalization Analysis (VTNA) as described by Burés (Scheme 3).¹⁴ Amide **1** (1 equiv) was added to a borosilicate NMR tube along with 2-iodoanisole (10 & 30 mol%), Selectfluor (1.5 equiv), TFA (1.5 equiv) and CD₃CN (1 mL). The reaction mixture was monitored by ¹H NMR spectroscopy (400 MHz, 298 K) to study the effect of different catalyst loadings. The concentration of **1** was recorded for a period of 40 hours and plotted against a normalized time scale t[cat]_T^{*n*}; calculated by multiplying each time point by the total concentration of catalyst used in each experiment raised to an arbitrary power. The power value was adjusted to be zero as that produced the overlay of the curves (Scheme 3). The numerical data, along with the concentration of **1** at specific times, are listed in the supporting information.

Computational Methods: Quantum chemical calculations were performed using

Gaussian 09,26 and GaussView was used for molecular modelling.27 The geometry

optimizations were performed in the gas phase under standard conditions using density

> functional theory (DFT)^{28,29,30} in combination with the 6-31+G(d,p)³¹ basis set for all atoms except iodine, for which the SDD³² (Stuttgart/Dresden) effective core potential was used. All the bond lengths are given in Angstroms. Vibrational frequency calculations were performed in order to determine the imaginary frequencies for the respective molecules. The imaginary frequencies verified whether the stationary points are minima, having no imaginary frequencies, or transition states, possessing one imaginary frequency. The connectivity of the transition states was confirmed by computing IRC (intrinsic reaction coordinate) from the transition-state geometry towards both the reactant and product.^{33,34}

Solvent effects were taken into account using the conductor-like polarizable continuum model (CPCM).³⁵ Single-point calculations on the gas-phase optimized geometries were performed to estimate the change in energy in the presence of the solvent, acetonitrile. The triple-zeta quality 6-311++G(d,p) basis set along with SDD for I was used to account for the solvent effects. The Gibbs free energy values provided in the text are Gibbs energy in solution, G_{solv} calculated by using the

equation $G_{sol} = E_{solv} + G_{nes} + \Delta G_{corr_{gas}}$.³⁶ The sums of the electronic and thermal free energies (G) for reactants and transition states were obtained by the standard procedure in the framework of the harmonic approximation.³⁷ The ΔG^{\dagger} of the reactions were calculated from the differences in the G values of the transition states and the reactants. ASSOCIATED CONTENT Supporting Information The following files are available free of charge. Kinetic data and computational details, including Cartesian coordinates and energies (pdf). AUTHOR INFORMATION

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Author Contributions

The manuscript was written through contributions of all authors. All authors have given

approval to the final version of the manuscript.

Notes

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