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Green Chemistry

Paper

Multi-electron redox phenazine for ready-to-charge organic batteries

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Organic redox compounds represent an emerging class of cathode materials in rechargeable batteries for low-cost and sustainable energy storage. However, the low operating voltage (< 3 V) and necessity of using lithium-containing anodes have significantly limited their practical applicability to battery systems. Here, we introduce a new class of p-type organic redox centers based on N, N'-substituted phenazine (NSPZ) to build ready-to-charge organic batteries. In the absence of lithium-containing anodes, NSPZ cathodes facilitate reversible two-electron transfer at 3.7 and 3.1 V accompanying anion association, which results in a specific energy of 622 Wh kg⁻¹ in dual-ion batteries.

1 Introduction

In designing high-performance and sustainable batteries, the major technical barriers lie in developing new electrode materials that can outperform current electrode materials. Unlike conventional transition-metal-based inorganic electrodes, organic compounds, which can be obtained from earth abundant elements with minimum carbon footprint, are highly desirable for making sustainable electrodes.³⁻⁵ Thus, considerable efforts have been made to identify organic molecules and polymers that can reversibly react with lithium or sodium ions in rechargeable batteries. Various redox-active organic materials (e.g., conducting polymers, carbonyls, organosulfurs, and biological cofactors) have been explored thus far;⁶⁻¹¹ however, they still cannot rival state-of-the-art inorganic electrodes in terms of energy densities. The redox potentials rarely exceed 3 V resulting in a low-voltage cell which requires additional inactive parts in battery pack design to meet the voltage specification.^{7, 12-14} More importantly, most organic compounds do not contain lithium in their natural state. Therefore, their use as cathodes in lithium-ion batteries (LIBs) must be accompanied by lithium-containing anodes (e.g., lithium metal), which raises another difficult issue.^{7, 15}

Organic compounds with p-type redox activities and high redox potentials can provide new opportunities to create practically viable organic-based rechargeable LIBs. Unlike, n-type organic cathodes using alkali metal cations as charge carriers, p-type electrodes utilize molecular anions. Thus, they do not require a lithium-containing anode in LIBs, thereby presenting a significant advantage because conventional anode materials such as graphite can be used.^{16, 17} Moreover, p-type cathodes are insensitive in their function to the selection of cations in the electrolyte, offering freedom in building battery systems using various types of cations (e.g., Li⁺, Na⁺, K⁺, Mg²⁺, and molecular ions) for the anodes.^{18, 19} Although research on p-type cathodes is in its infancy, recent studies have reported a few p-type radical polymers,¹⁷ graphite,^{16, 20} and heterocyclic compounds containing sulfur or nitrogen atoms.²¹⁻²⁴ Even though the feasibility of p-type

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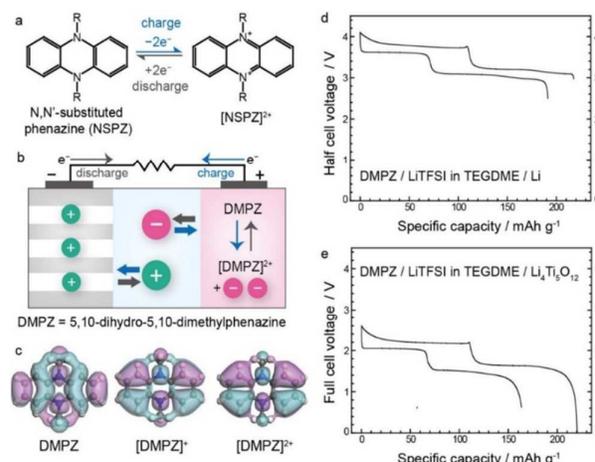


Figure 1. a) Chemical structure and redox mechanism of NSPZ. b) Schematic illustration of energy storage in dual-ion battery using DMPZ as a p-type cathode. c) HOMO plots of DMPZ and its oxidized forms. Galvanostatic charge/discharge profiles of a lithium rechargeable battery with d) DMPZ cathode and Li metal anode and e) DMPZ cathode and Li₄Ti₅O₁₂ anode at a current density of 50 mA g⁻¹.

40 organic cathodes was successfully demonstrated there⁹⁴
 41 these cathodes generally exhibited limited specific capacit⁹⁵
 42 of less than 100 mAh g⁻¹.^{17, 21-23, 25} To achieve higher capacit⁹⁶
 43 in p-type cathodes, it is necessary to identify organic materia⁹⁷
 44 that are lighter and exhibit multi-electron redox capability. ⁹⁸
 45 To that end, here we present a new class of multi-electron⁹⁹
 46 p-type organic cathodes based on N, N'-substituted phenazine¹⁰⁰
 47 (NSPZ) derivatives and reveal underlying mechanisms of the¹⁰¹
 48 redox reactions with molecular anions. The phenazines are¹⁰²
 49 family of heterocyclic nitrogen-containing metabolites in livi¹⁰³
 50 organisms, which are known to scavenge harmful radical¹⁰⁴
 51 biological systems and are involved with various physiologica¹⁰⁵
 52 charge-transfer reactions.²⁶⁻³⁰ We demonstrate a reversible¹⁰⁶
 53 two-electron redox reaction in NSPZ cathodes in LIBs for¹⁰⁷
 54 first time, which exhibits an average redox potential of 3.1¹⁰⁸
 55 vs. Li/Li⁺ and a theoretical capacity of 254.9 mAh g⁻¹. Combin¹⁰⁹
 56 electrochemical and structural studies reveal that an¹¹⁰
 57 interactions govern the reversible redox reaction of the¹¹¹
 58 electrodes. We further demonstrate that the number¹¹²
 59 electrons and anions that reversibly react with the NS¹¹³
 60 electrode can be tuned based on the electrolyte type and¹¹⁴
 61 concentration.

62 Experimental

63 Preparation of materials

64 5,10-Dihydro-5,10-dimethyl phenazine (DMPZ) was purchas¹²⁰
 65 from TCI chemicals (Japan). Other chemicals were purchas¹²¹
 66 from Sigma-Aldrich (UK) and utilized without further¹²²
 67 purification. mP-DPPZ and pP-DPPZ were synthesized¹²³
 68 according to the literature.³¹ A phenazine solution (1 M in¹²⁴
 69 xylene) was added to a phenyllithium solution (1.9 M in dibuty¹²⁵
 70 ether) and mixed for 3h. The reaction mixture was quenche¹²⁶
 71 by adding cold deaerated distilled water and the organic layer¹²⁷
 72 was separated for following reaction. The resulting solution¹²⁸
 73 (2.5 mmol), which was stored with dry sodium sulfate, was¹²⁹
 74 further mixed with m-diiodobenzene (1 mmol), sodium tert¹³⁰
 75 butoxide (3.18 mmol), bis(tri-tert-butylphosphine) palladium¹³¹
 76 (0.017 mmol) at 120 °C for 1 hour. After cooling to room¹³²
 77 temperature, the mixture was filtered and the filtrate was¹³³
 78 evaporated. The residue was subjected to silica¹³⁴
 79 chromatography (hexane-toluene = 3:1) followed by¹³⁵
 80 recrystallization (tetrahydrofuran-methanol), resulting a pale¹³⁶
 81 yellow solid of mP-DPPZ. pP-DPPZ was prepared according¹³⁷
 82 similar procedure as for the synthesis of mP-DPPZ using¹³⁸
 83 diiodobenzene instead of m-diiodobenzene. The synthesis wa¹³⁹
 84 performed under nitrogen atmosphere.

86 Electrochemistry

87 A three-electrode system (Pt counter electrode, Ag/AgNO₃¹⁴¹
 88 reference electrode, glassy carbon working electrode) was¹⁴²
 89 employed to measure solution-based cyclic voltammograms of¹⁴³
 90 DMPZ molecules (1 mM) at the scan rate of 100 mV s⁻¹.¹⁴⁴
 91 used various electrolytes including LiClO₄, LiTFSI, LiPF₆, NaClO₄¹⁴⁵
 92 and MgClO₄ in different types of solvents to test the¹⁴⁶
 93 electrolyte salt and solvent dependence of the anion¹⁴⁷

association reaction. Charge/discharge profiles of DMPZ, mp-
 DPPZ and pp-DPPZ powder samples were measured versus a Li
 metal foil (Hohsen, Japan) in coin-type cells (CR2032). The
 electrodes were fabricated by mixing 50% w/w active
 materials, 35% w/w conductive carbon (Super P) and 15% w/w
 polytetrafluoroethylene (PTFE) binder, which are self-standing
 without using any current collector. The weight of active
 compounds were adjusted within the range of 2.5 ± 0.5 mg per
 cell. A porous glassy microfiber filters (GF/F Whatman, UK)
 were used as separator after washed thoroughly in acetone
 and dried overnight at 120 °C. The composition of electrolyte
 salts were altered to compare the potentials of redox reaction;
 Electrolytes containing LiPF₆ (1 M), LiClO₄ (1 M), LiTFSI (from
 0.1 M to 4.5 M) in tetraethylene glycol dimethyl ether
 (TEGDME) were utilized for galvanostatic measurements. The
 cells were assembled in an Ar-filled glove box under inert
 atmosphere (<0.5 ppm O₂, H₂O). Galvanostatic tests were
 performed at 50 mA g⁻¹ current density on a battery cycler
 (Won-A tech, Korea).

Ex situ electrode characterization

The electrodes at different states of charge were prepared by
 disassembling coin cells (as-prepared, half-charged, fully
 charged to 4.1 V, half-discharged, and fully discharged to 2.5
 V) followed by rinsing the electrodes with diethylene glycol
 dimethyl ether (diglyme). To prevent air contamination, all
 samples were prepared and sealed in an Ar-filled glove box.
 XPS spectra were collected with a Thermo VG Scientific Sigma
 Probe spectrometer (UK) equipped with a microfocus
 monochromated X-ray source (90 W). Binding energies were
 referenced to the C-C bond of the C 1s region at 284.5 eV.
 Fourier transform infrared spectra were obtained with pellets
 consisted of the mixture of electrodes and KBr powder on an
 FT/IR-4200 (Jasco, Japan) at a resolution of 2 cm⁻¹. Sulfur to
 nitrogen ratio was measured with FLASH 2000 series CHNS
 elemental analyzer of Thermo Scientific (UK). The results of
 elemental analysis were averaged after five times tests. The
 absorption spectrum of each sample was measured in
 TEGDME by a V/650 spectrophotometer (Jasco, Inc., Tokyo,
 Japan).

Results and discussion

Among the phenazines, NSPZ is of particular interest because
 of its multi-electron redox capability, as it is known to undergo
 two successive one-electron transfer reactions (Fig. 1a).³² In
 our continuing effort to utilize a conjugated diazabutadiene
 motif in a heterocyclic system to store energy, for example,
 flavins^{9,33} and pteridines,⁷ we note that NSPZ also shares the
 diazabutadiene motif in the heterocyclic system. Unlike flavins
 and pteridines, the motif in NSPZ is in its reduced form and is
 therefore "ready-to-charge". DMPZ, the simplest form of
 NSPZ, is a phenazine that is methylated at both nitrogen atoms
 in the pyrazine ring. Note the difference between phenazine³⁴
 and DMPZ, which are oxidized and reduced, respectively, in
 pristine states. We fabricated a dual-ion rechargeable battery

148 system based on DMPZ as a cathode, as schematically
149 illustrated in Fig. 1b, where the as-assembled cell was in
150 discharged state. The charging process leads to the oxidation
151 of the DMPZ cathode via anion association and simultaneous
152 reduction of the anode via cation insertion. During
153 discharge process, the reverse reactions occur. Therefore,
154 p-type DMPZ is capable of being charged even in the absence
155 of a lithium (or sodium) reservoir in a counter electrode
156 lithium- (or sodium-) ion batteries.

157 Before the experiments, we examined the redox capability
158 of DMPZ by calculating the highest occupied molecular orbital
159 (HOMO) using density functional theory (DFT) calculations
160 to investigate the electronic stability of DMPZ during
161 oxidation reaction (Fig. 1c). Upon the successive removal
162 of two electrons from DMPZ molecules, the electrons were
163 effectively delocalized in the conjugated structure, implying
164 the structural stability after two-electron oxidation.³⁵
165 Inspired by this theoretical feasibility, the electrochemical
166 performance of DMPZ cathodes was investigated in the dual-ion
167 batteries based on lithium ions and molecular anions
168 [bis(trifluoromethane) sulfonamide, TFSI⁻] at 50 mA g⁻¹,
169 illustrated in Fig. 1d and e. The charge–discharge profile
170 of DMPZ in Fig. 1d reveals well-defined voltage plateaus
171 corresponding to average potentials of 3.7 and 3.1 V (vs. Li/Li⁺)
172 respectively. The specific capacities were 217 and 191 mAh g⁻¹
173 for the initial charge and discharge, respectively, which
174 strongly indicates a two-electron redox reaction (the
175 theoretical capacity of DMPZ for a one-electron reaction is
176 127.4 mAh g⁻¹). We further examined the performance of
177 DMPZ//Li₄Ti₅O₁₂-based full cell (Fig. 1e). The full cell exhibited
178 specific capacities of 220 mAh g⁻¹ (charge) and 163 mAh g⁻¹
179 (discharge) with voltage plateaus at 2.2 and 1.6 V, respectively.
180 This corresponds well with the result of Fig. 1d, considering the
181 redox potential of the Li₄Ti₅O₁₂ electrode (~1.5 V vs. Li/Li⁺). The
182 reversible cycling of the full cell suggests that the dual-ion
183 rechargeable battery system using p-type organic compounds
184 is practically feasible.

185 To better understand the redox mechanism based on
186 anion-association reaction, we investigated electrochemical
187 activities of DMPZ by altering the electrolyte conditions.
188 According to solution-based cyclic voltammetry (CV) analyses
189 (Fig. 2a and Table S1), the reversibility was significantly

affected by the type of electrolyte solvent. In electrolytes using
low-donor-number solvents, i.e., weak Lewis bases, such as
acetonitrile (ACN, 14.1)³⁷ and tetraglyme (TEGDME, 16.6),³⁸
the CV curves of DMPZ exhibited two clear pairs of redox
peaks, indicative of two stable and reversible successive one-
electron redox reactions. In high-donor-number solvents such
as dimethyl sulfoxide (DMSO, 29.8) and dimethylformamide
(DMF, 26.6), in contrast, the second cathodic peaks at 0.5 V
(vs. Ag/AgNO₃, the grey dotted circle) disappeared, which
suggests that the multi-electron transfer in DMPZ is no longer
reversible. Instead, a new redox reaction appeared as
shoulders of the first redox peaks at -0.3 to -0.2 V, which is
attributed to demethylation of DMPZ during the second
oxidation process in strong nucleophiles forming of 5-
methylphenazinium-type products.³² Nevertheless, when we
constrained the potential window from -0.8 to 0.1 V
facilitating only the first redox reaction, the redox couple was
fully reversible even in DMSO and DMF (Fig. 2a, black dotted
lines). These results suggest that the selection of an
appropriate solvent for the electrolyte is important to fully
utilize the multi-electron redox activity of the DMPZ electrode.

We further attempted to verify how the charge carriers
affect the redox potential of the DMPZ electrode. As observed
in Fig. 2b, we obtained identical CV curves in two different
electrolytes with LiClO₄ and NaClO₄ of the same molarity,
suggesting the cation selection (either Li⁺ or Na⁺) would not
alter the electrochemical response of DMPZ in rechargeable
batteries. The CV curve of DMPZ in electrolytes of a divalent
cation (Mg²⁺) with the same ClO₄⁻ anion reveals reversible,

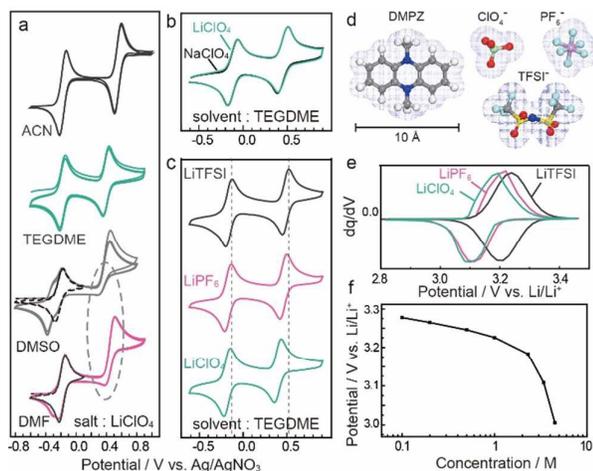


Figure 2 Combined electrochemical studies in liquid and solid states. CVs of DMPZ molecules in a) 0.1 M LiClO₄ in TEGDME, ACN, DMF, and DMSO, b) 0.1 M LiClO₄ in TEGDME and 0.1 M NaClO₄ in TEGDME, c) 0.1 M LiTFSI, 0.1 M LiPF₆, and 0.1 M LiClO₄ in TEGDME. d) Relative sizes of DMPZ and molecular anions including electron clouds. e) dq/dV curves of solid DMPZ electrodes in 1 M LiTFSI in TEGDME. f) Average potential of the first redox reactions measured for solid DMPZ electrodes using LiTFSI in TEGDME with various salt concentrations.

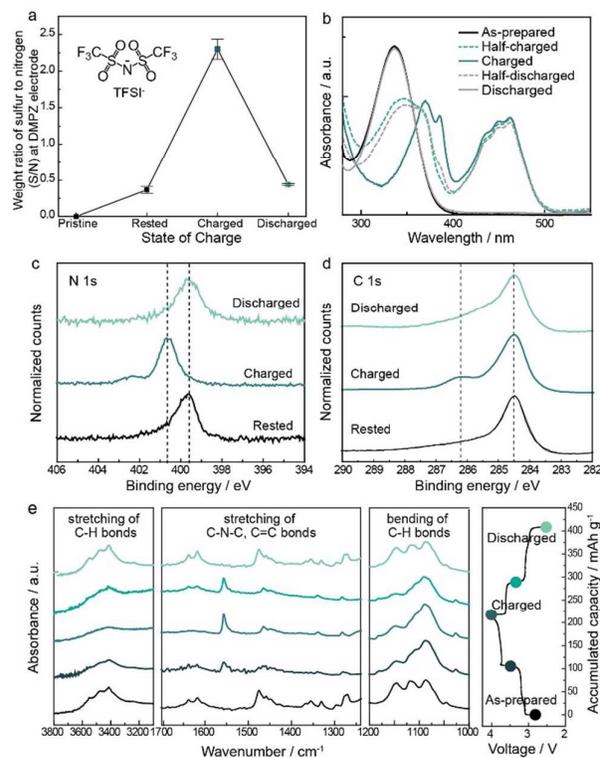
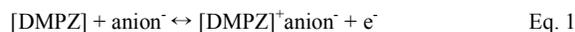


Figure 3 Ex-situ analyses of DMPZ electrodes at different states of charge in a DMPZ//Li cell. a) Sulfur to nitrogen ratio according to CHNS elemental analysis. b) UV/Vis absorption spectra. c) and d) XPS spectra of N 1s and C 1s local scan, respectively. e) FTIR spectra.

219 two-step redox reactions with similar potential values as the
220 in Fig. 2b. (Fig. S1) The higher polarization might be due to
221 strong solvation between ions and solvent molecules.
222 Nevertheless, this result demonstrates the possibility
223 utilizing DMPZ in rechargeable batteries based on multivalent
224 cations such as Mg^{2+} and Ca^{2+} .³⁹

225 In contrast, the redox potential of DMPZ varied notably
226 with the type of anion species: TFSI⁻, PF₆⁻, or ClO₄⁻ (Fig. 2c).
227 redox potential of DMPZ was the highest in the electrolyte
228 containing TFSI⁻ ions and lowest in the one having ClO₄⁻ ions.
229 We speculate that the potential change originates from
230 different sizes of the anions (Fig. 2d) and the resulting
231 difference in the stability of the ([DMPZ]⁺-anion⁻) complexes.
232 Large anions tend to exhibit weaker electrostatic interaction
233 with [DMPZ]⁺ compared with smaller anions because of the
234 diffuse (or delocalized) nature of charge.⁴⁰ In addition, the
235 steric effect becomes stronger as the size of anions
236 bigger, which increases structural distortion and destabilizes
237 the complex, thus resulting in a higher voltage in
238 electrochemical cell. This effect becomes more severe for
239 second redox reaction, where two anions must be associated
240 with one molecule to compensate for the charge of [DMPZ]²⁺.
241 This description is consistent with the observation in Fig. 2e
242 where the variation of the second redox potential (~0.5 V vs
243 Ag/AgNO₃) is more significant. In coin cells using solid-state
244 DMPZ electrodes, a similar dependence of the DMPZ redox
245 potential on the anion type was observed (Fig. 2e), indicating
246 the analogous electrochemical mechanism of the DMPZ
247 solid state.

The anion association during battery operation is proved
by the redox potential dependence on the salt concentration
in electrolytes (Fig. 2f). In dilute conditions (< 1 M), the redox
potential decreases linearly with the salt concentration
following the Nernst equation described below (Eq. 1, 2),
where the activity ($a_{[anion^-]}$) is proportional to the mole
concentration. On the other hands, the redox potential should
more rapidly decrease with salt concentration for high-
concentration conditions (> 1 M) because the short-range ion-
solvent interactions become non-negligible, resulting in a rapid
increase in the activity coefficients of ions in such
concentrated solution.⁴¹⁻⁴³



$$E^{[DMPZ]/[DMPZ]^+} = E^0_{[DMPZ]/[DMPZ]^+} + 2.30RT/F \times \log(1/a_{[anion^-]}) \quad \text{Eq. 2}$$

We examined the structural changes and the electron
transfers in DMPZ molecules during the battery operation
using *ex situ* UV/Vis absorption spectroscopy (Fig. S2b), X-ray
photoelectron spectroscopy (Fig. S2c-d, and S3), and Fourier-
transform infrared (FTIR) spectroscopy (Fig. S2e). We
measured UV/Vis spectra of DMPZ electrodes at as-prepared,
half-charged by one-electron oxidation, fully charged by two-
electron oxidation, half-discharge, and fully discharged states
by recollecting electrodes from coin cells disassembled at each
state. The detailed analyses and discussions for the
spectroscopic results are described in Supplementary
Information section 2.1. The evolution of UV/Vis spectra is
attributed to the step-wise transformation of neutral DMPZ
into radical and divalent cations, which is fully reversible
during the cycling. The reversible shift of the peaks in the N 1s
and C 1s XPS spectra indicates that the diazabutadiene motif is
the key redox center for the anion association reactions similar
to n-type flavin^{9, 33} and pteridine³⁶ systems. FTIR spectra
verifies the reversible evolution of local bond structures in
DMPZ, especially those related to C-N-C and C=C bonds, which
agrees well with the XPS results. DFT calculations also support
our experimental observations that the diazabutadiene motif
is responsible to the reversible anion-coupled electron transfer
reaction of DMPZ (Fig. S4). Overall, we confirmed that the
structural evolution of DMPZ during oxidation/reduction is
highly reversible and stable at molecular level.

To improve electrochemical performances of the redox
active unit, we further synthesized two different dimers of
NSPZ with distinct symmetry by coupling two monosubstituted
5,10-dihydrophenazine units with *m*- or *p*-diiodobenzene (Fig.
4).³¹ The resulting *m*- or *p*-phenylene-linked
diphenylphenazine derivatives (*mP*-DPPZ, *pP*-DPPZ) are both
electrochemically active with two redox peaks in the CV curves
in analogy to DMPZ (Fig. 4a-c). The dimerization strategy
improved the cycle stability of the electrode due to suppressed
dissolution of the molecules. This confirms that the redox
motif of NSPZ can be versatilely utilized in various forms of
organic compounds, providing room for designing additional
NSPZ-based cathodes. We note that the limited capacity
retention of the DMPZ electrode was dominantly observed for
the second plateau above 3.5 V, indicating that [DMPZ]²⁺ is

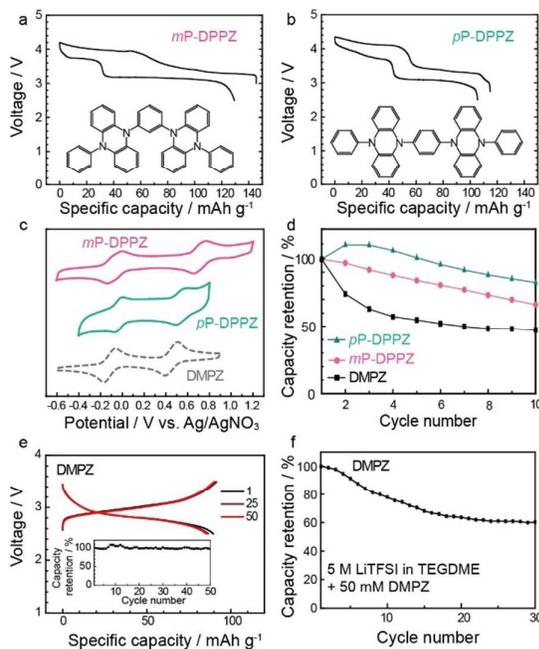


Figure 4. (a-d) Dimerization of NSPZ using phenyl groups for high performance. a) Molecular structure of two different isomeric P-DPPZs. b) CV curves of DMPZ, *mP*-DPPZ, and *pP*-DPPZ molecules in 0.1 M LiTFSI in TEGDME. c) and d) Charge/discharge profiles of P-DPPZ electrodes for five electrochemical cycles (50 mA g⁻¹). e) Voltage profile and capacity retention of DMPZ for the single-electron redox reaction. f) Capacity retention of DMPZ in 5 M electrolyte with an additive.

- 303 most soluble. As shown in Figure 4e, about 97% capacity of 353 5
 304 initial cycle was still available in the small potential window 354
 305 2.6 to 3.5 V corresponding to the single-electron redox 355 6
 306 reaction. From the voltage profiles in various electrolyte 356
 307 conditions, we hypothesized that the solubility of DMPZ 357
 308 insensitive to anion types (Fig. S4a), and is higher in EC/DMSO 358 7
 309 than TEGDME electrolyte (Fig. S4b). Alternatively, increasing 359
 310 salt concentration in electrolytes improved the cycle 360
 311 performance of DMPZ (Fig. 4f), analogous to the solvent-in- 361 8
 312 approach for suppressing polysulfide dissolution in Li/Sulfur 362
 313 batteries.⁴⁴ With high salt concentration electrolyte containing 363 9
 314 DMPZ as a additive, the dissolution of DMPZ can be 364
 315 suppressed. We expect that polymerization of the redox moieties 365
 316 or utilization of solid electrolytes would further improve cycle 366
 317 life of the NSPZ-based organic cathodes. In addition, 367
 318 optimizing electrode architecture would improve practical 368
 319 applicability, for examples, encapsulating active material in 369 11
 320 porous scaffolds for longer cycle life, and ensuring effective 370
 321 electronic/ionic pathways throughout the electrode for high 371
 322 mass loading.⁴⁵ 372 12
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- 323 **Conclusions**
- 324 In summary, we utilized for the first time the reduced 376
 325 diazabutadiene motif to facilitate anion association for energy 377
 326 storage by using NSPZ molecules as multi-electron-donating 378
 327 cathodes. Combined studies of electrochemical analysis, 379
 328 theoretical modeling, and ex situ spectroscopic 380
 329 characterization revealed the underlying mechanism for redox 381
 330 reactions in the p-type electrodes coupled with anion 382
 331 association/dissociation. The combination of salt and solvent 383
 332 in electrolytes strongly affects the number of electrons 384
 333 participating in the reversible redox reaction and alters redox 385
 334 potential. This study on anion-associating redox reactions 386
 335 presents a potential to assess high-energy, multi-electron 387
 336 organic electrodes for battery systems. 388
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