NEW [4+2]-CYCLOADDITIONS OF <u>IN</u> <u>SITU</u> GENERATED INDOLYL ENOL ETHERS AS HETERO-CYCLIC DONOR-ACTIVATED 1,3-DIENES TO CARBAZOLE DERIVATIVES**

Ulf Pindur* and Ludwig Pfeuffer

Institut für Pharmazie im Fachbereich Chemie und Pharmazie der Universität, Saarstrasse 21, D-6500 Mainz, FRG

<u>SUMMARY:</u> The ambident alkoxy-indolyl-methylcarbenium ions la-c are deprotonated by NaH to give in <u>situ</u> generated enol ethers which can be easily trapped by a dienophile such as, e.g., dimethyl acetylenedicarboxylate to form the carbazole derivatives 3, 4, and I. On reaction with the ethoxy-2methylindolylcarbenium ion ld under the same conditions, a stereoselective Michael-type addition with formation of 6 takes place.

The general interest in the chemistry of carbazoles and structurally related indole derivatives has increased considerably in recent years¹⁻⁵ as some of these compounds, both natural products and synthetic compounds, have exhibited pronounced physiological activities. A concept for the preparation of [b]annellated indoles and carbazole alkaloids consists of the [4+2]-cycloaddition to 3-vinylindoles¹⁻⁷. The pronounced enophile reactivity of these compounds is the result of the characteristically high HOMO energy⁸. With the view to a systematic extension of the by no means fully utilized synthetic potential⁶ of this type of reaction and to the development of a synthesis of 3-demethoxycarbazomycine I⁵ and analogues, we now report on a new variation of this cyclization method.

According to a retrosynthetic analysis, the as yet not synthesized carbazole derivative I, for example, should be accessible from the indolyl enol ether II via a Diels-Alder reaction with a C_4 -dienophile as the key step. As intensive studies on the synthesis of isolable II were unsuccessful, we attempted to generate II and its analogues in <u>situ</u> and to trap them with a C_4 dienophile. Preliminary results using these reaction sequences involving cycloadditions with dimethyl acetylenedicarboxylate are described here.



3079

The ambident cations 1, first described by us⁹, seemed to be synthetically attractive starting materials for the realization of this concept. Compounds la-c can indeed be deprotonated by NaH in DME and the enol ethers 2 thus generated in situ can be trapped easily with dimethyl acetylenedicarboxylate to give the cycloadducts **3a,b** (11 and 6%) and **4** (cis/trans 4:1 by ¹H-NMR spectroscopic measurements, 28%, reaction conditions: DME, 20-50 ^OC, 30 min, nitrogen atmosphere; separation by flash chromatography: silica gel, petroleum ether/ethyl acetate)¹⁰. Whereas product formation in the cycloadditions with **la,b** is governed by the driving force of the indolization via a dehydrogenation step, the reaction with lc, as expected, is terminated at the stage **4** as a result of a blockage of the indolization step. The primarily formed cycloadduct from the cycloaddition with lc is stabilized by a nonstereospecific [1,3]-H shift with formation of an annellated 1,3-cyclohexadiene structure. The carbazole 3a represents a synthetically attractive precursor (COOMe ---> Me) for the total synthesis of 3-demethoxycarbazomycine I^{11} . For this purpose, **3a** is hydrolyzed (KOH/MeOH) to the dicarboxylic acid and then reduced to I with HSiCl₃ according to a known procedure¹¹ (1. HSiCl₃/MeCN, (n-Pr)₃N; 2. KOH/MeOH).



With regard to this methodology, suitable alkoxy-indolylcarbenium ions could also be employed for the <u>in</u> <u>situ</u> generation of synthetically attractive indolo [2,3] quinodimethanes as 4^{π} -components¹². For example, the cation 1d, which could form 5 via deprotonation, might be considered as a suitable pre-

cursor. However, even on multiple variations of the reaction conditions and in the presence of radical inhibitors, this concept could not be realized. Instead of formation of the expected 2,3-dimethoxycarbonylcarbazole derivative¹², only a stereoselective Michael-type reaction with formation of 6 (11%, flash chromatography) in addition to a dealkylation of the cation $1d^9$ (formation of 2-methylindole-3-carboxaldehyde) and polymerization occurred¹³.



The constitutions of I, 3, and 6 as well as the configurations of 4 and 6 given above have been unequivocally confirmed by elemental analysis, mass spectrometry, and 1 H-NMR spectroscopy (selective decoupling and NOE measurements) 10 .

Preliminary experiments using this new "in situ vinylindole variation" have shown that reactions with other dienophiles (e.g. maleic anhydride, <u>N</u>phenylmaleimide) can also be realized. A systematic investigation of the synthetic potential of this type of reaction for the preparation of further new carbazole derivatives is now in progress in our laboratory.

ACKNOWLEDGEMENT: We thank the Deutsche Forschungsgemeinschaft, Bonn, FRG for financial support of this work.

REFERENCES AND NOTES

- ** Cycloadditions to bannellated indoles; Part 2; for Part 1, see Ref.¹.
- 1. L. Pfeuffer, U. Pindur, Chimia 40, 124 (1986).
- J.A. Joule, <u>Adv. Heterocycl. Chem.</u> 35, 83 (1984), and references cited therein; D. Sowmithran, K. Prasad, <u>Heterocycles</u> 24, 711 (1986).
- 3. G.W. Gribble, M.G. Sauliner, <u>Heterocycles</u> 23, 1277 (1985).
- D.P. Chakraborty, <u>Planta Med.</u> 39, 97 (1980); A. Brossi, <u>The Alkaloids</u>, Vol. 25, Academic Press, New York, 1985.
- 5. K. Sakano, S. Nakamura, <u>J. Antibiot.</u> 33, 961 (1980).
- 6. U. Pindur, L. Pfeuffer, Chem.-Ztg. 110, 95 (1986), and references cited

therein; B. Saroja, P.C. Srinivasan, <u>Synthesis</u> 1986, 748; P.H. Götz, J.W. Bats, H. Fritz, <u>Liebigs Ann. Chem.</u> 1986, 2065.

- For the preparation of vinylindoles, see: E. Akgün, U. Pindur, <u>J. Hetero-cycl. Chem.</u> 22, 585 (1985); E. Akgün, U. Pindur, <u>Chimia</u> 39, 266 (1985); U. Pindur, L. Pfeuffer, <u>Monatsh. Chem.</u>, in press.
- The HOMO energy of l'-donor-activated 3-vinylindoles is in the range 6.9 to 7.2 eV (PE spectroscopy, R. Gleiter, University of Heidelberg, Heidelberg, FRG); J. Schmidt Burnier, W.L. Jorgensen, <u>J. Org. Chem.</u> 48, 3923 (1983) (CAMEO method).
- U. Pindur, C. Flo, E. Akgün, M. Tunali, <u>Liebigs Ann. Chem.</u> 1986, 1621, and references cited therein.
- 10. Typical procedure for the synthesis of 3, 4, 6: 5.2 mmol of 1 was dissolved in 20 ml of DME at r.t. Then 0.2 g (8.3 mmol) of NaH was added under a nitrogen atmosphere, the mixture was treated with 0.88 g (6.2 mmol) of dimethyl acetylenedicarboxylate, and stirred for 0.5-1 h at 50 ^OC. Excess NaH was removed, the organic phase was evaporated, and the residue was dissolved in CH₂Cl₂. Product isolation was achieved by means of "flash chromatography". Ja: m.p. 130-131 °C; ¹H-NMR (400 MHz, CDCl₃): 3.95 (s, 3H, COOCH₃), 3.96 (s, 3H, COOCH₃), 4.12 (s, 3H, OCH₃), 6.75 (s, 1H, HC-3), 7.24-7.28 (mc, 1H, aromatic), 7.41-7.49 (mc, 2H, aromatic), 8.27 (d, ${}^{3}J$ = 7.75 Hz, HC-5 or HC-8, respectively), 9.84 (br. s, 1H, NH). 3b: m.p. 156 °C; ${}^{1}H$ -NMR (200 MHz, CDCl₃): 1.62 (t, ${}^{3}J$ = 6.9 Hz, 3H, OCH₂CH₃), 3.81 (s, 3H, COOCH₃), 3.94 (s, 3H, COOCH₃), 4.03 (s, 3H, NCH₃), 4.35 (q, ${}^{3}J = 6.9$ Hz, OCH₂CH₃), 7.26 (dd, 1H, HC-6 or HC-7), 7.29 (s, 1H, HC-3), 7.28 (d, ${}^{3}J$ = 8.0 Hz, 1H, HC-8). 4: m.p. 118-119 °C (<u>cis/trans</u> = 4:1); ¹H-NMR (400 MHz, CDCl₃, <u>cis/trans</u> product mixture): 1.18 (t, ^{3}J = 7.0 Hz, 3H, CH_2CH_3 , 1.43 (t, 3J = 7.0 Hz, CH_2CH_3), 2.17 (s, 3H, CH_3), 2.47 (s, 3H, CH₃)*, 2.59 (s, 3H, 1-COOCH₃), 3.69 (s, q, 5H, 1-COOCH₃, $C_{H_2}C_{H_3}$), 3.75 (s, 3H, 2-COOCH₃), 3.87 (s, 3H, 2-COOCH₃)*, 4.18 (q, ${}^{3}J$ = 7.0 Hz, 2H, OCH_2CH_3), 5.76 (d, ${}^{4}J = 0.8$ Hz, HC-4a); 5.92 (d, ${}^{4}J = 1.2$ Hz), 6.73 (d, ${}^{4}J = 0.8$ Hz, 1H, HC-3)*, 6.79 (d, ${}^{4}J = 1.2$ Hz, HC-3), 7.07-7.21 (mc, 3H, aromatic), 7.55 (mc, 1H, HC-5), 7.58 (m, 1H, HC-5)*, 8.35 (br. s, 1H, NH)*, 8.48 (br. s, 1H, NH); (*) trans-isomer. (E)-6: m.p. 129 ^oC; ¹H-NMR (400 MHz, CDCl₃): 2.64 (s, 3H, 2-CH₃), 3.81 (s, 3H, COOCH₃), 3.89 (s, 3H, COOCH₃), 6.50 (s, 1H, =CH-), 7.26-7.35 (m, 3H, aromatic), 8.24-8.27 (m, 1H, HC-4), 8.75 (s, 1H, -CHO).
- 11. R.A. Benkeser, K.M. Foley, J.M. Gaul, G.S. Li, <u>J. Am. Chem. Soc.</u> **92**, 3232 (1970). Compound I: yield 28%, m.p. 121-122 ^OC; MS: m/z = 225 (30%).
- B. Saroja, P.C. Srinivasan, <u>Tetrahedron Lett</u>. 25, 5429 (1984); P. Magnus,
 T. Gallagher, <u>Acc. Chem. Res.</u> 17, 35 (1984); C.J. Moody, <u>J. Chem. Soc.</u>,
 Chem. Commun. 1984, 925.
- Michael additions to indoles and derivatives: R.A. Jones, P.M. Fresneda, T.A. Saliente, J.S. Arques, <u>Tetrahedron</u> 40, 4837 (1984); W.E. Noland, W.C. Kuryla, R.F. Lange, <u>J. Am. Chem. Soc.</u> 81, 6010 (1959); R.M. Acheson, J.N. Bridson, T.R. Cecil, A.R. Hands, <u>J. Chem. Soc., Perkin Trans. 1</u> 1972, 1569.

(Received in Germany 3 February 1987)