

Synthesis of α -Hydroxyhydrazones from Aldehydes

Rosario Fernández,^{*a} Eloísa Martín-Zamora,^a Carmen Pareja,^a Manuel Alcarazo,^b Jesús Martín,^a José M. Lassaletta^{*b}

^a Departamento de Química Orgánica, Facultad de Química, Universidad de Sevilla, Apartado de Correos No. 553, E-41071, Seville, Spain

^b Instituto de Investigaciones Químicas, CSIC-USC, c/ Americo Vespuccio s/n, Isla de la Cartuja, E-41092 Seville, Spain

Fax +34 954460565; E-mail: jmlassa@cica.es

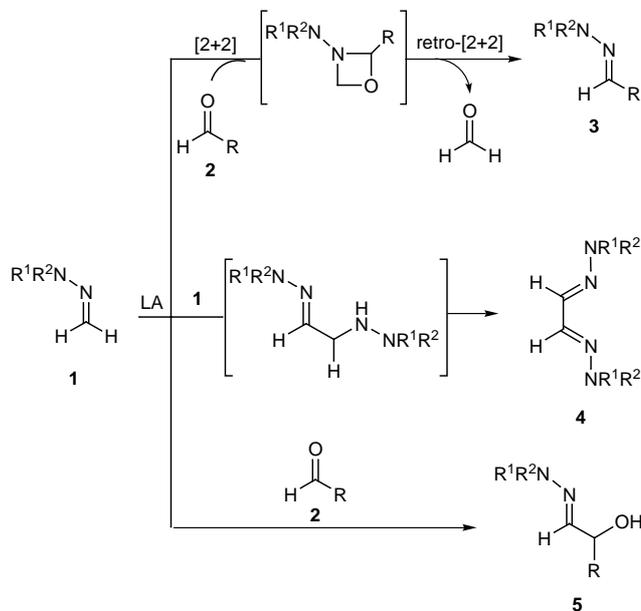
Received 30 April 2001

Abstract: The 1,2-addition of formaldehyde *N,N*-dialkylhydrazones to simple aldehydes takes place in the presence of ZnCl_2 or Et_2AlCl to afford the corresponding α -hydroxyhydrazones. More reactive aldehydes undergo addition of these reagents in the absence of promoters. Use of (*S*)-1-methyleneamino-2-(diphenylmethoxymethyl) pyrrolidine as the reagent afforded separable mixtures of diastereoisomers, thereby allowing for the isolation of optically pure adducts in a single step.

Key words: diastereoselectivity, hydroxyhydrazones, nucleophilic addition

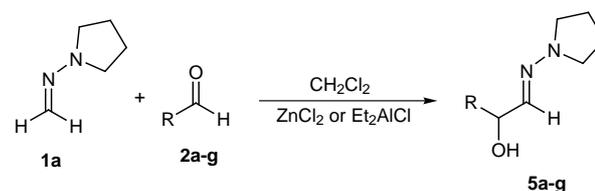
α -Hydroxy-*N,N*-dialkylhydrazones are useful compounds not only as protected forms of α -hydroxyaldehydes, but also as versatile intermediates for the synthesis of α -alkoxy carbonyl compounds,¹ cyanohydrins,² and many other compounds resulting from C–C bond-forming radical³ or anionic⁴ additions to their C=N bond. The nucleophilic properties of formaldehyde *N,N*-dialkylhydrazones **1**, associated to their enhanced aza-enamine character, has allowed their use as d¹ reagents toward several electrophilic substrates.⁵ Thus, the Michael addition to several electrophilic alkenes such as nitroalkenes,⁶ conjugated enones,⁷ and unsaturated lactones,⁸ has served for the synthesis of several kinds of bifunctional compounds. These precedents stimulated studies on the 1,2-addition of these reagents to carbonyl compounds for the synthesis of the title compounds. The first findings on this topic revealed that the inductive effects operating in carbohydrate-derived alkoxyaldehydes^{2a} or trifluoromethyl ketones^{2b} enhance the carbonyl reactivity up to the level required for the spontaneous addition of these reagents. In this paper, we wish to report on a broader scope of this reaction, given by the 1,2-addition of formaldehyde *N,N*-dialkylhydrazones to several types of aldehydes.

Preliminary experiments demonstrated that simple aliphatic and aromatic aldehydes **2a–f** do not react spontaneously with formaldehyde *N,N*-dialkylhydrazones **1**. Attempts to activate the substrates by several Lewis acids ($\text{BF}_3 \cdot \text{Et}_2\text{O}$, R_3SiOTf , SnCl_4 , ZnBr_2) led mainly to the formation of undesired hydrazone transfer products **3**, which, in the absence of moisture, are presumably formed via [2+2] and retro-[2+2] cycloadditions.⁹ Variable amounts of compounds **4** were also isolated from the reaction mixtures; their formation can be explained as an oxidative dimerization of **1** via α -hydrazinoacetaldehyde hydrazone.¹⁰ Therefore, only small amounts of the desired products **5** were detected under these conditions (Scheme 1).



Scheme 1

Fortunately, it was finally possible to obtain the desired adducts **5a–f** in variable yields by using ZnCl_2 ¹¹ or Et_2AlCl ¹² as suitable promoters. 1-Methyleneaminopyrrolidine **1a**, readily available from commercial *N*-nitrosopyrrolidine,^{2c} was the reagent of choice, giving better yields of adducts and faster reactions than the simplest formaldehyde *N,N*-dimethylhydrazone **1b** (Scheme 2, Table 1).



Scheme 2

Noteworthy, *p*-nitrobenzaldehyde **2g** also reacted with **1a** in the absence of any promoter to give the corresponding 1,2-adduct **5g**, though in a lower 33% yield than that obtained (81%) for the ZnCl_2 -promoted reaction (entries 7 and 8). This last result and the above-mentioned precedents^{2a,b} prompted us to investigate the uncatalyzed addition of reagents **1** to more reactive substrates. Thus,

Table 1 Addition of 1-methyleneaminopyrrolidine (**1a**) to aldehydes **2a-g**.

entry	educt	R	promoter ^a	time (h) ^a	product	yield (%) ^b
1	2a	<i>n</i> -butyl	ZnCl ₂	7	5a	52
2	2b	<i>n</i> -pentyl	Et ₂ AlCl	4 ^c	5b	63
3	2c	cyclohexyl	Et ₂ AlCl	12	5c	44
4	2d	Bn	ZnCl ₂	4.5	5d	72
5	2e	Ph	Et ₂ AlCl	14	5e	52
6	2f	<i>p</i> -Br-C ₆ H ₄	Et ₂ AlCl ^d	19	5f	54
7	2g	<i>p</i> -O ₂ NC ₆ H ₄	ZnCl ₂	4	5g	81
8	2g	<i>p</i> -O ₂ NC ₆ H ₄	-	150	5g	33 ^e

^aThe amounts of promoter and reaction temperatures as indicated in footnotes 11 and 12, unless otherwise specified. ^bIsolated yield after chromatography. ^c-78 °C → r.t. (2 h) and then 2 h at r.t. ^d2.4 mmol of promoter were used. ^e**3g** (R¹R²N = pyrrolidin-1-yl, R = *p*-O₂NC₆H₄) was isolated as by-product in 30% yield.

α -monoalkoxy (**2h,i**) and α,α -dialkoxy (**2j**) aldehydes, as well as chloral (**2k**) and fluoral (**2l**) also reacted with **1a** in the absence of promoters to afford the corresponding α -hydroxyhydrazones **5h-l** in good to excellent yields (Table 2).¹³ As expected, the observed aldehyde reactivities were strongly dependent on the substitution pattern. Nevertheless, reasonable reaction rates were observed for all substrates at room temperature, except for α -benzyloxyacetaldehyde **2h**, which required heating at 60 °C for 18 h for completion (Table 2, entry 1).

Table 2 Uncatalyzed addition of methyleneaminopyrrolidine (**1a**) to aldehydes **2h-m**.

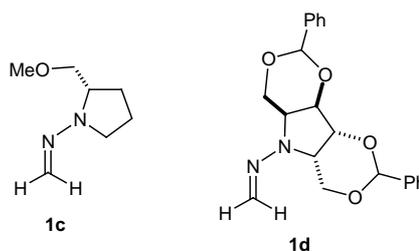
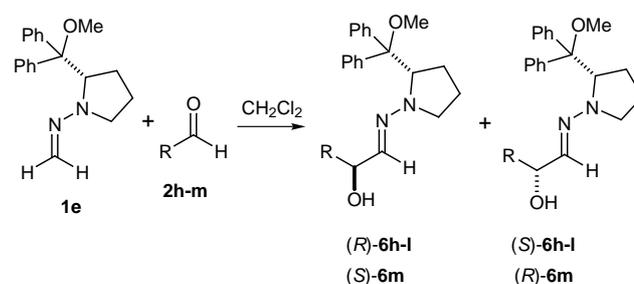
entry	educt	R	time (h) ^a	prod.	yield (%) ^b
1	2h	BnOCH ₂	18 ^c	5h	63
2	2i	TBSOCH ₂	5	5i	76
3	2j	(MeO) ₂ CH	28	5j	87
4	2k	Cl ₃ C	0.5	5k	95
5	2l	F ₃ C	1	5l	94
6	2m	F ₅ C ₆	4	5m	60

^a At room temperature, unless otherwise specified. ^b Isolated yield after chromatography. ^c At 60 °C.

Interestingly, the commercial forms of dimethoxyacetaldehyde **2j** (60% in H₂O), chloral **2k** (monohydrate), and fluoral **2l** (ethyl hemiacetal) could be used without any

previous treatment. Additionally, pentafluorobenzaldehyde **2m**, chosen as representative of aromatic aldehydes, behaves also as substrate for the spontaneous addition of **1a**, affording the corresponding adduct **5m** in 60% yield.

The development of an asymmetric version of these uncatalyzed additions was also studied with limited success. Thus, the addition of chiral reagents as 1-(methyleneamino)-2-(methoxymethyl)pyrrolidine **1c**^{6c} or the D-mannitol-derived C₂-symmetric hydrazone **1d**¹⁴ (Figure) to aldehydes **2h-m** proceeded with very low asymmetric induction, affording the corresponding adducts in high yields, but as unseparable mixtures of diastereoisomers in all cases. On the other hand, the addition of (*S*)-1-methyleneamino-2-(diphenylmethoxymethyl)pyrrolidine **1e**^{2c} to aldehydes **2h-m** took place with similar yields and selectivities, but in this case the resolving properties of the auxiliary allowed an easy chromatographic separation of the (*2R/S*) diastereomeric mixtures. In this reaction, compound **1e** plays two roles: it serves as a d¹ reagent and as a resolving agent at once, thereby allowing the obtention of enantiomerically pure (*R*)- and (*S*)-**6h-m** adducts in a single operation (Scheme 3). The results for the addition of **1e** to aldehydes **2h-m** are collected in Table 3.

**Figure** Chiral hydrazones from L-proline and D-mannitol**Scheme 3**

Summarizing, the nucleophilic addition of formaldehyde *N,N*-dialkylhydrazones to aldehydes represents a convenient, single step method for the synthesis of a variety of synthetically useful α -hydroxyhydrazones.

Acknowledgement

We thank the Spanish 'Ministerio de Educación y Cultura' (Grants PB 97-0747 and PPQ2000-1341) and the 'Junta de Andalucía' for financial support.

Table 3 Uncatalyzed addition of **1e** to aldehydes **2h-m**.

entry	educt	R	time (h) ^a	product, yield (%) ^b	dr ^c
1	2h	BnOCH ₂	120 ^d	6h , 71	50:50
2	2i	TBSOCH ₂	120	6i , 68	50:50 ^e
3	2j	(MeO) ₂ CH	96	6j , 94	50:50
4	2k	Cl ₃ C	2.5	6k , 96	80:20
5	2l	F ₃ C	20	6l , 96	60:40
6	2m	F ₅ C ₆	72	6m , 66	50:50

^aAt room temperature. ^bIsolated yield. ^cMixture fully separable by flash chromatography. ^dAt 50 °C. ^eMixture separable after benzylation.

References and Notes

- (1) (a) Enders, D.; Reinhold, U. *Synlett* **1994**, 792. (b) Enders, D.; Reinhold, U. *Liebigs Ann.* **1996**, 11.
- (2) (a) Lassaletta, J.M.; Fernández, R.; Martín-Zamora, E.; Pareja, C. *Tetrahedron Lett.* **1996**, *37*, 5787. (b) Fernández, R.; Martín-Zamora, E.; Pareja, C.; Vázquez, J.; Díez, E.; Monge, A.; Lassaletta, J.M. *Angew. Chem. Int. Ed. Engl.* **1998**, *37*, 3428. (c) Pareja, C.; Martín-Zamora, E.; Fernández, R.; Lassaletta, J.M. *J. Org. Chem.* **1999**, *64*, 8846. (d) Cerè, V.; Peri, F.; Pollicino, S.; Ricci, A. *Tetrahedron* **1999**, *55*, 1087.
- (2) For a related reaction see: Gautier, A.; Renault, J. *Bull. Soc. Chim. Fr.* **1963**, *33*, 1555. Such a mechanism is also in agreement with ab initio MO calculations: Pappalardo, R.R.; Muñoz, J.M.; Fernández, R.; Lassaletta, J.M., unpublished results.
- (3) (a) Friestad, G.K. *Org. Lett.* **1999**, *1*, 1499. (b) El Kaim, L.; Gacon, A.; Perroux, A. *Tetrahedron Lett.* **1998**, *39*, 371. (c) Miyata, O.; Muroya, M.; Koide, J.; Naito, T. *Synlett* **1998**, 271.
- (4) (a) Claremon, D.A.; Lumma, P.K.; Phillips, B.T. *J. Am. Chem. Soc.* **1986**, *108*, 8265. (b) Baker, W.R.; Condon, S.L. *J. Org. Chem.* **1993**, *58*, 3277. (c) Enders, D.; Reinhold, U. *Angew. Chem.* **1995**, *34*, 1219. (d) Nicaise, O.; Denmark, S. *Bull. Soc. Chim. Fr.* **1997**, *134*, 395. (e) Cerè, V.; Peri, F.; Pollicino, S.; Ricci, A. *Synlett* **2000**, 1585.
- (5) Short review: Fernández, R.; Lassaletta, J.M. *Synlett* **2000**, 1228.
- (6) (a) Lassaletta, J.M.; Fernández, R. *Tetrahedron Lett.* **1992**, *33*, 3691. (b) Lassaletta, J.M.; Fernández, R.; Gasch, C.; Vázquez, J. *Tetrahedron* **1996**, *52*, 9143. (c) Enders, D.; Syrig, R.; Raabe, G.; Fernández, R.; Gasch, C.; Lassaletta, J.M.; Llera, J.M. *Synthesis* **1996**, 48.
- (7) (a) Lassaletta, J.M.; Fernández, R.; Martín-Zamora, E.; Díez, E. *J. Am. Chem. Soc.* **1996**, *118*, 7002. (b) Díez, E.; Fernández, R.; Gasch, C.; Lassaletta, J.M.; Llera, J.M.; Martín-Zamora, E.; Vázquez, J. *J. Org. Chem.* **1997**, *62*, 5144.
- (8) (a) Enders, D.; Vázquez, J. *Synlett* **1999**, *5*, 629. (b) Enders, D.; Vázquez, J.; Raabe, G. *Chem. Commun.* **1999**, 701. (c) Enders, D.; Vázquez, J.; Raabe, G. *Eur. J. Org. Chem.* **2000**, 893.
- (9) For a related reaction see: Gautier, A.; Renault, J. *Bull. Soc. Chim. Fr.* **1963**, *33*, 1555. Such a mechanism is also in agreement with ab initio MO calculations: Pappalardo, R.R.; Muñoz, J.M.; Fernández, R.; Lassaletta, J.M., unpublished results.
- (10) The mechanism of the acid-catalyzed dimerization of formaldehyde dimethylhydrazone has been studied in detail: Condon, F.E.; Farcasiu, D. *J. Am. Chem. Soc.* **1970**, *92*, 6625.
- (11) **Typical procedure:** To a cooled (0 °C) solution of **1** (2 mmol) and **2** (1 mmol) in dry CH₂Cl₂ (7 mL) was added 1M ZnCl₂ in Et₂O (4 mmol). After completion, the mixture was washed with sat. NaHCO₃ and H₂O, concentrated and purified by column chromatography (petroleum ether-ethyl acetate). Representative characterization data for **5g** (oil): ¹H NMR (300 MHz, CDCl₃) δ 1.87-1.94 (m, 4H), 3.14-3.20 (m, 4H), 4.05 (bs, 1H), 5.39 (d, 1H, *J* = 3.5 Hz), 6.45 (d, 1H, *J* = 3.5 Hz), 7.40-8.32 (m, 4H); ¹³C NMR (75 MHz, CDCl₃) δ 23.2, 51.0, 72.6, 123.7, 126.8, 132.2, 147.2, 149.4; IR (film, cm⁻¹) 3358-3298 br, 1581; MS (EI) 249 (M⁺, 24), 231 (80), 99 (66), 70 (100); HRMS *m/z* calcd. for C₁₂H₁₅N₃O₃; 249.1113; found 249.1106.
- (12) **Typical procedure:** To a cooled (-78 °C) solution of **1** (2 mmol) and **2** (1 mmol) in dry THF (4 mL) was added dropwise 1M Et₂AlCl in hexane (1.5 mmol). After completion, 5M NaOH (1 mL) was added and the mixture stirred for 30 min. at r.t. H₂O (10 mL) was added and the mixture was extracted with Et₂O (3 × 10 mL). The organic layer was washed with brine, dried (Na₂SO₄), concentrated, and purified by column chromatography (petroleum ether-ethyl acetate). Representative characterization data for **5e**: mp 46-48 °C, ¹H NMR (300MHz, CDCl₃) δ 1.84-1.92 (m, 4H), 3.12-3.19 (m, 4H), 3.87 (bs, 1H), 5.28 (d, 1H, *J* = 3.5 Hz), 6.57 (d, 1H, *J* = 3.5 Hz), 7.31-7.40 (m, 5H); ¹³C NMR (75 MHz, CDCl₃) δ 23.1, 51.2, 73.4, 126.3, 127.5, 128.2, 135.1, 152.6; IR (film, cm⁻¹) 3381-3230 br, 1601; MS (EI) 204 (M⁺, 10), 186 (100). Anal. Calcd. for C₁₂H₁₆N₂O: C, 70.56; H, 7.89; N, 13.71. Found: C, 70.25; H, 7.90; N, 13.70.
- (13) **Typical procedure:** A mixture of **1a** or **1e** (1 mmol) and **2h-m** (2-5 mmol) in CH₂Cl₂ (4 mL) was allowed to react until completion, concentrated, and purified by column chromatography (petroleum ether-ethyl acetate). Representative characterization data for **5m**: mp 82-83 °C, ¹H NMR (300MHz, CDCl₃) δ 1.88-1.92 (m, 4H), 3.17-3.24 (m, 4H), 3.85 (bs, 1H), 5.65 (d, 1H, *J* = 3.4 Hz), 6.55 (d, 1H, *J* = 3.4 Hz); ¹³C NMR (75 MHz, CDCl₃) δ 23.2, 50.9, 65.1, 128.8, 135.8, 139.1, 143.4, 146.7; IR (film, cm⁻¹) 3300-3000 br, 1505; MS (EI) 294 (M⁺, 35), 276 (15), 70 (100). Anal. Calcd. for C₁₂H₁₁F₃N₂O: C, 48.99; H, 3.77; N, 9.52. Found: C, 49.18; H, 3.83; N, 9.39. Data for **6l** (major isomer): [α]_D²⁸ -156.0 (c 1.1, CH₂Cl₂); ¹H NMR (CDCl₃, 300 MHz) δ 0.12-0.36 (m, 1H), 1.41-1.52 (m, 1H), 1.96-2.13 (m, 2H), 2.64-2.74 (m, 1H), 2.78-2.86 (m, 1H), 2.97 (s, 3H), 3.63 (d, 1H, *J* = 5.2 Hz), 4.40-4.44 (m, 1H), 4.77 (dd, 1H, *J* = 2.9, 8.3 Hz), 6.21 (d, 1H, *J* = 2.9 Hz), 7.31-7.61 (m, 10H); ¹³C NMR (75 MHz, CDCl₃) δ 21.3, 25.8, 49.3, 51.6, 68.0, 69.3 (q, *J* = 31.7 Hz), 85.6, 119.6, 123.9 (q, *J* = 281 Hz), 127.2, 127.6, 129.4, 129.8, 129.9, 138.4, 140.2; IR (film, cm⁻¹) 3439, 1590; MS (EI) 392 (M⁺, 1), 195 (100). Anal. Calcd for C₂₁H₂₃F₃N₂O₂: C, 64.27; H, 5.90; N, 7.14. Found: C, 64.62; H, 5.99; N, 7.28.
- (14) Fernández, R.; Ferrete, A.; Lassaletta, J.M.; Llera, J.M.; Monge, A. *Angew. Chem. Int. Ed.* **2000**, *39*, 2893.

Article Identifier:

1437-2096,E;2001,0,07,1158,1160,ftx,en;D06201ST.pdf