(R)-2-Phenylglycine as a Chiral Auxiliary in the Asymmetric Synthesis of 2-Azetidinones

Hendrik L. van Maanen^a, Johann T.B.H. Jastrzebski^a, Jan Verweij^b, Antonius P.G. Kieboom^b, Anthony L. Spek^c and Gerard van Koten^{*a}

^a Department of Metal-Mediated Synthesis, Debye Institute, Utrecht University, Padualaan 8, 3584 CH Utrecht, The Netherlands ^b Gist-brocades, R&D, P.O.Box 1, 2600 MA Delft, The Netherlands

^C Department of Crystallography, Bijvoet Research Centre, Utrecht University, Padualaan 8, 3584 CH Utrecht, The Netherlands

(Received in UK 22 March 1993)

Abstract: The chlorozinc enolate of ethyl-[(2,2,5,5-tetramethyl-1-aza-2,5-disila)cyclopentyl]acetate was reacted with the ZnCl₂ complex of N-benzylidene-2-phenylglycine methyl ester in THF at -70 °C. After removal of the protecting silyl moiety (3S, 4S, αR)-1-(methoxycarbonyl)(phenyl)methyl-3-amino-4-phenyl-2-azetidinone was obtained in 73% yield with >97% d.e.. By treatment with aqueous ammonia complete epimerization at the α -position was accomplished. The (3S, 4S, α S) enantiomer was crystallized from THF and the absolute configuration was determined by X-Ray crystallography.

One of the principal routes to β -lactams (2-azetidinones) is the condensation of ester enolates and imines¹. Diastereocontrol occurs via the metal: lithium¹ enolates generally result in the formation of *cis*-2-azetidinones, whereas zinc enolates produce *trans*-2-azetidinones almost exclusively, as we have shown recently^{2a,b}. The diastereocontrol via aluminum enolates is dependent on the ester precursor used. An intramolecular coordinating enolate affords *trans*-2-azetidinones^{2c}, whereas the *cis*-diastereoisomer is formed when non-coordinating aluminum enolates are employed³. In general, the zinc-mediated reactions are superior to the lithium- or aluminum-mediated ones, since they combine high yields with excellent stereoselectivity. The use of tin⁴, boron⁵ or zirconium⁶ enolates results in the formation of β -amino esters, usually with good diastereoselectivity. In a separate step the β -amino esters are cyclized to afford the desired 2-azetidinones.

Most β -lactam antibiotics contain a carboxylic acid or ester substituted side chain on the nitrogen atom. Usually, the introduction of these functionalities is achieved via formation of the N-unsubstituted 2-azetidinone^{2d,7}. Direct introduction of an ester substituent⁸ would result in a short synthesis of valuable intermediates. We have previously shown^{2d} that the enantioselectivity of the C-C bond formation could best be controlled by the use of stereogenic imine-nitrogen substituents. Imines derived from chiral amino acids could be employed to combine excellent stereocontrol with *atom economy*. In this report, we demonstrate the use of (*R*)-2-phenylglycine as the chiral auxiliary in the ester enolate-imine condensation reaction. Recent reports on the use of imine derivatives of (*R*)-2-phenylglycine⁹ prompted us to disclose our preliminary results.



The zinc mediated C-C coupling of N,N-disubstituted glycine ester enolates and imines constitutes a very versatile one-pot synthesis of *trans*- β -lactams, as we have shown previously². When imines based upon α -amino esters were subjected to chlorozinc ester enolates using standard reaction conditions, the starting materials were recovered; no C-C coupling products were formed. This lack of reactivity is not unprecedented. In a related study concerning the coordination chemistry¹⁰ of α -imino esters it was found, that upon addition of diethylzinc to 1 at -70 °C first a 1:1 coordination complex 2 was formed, which reacted upon warming to give β -lactam 3 (scheme 1). Alkyl transfer from the metal to the nitrogen atom generates an ethylzinc enolate, which reacts rapidly with the α -imino ester. When 0.5 equivalent of Et₂Zn was employed in this reaction, the yield of 3 dropped to <50%, indicating that the *in situ* formed zinc enolate does not react with α -imino ester 1, but exclusively with the complexed imine 2. Furthermore, the chlorozinc enolate of N-*tert*-butyl-N-ethyl-glycine ethyl ester 4 showed no reactivity towards 1¹¹. Apparently, activation of the α -imino ester by coordination to diethylzinc is necessary for this reaction.



a: 1) ZnCl₂, THF, -30 °C; 2) chlorozinc enolate of **5**, THF, -70 °C to r.t., 79%; **b**: 1) 1 N HCl, THF; 2) NH₃, H₂O / CH₂Cl₂, pH < 11, 92%; **c**: NH₃, H₂O / CH₂Cl₂.

Scheme 2

Following this interpretation, we have now developed a <u>double activation</u> procedure for the reaction of ethyl-[(2,2,5,5-tetramethyl-1-aza-2,5-disila)cyclopentyl]acetate¹² 5 with (R)-N-benzylidene-2-phenylglycine methyl ester 6^{13} (scheme 2), employing ZnCl₂ instead of Et₂Zn. Deprotonation of 5 in diethyl ether or THF

with LDA at -70 °C, followed by transmetallation with dry $ZnCl_2$, yielded the corresponding chlorozinc enolate^{2b}. At the same time, the $ZnCl_2$ complex of 6 was prepared *in situ* in THF at -30 °C. The solution of the complexed imine was added to the zinc enolate solution at -70 °C. After standard work-up^{2b}, 7 was obtained as the main product¹⁴, together with a small amount of the deprotected product 8a¹⁴. Complete deprotection^{2b} of the crude product yielded (3S, 4S, αR)-1-(methoxycarbonyl)(phenyl)methyl-3-amino-4-phenyl-2-azetidinone 8a with 97% d.e. However, during basic work-up at pH > 11, partial epimerization at the α -carbon was observed, affording a mixture of 8a and 8b¹⁴. Therefore, 97% is the minimum value for the enantioselectivity of the C-C coupling reaction.

Determination of the absolute stereochemistry of 8a was not possible, since it is an oil. However, its α -epimer 8b is a white solid. Epimerization to a 1:1 mixture of 8a and 8b was achieved by treating a CH₂Cl₂ solution of 8a with concentrated aqueous ammonia. Precipitation of 8b from diethyl ether, in which 8a is very soluble, was found to be a convenient method of separation of the two diastereoisomers. A 13:1 mixture of 8b and 8a was isolated in 39% yield; the remaining 61% was recovered and again epimerized by treatment with aqueous NH₃. Crystallization from THF yielded crystals of 8b suitable for X-Ray structure determination¹⁵ (figure 1). By repeating the epimerization / separation / crystallization sequence, 8a can be completely converted to 8b. This preferential crystallization is an example of an asymmetric transformation of the second order¹⁶ because ultimately the whole sample can be crystallized as 8b.



Figure 1: Molecular structure of 8b

Complexation of the imino ester to $ZnCl_2$ before the addition of the enolate is essential to the success of this reaction. By using two equivalents of $ZnCl_2$ in the formation of the zinc enolate of 5, followed by the addition of 6, only a very low conversion (~10%, 24 hrs.) to 7 was observed. Significant amounts of unidentified decomposition products had formed^{2e}.

We have shown, that the (R)-configuration of the stereogenic center of the chiral auxiliary completely controls the absolute stereochemistry of the two newly formed stereogenic centers. The use of amino acid derivatives as chiral auxiliaries in the ester enolate-imine condensation reaction requires the complexation of the imine to a suitable Lewis acid prior to the reaction, resulting in a selective reaction without racemization. Current research is aimed at extending the scope of this reaction to the synthesis of other functionally substituted 2azetidinones.

Acknowledgement: The authors wish to thank Gist-brocades for financially supporting this research.

References and notes:

- ¹ For recent reviews, see: Hart, D.J.; Ha, D-C., *Chem. Rev.* **1989** *89*, 1447; Brown, M.J., *Heterocycles* **1989** *29*, 2225; Van der Steen, F.H.; Van Koten, G., *Tetrahedron* **1991** *47*, 7503.
- ² ^a: Van der Steen, F.H.; Kleijn, H.; Spek, A.L.; Van Koten, G., J. Chem. Soc., Chem. Commun. 1990, 503; ^b: Van der Steen, F.H.; Kleijn, H.; Jastrzebski, J.T.B.H.; Van Koten, G., J. Org.Chem. 1991 56, 5147; ^c: Van der Steen, F.H.; Van Mier, G.P.M.; Spek, A.L.; Kroon, J.; Van Koten, G., J. Am. Chem. Soc. 1991 113, 5742; ^d: Van der Steen, F.H.; Kleijn, H.; Britovsek, G.J.P.; Jastrzebski, J.T.B.H.; Van Koten, G., J. Org. Chem. 1992 57, 3906; ^e: Van der Steen, F.H.; Boersma, J.; Spek, A.L.; Van Koten, G., Organometallics 1991 10, 2467.
- ³ Wada, M.; Aiura, H.; Akiba, K-Y., Tetrahedron Lett. 1987 28, 3377
- ⁴ a: Mukaiyama, T.; Suzuki, H.; Yamada, T., Chem. Lett. 1986, 918; b: Yamada, T.; Suzuki, H.; Mukaiyama, T., Chem. Lett. 1987, 293; ^c: Nagao, Y.; Dai, W-M.; Ochiai, M., Tetrahedron Lett. 1988 29, 6133
- ⁵ ^a: Otsuka, M.; Yoshida, M.; Kobayashi, S.; Ohno, M.; Umezawa, H.; Morishima, H., *Tetrahedron Lett.* 1981 22, 2109; ^b: Shibasaki, M.; Ishida, Y.; Iwasaki, G.; Iimori, T., *J. Org. Chem.* 1987 52, 3489; ^c: Corey, E.J.; Decicco, C.P.; Newbold, R.C.; *Tetrahedron Lett.* 1991 32, 5287
- ⁶ Iwasaki, G.; Shibasaki, M., Tetrahedron Lett. 1987 28, 3257
- ⁷ For examples, see: Chiba, T.; Nagatsuma, M.; Nakai, T., Chem. Lett. 1984 1927; Hart, D.J.; Kanai, K-I.; Thomas, D.G.; Yang, T.K., J. Org. Chem. 1983 48 289; Ha, D-C.; Hart, D.J.; Yang, T-K., J. Am. Chem. Soc. 1984 106 4019; Cainelli, G.; Panunzio, M.; Basile; T; Bongini, A.; Giacomini, D.; Martelli, G., J. Chem. Soc., Perkin Trans. I, 1987 2657
- ⁸ Two examples of the ester enolate-imine condensation with aldimines derived from α -amino esters have been reported: ^a: Ojima, I.; Inaba, S-I., *Tetrahedron Lett.* **1980** 21, 2081; ^b: ref. 5a.
- ⁹ a: Dembélé, Y.A.; Belaud, C.; Hitchcock, P.; Villiéras, J., *Tetrahedron Asymm* 1992 3, 351, 511; b:
 Waldmann, H.; Braun, M., J. Org. Chem. 1992 57, 4444
- ¹⁰ Van Vliet, M.R.P.; Jastrzebski, J.T.B.H.; Klaver, W.J.; Goubitz, K.; Van Koten, G., Recl. Trav. Chim. Pays-Bas 1987 106, 132
- 11 Unpublished results
- ¹² STABASE as protecting group: Djuric, S.; Venit, M.; Magnus, P., Tetrahedron Lett. 1982 23, 1757
- ¹³ 6 was prepared from (R)-2-phenylglycine by esterification and condensation with benzaldehyde according to literature methods: Duhamel, L.; Plaquevent, J-C., Bull. Soc. Chim. Fr. 1982, II-75
- ¹⁴ All new compounds were fully characterized by ¹H- and ¹³C-NMR. Physical data: **6**: $[\alpha]_D^{20} = +89.7$ (c = 2.5, benzene); mp = 88 °C; Analysis calculated for C₁₆H₁₅NO₂: C 75.87, H 5.97, N 5.53; found: C 76.05, H 6.37, N 5.48; **8b**: $[\alpha]_D^{20} = +132.1$ (c = 2, benzene); mp = 165 °C; Analysis calculated for C₁₈H₁₈N₂O₃: C 69.66, H 5.85, N 9.03; found: C 69.52, H 5.78, N 8.97.
- 15 X-Ray data were collected on an Enraf-Nonius CAD4T / Rotating anode system [MoK α , $\lambda = 0.71073$ Å] at 100 K for a colorless crystal glued on a capillary: C₁₈H₁₈N₂O₃, Mw = 310.35, monoclinic, space group P2₁, unit cell parameters: a = 7.9063(3), b = 9.8227(4), c = 10.0298(6) Å, $\beta = 97.60(1)^{\circ}$. The structure was solved by direct methods (SHELXS-86) and refined with SHELX76 to a final R =0.059 ($R_w = 0.048$) for 1361 observed reflections with $I > 2.5 \sigma(I)$. Final parameters have been deposited with the Cambridge Structural Database Centre.
- ¹⁶ Turner, E.E.; Harris, M.M., Quart. Rev. 1947 1, 299