

# Organocatalytic Enantioselective Diels–Alder Reaction of Dienes with $\alpha$ -(*N,N*-Diacylamino)acroleins

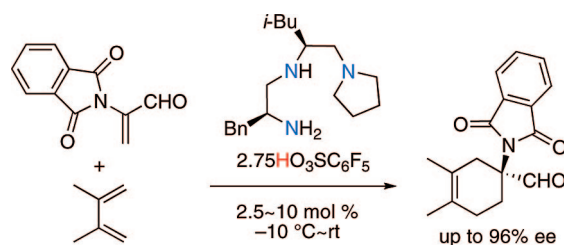
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## ABSTRACT



Catalytic and highly enantioselective Diels–Alder reaction of cyclic and acyclic dienes with  $\alpha$ -phthalimidoacroleins provides cyclic  $\alpha$ -quaternary  $\alpha$ -amino acid precursors. The conformationally flexible chiral ammonium salt of H-L-Phe-L-Leu-N(CH<sub>2</sub>CH<sub>2</sub>)<sub>2</sub>-reduced triamine with pentafluorobenzenesulfonic acid is very effective as an asymmetric catalyst for the Diels–Alder reaction.

Optically active  $\alpha$ -amino acids as well as  $\alpha$ -hydroxy acids are valuable chiral synthons that bear two functional groups. We have recently developed organocatalytic enantioselective Diels–Alder (DA)<sup>1a–c</sup> and [2 + 2]<sup>1d</sup> cycloaddition reactions with  $\alpha$ -acyloxyacroleins based on acid–base combination chemistry.<sup>2,3</sup> H-L-Phe-L-Leu-N(CH<sub>2</sub>CH<sub>2</sub>)<sub>2</sub>-reduced triamine (**1**)•2.75HX and (*R*)-2,2′-diamino-1,1′-binaphthyl (**2**)•1.9HNTf<sub>2</sub> activate  $\alpha$ -acyloxyacroleins as an aldiminium cation intermediate **3** to react with dienes or monoalkenes

to provide cycloaliphatic  $\alpha$ -quaternary<sup>4</sup>  $\alpha$ -hydroxy acid equivalents with high enantioselectivity (Chart 1).<sup>2</sup> In contrast, to the best of our knowledge, there has been only one example of the enantioselective DA reaction with  $\alpha$ -(*N*-acylamino)acrolein derivatives: in 1991, Cativiela et al. reported the Diels–Alder reaction of cyclopentadiene with methyl  $\alpha$ -(*N*-acetylamino)acrylate promoted by 50 mol % of chiral titanium(IV) Lewis acid (64% yield, 78% exo, 70%

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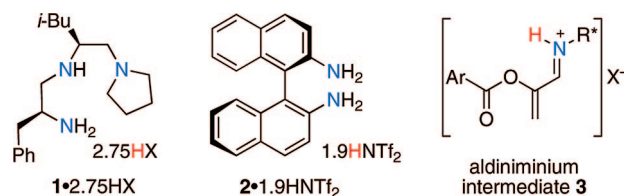
<sup>‡</sup> Aichi University of Education.

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**Chart 1.** Chiral Organoammonium Salt Catalysts **1**•2.75HX and **2**•1.9HNTf<sub>2</sub> and Their Aldiminium Intermediate **3** for Cycloaddition Reactions with  $\alpha$ -(Acyloxy)acroleins



**Table 1.** DA Reaction of 2,3-Dimethylbutadiene with  $\alpha$ -(*N*-Acylamino)- or  $\alpha$ -(*N,N*-Diacylamino)acroleins Catalyzed by **1**·2.75HX or **2**·1.9HNTf<sub>2</sub><sup>a</sup>

| entry          | Dienophile<br>(R <sup>1</sup> , R <sup>2</sup> ) | catalyst                                                      | Conditions solvent,<br>T (°C), t (h) | product                   |                        |
|----------------|--------------------------------------------------|---------------------------------------------------------------|--------------------------------------|---------------------------|------------------------|
|                |                                                  |                                                               |                                      | Yield<br>(%) <sup>b</sup> | Ee<br>(%) <sup>c</sup> |
| 1 <sup>d</sup> | <b>4</b> (Bz, H)                                 | <b>1</b> ·2.75C <sub>6</sub> F <sub>5</sub> SO <sub>3</sub> H | EtNO <sub>2</sub> , 0, 36 to rt, 24  | 59                        | 81                     |
| 2              | <b>5a</b> (phthal)                               | <b>1</b> ·2.75C <sub>6</sub> F <sub>5</sub> SO <sub>3</sub> H | <b>EtNO<sub>2</sub>, rt, 4.5</b>     | <b>97</b>                 | <b>92</b>              |
| 3              | <b>5a</b> (phthal)                               | <b>1</b> ·2.75C <sub>6</sub> F <sub>5</sub> SO <sub>3</sub> H | MeNO <sub>2</sub> , rt, 4.5          | 77                        | 89                     |
| 4              | <b>5a</b> (phthal)                               | <b>1</b> ·2.75C <sub>6</sub> F <sub>5</sub> SO <sub>3</sub> H | MeCN, rt, 4.5                        | 86                        | 89                     |
| 5              | <b>5a</b> (phthal)                               | <b>1</b> ·2.75C <sub>6</sub> F <sub>5</sub> SO <sub>3</sub> H | THF, rt, 4.5                         | 71                        | 93                     |
| 6              | <b>5a</b> (phthal)                               | <b>1</b> ·2.75C <sub>6</sub> F <sub>5</sub> SO <sub>3</sub> H | DME, rt, 4.5                         | 74                        | 94                     |
| 7              | <b>5a</b> (phthal)                               | <b>1</b> ·2.75C <sub>6</sub> F <sub>5</sub> SO <sub>3</sub> H | DMF, rt, 4.5                         | 41                        | 74                     |
| 8              | <b>5a</b> (phthal)                               | <b>1</b> ·2.75CF <sub>3</sub> CO <sub>2</sub> H               | EtNO <sub>2</sub> , rt, 84           | 43                        | 42                     |
| 9              | <b>5a</b> (phthal)                               | <b>1</b> ·2.75ArSO <sub>3</sub> H <sup>e</sup>                | EtNO <sub>2</sub> , rt, 4.5          | 91                        | 90                     |
| 10             | <b>5a</b> (phthal)                               | <b>1</b> ·2.75TfOH                                            | EtNO <sub>2</sub> , rt, 4.5          | 90                        | 89                     |
| 11             | <b>5a</b> (phthal)                               | <b>1</b> ·2.75HNTf <sub>2</sub>                               | EtNO <sub>2</sub> , rt, 3            | <5 <sup>f</sup>           |                        |
| 12             | <b>5a</b> (phthal)                               | <b>2</b> ·1.9HNTf <sub>2</sub>                                | EtCN, −78, 31                        | <5 <sup>f</sup>           |                        |
| 13             | <b>5a</b> (phthal)                               | <b>2</b> ·1.9C <sub>6</sub> F <sub>5</sub> SO <sub>3</sub> H  | EtNO <sub>2</sub> , −78, 24          | 0                         |                        |

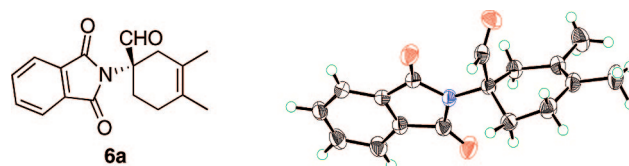
<sup>a</sup> Unless otherwise noted, the reaction of 2,3-dimethylbutadiene (0.6 mmol) with  $\alpha$ -(*N*-acyl- or *N,N*-diacylamino)acroleins (0.5 mmol) was carried out in a solvent (0.5 mL). <sup>b</sup> Isolated yield. <sup>c</sup> Determined by chiral HPLC analysis. <sup>d</sup> 2,3-Dimethylbutadiene (1.0 mmol) was used in EtNO<sub>2</sub> (156  $\mu$ L). <sup>e</sup> ArSO<sub>3</sub>H = 2,4-(NO<sub>2</sub>)<sub>2</sub>C<sub>6</sub>H<sub>3</sub>SO<sub>3</sub>H. <sup>f</sup> A complex mixture was obtained.

ee (exo)).<sup>5,6</sup> We describe here the catalytic and highly enantioselective DA reaction of dienes with  $\alpha$ -(*N,N*-diacylamino)- or  $\alpha$ -(*N*-acylamino)acroleins to give optically active cyclic  $\alpha$ -quaternary<sup>4</sup>  $\alpha$ -amino acid precursors. Conformationally constrained  $\alpha$ -amino acids are valuable in biochemistry as modified peptides, enzyme inhibitors, and ligands for probing receptor recognition.<sup>5–7</sup>

In an initial investigation, the DA reaction of 2,3-dimethylbutadiene with  $\alpha$ -(*N*-benzoylamino)acrolein (**4**)<sup>8</sup> was examined in nitroethane in the presence of 20 mol % of **1**·2.75C<sub>6</sub>F<sub>5</sub>SO<sub>3</sub>H. The reaction was slow even at room temperature, and stirring for 24 h led to the desired cycloadduct with 81% ee in 59% yield (Table 1, entry 1). Next,  $\alpha$ -phthalimidoacrolein (**5a**) was examined instead of **4** under the same conditions as above. Both the reactivity and the enantioselectivity were increased, and stirring at room temperature for 4.5 h led to the desired

cycloadduct (**6a**) with 92% ee in 97% yield (entry 2). Next, the solvent effect was investigated (entries 2–7): most aprotic polar solvents except for DMF were suitable, and the best result was observed with nitroethane. Brønsted acids were also examined as HX of **1**·2.75HX (entries 2, 8–11): most sulfonic acids were effective, but on the other hand, trifluoroacetic acid and superacidic triflylimide were not suitable. Another candidate, **2**·1.9HNTf<sub>2</sub>, did not catalyze the DA reaction with **5a** because **2** irreversibly reacted with **5a** even at −78 °C in the presence of triflylimide (entry 12). **2**·1.9C<sub>6</sub>F<sub>5</sub>SO<sub>3</sub>H did not catalyze the DA reaction with **5a** at −78 °C (entry 13) and did not induce high enantioselectivity at room temperature.

The absolute configuration of cycloadduct **6a**, which was obtained as a major enantiomer in Table 1, was determined to be (*S*) by X-ray crystallographic analysis, as shown in Figure 1.



**Figure 1.** ORTEP illustration of (*S*)-**6a** with thermal ellipsoids drawn at the 50% probability level (Flack parameter = 0.1228).

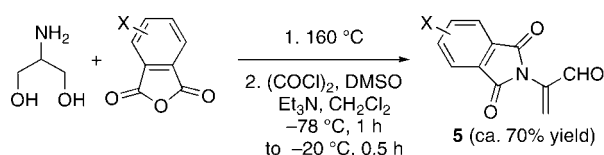
(4)  $\alpha,\alpha$ -Dialkyl-substituted  $\alpha$ -hydroxy- or  $\alpha$ -amino acids are often called “ $\alpha$ -quaternary  $\alpha$ -hydroxy or  $\alpha$ -amino acids”. See refs 5–7.

(5) Cativiela, C.; López, P.; Mayoral, J. A. *Tetrahedron: Asymmetry* **1991**, 2, 1295–1304.

(6) For the diastereoselective DA reaction with chiral  $\alpha$ -amino acrylic acid derivatives, see: (a) Cativiela, C.; López, P.; Mayoral, J. A. *Tetrahedron: Asymmetry* **1990**, 1, 61–64; (b) 1990, 1, 379–388; (c) 1991, 2, 449–456. (d) Sankhavasi, W.; Kohmoto, S.; Yamamoto, M.; Nishio, T.; Iida, I.; Yamada, K. *Bull. Chem. Soc. Jpn.* **1992**, 65, 935–937. (e) Cativiela, C.; Carcía, J. I.; Mayoral, J. A.; Pires, E.; Royo, A. J.; Figueras, F. *Tetrahedron* **1997**, 51, 1295–1300. (g) Chinchilla, R.; Favello, L. R.; Galindo, N.; Nájera, C. *Tetrahedron: Asymmetry* **1999**, 20, 821–825. (h) Abellán, T.; Nájera, C.; Sansano, J. M. *Tetrahedron: Asymmetry* **2000**, 11, 1051–1055. (i) Chinchilla, R.; Falvello, L. R.; Galindo, N.; Nájera, C. *J. Org. Chem.* **2000**, 65, 3034–3041. (j) Urkett, B.; Chai, C. L. L. *Tetrahedron Lett.* **2001**, 42, 2239–2242. (k) Abellán, T.; Mancheño, B.; Nájera, C.; Sansano, J. M. *Tetrahedron* **2001**, 57, 6627–6640. (l) Caputo, F.; Clerici, F.; Gelmi, M. L.; Pellegrino, S.; Pocar, D. *Tetrahedron: Asymmetry* **2006**, 17, 1430–1436. (m) Cernak, T. A.; Gleason, J. L. *J. Org. Chem.* **2008**, 73, 102–110.

anhydride and subsequent oxidative dehydration under Swern conditions (Scheme 1).<sup>8</sup> Other substituted  $\alpha$ -phthalimidoacroleins **5** were synthesized in the same manner as **5a**.

**Scheme 1.** One-pot Synthesis of **5**



To explore the generality and scope of the **1**·**2.75C<sub>6</sub>F<sub>5</sub>SO<sub>3</sub>H**-induced enantioselective DA reaction with **5a**, representative dienes were examined with 2.5–10 mol % catalyst loading in nitroethane at –10 °C to rt (Table 2). The enantioselectivity

**Table 2.** Enantioselective DA Reaction of Dienes with **5a**<sup>a</sup>

| no.            | diene | <b>5</b>  | cat.<br>(mol %) | conditions<br>concn (M),<br>temp (°C), t (h) | yield<br>(%) <sup>b</sup> | endo:<br>exo       | ee<br>(%) <sup>c</sup>          |
|----------------|-------|-----------|-----------------|----------------------------------------------|---------------------------|--------------------|---------------------------------|
| 1              |       | <b>5a</b> | 10              | 0.7, 0, 32                                   | <b>6a</b> , 82            | –                  | 96 ( <i>S</i> )                 |
| 2              |       | <b>5a</b> | 2.5             | 1, rt, 48                                    | <b>6a</b> , 91            | –                  | 92 ( <i>S</i> )                 |
| 3              |       | <b>5a</b> | 10              | 0.7, 0, 48                                   | <b>7a</b> , 82            | >99:1 <sup>d</sup> | 94                              |
| 4 <sup>e</sup> |       | <b>5a</b> | 10              | 1, 0, 48                                     | <b>8a</b> , 80            | >99:1 <sup>d</sup> | 88                              |
| 5              |       | <b>5a</b> | 10              | 0.7, 0, 48                                   | <b>9a</b> , 73            | >99:1 <sup>d</sup> | 94                              |
| 6              |       | <b>5a</b> | 10              | 1, 0, 48                                     | <b>10a</b> , 89           | >99:1 <sup>d</sup> | 94                              |
| 7              |       | <b>5a</b> | 10              | 1, rt, 48                                    | <b>11a</b> , 55           | –                  | 83                              |
| 8 <sup>e</sup> |       | <b>5a</b> | 10 <sup>g</sup> | 1, 0, 36                                     | <b>12a</b> , 86           | 62:38              | 87 <sup>h</sup>                 |
| 9 <sup>e</sup> |       | <b>5b</b> | 10              | 1, –10, 84                                   | <b>12b</b> , 73           | 72:28 <sup>i</sup> | 90 (2 <i>S</i> ) <sup>h,i</sup> |
| 10             |       | <b>5a</b> | 10              | 1, rt, 11 <sup>j</sup>                       | <b>13a</b> , 52           | –                  | 67                              |

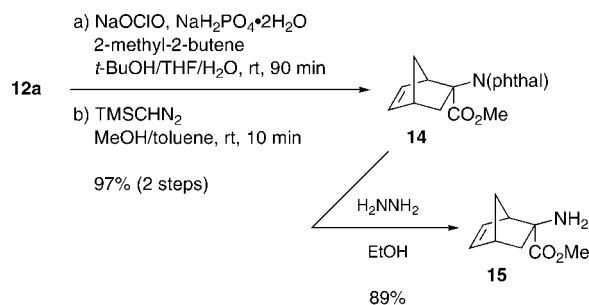
<sup>a</sup> Unless otherwise noted, the reaction of diene (0.6 mmol) with **5** (0.5 mmol) was carried out in EtNO<sub>2</sub>. <sup>b</sup> Isolated yield. <sup>c</sup> Ee of major diastereomer determined by chiral HPLC analysis. <sup>d</sup> Ratio of 4- and 3-alkyl isomers. The stereochemistry of **8a** was determined by X-ray diffraction analysis. <sup>e</sup> THF was used instead of EtNO<sub>2</sub>. <sup>f</sup> Diene (2 equiv) was used. <sup>g</sup> H-L-[3-(2-Naph)Ala]-L-Leu-N(CH<sub>2</sub>CH<sub>2</sub>)<sub>2</sub>-reduced triamine was used instead of **1**. <sup>h</sup> 54% ee (*exo*-**12a**), 57% ee (*exo*-**12b**). <sup>i</sup> The relative and absolute stereochemistries of major diastereomer of **12b** were determined by X-ray diffraction analysis after its derivation to (*R*)-*N*-phenylethylamide. <sup>j</sup> 11 days.

tivity in the initial model reaction of 2,3-dimethylbutadiene with **5a** was further increased to 96% ee (entry 1). Isoprene,

2-phenylbutadiene, myrcene, and (*E*)- $\beta$ -farnesene also reacted smoothly with **5a** to give the desired 4-alkyl-substituted cyclohex-3-enecarboxaldehydes **7a**–**10a** with >99% regioselectivity and 88–94% ee (entries 3–6). Although butadiene was less reactive than substituted ones, DA adduct **11a** was obtained in 55% yield with 83% ee (entry 7). The reaction of cyclopentadiene with  $\alpha$ -(tetrafluorophthalimido)acrolein (**5b**) gave *endo*-formylbicycloadduct **12b** with 72% ds and 90% ee (entry 9). Anthracene, which was much less reactive, was also usable as a diene, although the chemical yield and enantioselectivity were moderate (entry 10).

Phthalimido groups of DA adducts **6**–**13** were deprotected in high yield by the treatment with hydrazine after conversion to the corresponding methyl esters (Scheme 2). Norbornene

**Scheme 2.** Deprotection of the Phthalimido Group of **12a**



derivatives are particularly valuable as important optically active synthetic intermediates of bioactive alkaloids such as norbornene-2-amino-2-methanol derivatives<sup>9</sup> and (–)-altemicidin.<sup>10</sup>

Considering that **1**·**2C<sub>6</sub>F<sub>5</sub>SO<sub>3</sub>H** was much less active than **1**·**2.75C<sub>6</sub>F<sub>5</sub>SO<sub>3</sub>H** as a catalyst for the DA reaction of dienes with **5** as well as  $\alpha$ -(acyloxy)acroleins,<sup>1a,d</sup> we assumed that **1**·**3C<sub>6</sub>F<sub>5</sub>SO<sub>3</sub>H** might be a real active catalyst, which would activate **5b** as aldiminium salt (**16**) with **1**·**3C<sub>6</sub>F<sub>5</sub>SO<sub>3</sub>H**.<sup>11</sup> The (*Z*)-isomeric preference of **16** was supposed based on theoretical calculations of geometries of its analogous aldiminium salt (**17**) derived from  $\alpha$ -maleimidoacrolein and

(7) (a) Clerici, F.; Gelmi, M. L.; Gambini, A. *J. Org. Chem.* **1999**, *64*, 5764–5767. (b) Kotha, S.; Ganesh, T.; Ghosh, A. K. *Bioorg. Med. Chem. Lett.* **2000**, *10*, 1755–1757. (c) Clerici, F.; Gelmi, M. L.; Gambini, A.; Nava, D. *Tetrahedron* **2001**, *57*, 6429–6438. (d) Yang, B. V.; Dowsyko, L. M. *Tetrahedron Lett.* **2005**, *46*, 2857–2860. For a review of the stereoselective synthesis of cyclic tertiary  $\alpha$ -amino acids, see: (e) Cativiela, C.; Díaz-Villegas, M. D. *Tetrahedron: Asymmetry* **2000**, *11*, 645–732.

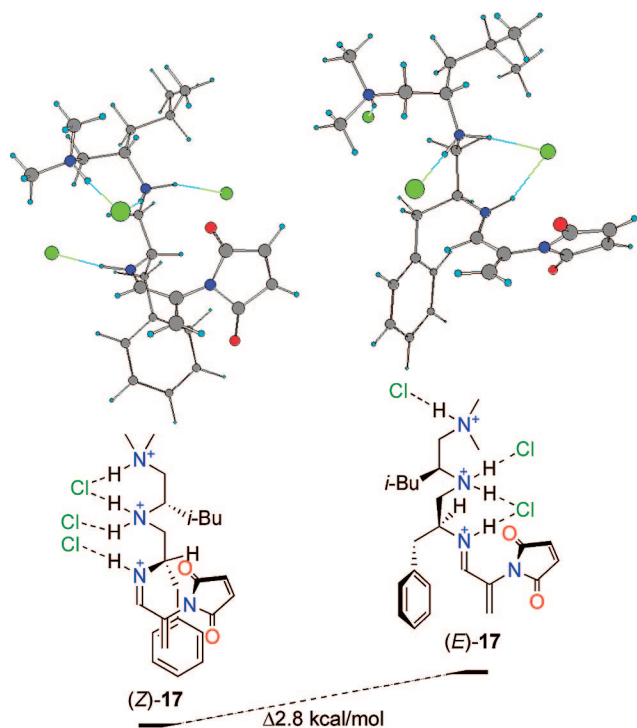
(8) For preparation of **4**, see: Harada, H.; Morie, T.; Hirokawa, Y.; Kato, S. *Chem. Pharm. Bull.* **1996**, *44*, 2205–2212.

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(10) Kende; Liu, K.; Jos Brands, K. M. *J. Am. Chem. Soc.* **1995**, *117*, 10597–10598.

(11) In our previous papers,<sup>1a,d</sup> it was assumed that (*Z*)-aldiminium salt derived from **1**·**2HX** and  $\alpha$ -(acyloxy)acrolein would be a key intermediate. However, an aldiminium salt derived from **1**·**3HX** and  $\alpha$ -(acyloxy)acrolein may be more favorable. Further studies are in progress and will be reported in the near future.

H-L-Phe-L-Leu-NMe<sub>2</sub>-reduced triamine·3HCl.<sup>12</sup> The geometries of **17** were optimized at DFT calculations with B3LYP,<sup>13</sup> using the 6-31+G(d,p) basis set (Figure 2). After



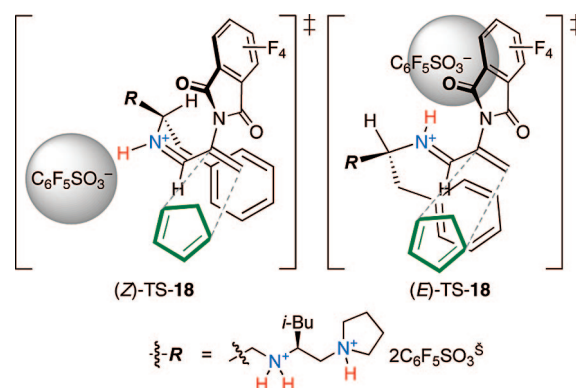
**Figure 2.** Relative energy difference between (*E*)- and (*Z*)-geometries of aldiminium salt **17** based on theoretical calculations.

satisfactory geometry optimization, the vibrational spectrum of each species was calculated. As shown in Figure 2, the relative energy of (*Z*)-**17** is 2.8 kcal/mol lower than that of

(12) Theoretical calculations were performed using the Gaussian 03 programs. Frisch, M. J.; Trucks, G. W.; Schlegel, H. B.; Scuseria, G. E.; Robb, M. A.; Cheeseman, J. R.; Montgomery, J. A., Jr.; Vreven, T.; Kudin, K. N.; Burant, J. C.; Millam, J. M.; Iyengar, S. S.; Tomasi, J.; Barone, V.; Mennucci, B.; Cossi, M.; Scalmani, G.; Rega, N.; Petersson, G. A.; Nakatsuji, H.; Hada, M.; Ehara, M.; Toyota, K.; Fukuda, R.; Hasegawa, J.; Ishida, M.; Nakajima, T.; Honda, Y.; Kitao, O.; Nakai, H.; Klene, M.; Li, X.; Knox, J. E.; Hratchian, H. P.; Cross, J. B.; Bakken, V.; Adamo, C.; Jaramillo, J.; Gomperts, R.; Stratmann, R. E.; Yazyev, O.; Austin, A. J.; Cammi, R.; Pomelli, C.; Ochterski, J. W.; Ayala, P. Y.; Morokuma, K.; Voth, G. A.; Salvador, P.; Dannenberg, J. J.; Zakrzewski, V. G.; Dapprich, S.; Daniels, A. D.; Strain, M. C.; Farkas, O.; Malick, D. K.; Rabuck, A. D.; Raghavachari, K.; Foresman, J. B.; Ortiz, J. V.; Cui, Q.; Baboul, A. G.; Clifford, S.; Cioslowski, J.; Stefanov, B. B.; Liu, G.; Liashenko, A.; Piskorz, P.; Komaromi, I.; Martin, R. L.; Fox, D. J.; Keith, T.; Al-Laham, M. A.; Peng, C. Y.; Nanayakkara, A.; Challacombe, M.; Gill, P. M. W.; Johnson, B.; Chen, W.; Wong, M. W.; Gonzalez, C.; Pople, J. A. *Gaussian 03*, revision C.02; Gaussian, Inc.: Wallingford CT, 2004.

(*E*)-**17**, and the *re*-face of the enamide moiety of (*Z*)-**17** is sterically shielded by the benzyl substituent.

Cyclopentadiene should approach enantioselectively the *si* face of the electron-deficient enamide moiety to give *endo*-(2*S*)-**12b** as a major isomeric product. Thus, as well as the (*Z*)-isomeric preference of **17**, it was expected that (*Z*)-transition-state (TS) assembly **18** would be preferred to (*E*)-TS-**18** (Figure 3).



**Figure 3.** Possible transition-state assemblies (*Z*)-**18** and (*E*)-**18**.

In summary, we have developed a catalytic and highly enantioselective DA reaction of dienes with **5** to provide cyclic  $\alpha$ -quaternary  $\alpha$ -amino acid precursors for the first time.<sup>5</sup> Chiral triamine **1**, which is conformationally more flexible than **2**, could be used as a catalyst ligand for cycloadditions for not only  $\alpha$ -acyloxyacroleins but also **5**. Further mechanistic studies are in progress and will be reported in the near future.

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**Supporting Information Available:** Experimental procedures and analytical data for all new compounds. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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(13) (a) Becke, A. D. *J. Chem. Phys.* **1993**, *98*, 5648–5652. (b) Stevens, P. J.; Devlin, J. F.; Chabowski, C. F.; Frisch, M. J. *J. Phys. Chem.* **1994**, *98*, 11623–11627.