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ONS-donor ligand based Pt(II) complexes with high anticancer activity were prepared. They induced autophagic cell death via LC3-I/LC3-II and recruitment of LC3B to autophagosomal membranes through activation of AKT/p21. These complexes showed strong cell growth retardation effect on *E. Coli* and resulted in filamentous morphology.

ONS-donor ligand based Pt(II) complexes display extremely high anticancer potency through autophagic cell death pathway

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Abstract

The current study unveils ONS-donor ligand based Pt(II) complexes with unusual anticancer potency showing higher anticancer effect as compared to cisplatin. This series of Pt(II)(Rsalicylaldimine)Cl (C1a-C4a) (R = 5-H, 5-CH₃, F, 3-CH₃O) complexes were prepared in single step in good isolated yields from commercially avialable materials. The chloride ancillary ligand of "a" series (C1a-C4a) was replaced with 4-picoline and "b" series of four complexes Pt(II)(R-

¹ F. -U. Rahman, A. Ali and H.-Q. Duong have equal contribution in this work

salicylaldimine)(4-picoline)BF₄ (C1b-C4b) (R = 5-H, 5-CH₃, F, 3-CH₃O) was obtained. All these complexes were characterized by different structure elucidation techniques. Among these, the structures of C1a, C2a, C2b and C3b were determined in solid state by single crystal X-ray analysis. We found quick aquation of "a" series of complexes in DMSO/water mixture that was well investigated by ¹H NMNR, LCMS and ESI-MS, while "b" series of these complexes was quite stable over a month as described by the ¹H NMNR in DMSO/D₂O mixture. This ONSdonor ligand based class of Pt(II) complexes showed unusual anticancer potency in non-small cell lung cancer A549, colorectal cancer HT-29 and triple negative breast cancer MDA-MB-231 cells. These Pt(II) complexes induced PARP cleavage and significantly inhibited colony formation ability of cancer cells. Mechanistically, we found reduced aggressive growth of cancer cells by the induction of autophagic cell death via LC3-I/LC3-II expression and recruitment of LC3B to autophagosomal membrane. These complexes induced p21 expression, that suggested their potentials to suppress cell cycle progression. Significant activation of caspase3/7-dependent apoptotic signaling was observed in cancer cells treated with these Pt(II) complexes. Morphological changes of cancer cells suggested their potentials to modulate epithelialmesenchymal-transition (EMT) like features of cancer cells. Gel electrophoresis study revealed their interaction with plasmid DNA. Similarly, strong growth retardation effect and filamentous morphology was observed in Escherichia coli (E. coli). These ONS-donor Pt(II) complexes possessed strong anticancer effect in multiple human cancer cells via activation of multiple pathways for apoptotic and autophagic cell death.

Key words

ONS-donor Pt(II) complexes, *In vitro* cytotoxicity, Caspase 3/7 activation, Autophagy, *E. Coli* growth.

1. Introduction

Platinum-based complexes have been approved as anticancer drugs (Fig. 1). According to an estimation, more than 50% of cancer treatments comprised of these platinum based drugs [1-3]. The major limitations associated with these drugs were their poor activity in certain types of cancers, inactivation by intracellular biomolecules, side effects and timely acquired or intrinsic resistance in cancer patients [4-8]. These limitations increase the need for developing new platinum-based anticancer agents with new assemblies which could increase the potency and minimize the other related limitations [9]. In designing of new platinum-based chemotherapeutic agents, emphasis was placed on the diversity of the coordinated ligands and new agents were prepared with different mode of action and favourable cytotoxicity in cancer cells as compared to the classical cisplatin [1, 10-15]. Though a number of, may be in thousands cytotoxic platinum complexes were reported but most of them were abandoned during initial clinical trials due to their inappropriate clinical effects [2, 16]. Struggles to develop novel platinum based chemotherapeutic drugs were continued for example Lippard and co-worker recently discovered a new class of monofunctional antineoplastic agents synthesized from cisplatin, finding a way to become platinum based anticancer drug candidates those showed better activities compared to the parent cisplatin [17-21].



Fig. 1 Clinically administered (approved) platinum based anticancer drugs; cisplatin, carboplatin and oxaliplatin are globally approved while nedaplatin, lobaplatin and heptaplatin are regionally approved in China, South Korea and Japan respectively.

Non-small cell lung carcinoma (NSCLC), colorectal carcinoma (CRC) and triple negative breast cancer (TNBC) are the most common and aggressive cancers develop in human body [22, 23]. Triple negative breast cancer has ability to metastasize to secondary parts of the body such as lungs, brain and colon. TNBC is a highly metastatic disease and cell from these patients often show very aggressive nature in culture. Mutations in these tumors make them highly aggressive, which is characterized by uncontrolled cell growth. Activation of growth factor receptor signaling, amplification of tumor promoting proteins and inhibition of tumor suppressor genes functions play a key role in cancer cell growth, survival and proliferation [24]. One of the hallmarks of cancer cells is to show resistance against chemotherapeutic agents due to activation of survival signaling, which in turn decreases the survival rate of cancer patients and contribute to poor prognosis[25]. Cancer cells often show less induction of apoptosis due to constant activation of multiple oncogenic signaling. Cancer cells often follow apoptotic signaling for cell death but in most cases, cell death also occurs through induction of autophagy[26, 27]. Autophagy is the alternative mechanism for cancer cell death, in which autophagosome play critical role in cell death. Activation of LC3-I and LC3-II proteins represents activation of autophagy mediated cell death[26].

Cancer patients showing resistance to conventional chemotherapeutic or other targeted therapies such as endocrine therapy and immunotherapy finally succumb to metastatic disease, which is one of the leading cause of cancer related mortality [28]. Currently, several anticancer agents are in clinical trials due to their cytotoxicity towards cancer cells. However, in most cases these agents display several side effects and therefore most of the clinical trials failed [2, 29, 30].

Therefore, identification and characterization of novel chemotherapeutic agents are considered important in clinical trials for more effective treatment and prolong survival of cancer patients. The final goal of these treatments is to reduce tumor burden and prevent recurrence and relapse. An important property of S-donor ligand is the incorporation of chirality on sulfur center after coordination with metal [31-33]. The neighboring group attached to sulfur affect the R or S stereoisomer formation on sulfur center. If the attached alkyl group is achiral like phenyl and methyl (methyl phenyl thioether) there is always expected a racemic mixture of R and S stereoisomers [34]. The presence of a neighboring chiral center attached to sulfur increase the particular anti or syn stereoisomers formation after coordination with metal [33]. This property of chirality on sulfur on a S-donor ligand was reported in a number of important metal catalyzed chiral catalysis [35]. Similarly, the already chiral sulfoxide ligand were also reported in some studies that retained its particular chirality after coordination with metal and functioned as chiral catalyst [36, 37]. Salicylaldimine based related ligand bearing such chirality or incorporation of chirality on S-donor atom after coordination with metal was observed but up to our knowledge it was not discussed previously [25, 38-41].

Salicylaldimine chelating ligands are important assemblies for the synthesis of a number of metal complexes, some of them were studied for their biological properties [42-45]. Platinum complexes of salicylaldimine ligands were mostly reported for their photo physical properties [46-49]. There are limited examples of platinum complexes based on salicylaldimine ligands with anticancer effect [50]. Heteroliptic Pt(II) complexes of salicylaldimine and cyclooctene coligands were reported for better anticancer effect in brain cancer [51]. We recently dedicated our efforts to the synthesis and characterization of salicylaldimine based mixed ligands Pt(II) complexes with anticancer activities, genes activation and effective bacterial growth retardation [52-57]. We established easy, productive and reproducible synthetic methodologies for these complexes from commercially available raw materials. In search of potent Pt(II) anticancer agents we continued our efforts to explore further structurally modified salicylaldimine based complexes and their anticancer properties.

In the current study we synthesized ONS-donor salicylaldimine ligand based Pt(II) complexes and structurally characterized them in details. Further, we have identified these Pt(II) complexes for their potent *in vitro* anticancer effect in multiple human cancer cells. Several mechanistic assays were conducted to investigate and compare their potency with market available anticancer drug cisplatin. They induced significant cancer cell death via activation of autophagy and caspase3/7-dependent signalling. Superior growth retardation effect and filamentous morphology was observed in *Escherichia coli* (*E. coli*) as compared to cisplatin.

2. Materials and methods

2.1. General experimental and materials (chemistry)

All analytical grade solvents and reagents were purchased from commercial sources and used without further purification unless otherwise mentioned. K₂PtCl₄ was purchased from Energy Chemical Company Shanghai. ¹H, ¹⁹F and ¹³C NMR analyses were conducted on bruker AVANCE III HD 400 MHz spectrophotometer at room temperature (298 K) in CDCl₃, D₂O or DMSO-*d*₆. LC-MS and HR-ESI MS analyses were performed on Agilent LC coupled with HR-MS machine Bruker micrOTOF II. X-ray data were collected on a Bruker APEX DUO diffractometer with graphite-monochromatized Mo K α radiation (λ = 0.71073 Å). All the structures were solved by Direct Method of SHELXS-97 and refined by full-matrix least squares techniques using the SHELXL-97 program within WINGX.[58, 59] Non-hydrogen atoms of the

crystallized compounds were refined with anisotropic temperature parameters. The hydrogen atoms attached to carbons were generated geometrically. Crystallographic data for **C1a**, **C2a**, **C2b** and **C3b**, CCDC 1482361-1482364, can be obtained from Cambridge Crystallographic Data Center 12 Union Road, Cambridge CB2 1EZ, UK; tel: +44-1223336408; fax: +44-1223336003; e-mail: deposit@ccdc.cam.ac.uk.

2.1.1. General procedure for the synthesis of ligands (L1 - L4)

1 mmol of particular salicylaldehyde and 1 mmol of 2-(methylthio)aniline were taken in 10 mL of CH₂Cl₂/MeOH (1:1) mixture. The reaction mixture was stirred at 50 °C for 10 h. After completion the mixture was dried with anhydrous sodium sulfate and vacuum evaporated. L1–L4 were recrystallized from cold ethanol in freezer and characterized as below. Spectroscopic characterization data were given below and original spectra were given in the ESI.

L1[25], Yield and spectroscopic analysis results were similar as reported previously. ¹H NMR (400 MHz, CDCl3) δ 8.6 (s, 1H), 7.47 – 7.37 (m, 2H), 7.32 – 7.27 (m, 2H), 7.24 – 7.16 (m, 2H), 7.1 (d, J = 8.2 Hz, 1H), 7.0 (t, J = 7.4 Hz, 1H), 2.5 (s, 3H).



L2, 63% isolated yield, yellow solid. ¹H NMR (400 MHz, DMSO- d_6) δ 12.7 (s, 1H), 8.9 (s, 1H), 7.4 (s, 1H), 7.4 (d, J = 7.7 Hz, 1H), 7.34 – 7.26 (m, 2H), 7.26 – 7.18 (m, 2H), 6.9 (d, J = 8.4 Hz, 1H), 2.4 (s, 3H), 2.3 (s, 3H). ¹³C NMR (100 MHz, DMSO- d_6) δ 163.1, 158.5, 145.0, 134.9, 134.7, 133.0, 128.1, 128.0, 125.6, 125.0, 119.4, 118.0, 117.0, 20.4, 14.2. HRMS (ESI): Calcd for C₁₄H₁₃NOSNa 280.0772: [M+Na]⁺, Found: 280.0767.

L3, 78% isolated yield, yellowish orange solid. ¹H NMR (400 MHz, DMSO- d_6) δ 12.7 (s, 1H), 8.9 (s, 1H), 7.5 (dd, J = 8.9, 3.2 Hz, 1H), 7.4 (d, J = 7.8 Hz, 1H), 7.35 – 7.20 (m, 4H), 7.0 (dd, J = 9.0, 4.5 Hz, 1H), 2.5 (s, 3H). ¹⁹F NMR (376 MHz, DMSO- d_6) δ -125.1 - -125.2 (m). ¹³C NMR (100 MHz, DMSO- d_6) δ 161.9, 161.9, 156.9, 155.3 (d, J = 234.5 Hz), 144.7, 135.1, 128.4, 125.4 (d, J = 54.5 Hz), 120.9 (d, J = 23.6 Hz), 119.9 (d, J = 7.7 Hz), 118.5 (d, J = 7.7 Hz), 118.0, 117.7 (d, J = 23.4 Hz), 14.2. HRMS (ESI): Calcd for C₁₄H₁₂NFOSNa 284.0521: [M+Na]⁺, Found: 284.0528



L4, 87% isolated yield, red solid. ¹H NMR (400 MHz, DMSO- d_6) δ 13.1 (s, 1H), 8.9 (s, 1H), 7.4 (d, J = 7.8 Hz, 1H), 7.34 – 7.29 (m, 2H), 7.26 – 7.20 (m, 2H), 7.1 (d, J = 7.9 Hz, 1H), 6.9 (t, J = 7.9 Hz, 1H), 3.8 (s, 3H), 2.4 (s, 3H). ¹³C NMR (100 MHz, DMSO- d_6) δ 163.4, 150.9, 148.4, 144.7, 134.9, 128.2, 125.6, 125.1, 124.6, 119.6, 119.1, 118.0, 116.2, 56.3, 14.2. HRMS (ESI): Calcd for C₁₅H₁₅NO₂SNa 296.0721: [M+Na]⁺, Found: 296.0704.

2.1.2. General procedure for the synthesis of C1a-C4a

 K_2PtCl_4 (0.05 mmol) was taken in 1 mL of DMSO and 5 mL of methanol and stirred vigorously to dissolve the solid at room temperature. Particular ligand (**L1 - L4**), 0.05 mmol and NaOAc (0.05 mmol) were dissolved in 15 mL of MeOH in a 50 mL round bottom flask and heated to reflux. K_2PtCl_4 solution prepared previously was added to it in one portion and the mixture was stirred at reflux for 24 h. After completion, the mixture was cooled to rt and volatiles were evaporated to minimum volume (approx. 2 mL). 10 mL of water was added to the flask with constant stirring. The yellow to orange precipitate formed was filtered, washed with water and dried in air. The solid complex (C1a-C4a) was further recrystallized form CH_2Cl_2 -n-hexane and characterized as below. Spectroscopic characterization data were given below while the original spectra were given in the ESI.



C1a, 78% yield, yellow solid. ¹H NMR (400 MHz, DMSO- d_6) δ 9.6 (s, $J_{Pt-H} = 64$ Hz 1H), 8.4 (d, J = 8.6 Hz, 1H), 8.1 (d, J = 7.7 Hz, 1H), 7.9 (d, J = 6.9 Hz, 1H), 7.6 (t, J = 7.6 Hz, 2H), 7.48 (t, J = 7.5 Hz, 1H), 7.0 (d, J = 8.6 Hz, 1H), 6.8 (t, J = 7.4 Hz, 1H), 2.9 (s, 3H). ¹³C NMR (100 MHz, DMSO- d_6) δ 163.0, 153.5, 153.1, 137.2, 136.4, 132.0, 131.9, 129.8, 129.2, 121.1, 121.0, 119.0, 117.3, 28.4. HRMS (ESI): Calcd for C₁₄H₁₂CINOPtSNa 494.9874: [M+Na]⁺, Found: 494.9869.



C2a, 81% isolated yield, yellow solid. ¹H NMR (400 MHz, DMSO- d_6) δ 9.6 (s, $J_{Pt-H} = 64$ Hz, 1H), 8.4 (d, J = 8.5 Hz, 1H), 8.1 (d, J = 7.8 Hz, 1H), 7.6 (s, 1H), 7.6 (t, J = 7.3 Hz, 1H), 7.51 – 7.38 (m, 2H), 6.9 (d, J = 8.7 Hz, 1H), 2.9 (s, 3H), 2.3 (s, 3H). ¹³C NMR (100 MHz, DMSO- d_6) δ 161.5, 153.1, 153.0, 139.0, 134.8, 132.0, 131.9, 129.6, 129.1, 125.5, 120.9, 120.6, 118.8, 28.3, 20.1. HRMS (ESI): Calcd for C₁₅H₁₄CINOPtSNa 509.0030: [M+Na]⁺, Found: 509.0020.



C3a, 83% yield, orange solid. ¹H NMR (400 MHz, DMSO- d_6) δ 9.66 (s, $J_{Pt-H} = 68$ Hz, 1H), 8.40 (d, J = 8.5 Hz, 1H), 8.10 (d, J = 7.7 Hz, 1H), 7.68 (d, J = 9.6 Hz, 1H), 7.62 (t, J = 7.8 Hz, 1H),

7.56 – 7.46 (m, 2H), 7.00 (dd, J = 9.3, 4.6 Hz, 1H), 2.90 (s, 3H). ¹⁹F NMR (376 MHz, DMSO- d_6) δ -129.0 - 129.2 (m). ¹³C NMR (100 MHz, DMSO- d_6) δ 159.8, 153.6 (d, J = 231 Hz), 152.8, 152.8, 152.8, 132.1, 132.0, 129.7 (d, J = 58.3 Hz), 125.7 (d, J = 25.0 Hz), 122.6 (d, J = 7.9 Hz), 119.8 (d, J = 3.0 Hz), 118.9, 118.3 (d, J = 22.6 Hz), 28.3. HRMS (ESI): (ESI): Calcd for C₁₄H₁₁CIFNOPtSNa 512.9779: [M+Na]⁺, Found: 512.9769.



C4a, 87% isolated yield, reddish orange solid. ¹H NMR (400 MHz, DMSO- d_6) δ 9.6 (s, $J_{Pt-H} = 60$ Hz, 1H), 8.4 (d, J = 8.6 Hz, 1H), 8.1 (d, J = 7.8 Hz, 1H), 7.6 (t, J = 7.7 Hz, 1H), 7.5 – 7.4 (m, 2H), 7.2 (d, J = 7.3 Hz, 1H), 6.7 (t, J = 7.9 Hz, 1H), 3.8 (s, 3H), 2.9 (s, 3H). ¹³C NMR (100 MHz, DMSO- d_6) δ 154.7, 153.2, 153.1, 151.0, 132.0, 131.9, 129.6, 129.2, 127.3, 121.0, 118.9, 116.5, 116.4, 56.2, 28.4. HRMS (ESI): (ESI): Calcd for C₁₅H₁₄ClNO₂PtSNa 524.9979: [M+Na]⁺, Found: 524.9953.

2.1.3. General procedure for the synthesis of C1b-C4b

A mixture of 0.05 mmol of particular complex (C1a to C4a) and 0.05 mmol of AgBF₄ was stirred in 10 mL CH₃CN at 60 °C for 2 h. Solid AgCl precipitated was filtered from the mixture through celite. The yellowish filtrate collected was evaporated to dryness and a yellow solid was obtained. It was added with 10 mL of CH₂Cl₂ and excess (2 drops) of 4-picoline. This mixture was stirred at rt for 24 h and evaporated. The yellow to orange solid recovered was dissolved in CH₂Cl₂ and passed through a 2×5 cm silica column eluted with 1 % CH₃OH-CH₂Cl₂. After evaporation of the volatile a yellow to orange solid for each complex (C1b-C4b) was recovered. The solid was further recrystallized form CH₂Cl₂-n-hexane and characterized. Spectroscopic characterization data were given below while the original spectra were given in the ESI.



C1b, 73% isolated yield, orange solid. ¹H NMR (400 MHz, DMSO- d_6) δ 9.5 (s, 1H), 8.8 (d, J = 6.6 Hz, 2H), 8.5 (d, J = 8.5 Hz, 1H), 8.1 (d, J = 7.9 Hz, 1H), 8.0 (d, J = 8.1 Hz, 1H), 7.7 – 7.65 (m, 1H), 7.64 – 7.6 (m, 3H), 7.5 (t, J = 7.5 Hz, 1H), 7.0 (d, J = 8.5 Hz, 1H), 6.9 (t, J = 7.0 Hz, 1H), 2.8 (s, 3H), 2.5 (s, 3H). ¹⁹F NMR (376 MHz, DMSO- d_6) δ -148.2, -148.3. ¹³C NMR (100 MHz, DMSO- d_6) δ 162.7, 156.3, 153.4, 152.8, 152.0, 138.1, 136.9, 132.6, 132.3, 130.3, 128.3, 127.3, 121.3, 120.6, 119.4, 118.3, 28.7, 21.2. HRMS (ESI): Calcd for C₂₀H₁₉N₂OPtS 530.0866: [M-BF₄]⁺, Found: 530.0870.



C2b, 79% isolated yield, yellowish organge solid. ¹H NMR (400 MHz, DMSO-*d*₆) δ 9.4 (s, 1H), 8.8 (d, *J* = 6.5 Hz, 2H), 8.5 (d, *J* = 8.6 Hz, 1H), 8.1 (d, *J* = 7.8 Hz, 1H), 7.7 (s, 1H), 7.7 (t, *J* = 7.4 Hz, 1H), 7.6 (d, *J* = 6.3 Hz, 2H), 7.5 (t, *J* = 7.5 Hz, 1H), 7.5 (d, *J* = 8.8 Hz, 1H), 6.9 (d, *J* = 8.7 Hz, 1H), 2.8 (s, 3H), 2.5 (s, 3H), 2.3 (s, 3H). ¹⁹F NMR (376 MHz, DMSO-*d*₆) δ -148.2, -148.3. ¹³C NMR (100 MHz, DMSO-*d*₆) δ 161.2, 155.8, 153.4, 152.9, 152.0, 139.8, 135.4, 132.6, 132.3, 130.1, 128.3, 127.2, 126.6, 120.8, 120.4, 119.2, 28.6, 21.2, 20.1. HRMS (ESI): Calcd for C₂₁H₂₁N₂OPtS 544.1022: [M-BF₄]⁺, Found: 544.1034.



C3b, 68% yield, yellow solid. ¹H NMR (400 MHz, DMSO- d_6) δ 9.5 (s, 1H), 8.8 (d, J = 6.5 Hz, 2H), 8.4 (d, J = 8.6 Hz, 1H), 8.2 (d, J = 7.9 Hz, 1H), 7.8 (dd, J = 9.5, 3.2 Hz, 1H), 7.7 (t, J = 7.9 Hz, 1H), 7.64 – 7.50 (m, 4H), 7.0 (dd, J = 9.4, 4.7 Hz, 1H), 2.8 (s, 3H), 2.5 (s, 3H). ¹⁹F NMR (376 MHz, DMSO- d_6) δ -127.6 – -127.8 (m), -148.2, -148.3. ¹³C NMR (100 MHz, DMSO- d_6) δ 159.6, 155.7, 154 (d, J = 232.0 Hz), 153.5, 152.8, 152.0, 132.6, 132.4, 130.5, 128.3, 127.5, 126.5 (d, J = 25.0 Hz), 122.3 (d, J = 7.9 Hz), 120.2 (d, J = 8.8 Hz), 119.3, 118.9 (d, J = 22.8 Hz), 28.7, 21.2. HRMS (ESI): Calcd for C₂₀H₁₈FN₂OPtS 548.0772: [M-BF₄]⁺, Found: 548.0772.



C4b, 82% isolated yield, orange solid. ¹H NMR (400 MHz, DMSO- d_6) δ 9.4 (s, 1H), 8.8 (d, J = 6.1 Hz, 2H), 8.4 (d, J = 8.6 Hz, 1H), 8.1 (d, J = 7.8 Hz, 1H), 7.6 (t, J = 7.7 Hz, 1H), 7.6 (d, J = 5.9 Hz, 2H), 7.5 (t, J = 7.5 Hz, 1H), 7.4 (d, J = 8.2 Hz, 1H), 7.2 (d, J = 7.3 Hz, 1H), 6.8 (t, J = 7.9 Hz, 1H), 3.8 (s, 3H), 2.8 (s, 3H), 2.5 (s, 3H). ¹⁹F NMR (376 MHz, DMSO- d_6) δ -148.2, -148.3. ¹³C NMR (100 MHz, DMSO- d_6) δ 155.8, 154.0, 153.4, 152.8, 151.9, 150.3, 132.6, 132.4, 130.2, 128.2, 127.6, 127.1, 121.2, 119.2, 117.5, 117.5, 56.6, 28.8, 21.2. HRMS (ESI): Calcd for C₂₁H₂₁N₂O₂PtS 560.0971: [M-BF₄]⁺, Found: 560.0975.

2.2. General experimental (Biology)

2.2.1. Cell culture and reagents

Non-small cell lung cancer (A549), colorectal cancer (HT-29) and triple negative breast cancer (MDA-MB-231) cells were purchased from American Type Culture Collection (ATCC, Manassas, VA). HT-29 cells were cultured in McCoy's 5A supplemented with 10% heat-inactivated fetal bovine serum (HI-FBS; Gibco, Life Technologies, Naerum, Denmark) and 100 units/mL penicillin/streptomycin. MDA-MB-231 and A549 cells were cultured in DMEM supplemented with 10% HI-FBS, 1% glutamine and 100 units/mL penicillin/streptomycin.

2.2.2. MTS assay

HT-29, MDA-MB-231 or A549 Cells counted by the $TC20^{TM}$ Automated Cell Counter (Bio-Rad, Pleasanton, CA, USA) were plated in 96-well flat bottom plates at a density of 2000 cells per well in triplicate and then treated with various concentrations (0, 1, 3, 5 and/or 10 μ M) of each complexes (**C1a, C1b, C2a, C2b, C3a, C3b, C4a** and/or **C4b**) or cisplatin (10 μ M) for 72 h. Cell viability was determined using the MTS assay reagent (CellTiter 96 AQueous one Solution Cell proliferation Assay; Promega, Madison, WI, USA) according to the manufacturer's protocol. The absorbance was measured at 490 nm using a Wallac Victor² 1420 Multilabel counter (Perkin Elmer, Wellesley, MA). Measurements were performed and the concentration required for a 50% inhibition of viability (IC₅₀) was determined graphically. Standard Graph was plotted by taking concentration of the complexes and relative cell viability. Percentage of cell survival in the untreated condition was designated as 100%. Relative cell viability = (experimental absorbancebackground absorbance)/(absorbance of untreated control-background absorbance) x 100%.

2.2.3. Clonogenic assay

HT-29 and A549 cells were seeded in 60 cm dishes at a density of 3000 cells per dish in duplicate. 24 h after plating, various concentrations (0, 0.1, and/or 0.3 μ M) of each complex (**C1b**, **C2b**, **C3b** and/or **C4b**) or cisplatin (0.25 μ M) were added to each dish. After treatment for 24 h, cells were washed by PBS and further incubated for 15 days. Cells were subsequently stained with 0.5% crystal violet in 25% methanol-containing PBS. Colonies were examined under a light microscope and counted after capturing images, as described [60]

2.2.4. Western blot analysis

HT-29 or A549 cells were grown to ~70% confluency and reagents were added as indicated concentrations. Cells were lysed in a buffer containing 20 mM Tris-HCl, 0.5 M NaCl, Triton X-100, 1 mM EDTA, 1 mM EGTA, 10 mM β-glycophosphate, 10 mM NaF, 300 µM Na₃VO₄, 1 mM Benzamidine, 2 µM PMSF, and 1 mM DTT. The protein concentration was determined using the BCA protein assay (Thermo Scientific, Rockford, IL, USA). Proteins were separated on SDS-PAGE, transferred on to PVDF membrane, blocked in 5% nonfat milk and probed with the following antibodies: phospho-ERK1/2 (Y202/T204), phospho-AKT (S473), PARP, GAPDH and AKT (Cell Signaling Technology, Boston, MA, USA), ERK1/2 and p21 (Santa Cruz Biotechnology, Santa Cruz, CA, USA) and LC3B (Thermo Scientific). Then, the membranes were incubated with horseradish peroxidase (HRP)-conjugated secondary antibodies (Amersham Biosciences, GE Healthcare, Piscataway, NJ, USA) for 1.5 h. Signals were revealed by chemiluminescence (Thermo Scientific) according to the manufacturer's recommendation and exposed with X-ray film (Thermo Scientific).

2.2.5. Caspase-3/7 activity assay

Caspase-3/7 activities were quantified using the Caspase-3/7 Glo Assay (Promega) according to the manufacturer's protocol. HT-29 or A549 Cells were treated with various concentrations (0, 1 and/or 3 μ M) of each complex (**C1b**, **C2b**, **C3b** and/or **C4b**) or cisplatin (10 μ M) for 24 h and Caspase-3/7 activities were quantified from cell lysates. Luminescence was measured at 490 nm using Wallac Victor² 1420 Multilabel counter (Perkin Elmer). Luminescence values in vehicle-treated control samples were set to 1 and values obtained in other experimental conditions were relative to that.

2.2.6. Immunofluorescences

A549 cells $(1.5 \times 10^5$ per well of six-well plates) were seeded onto cover slip. Cells were untreated or treated with **C2b** (3 µM) or cisplatin (10 µM) for 18 h. After then, cells were rinsed with ice-cold PBS and fixed with 4% paraformaldehyde for 15 minutes at room temperature followed by permeabilization with 0.25% Triton X-100 for 10 minutes at room temperature. Cells were then incubated with 1% BSA for 45 minutes and subsequently incubated with primary antibodies for overnight at 4 °C followed by 1 h of incubation at room temperature with FITC-conjugated secondary antibodies. Images were acquired with the confocal system of Leica SP5 inverted microscope (Leica Microsystems, Wetzlar, Germany).

2.2.7. Immunofluorescences

Statistical comparisons were made using the two-tailed student's *t*-test where appropriate. Results were considered significant in all experiments at * means P < 0.05, ** means P < 0.01 and *** means P < 0.001. Data were expressed as the mean \pm SD of the mean.

3. Results

3.1. Chemistry

3.1.1. Synthesis and structure elucidation

The new series of Pt(II) complexes of ONS-donor substituted salicylaldimine ligands viz Pt(II)(5-methylsalicylaldimine)Cl Pt(II)(salicylaldimine)Cl (C1a). (C2a), Pt(II)(5fluorosalicylaldimine)Cl (C3a) and Pt(II)(3-methoxysalicylaldimine)Cl (C4a) were prepared in excellent isolated yields (Scheme 1). Chloride ancillary ligand in C1a-C4a was further exchanged with 4-picoline. C1a-C4a was treated with an equivalent amount of AgBF₄ and excess 4-picoline subsequently four complexes and new series of i.e. a Pt(II)(salicylaldimine)(picoline)BF₄ (C1b), Pt(II)(5-methylsalicylaldimine)(picoline)BF₄ (C2b), Pt(II)(5-fluorosalicylaldimine)(picoline)BF₄ (C3b)Pt(II)(3and methoxysalicylaldimine)(picoline)BF₄ (C4b) were obtained in excellent isolated yields (Scheme 1). The structures of all ligands and complexes were characterized by ¹H, ¹⁹F and ¹³C NMR spectroscopy and high-resolution electrospray ionization (HR-ESI) mass spectrometry (ESI Fig. S9-48). Structures of C1a, C2a, C2b and C3b were determined by single crystal X-ray analysis. In case of each ligand (L1-L4), we can observe the phenolic OH proton chemical shift in the most downfield region 12.7-13.12 ppm for L1-L4. The imine proton chemical shifts for these ligands were also observed in nearly similar region (8.6-8.9 ppm). The other prominent peak of methyl attached to sulfur atom was similar in all ligand and observed around 2.45 ppm. When each ligand was reacted with K₂PtCl₄ and C1a-C4a were prepared we observed the disappearance of phenolic "OH" proton for each ligand, showing the covalent bond formation between Pt(II) and O atoms. similarly clear changes were observed in the imine proton chemical shift which was shifted about 1 ppm up-field in each complex as compared to the respective ligand. The CH₃ protons chemical shift of the methyl group attached to S atom was also shifted about 0.4 ppm downfield as compared to the chemical shift observed in case of each free ligand (**L1-L4**), showing the coordination of Pt(II) with S atom. The other aromatic protons were also shifted little up or down field. In case of "b" series of complexes we found three new chemical shifts two doublet peaks in the aromatic regions around 8.82 and 7.62 ppm each for two protons of the pyridine part of 4-picoline and one singlet peak around 2.48 ppm for three proton of the methyl group of 4-picoline. This showed the coordination of one 4-picoline as co-ligand to Pt(II) center. ¹³C NMR peaks of each ligand and complex showed similar trend. The imine carbon peak was observed the most downfield for each ligand and Pt(II) complex. Each carbon chemical shift was shifted little up or down field in "a" series of complexes as compared to the respective ligand and all these peaks were found similar in "b" series of complexes. In "b" series three new signals in the aromatic region were observed, assigned to pyridine part and one in the up field region assigned to methyl carbon of the co-ligand 4-picoline.

Each symmetrical salicylaldimine ligand (L1-L4) coordinate with Pt metal and gave a racemic mixture of asymmetric complex (C1a-C4a) bearing S-donor atom as stereo-center. Both these stereo-isomers can be observed in the single crystal packing of C1a, C2a, C2b and C3b (Fig. 3). The selectivity for a particular stereoisomer is difficult to optimize and similarly isolation of these isomers may also be difficult.



Scheme 1. Synthetic route for L1-L4, C1a-C4a and C1b-C4b



Fig. 2. Single crystal plot of (A) C1a, (B) C2a, (C) C2b and (D) C3b.

3.1.2. Single crystal description of C1a, C2a, C2b and C3b

X-ray quality crystals of C1a, C2a, C2b and C3b were grown from CH_2Cl_2 -n-hexane solution of these complexes. The ORTEP plot of these crystals was displayed in Fig. 2. The crystal

structure refinement parameters for these complexes are summarized in ESI table S1 and structure plots of these complexes were displayed in ESI Fig S5-8. All these complexes crystalized in monoclinic crystal systems where Pt adopts square planner symmetry. The single crystal packing of each complex was consisted of racemic mixture of R and S stereoisomers with S donor atom of salicylaldimine as stereo center (Fig. 3). Three peripheries of Pt in C1a and C2a were occupied by ONS-donor atoms of salicylaldimine ligand and the fourth position was occupied by ancillary chloride that occupied *trans* position to salicylaldimine N-donor atom. C2b and C3b symmetry was similar to the fore-mentioned except the ancillary Cl⁻ was replaced by 4-picoline. Selected bond lengths, angles and torsion angles of C1a, C2a, C2b and C3b were summarized in Table 1. The sum of bond angles around Pt in all these complexes was ca. 360° (Table 1). The bond lengths of the donor atoms of the salicylaldimine ligand and Pt center were comparable in all these complexes (Table 1 entry 1-3). The bond length between Pt(1) and ancillary Cl(1) in C1a and C2a were quiet matching (Table 1 entry 4) while in C2b and C3b the bond length between Pt(1) and N(2) were equal (Table 1 entry 4). The bond angles among donor atoms in C1a and C2a showed similarity. Similarly, C2b and C3b were similar while the bond angles of complexes in both these group were quite different from each other. ONSsalicylaldimine donor ligand in all these complexes occupied almost planner symmetry around Pt(II) center.

Entry	Bond/Angle	C1a	C2a	C2b	C3b		
Bond lengt	h (Å)						
1	Pt(1)-O(1)	1.991(4)	1.989(5)	1.956(6)	1.977(3)		
2	Pt(1)-N(1)	1.995(5)	1.975(5)	1.988(7)	1.983(4)		
3	Pt(1)-S(1)	2.2136(17)	2.212(2)	2.226(3)	2.2227(15)		
4	Pt(1)-Cl(1)/N(2)	2.322(2)	2.319(2)	2.039(7)	2.039(4)		
Bond angle	? (°)						
5	$\angle O(1)$ -Pt(1)-N(1)	94.17(19)	94.9(2)	93.8(3)	94.99(14)		
6	$\angle O(1)$ -Pt(1)-S(1)	176.06(15)	177.02(14)	176.44(18)	177.75(10)		
7	$\angle N(1)$ -Pt(1)-S(1)	87.25(15)	87.48(16)	88.0(2)	86.92(11)		

Table 1. Selected bond length (Å), angles (°) of C1a, C2a, C2b and C3a

9 $\angle N(1)$ -Pt(1)-Cl(1)/N(2) 177.27(14) 176.86(16) 178.3(3) 175.98(1 10 $\angle S(1)$ -Pt(1)-Cl(1)/N(2) 90.03(7) 89.47(7) 92.9(2) 92.64(11)	8	∠O(1)-Pt(1)-Cl(1)/N(2)	88.56(14)	88.13(14)	85.3(3)	85.54(14)	
10 $\sqrt{S(1)-Pt(1)-Cl(1)/N(2)}$ 90.03(7) 89.47(7) 92.9(2) 92.64(11)	9	$\angle N(1)$ -Pt(1)-Cl(1)/N(2)	177.27(14)	176.86(16)	178.3(3)	175.98(15)	
$10 \qquad 25(1)1(1) C1(1)1(2) \qquad 90.05(1) \qquad 09.11(1) \qquad 92.9(2) \qquad 92.0(11)$	10	$\angle S(1)$ -Pt(1)-Cl(1)/N(2)	90.03(7)	89.47(7)	92.9(2)	92.64(11)	



Fig. 3 Single crystal plot of *R* and *S* stereo isomers observed in single crystal packing (A) **C1a**, (B) **C2a**, (C) **C2b** and (D) **C3b**, S donor atom having a lone pair and three different atoms (Pt(1), C(9) and C(14/15)) attached showed stereo- isomerism.

3.1.3. Stability/aquation of reference complexes C2a and C2b

Platinum complexes and approved platinum-based drugs have low or no solubility in water or cell culture media therefore their stock solutions are prepared in dimethyl sulfoxide (DMSO) or other organic solvent for their *in vitro* or *in vivo* biological screening [61]. Similarly platinum anticancer complexes were exposed to aquation in solution state and in most cases the ancillary chloride is exchanged by water or any donor co-solvent like DMSO so the solution based investigation of their stability or aquation is important and it also affect the biological properties of a platinum complexes [62, 63]. The ultimate target of platinum complexes is DNA or other

biomolecules that function as a carrier for platinum complexes. The aquation of chloride ancillary ligand prior to binding with DNA is considered an important step in interaction of a metal complex with DNA [64, 65]. In the presence of DMSO this aquation may be replaced by the coordination of DMSO to Pt which may alter the structure of the platinum complex and in turn affect the anticancer activity [61].

To check this aquation and stability of the current complexes, we took **C1a** and **C1b** as reference compounds and checked their stability in 17% $D_2O/DMSO-d_6$ mixture using ¹H NMR spectroscopy. We observed different behavior in case of **C1a** in which we observed aquation over the passage of time and in the aquated complex water was exchanged by DMSO (Scheme 2). In shorter time (12 h) the equilibrium was reached and that remained constant for at least one month (Fig. 4).



Scheme 2 Dissociation of C1a in DMSO/water mixture showing replacement of C1⁻ by DMSO By the replacement of C1⁻ ligand of Pt by DMSO we observed a new set of same number of peaks in slight up field or downfield for a new closely related compound. In the ¹H NMR spectra taken in pure DMSO- d_6 (Fig. 4), we did not observe such replacement of C1⁻ even after long time that showed water is crucial for such dissociation and showed involvement of water in this process. To confirm this phenomenon we performed LC-HRMS analysis for similar mixture in prepared in non-deuterated water/DMSO mixture (ESI Fig. S2-3). We found a new single charge specie which m/z was equal to C1a-DMSO. This confirmed the aquation by water and then replacement of water by DMSO donor ligand. Similarly we conducted HR-MS analysis for **C2a** in pure DMSO and we found only $[M+H]^+$ peak (ESI Fig. S4). This proved in the absence of water such replacement of Cl⁻ by DMSO was not possible.



Fig. 4 Stability analysis of **C1a** in 17 % $D_2O/DMSO$ at mentioned time points. The new peak appearance showed the displacement of the ancillary Cl⁻ ligand by DMSO or D_2O and the equilibrium was established at 12 h time point that remained unchanged up to 30 days.

C2b was taken as a reference compound for "b" series of these complexes. Repeated ¹H NMR spectra were recorded over 30 days (ESI Fig. S1). No changes were observed in the respective proton chemical shifts, it showed **C2b** was quite stable under these conditions and also denoted that "b" series of these complexes is a highly stable group of complexes with higher anticancer effect.

The ultimate target of Pt complexes was confirmed to be DNA and the formation of Pt-DNA adduct, that further inhibited DNA replication and resulted in apoptosis. In some studies, it was

shown that cisplatin is aquated inside cell or it interacted with other biomolecules like S-donor amino acid to reach the ultimate target DNA inside nucleus and there by stop cells growth [9, 62, 65-67]. So considering these facts hydrolysis may be a positive property of platinum complexes and it facilitates the interaction of these complexes (**C1a-C4a**) with other biomolecule and DNA. Considering "b" series (**C1b-C4b**) of complexes the S-donor atom of the ligand may work as labile group to interact with DNA. This idea may need further experimentation, which could be a matter of further mechanistic studies.

3.2. Results (Biology)

3.2.1. In vitro cytotoxicity analysis of C1a-C4a or C1b-C4b

To investigate the effect of **C1a-C4a** or **C1b-C4b** on cancer cell growth and proliferation, we performed MTS assay (Fig. 5). We tested the effect of **C1a-C4a** or **C1b-C4b** in colorectal cancer HT-29, triple negative breast cancer MDA-MB-231 and non-small cell lung cancer A549 cells. We observed that all these complexes possessed significant cytotoxic effect towards these cancer cells in dose-dependent manner (0, 1, 3, 5 and 10 μ M). The *IC*₅₀ value for each complex calculated in three types of cancer cells (Table 2) was far lower from that calculated for cisplatin, showing the stronger cytotoxic property of this class of complexes as compared to cisplatin.

Fig. 5 and table 2 described cytotoxic profile of each of these complexes showing the effect of different substituent on phenolic part of the ligand in each complex. The IC₅₀ value of "a" series of complexes (**C1a-C4a**) was observed in the range 2.11-2.65 μ M that was about 5 times more as compared to that of cisplatin. The anticancer effect of "b" series of complexes (**C1b-C4b**) was better as compared to "a" series and cisplatin (Table 2). Among these complexes, **C2b** showed the highest cytotoxicity in all kind of cancer cells. The lowest IC₅₀ value (0.08 μ M) for **C2b** was observed in MDA-MB-231 cells.

Furthermore, the effect of **C1b**, **C2b** and **C3b** was stronger in comparison to other complexes in these cancer cells. Remarkably, cancer cells treated with **C1b** and **C2b** lead to huge cell death as compared with other complexes or cisplatin. Low concentrations of these complexes (1 and/or 3 μ M) were enough to initiate significant cell death and thereby suppress cancer cell growth. Taking together, these results suggested that all these complexes have huge potential to suppress cancer cell growth. Among these, the anticancer effect of **C1b**, **C2b** and **C3b** was higher than the other counterparts in cancer cells.





Fig. 5 C1a-C4a or **C1b-C4b** reduced cell growth ability of multiple cancer cells; MTS assay of HT-29, MDA-MB-231 and A549 cells treated with various concentrations (0, 1, 3, 5 and 10 μ M) of each of **C1a-C4a**, **C1b-C4b** or cisplatin (10 μ M) for 72 h and used to determine cell viability. Data from two independent experiments performed in triplicate are shown as mean \pm SD. (*P < 0.05; **P < 0.01; ***P< 0.005).

Table 2. In vitro antitumor effect(s) of C1a-C4a and C1b-C4b in various human cancer cells; IC_{50} (μ M										
Complex	C1a	C1b	C2a	C2b	C3a	C3b	C4a	C4b	cisplatin	
HT-29	2.11	0.61	2.31	0.22	2.87	0.66	2.65	1.02	>10	
MDA-MB-231	1.07	0.17	1.27	0.08	0.72	0.13	1.11	0.53	≥10	

*IC*₅₀ is defined as the concentration of a cytotoxic agent that is required for 50% inhibition of cells growth.

3.2.2. C1b-C3b induced apoptotic cell death (PARP cleavage)

Our results showed that **C1b-C3b** exhibited significant cytotoxic effect, therefore we decided to carry out further experiments with these complexes. To this end, we tested the effect of these

complexes in induction of apoptotic cell death by detection of PARP cleavage and Caspase-3/7 activation, which are significant markers of apoptosis. We treated HT-29 and A549 cells with 1 μ M and 3 μ M of **C1b-C3b** or with cisplatin (10 μ M). We observed strong induction of PARP cleavage induced by **C2b** and **C3b** in HT-29 cells (Fig. 6). In contrast, we observed activation of PARP cleavage only by **C2b** in A549 cells. In both cell lines, **C2b** was involved in strong activation of PARP cleavage (Fig. 6), suggesting its important role in the induction of apoptotic cell death by PARP cleavage.



Fig. 6 Western blot analysis of HT-29 and A549 cells treated with various concentrations of C1b-C3b or cisplatin (10 μ M) for 24 h and used to detect PARP cleavage to measure apoptotic cell death. Anti-GAPDH antibody was used as loading and transfer control.

Next, Caspase-3/7 dependent signaling is considered important for the activation of apoptosis. In most cases, activation of apoptotic signaling is coupled with activation of Caspase-3/7 dependent pathway. Thus, induction of Caspase-3/7 suggested initiation of apoptosis. Therefore, we decided to check the effect of these complexes on the induction of Caspase-3/7 dependent signaling in cancer cells. Importantly, we observed strong activation of Caspase-3/7 in response to **C1b-C4b** in both HT-29 and A549 cells (Fig. 7). These results points towards the role of these complexes in the initiation of apoptosis by Caspase-3/7 dependent pathways, suggesting that **C1b-C4b** have the ability to activate apoptotic signaling pathways through Caspase-3/7 activation. Taking together, these results from Fig. 6 and Fig. 7 showed that these complexes induce apoptotic cell death by activation of PARP cleavage and Caspase-3/7.



Fig. 7 Caspase-3/7 activity assay; HT-29 and A549 cells were treated with various concentrations of **C1b-C4b** or cisplatin (10 μ M) for 24 h and used to determine the apoptotic cell death. Experiments were repeated three times in duplicate and similar results were obtained. Data are shown as mean \pm SD (*P < 0.05; **P < 0.01; ***P< 0.005).

3.2.3. C1b-C4b suppressed colony formation ability of cancer cell

Cancer cells have the ability to form colonies due to uncontrolled cell growth coupled with abnormal DNA synthesis. Colony formation ability of cancer cells often leads to tumor burden *in vivo* and in most cases these colonies show resistance to cancer drugs. Activation of survival signaling plays key role in the clonogenic formation ability of cancer cells. Therefore, we tested the effect of **C1b-C4b** on the clonogenic formation ability of HT-29 and A549 cells. Interestingly, we observed that different doses of **C1b-C4b** were able to inhibit the colony formation ability of both HT-29 and A549 cells in comparison to cisplatin (Fig. 8). These complexes seemed to exert strong cytotoxic effect and thereby suppress their clonogenic

formation ability in these cells, suggesting their potentials to block the colony formation ability of cancer cell and play important role in the induction of apoptotic.



Fig. 8 Colony formation assay; A549 and HT-29 cells were treated with various concentrations of C1b-C4b or cisplatin (0.25 μ M) for 24 h and used to determine the long-term response. Representative photograph of clonogenic assay results are shown. Experiments were repeated three times and similar results were obtained.

3.2.4. C1b-C3b regulate MAPK signaling and p21 expression

Next, we determined the effect of **C1b-C3b** on the regualtion of mitogen activated protein kinases (MAPK) and p21 expression. To this end, we selected ERK1/2 and AKT which are parts of MAPK signaling. Intersetingly, we found that the effect of these complexes on the regulation of both ERK1/2 and AKT signaling was not obviuos (Fig. 9A), representing that these complexes may not target these signaling pathhways and may exert effect in context-dependent manner (Fig. 9A). Furthermore, we tested the effect on p21 expression in cancer cells treated with **C1b-C3b**. p21 is a tumor suppressor protein, which plays critical role in the inhibition of cell cycle progression by blocking DNA synthesis. We observed strong induction of p21 protein levels in both HT-29 and A549 cells, when traeted with these complexes (Fig. 9B). p21 activation suggested that **C1b-C3b** have potential to suppress cell cycle progression by inhibiting

DNA synthesis. In sum, our results suggested that these **C1b-C3b** exert their cytotoxic effect in a context-dependent manner which is obviuos from p21 regulation in these cancer cells.



Fig. 9 Effect(s) of C1b-C3b on the activation of ERK1/2, AKT and p21 signaling pathways; (A) Western blot of HT-29 and A549 cells treated with various concentrations of C1b-C3b or cisplatin (10 μ M) for 24 h was used to determine with indicated antibodies. Anti-GAPDH antibody was used as loading and transfer control (B) The enhanced expression of p21 induced by C1b-C3b; Western blot of HT-29 and A549 cells treated with various concentrations of C1b-C3b or cisplatin (10 μ M) for 24 h was used a stated with various concentrations of C1b-C3b or cisplatin (10 μ M) for 24 h and used to determine the expression with indicated antibodies.

3.2.5. Induction of autophagic cell death

Autophagy play important roles in cancer cell death via autophagosome. In most cases cancer cells follow autophagy mediated cell death in contrast to canonical apoptotic signaling, thus activation of autophagy and autophagy mediated cellular signaling is considered important in cancer cell death. Therefore, we also determined the effect of these complexes on autophagic

cancer cell death mechanism. To this end, we carried out immunoflorescence experiment to detect the activation of LC3B, which is a significant marker of autophagy. Importantly, we observed activation of LC3B in A549 cancer cells treated with 3 μ M of **C2b** (Fig. 10A). To further confirm these results, we performed western blot to detect LC3-I and LC3-II protein expressions in cancer cells in response to the treatment with these complexes. We observed activation of LC3-I/LC3-II protein levels in response to the treatment with **C1b-C3b** in both HT-29 and A549 cells, which further supported the activation of autophagy mediated cell death signaling by these complexes (Fig. 10B). Taken together, these results suggested potentials of these complexes in activation of autophagic cell death and thereby suppress the growth of cancer cells.



Fig. 10 Induction of autophagic cell death; (A) The Immunofluorescence analysis of A549 cells treated with **C2b** (3 μ M) or cisplatin (10 μ M) for 18 h and immunostained with an anti-LC3B antibody (B) **C1b-C3b** significantly induced autophagic cell death; Western blot analysis of HT-29 and A549 cells treated with various concentrations of **C1b-C3b** or cisplatin (10 μ M) for 24 h and used to detect LC3-I and LC3-II expression to measure autophagic cell death. Anti-GAPDH antibody was used for a loading and transfer control.

3.2.6. C1b-C4b modulate the EMT-like feature of cancer cells

Epithelial-mesenchymal-transition (EMT) plays important role in the invasion and metastasis of cancer. Most of the cancer cells exhibit EMT-like features, which help them to invade into blood stream and thereby promote cancer metastasis. Blocking of EMT-like features of cancer cells plays an important role in the inhibition of cancer metastasis. Therefore, we checked the effect of **C1b-C4b** on morphology of both HT-29 and A549 cells, which exhibits EMT-like features. We observed changes in the morphology of these cancer cells upon treatment with **C1b-C4b** (Fig. 11). We found that these cancer cells shrinked upon treatment with **C1b-C4b** that was coupled with the loss of EMT-like features (Fig. 11). In summary, these results suggested that **C1b-C4b** can inhibit EMT-like features of these cancer cells and thereby play a role in the suppression of cancer metastasis.



Fig. 11 Representative images showing cells morphological changes; A549 and HT-29 cells were treated with various concentrations of C1b-C4b or cisplatin (10 μ M) for 24 h and images were taken.

3.2.7. Effect on growth of bacterial (E. coli) cells

In addition to the *in vitro* anticancer effect study on cancer cells, we checked the effect of these complexes on bacterial cell growth. Several studies showed the effect of anticancer agents on bacterial cell growth and morphological features. Moreover, effect of anticancer Pt(II) complexes on bacterial cell growth and morphology became an important analysis [19]. It can be related to the serendipity and discovery of cisplatin as anticancer drug [68-71]. Therefore, we decided to check the effect of our complexes on growth rate and morphological features of *E. coli* cells. Interestingly we observed high growth retardation effect on bacterial cell upon treatment with **C1a**, **C1b**, **C2b**, **C3b** and **C4b** (Fig. 12A-B). Similarly, we observed filamentous morphology in *E. coli* cells when treated with these complexes (Fig. 12C). Consistently,

combination with our previous results these complexes have potential to retard bacterial cell growth coupled with morphological changes. These results suggest the importance of these complexes as potential agents for the inhibition of cell growth.



Fig. 12 Effect on the growth of *E. coli* in comparison with cisplatin; (A) Plot of growth (OD) versus time, data points represent the average of three independent experiments (B) Comparison of growth inhibition at the final time point 4.5 h. Error bars represent the average of three independent experiments (mean \pm S.D.) (C) Effect of Pt(II) complexes and cisplatin on morphology of *E. coli*; *E. coli* was incubated with 20 µM of each of these compounds for 4.5 h and imaged.

3.2.8. DNA binding analyzed by gel electrophoresis

One of the most important and common features of Pt(II) complexes is the binding with DNA and thereby change the conformation, which is considered critical for anticancer activity. Binding of metallic complexes with DNA prevent proper synthesis of DNA molecule and thereby play a role in the inhibition of cancer cell growth. Therefore, we studied to binding ability of these Pt(II) complexes with DNA by gel electrophoresis. To this end, we tested the interaction of these complexes with pUC19 plasmid DNA as a target. It has been shown that binding of metal complexes with DNA exhibit slow migration across the gel during gel electrophoresis. We observed pUC19 plasmid DNA incubated with **C1a**, **C1b**, **C2b**, **C3b** and **C4b** displayed slower migration as compared to the control (Fig. 13). Taken together, these results indicated the interaction of these complexes with DNA and suggested their diverse mode of action.



Fig. 13 Gel electrophoresis picture showing relative retardation of DNA; pUC19 DNA was incubated with 20 μ M of the indicated Pt(II) complexes at 37 °C for 24 h and gel electrophoresed.

4. Discussions

We reported ONS-donor salicylaldimine ligand based Pt (II) complexes consisting of two series **C1a-C4a** and **C1b-C4b**. The "a" series of complexes was converted to heteroliptic "b" series by replacement of the chloride ancillary ligand with 4-picoline which was easily achieved using silver salt mediated abstraction of the chloride from complexes in "a" series. Simple and reproducible methodologies were devised for their synthesis from commercially available reagents. These complexes were obtained in high isolated yields and characterized successfully by different spectroscopic or spectrophotometric analyses. Four complexes were characterized in solid state by single crystal X-ray analysis. A series of related structure of these complexes studied here demonstrated their feasible synthesis and structure confirmation and similarly these modifications affected their biological activities to certain extent. We successfully investigated these complexes to be potent anticancer agents, which was demonstrated by several mechanistic
anticancer investigations of these complexes on different types of human cancer cells and bacteria (*E. coli*).

One of the major hallmark of the cancer cells is to show resistance against anticancer agents. Activation of growth factor related signaling plays the most important role in the survival of cancer cells therefore, the effect of most anticancer drugs remains less active. In clinical trials, most of the anticancer agents fails to show promising results due to continuous resistance against cancer cells. Tumors are heterogeneous in nature, which consist of several types of mutations, activation of multiple growth factor receptors and amplification of oncogenic proteins. Cancer cells derived from these tumors also exhibit heterogeneous nature. Therefore, most of the drugs show less cytotoxic effect towards cancer cells or their effect is lowered with long drug exposure time. Thus, design of novel and potential anticancer agents remains challenging in the field of cancer therapeutics. Current study focused on the design and synthesis of potent Pt(II) complexes and their effect on the growth of cancer cells.

We showed that **C1a-C4a** and **C1b-C4b** possessed strong anticancer activity in multiple human cancer cells including non-small cell lung cancer, colorectal cancer and triple negative breast cancer. We found that these complexes could inhibit the growth of multiple cancer cells. Moreover, these complexes have the potential to suppress the colony formation ability of cancer cells and thus play important role in growth retardation. Mechanistically, we observed strong induction in PARP cleavage and Caspase-3/7 activation, which suggested the induction of apoptotic cell death in cancer cells. Our results suggested that A549, HT-29 and MDA-MB-231 cells show less resistance to these complexes and their cytotoxic effect was much stronger than cisplatin against these cancer cell lines.

These complexes induced the expression of p21 tumor suppressor protein, which play a key role in the inhibition of cell cycle progression and DNA synthesis. p21 activation suggested that these complexes can inhibit both DNA synthesis and cell cycle progression. In contrast, their effect on ERK1/2 and AKT signaling was not obvious, which suggested the context-dependent role of these complexes in term of mechanistic study.

Moreover, we observed induction of autophagic cell death and activation of LC3B in cancer cells treated with these complexes. These results showed that they exerted their cytotoxic effect both through the activation of autophagic and apoptotic cell death. Activation of both these signaling in response seems to be interesting observation and may explain the better cytotoxic nature of these complexes.

We also observed morphological features of cancer cells upon treatment with these complexes. Generally, cancer cells possess EMT-like features, which help them in invasion and migration. EMT-like features of cancer cells play important role in cancer metastasis. Interestingly, we observed that EMT-like features of both HT-29 and A549 cells were lost upon treatment with these complexes and showed rounded morphology instead of EMT-like, which suggested the inhibition of EMT-like features of cancer cells by changing their morphology. These complexes may play important role in the inhibition of invasion and migration coupled with suppression of cancer metastasis.

Gel electrophoresis showed stronger interaction of these complexes with DNA that also makes them ideal anticancer agents to be used in the field of cancer therapy. These results indicated that this interaction in cancer cells might inhibit DNA synthesis and replication. This could explain the growth retardation of cancer cells in response to these complexes. Importantly, these complexes also inhibited the growth of bacteria and changed the morphology of bacterial cells. In conclusion, this study explored a potent class of Pt(II) complexes as interesting therapeutic agents. Diverse mode of action of these complexes makes them ideal for testing in multiple human cancer cells. The most striking feature of these complexes was the induction of autophagic and apoptotic cell death mechanisms in multiple cancer cells. Importantly, these complexes could be a potential class of chemotherapeutic agents in clinical trials and might be helpful in treatment of resistant cancer cells in near future. These complexes could serve as the inducer of autophagy and apoptosis in multiple aggressive cancer cells, which also points towards their importance in the field of cancer therapy. Our results suggested the importance and potential of this class of complexes which could be further investigated in details as a new class of anticancer agents in the field of cancer therapy. The current study may provide a base for further *in vivo* study and exploration of their potent cytotoxic nature.

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Appendix A. Supplementary data

Supplementary data, NMR, ESI-MS spectra related to this article can be found at http://dx.doi.org/10.

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- ONS-donor Pt(II) complexes synthesis and structure study
- Aquation and stability of Pt(II) complexes
- Potent anticancer Pt(II) complexes
- Apoptosis via multiple genes activation pathways
- Autophagy induction
- E. coli growth retardation and filamentous morphology

CER HA

Supporting Information

for

ONS-donor ligand based Pt(II) complexes display extremely high anticancer potency through autophagic cell death pathway

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Fig. S1 Stability analysis of C2b in 17 % $D_2O/DMSO$, at mentioned time points; the spectra plot over one month showed no change in the respective proton signals therefore we can say C2b is stable in the current medium.



Fig. S2 Stability analysis of **C2a** in 17 % D₂O/DMSO by LC-HRMS; **C2a** was dissolved in mixture of water/DMSO 24 h before the analysis. Expanded peak for $[C2a+nH]^+$



Fig. S3 Stability analysis of **C2a** in 17 % D₂O/DMSO by LC-HRMS; **C2a** was dissolved in mixture of water/DMSO 24 h before the analysis. Expanded peak for $[C2a+DMSO-C1]^+$



Fig. S4 HR-ESI-MS spectrum of C2a, the solution of C2a was prepared in DMSO 24 h before the analysis and checked by MS machine.

	C1a	C2a	C2b	C3b
Empirical formula	$C_{14.50}H_{13}Cl_2NOPtS$	$C_{30.5}H_{29}C_{13}N_2O_2Pt$	$C_{21}H_{21}BF_4N_2 \\$	$C_{20}H_{18}BF_5N_2$
		${}_{2}S_{2}$	OPtS	OPtS
Formula weight	515.31	1016.21	631.36	635.32
Temperature (K)	293(2)	293(2)	293(2)	293(2)
Crystal system	Monoclinic	Monoclinic	Monoclinic	Monoclinic
Space group	P 21/n	C 2/c	P 21/n	P 21/n
Unit cell dimensions				
a (Å)	12.997(7)	30.525(11)	8.482(4)	8.203(3)
b (Å)	7.776(4)	12.953(5)	24.453(12)	18.107(7)
c (Å)	15.518(8)	18.866(7)	21.804(11)	14.307(6)
α (°)	90	90	90	90
β (°)	104.189(7)	115.432(5)	94.408(6)	101.977(5)
γ (°)	90	90	90	90
Volume (Å ³)	1520.4(14)	6737(4)	4509(4)	2078.8(14)
Z	4	8	8	4
Density (calculated)		2.004	1.860	2.030
(mg/m ³)	2.251			
Absorption coefficient				6.910
(mm^{-1})	9.712	8.689	6.364	
F(000)	972	3848	2432	1216
Crystal size (mm ³)	0.320 x 0.300 x 0.120	0.300 x 0.120 x	0.400 x 0.320	0.400 x 0.220
		0.100	x 0.050	x 0.200
Theta range for data		1.477 to 26.997	1.253 to	1.839 to
collection (°)	1.836 to 25.991		25.998	25.999
		38h36	10h10	-10<=h<=10, -
Index ranges	-16<=h<=15, -9<=k<=9, - 19<=l<=11	16<-k<-16	-10 < -10 < -10, 30 < -k < -27	21<=k<=22
		24<-1<-23	-30 < -1 < -20	17<=1<=13
		24<-1<-23	-20<-1<-20	17 <=1 <= 13
Reflections collected	6684	16256	20458	9378
Independent reflections	2976 [R(int) = 0.0560]	7220 [R(int) =	8773 [R(int) =	4063 [R(int) =
		0.0707]	0.0812]	0.0534]

Completeness to theta	99.8	99.7	99.3	99.7
= 25.242° (%)				
			Semi-	Semi-
Absorption correction	Semi-empirical from	Semi-empirical	empirical	empirical from
	equivalents	from equivalents	from	equivalents
			equivalents	
Max. and min. transmission	1.000 and 0.172	1.000 and 0.365	1.000 and	1.000 and
			0.334	0.270
	Full-matrix least-squares	Full-matrix least-	Full-matrix	Full-matrix
Refinement method	on F^2	squares on F^2	least-squares	least-squares
			on F ²	on F ²
Data / restraints / parameters	2976/14 /201	7220/0 /379	8773/23/555	4063/48/310
Goodness-of-fit on F ²	1.011	0 724	0.861	0.919
Final R indices	$R1^{[a]} = 0.0363, wR2^{[b]} =$	$R1^{[a]} = 0.0369,$ $wP2^{[b]} = 0.0508$	$R1^{[a]} =$ 0.0537, $wP2^{[b]} =$	$R1^{[a]} = 0.0287,$ $wR2^{[b]} =$
[1>28igina(1)]	0.0882	$WR2^{c} = 0.0398$	$WR2^{c} = 0.112c$	0.0646
R indices (all data)	R1 ^[a] = 0.0407, wR2 ^[b] = 0.0900	$R1^{[a]} = 0.0797,$ $wR2^{[b]} = 0.0630$	0.1136 $R1^{[a]} =$ 0.1027, $wR2^{[b]} =$ 0.1262	$R1^{[a]} = 0.0383,$ w $R2^{[b]} =$ 0.0662
Extinction coefficient	0.0055(3)	n/a	n/a	n/a
Largest diff. peak and hole $(e.Å^{-3})$	1.632 and -2.078	1.252 and -1.048	1.309 and - 1.367	1.402 and - 0.797

^[a] R1 = $\Sigma_{\text{all reflections}} |F_0 - F_c| / \Sigma_{\text{all reflections}} |F_0|$, ^[b] wR2 = $[\Sigma w (F_0^2 - F_c^2)^2 / \Sigma (w (F_0^2)^2)]^{1/2}$.





ORTEP plot of C1a

1D chain parallel view

1D chain perpendicular view





Structure of C1a

Fig. S5 Structure, ORTEP plot, orientation in crystal packing and different intermolecular interactions in C1a



Interaction of molecules in crystal packing

 $\pi\text{-}\pi$ Stacking between two molecules

Fig. S6 ORTEP plot, orientation in crystal packing and different intermolecular interactions in C2a





Interaction of molecules in packing

ORTEP plot of C2b



1D chain perpendicular view 1D chain parallel view





Fig. S8 ORTEP plot, orientation in crystal packing and different intermolecular interactions in C3b



¹H, ¹³C and ¹⁹F NMR spectra of all ligands

Fig. S2 ¹H NMR of L2 in DMSO- d_6 at 25°C



Fig. S4 ¹H NMR of **L3** in DMSO- d_6 at 25°C



Fig. S6 ¹⁹F NMR of **L3** in DMSO- d_6 at 25°C



Fig. S8 ¹H NMR of L4 in DMSO- d_6 at 25°C



¹H, ¹³C and ¹⁹F NMR spectra of all platinum complexes

Fig. S10 ¹³C NMR of C1a in DMSO- d_6 at 25°C



Fig. S12 ¹³C NMR of C2a in DMSO- d_6 at 25°C



Fig. S14 ¹³C NMR of C3a in DMSO- d_6 at 25°C



Fig. S16 ¹H NMR of C4a in DMSO- d_6 at 25°C



Fig. S18 ¹H NMR of C1b in DMSO- d_6 at 25°C



Fig. S20 ¹⁹F NMR of C1b in DMSO- d_6 at 25°C



Fig. S22 ¹³C NMR of C2b in DMSO- d_6 at 25°C



Fig. S24 ¹H NMR of C3b in DMSO- d_6 at 25°C







Fig. S28 ¹³C NMR of C4b in DMSO- d_6 at 25°C


Mass spectra of all ligands (L3 - L4)



Fig. S30 Mass Spectrum of L2



Fig. S31 Mass Spectrum of L3



Fig. S32 Mass Spectrum of L4

Mass spectra of all platinum complexes (C1a - C4a, C1b - C4b)



Fig. S33 Mass Spectrum of C1a



Fig. S34 Mass Spectrum of C2a



Fig. S35 Mass Spectrum of C3a







X.







Fig. S38 Mass Spectrum of C2b



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Fig. S39 Mass Spectrum of C3b



Fig. S40 Mass Spectrum of C4b