#### Accepted Manuscript

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PII:	S0960-894X(17)30643-1
DOI:	http://dx.doi.org/10.1016/j.bmcl.2017.06.041
Reference:	BMCL 25075
To appear in:	Bioorganic & Medicinal Chemistry Letters
Received Date:	7 March 2017
Revised Date:	12 June 2017
Accepted Date:	14 June 2017



Please cite this article as: Li, Y., Guo, Q., Zhang, C., Huang, Z., Wang, T., Wang, X., Wang, X., Xu, G., Liu, Y., Yang, S., Fan, Y., Xiang, R., Discovery of a highly potent, selective and novel CDK9 inhibitor as an anticancer drug candidate, *Bioorganic & Medicinal Chemistry Letters* (2017), doi: http://dx.doi.org/10.1016/j.bmcl. 2017.06.041

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1	Discovery of a highly potent, selective and novel
2	CDK9 inhibitor as an anticancer drug candidate

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  20 this work.

21

#### 1 Abstract

2 A series of novel hybrid structure derivatives, containing both LEE011 and 3 Cabozantinib pharmacophore, were designed, synthesized and evaluated. Surprisingly, a compound 4d was discovered that highly exhibited effective and 4 selective activity of CDK9 inhibition with  $IC_{50} = 12$  nM. It effectively induced 5 6 apoptosis in breast and lung cancer cell lines at nanomolar level. Molecular docking of 7 4d to ATP binding site of CDK9 kinase demonstrated a new hydrogen bonding 8 between F atom of 4-(3-fluorobenzyloxy) group and ASN116 residue, compared with 9 the positive control, LEE011. The compound 4d could block the cell cycle both in 10 G0/G1 and G2/M phase to prevent the proliferation and differentiation of cancer cells. 11 Mice bared-breast cancer treated with compound **4d** showed significant suppression 12 of cancer with low toxicity. Taken together, this novel compound 4d could be a 13 promising drug candidate for clinical application.

- 15 Keywords: CDK9, LEE011, Inhibitor, Cancer

1 Leland H. Hartwell, R. Timothy Hunt, and Paul M. Nurse received the 2001 Nobel 2 Prize in Physiology or Medicine for their complete description of how cells regulate proliferation and the central role of Cyclin-dependent kinases (CDKs).<sup>1</sup> The CDKs 3 have two major functional groups based on their roles that mediate cell cycle 4 (subtypes 1–4 and 6) and regulate transcription control (subtypes 5, 7-9).<sup>2</sup> 5 Cdk4–CyclinD complexes regulate the G0/G1 transition and the early phases of G1 6 7 through phosphorylation of the Rb. CDK9 is a component of the multiprotein 8 complex TAK/P-TEFb and a key regulatory kinase of mRNA polymerase II (PII) and a well-validated target for treating cancers.<sup>3</sup> Inhibition of CDK9 associated with 9 blocking RNA synthesis by inhibitors can lead to a reduction in the levels of 10 short-lived pro-survival proteins and the induction of apoptosis, which provides an 11 effective approach to the control of tumor growth.<sup>4-5</sup> 12

13 Multiple generations of drugs targeting CDK have been designed and synthesized 14 through binding in the ATP-binding cleft of CDK enzymes. The first generation of CDK-directed drugs entered clinical trials in the 1990s and were found to be 15 16 nonspecific, pan-CDK, cytotoxic and ineffective. Second-generation CDK-directed 17 drugs have toxicities which limit their clinical utility. Third-generation CDK-directed drugs have low toxicity, potent and selective CDK4 inhibition<sup>6-8</sup>. LEE011 18 19 (Novartis/Astex) is a bioavailable, selective inhibitor targeting both CDK4 and CDK6 that prevents Rb phosphorylation, resulting in cell cycle G0/G1 phase arrest.9-10 It 20 21 received FDA breakthrough therapy designation as first-line treatment for 22 HR+/HER2- advanced breast cancer in 2016.7 But in clinical trials, LEE011 needed to combine with letrozole for the treatment of ER<sup>+</sup>/HER2<sup>-</sup> metastatic breast cancer,<sup>11-13</sup> 23 24 which indicated a lack of sensitivity to CDK4 monotherapy. Therefore, we reasoned 25 that development of single drug with multiple biological targets based on CDK4 to 26 improve efficacy in cancer treatment.



1 2

Figure 1. Schematic showing design for merged CDK-VEGFR pharmacophore.

3 The vascular endothelial growth factor (VEGF) is a primary regulator of physiological new vessel formation. Disruption of VEGF signaling has been 4 demonstrated to retard angiogenesis and inhibit tumor growth<sup>14</sup>. It has been reported 5 that VEGFR-2 inhibitors possess synergistic antitumor effects in rational 6 combinations with anticancer drugs<sup>15-16</sup>. Cabozantinib is a highly potent small 7 molecule inhibitor against VEGFR-2 (IC<sub>50</sub> = 0.035 nM).<sup>17-18</sup> In our study, a series of 8 9 novel dual-action compounds was designed and synthesized to target CDK4 and 10 VEGFR2. In vitro kinase profiling and cell-based screening together with in vivo assays and structure-activity relationship (SAR) studies finally led to the discovery of 11 12 compound 4d, which was a hybrid structure containing both pyrrolo[2,3-d] 13 pyrimidines-2-amine of LEE011 and 14 N-(4-fluorophenyl)-N-phenylcyclopropane-1,1-dicarboxamide moiety of cabozantinib. 15 Fortuitously, it was found that the compound 4d has a high potent inhibition of CDK9 16  $(IC_{50} = 12 \text{ nM})$ . This compound showed potent anti-tumor activities both in vitro and 17 in vivo, and low toxicity. In this paper, we report the synthesis and biological 18 evaluation of the resulting novel CDK9 compounds (Figure 1).



1 Scheme 1. Synthesis of Compounds 4a-u. 2 3 Reagents and conditions: (a) SOCl<sub>2</sub>, Et<sub>3</sub>N, THF, then aniline or substituted aniline; (b) 4 *p*-phenylenediamine, EDCI, HOBt. DIEA, (c) rt; 5 2-chloro-7-cyclopentyl-N,N-dimethyl-7H-pyrrolo[2,3-d]pyrimidine-6-carboxamide(5), Pd(AcO)<sub>2</sub>, 6 BINAP, Cs<sub>2</sub>CO<sub>3</sub>, 100 °C; (d) 6, EDCI, HOBt, DIEA; (e) *p*-Phenylenediamine, Pd(AcO)<sub>2</sub>, BINAP, 7 Cs<sub>2</sub>CO<sub>3</sub>, 100 °C.

8

9 Scheme 1 outlines the routes used to synthesize compounds 4a-u. Briefly, compound 2a-u was synthesized by cyclopropane-1,1-dicarboxylic acid condensating 10 with aniline or substituted aniline.<sup>19</sup> The condensation of *p*-phenylenediamine with 11 carboxylic acids 2a-u afforded 3a-f and 3i-u under condensing agent 12 1-Ethyl-3-(3-dimethyllaminopropyl)carbodiimide hydrochloride (EDCI) in the 13 presence of 1-hydroxybenzotriazole (HOBt), N,N-diisopropylethylamine (DIEA).<sup>20</sup> 14 The condensation of carboxylic acids **2g-h** with compound **6**, which was obtained 15 16 from compound 5 that was coupled to the *p*-Phenylenediamine using the 17 Buchwald–Hartwig reaction, afforded 4g-h. A palladium catalyzed cross-coupling 18 reaction of compounds 3a-f and 3i-u with compound 5 provided compounds 4a-f and **4i-u**, respectively.<sup>21</sup> 19



Scheme 2. Synthesis of Compounds 10-12.

3 Reagents and conditions: (a) Pd(AcO)<sub>2</sub>, BINAP, Cs<sub>2</sub>CO<sub>3</sub>, 100 °C; (b) Fe, NH<sub>4</sub>Cl, EtOH/H<sub>2</sub>O, reflux; (c) SOCl<sub>2</sub>,

- 4 then 3-fluoro-4-nitroaniline; (d) EDCI, HOBt, DIEA.

Synthetic routes for compounds 10-12 are outlined in Scheme 2. A palladium catalyzed cross-coupling reaction of 2-Amino-5-nitropyridine or compounds 9 with compound 5 provided compounds 7 or 10, respectively. Compound 7 was treated with ammonium chloride and iron powder for reduction of nitro to obtain compound 8. The intermediate aniline 9 was obtained from the nitro, which was prepared by condensation reaction of 3-fluoro-4-nitroaniline with 2d.<sup>19</sup> The condensation of carboxylic acids 2d with 8 or LEE011 afforded 11 or 12 under condensing agent EDCI in the presence of HOBt and DIEA, respectively.

1 Table 1. Structure and inhibitory activity of 4a-u against CDK4/@ 1  $\mu$ M and

2 VEGFER2/@ 1  $\mu$ M<sup>a</sup>

$\begin{array}{c} 3 \\ R \\ 4 \end{array} \xrightarrow{2} \\ 4 \end{array} \xrightarrow{1} \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ $										
3										
			CDK4/@ 1 µM	VEGFR2/@ 1 µM						
Compound		R	(Inhibition%)	(Inhibition%)						
	<b>4</b> a	Н	82	8						
	4b	2-F	75	<b>C-2</b>						
	<b>4</b> c	3-F	61	7						
	4d	4-F	102	IC <sub>50</sub> >10 μM						
	<b>4</b> e	3-Cl	44	15						
	<b>4f</b>	4-Cl	65	5						
	4g	3-Br	72	6						
	4h	4-Br	48	26						
	<b>4</b> i	3-CH <sub>3</sub>	66	5						
	4j	4-CH <sub>3</sub>	62	9						
	4k	3-CF3	26	-10						
	41	4-CF3	32	1						
	4m	3-OCH <sub>3</sub>	66	-2						
	4n	4-OCH <sub>3</sub>	77	19						
	40	3-OH	94	24						
$\mathbf{O}$	4p	4-OH	95	36						
	<b>4</b> q	3-CN	53	29						
	4r	4-CN	84	21						
	<b>4</b> s	3-COOCH <sub>3</sub>	76	-7						
	4t	4-COOCH <sub>3</sub>	77	2						
	<b>4</b> u	3-CF <sub>3</sub> , 4-Cl	24	4						

4  $^{a}IC_{50}$  values and inhibition values were determined using KinaseProfiler by Eurofins. The data represent the mean

5 values of two independent experiments.

Table 2. Structure and inhibitory activity of compound 10-12 against CDK4/@1µM 1

#### 2 and VEGFER2 /@1µM<sup>a</sup>



<sup>4</sup> IC50 values and inhibition values were determined using KinaseProfiler by Eurofins. The data represent the mean 5 values of two independent experiments.

6

7 The enzyme inhibitory activities are summarized in Table 1 and Table 2. Analogue 4d displayed a better enzymatic potency than the 2-fluoro analogue 4b and the 8 9 3-fluoro analogue **4c**, suggesting that 4-fluoro substitution is superior to 2-fluoro and 10 3-fluoro substitution. Analogue 4a, 10 and 11 displayed similar enzymatic potency, 11 suggesting that pyridine ring could be replaced by benzene ring and fluoro-substitued 12 benzene. Compound 40 and 4p have stronger inhibition targeting CDK4 than other 13 analogs except compound 12, most likely because R groups of compound 40 and 4p 14 are hydrophilic groups. Compound 12 shows stronger inhibition targeting CDK4 than 15 other analogs, suggesting that piperazine ring is important for CDK4 enzymatic activity. Compound 4k, 4l and 4u, which possess a trifluoromethyl group, showed 16 17 very low binding affinity. Collectively, among all the compounds synthesized, 4d, 4o, 18 **4p** and **12** are the promising compounds targeting CDK4. Unfortunately, these 19 compounds show low inhibition effect targeting VEGFR2.

- 20
- 21

cell lines.	6	5	I	C	
			$IC_{50}(nM)$		
Cell lines	T47D	MCF7	H460	H1299	A549

>10000

5669

7637

1919

>10000

4205

>10000

2889

6227

4448

1	Table 3. In	vitro g	rowth	inhibitory	activities	of com	pound 4	l against	human	cancer
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**LEE011** 

Cabozantinib

	4d	358	338	359	221	456
3	In the meanw	hile, we detecte	d the antivia	bility activity	of these con	npounds against
4	a panel of hum	an cancer cell	lines using	standard CC	K8 assay. Ca	ancer cell lines
5	inhibition profil	ing assays with	a fixed con	centration of	1 $\mu$ M and 10	) µM were first
6	carried out. The	data is presente	d in Table S	1. The compo	ounds 4d and	<b>4p</b> that showed
7	a high inhibitor	y rate at 1 µM	and 10 $\mu$ M	, were consist	ent with the	kinase result of
8	CDK4. Compa	ared with 4p, co	ompound 4d	demonstrate	ed strong inhi	bition in T47D
9	(breast cancer of	cell line) and A	549 and H	1299 (lung c	ancer cell lir	nes). Negligible
10	activity was obs	served for other	selected cel	ll lines, HeLa	and SiHa (c	ervical cancer),
11	OVCAR5(ovari	an cancer), whi	ich indicate	d that <b>4d</b> ha	s a considera	able selectivity.
12	Then we confirm	ned the inhibitio	on on tumor	cells of comp	ound <b>4d</b> as sl	hown in Table 3.
13	4d potently inhi	bited the prolife	ration of the	breast cance	r cell lines T <sup>2</sup>	17D and MCF7,
14	and lung cance	r cell lines, H4	60, H1299	and A549, v	vith half-max	imal inhibitory
15	concentration (I	C <sub>50</sub> ) values of	358 nM, 3	38 nM, 359	nM, 221 nM	I and 456 nM,
16	respectively. Th	e CDK4 select	ive inhibito	r LEE011 wa	as not potent	in these cells,
17	indicating a lac	c of sensitivity	to CDK4 m	onotherapy. 7	This result de	monstrated that
18	compound 4d and	nd <b>4p</b> maybe hav	ve other targ	et kinase.		

19

Table 4. Inhibition of compound 4d and 4p against CDK kinases at 1  $\mu$ M.<sup>a</sup>

						Inhibitio	n%/@1μM				
Compound	CDK1	CDK2	CDK2	CDK3	CDK5	CDK5	CDK6	CDK7	CDK9	CDK12	CDK18
	/cyclinB(h)	/cyclinA(h)	/cyclinE(h)	/cyclinE(h)	/p25(h)	/p35(h)	/cyclinD3(h)	/cyclinH/MAT1(h)	/cyclin T1(h)	/cyclinK(h)	/cyclinY(h)
4d	73	29	85	65	86	81	71	7	98	24	6
4p	90	90	88	89	94	88	86	-9	26	23	0

20 4C50 values and inhibition values were determined using KinaseProfiler by Eurofins. The data represent the mean

21 values of two independent experiments.

te et inition of compound the and EEEOIT against CDIA) and CDIAT								
Compound	CDK4 IC <sub>50</sub> (nM)	CDK9 IC <sub>50</sub> (nM)						
LEE011	13	197						
<b>4d</b>	142	12						

#### 1 Table 5. Inhibition of compound 4d and LEE011 against CDK9 and CDK4<sup>a</sup>

<sup>a</sup>IC50 values were determined using KinaseProfiler by Eurofins. The data represent the mean values of two
 independent experiments.

We next evaluated the kinase inhibitory activities against CDK kinases of 4 5 compound 4d and 4p with a fixed concentration of 1µM through the Eurofins kinase 6 profiling. The results are provided in Table 4 indicated **4d** have comparable potency 7 and selectivity with 4p. Both the preliminary study on cell and kinase inhibition declared 4d is the most promising candidate for the treatment of cancer. In-depth 8 9 studies including in vitro and in vivo anti-tumor studies were then carried out on 4d. 10 CDK4 and CDK9 further  $IC_{50}$  were examined and the results are shown in Table 5. As 11 indicated in the data, we found that compound 4d has exhibited highly potent 12 inhibition of CDK9 and selectivity to other CDKs. 4d showed high inhibitory activity 13 against CDK9 (IC<sub>50</sub> = 12 nM) and low inhibitory activity against CDK4 (IC<sub>50</sub> = 142 14 nM). While, LEE011 showed high inhibitory activity against CDK4 with IC<sub>50</sub> value of 13 nM rather than CDK9 with IC<sub>50</sub> value of 197 nM. Through a complicated process 15 of structural synthesis and optimization, we finally discover a new compound, 4d, has 16 17 potent effect on CDK9 and selectivity for other CDKs and tumor cells in vitro.



**Figure 2.** The docking of compound **4d** to the active site of CDK9 (PDB:4bcg), using Discovery

- 20 Studio 3.1(Accelrys Inc., San Diego, CA, USA): LEE011 (green), and compound 4d (brown). The
- 21 H-bonds are shown by dashed red lines.
- 22 Molecular docking of 4d to ATP binding site of CDK9 kinase was performed by

using Discovery Studio 3.1(Accelrys Inc., San Diego, CA, USA). Here CDK9 in 1 2 complex with cyclin T and a 2-amino-4-heteroaryl-pyrimidine inhibitor crystal structure (PDB:4bcg)<sup>22</sup> was selected as the binding model. The docking results 3 showed compound 4d and LEE011 were in similar binding mode as shown in Figure 4 5 2. LEE011 (green) and 4d (brown), both bound in the ATP binding pocket of the 6 kinases, form two hydrogen bonds with conserved CYS106 and one hydrogen bond 7 with conserved ASP167. While the F atom of 4-(3-fluorobenzyloxy) group of 8 compound 4d was directly involved in hydrogen bonding with ASN116 residue. 9 These changes should be the reason of their different inhibitory activity on CDK9. In 10 an attempt to find the CDK4 inhibitory difference between the compound 4d and 11 LEE011, we docked compound 4d and LEE011 into the CDK4 protein in Figure 3. 12 Compared with the LEE011, the hydrogen bonding interaction between 13 dimethylcarbamoyl group and ASP-158 in 4d was too weak, which lead to the 14 significant selectivity of compound 4d for CDK9 over CDK4.



15

Figure 3. The docking of compound 4d to the active site of CDK4 (PDB: 2W96), using Discovery
Studio 3.1(Accelrys Inc., San Diego, CA, USA): LEE011 (green), and compound 4d (brown). The
H-bonds are shown by dashed lines.

We investigated the effect of compound **4d** treatment on breast cancer cell line (T47D) cell cycle progression (Figure 3). Cells were treated with compound **4d** with varying concentrations (0.1  $\mu$ M, 0.5  $\mu$ M and 1  $\mu$ M), LEE011 and vehicle (DMSO) for 24h, and subjected to flow cytometry analysis to determine the distribution of cells in various phases of the cell cycle. LEE011 treatment for 24h led to a majority of cells in G0/G1 phase, which is associated with CDK4 inhibition. Compared to the

vehicle-treated control, cells treated with compound 4d have been demonstrated an
increase of G2 and G0/G1 phase, with smaller percentages in S phase. Compound 4d
blocked the cells in G2 and G0/G1 phase, which resulted in decreased S-phase
populations. The increase of the G2 phase by compound 4d was indicative of cells
undergoing apoptosis, which may be related to the excellent cellular CDK9 inhibitory.
The result in the appearance of G0/G1 population confirmed that compound 4d had
multi-intracellular targets activity on CDK4.



Figure 4. Compound 4d induces cell apoptosis of T47D cell line in vitro. (A) Flow cytometry and
(B) quantitative analysis of apoptotic cells. Cells were incubated with the indicated concentrations
of compound 4d or LEE011 for 48h and were stained with FITC-Annexin V/PI, followed by flow

1 cytometry analysis. Data are expressed as means ± SD of the percentages of apoptotic cells from

2 three independent experiments, P < 0.05.

12 13

14

3 Breast cancer cells (T47D) were treated with compound 4d, vehicle (DMSO) and 4 LEE011 as positive control for 48h and analyzed by annexin V/PI staining. As shown 5 in Figure 4, compound 4d induced cell apoptosis in a dose-dependent manner. An increase in dose led to increase in annexin V and PI positive cells, indicating 6 7 apoptotic events. Compared with the LEE011 and vehicle condition, compound 4d 8 was significantly more active in apoptosis induction as the concentration increasing. 9 This study illustrated that compound 4d could induce cell death via apoptosis. In 10 addition, migration of 4d-treated T47D cells, suggested by transwell migration assay 11 (Fig. 5a and b), was significantly decreased compared to the untreated group.



number of migrated cells was counted after 16 h incubation using microscope in five randomfields per chamber.



cells were orthotopically implanted into the mammary fat pads of 6-8 weeks old 1 2 female BALB/c mice. Once the tumors reached an average volume of 100 mm<sup>3</sup>, 4d 3 was administered daily via IP injection. They received different doses of compound 4 4d for 21 consecutive days. As shown in Figure 6A, IP injection dosing of 4d at 50, 5 100 and 150 mg/kg/day inhibited tumor growth in a dose-dependent manner in 6 models. During the treatment period, no weight loss (Figure 6B) was observed in all 7 the treatment groups. Our data clearly demonstrated that 4d had potent antitumor 8 activity against the growth of tumors in vivo.



9

Figure 6. In vivo antitumor efficacy of 4d against 4T1 (A) tumor xenograft models and (B)
average body weights for treated mice. Animals were treated with solvent control, compound 4d at
doses of 150, 100 and 50 mg/kg/day through IP injection. Points indicate mean tumor volumes
(mm<sup>3</sup>) or mean body weights (g); bars indicate SD.

14

In summary, through a hybrid-design approach based upon two privileged 15 pharmacophores, namely 16 pyrrolo[2,3-d] pyrimidines-2-amine and 17 N-(4-fluorophenyl)-N-phenylcyclopropane-1,1-dicarboxamide, we finally 18 successfully discovered a novel inhibitor, compound 4d. Compound 4d is a novel 19 CDK9 inhibitor, which potently inhibited CDK9 with IC<sub>50</sub> values of 12 nM. 4d 20 showed good selectivity in CDKs kinase profiling assay against CDK kinases and cell 21 proliferation inhibition. In vitro cellar assays, 4d showed potent activities against 22 human breast cancer cell lines, T47D and MCF7, human lung cancer cell lines, A549, 23 H1299 and H460. In vivo, compound 4d exhibited a considerable ability to inhibit 24 tumor and a low body weight loss of mice. These results confirmed that 4d showed 25 good antitumor efficacy and could be used as a novel CDK9 inhibitor to be further

- 1 researched on the therapy of solid tumors. More works will be done to characterize
- 2 the therapeutic relevance of compound **4d**.

#### 3 Acknowledgments

- 4 This work was supported by the Natural Science Foundation of Tianjin (17JCQNJC13500) and
- 5 National key scientific research project (2013CB967201) and the National Natural Science
- 6 Foundation of China (81470354) and Research Found of the Doctoral Program of Higher
- 7 Education of China (No. 20100031120044).
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A series of novel hybrid structure derivatives, containing both LEE011 and 1 2 Cabozantinib pharmacophore, were designed, synthesized and evaluated. Surprisingly, a compound 4d was discovered that highly exhibited effective and 3 selective activity of CDK9 inhibition with  $IC_{50} = 12nM$ . It effectively induced 4 5 apoptosis in breast and lung cancer cell lines at nanomolar level. The compound 4d 6 could block the cell cycle both in G0/G1 and G2/M phase to prevent the proliferation 7 and differentiation of cancer cells. Mice bared-breast cancer treated with compound 8 4d showed significant suppression of cancer with low toxicity. 9

