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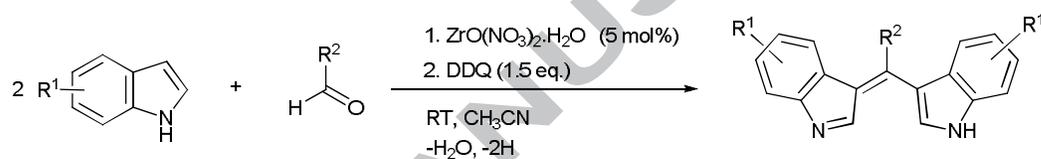
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2 **Zirconium(IV)-catalyzed one-pot synthesis and oxidation of bis- and**
3 **tris(indolyl)methanes into conjugated chromophores as new pH indicators or**
4 **calorimetric chemosensors for transition metals**

5
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8
9 **Abstract**

10 Zirconium(IV) oxynitrate hydrate catalyzed efficiently the condensation reactions of indoles and
11 aldehydes in acetonitrile, under ambient conditions. The bis- or tris(indolyl)methane products were
12 then dehydrogenated in one pot by using a stoichiometric amount of DDQ, to give conjugated
13 chromophores that showed feasible applications as new pH indicators with excellent sensitivity in
14 the pH range 8-10. Hypsochromic effects were observed in the presence of transition metal cations
15 in acetonitrile with a significant color change for cobalt(II).

16 *Keywords:* Zirconium(IV) oxynitrate hydrate; Chromophore; Dehydrogenation; pH indicator;
17 (indolyl)methane

18
19 Numerous indole derivatives occur in many pharmacologically and biologically active compounds.¹
20 In particular, bis(indolyl)methanes possess a wide range of biological activity and their synthesis
21 has received a considerable amount of interest.^{2,3} Generally, bis(indolyl)methanes are prepared by

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22 the condensation of indoles with various aldehydes or ketones in the presence of either protic or
23 Lewis acids; many other methods have also been devised for their preparation.⁴ Little attention
24 however, has been paid to the dehydrogenation of bis- or tris(indolyl)methanes. Contributions in this
25 context include a few examples and their application mainly as anion receptors.⁵⁻⁸ Our interest in the
26 study of indole-type molecules, their derivatives, and the catalytic activity of transition metal
27 species in the synthesis of these compounds,⁹⁻¹³ prompted us to evaluate the synthesis of bis- and
28 tris(indolyl)methanes, the oxidation of the *meso* hydrogen, and the study of the spectroscopic and
29 chromogenic behavior of the products at different pH values and toward various transition metal
30 cations.

31
32 During our previous work on the preparation of bis- and tris(indolyl)methanes,⁹ we noticed that
33 during the purification step, the products were unstable on chromatographic silica gel plates and
34 their color changed slightly. This observation was attributed to the possible oxidation of the
35 products on exposure to air. Therefore, we were decided to investigate the oxidation of the aliphatic
36 C-H bond at the *meso* position of bis- or tris(indolyl)methanes. With a one-pot procedure in mind,
37 2-methylindole and 3-phenoxybenzaldehyde were selected as substrates, and the reaction conditions
38 were optimized with respect to the oxidant, solvent and temperature. Since most bis- or
39 tris(indolyl)methanes are insoluble in alcohols such as methanol and ethanol, aprotic solvents were
40 considered, and the best solvent was found to be CH₃CN (Table 1). The best catalyst in this solvent
41 was found to be zirconium(IV) oxynitrate hydrate with an optimum loading of 5 mol% (entry 3).

42 With respect to the oxidant, the best result was obtained by using 1.5 equivalents of 2,3-dichloro-
43 5,6-dicyano-1,4-benzoquinone (DDQ). Finally, it was found that employing elevated temperatures
44 had no significant effect on the yield of the target product.

45 <<Table 1>>

46 The optimized conditions for the one-pot synthesis and oxidation of bis- and tris(indolyl)methanes
47 are summarized in Scheme 1.

48 <<Scheme 1>>

49 With optimized conditions in hand, a variety of indoles and aldehydes were used in this reaction to
50 demonstrate the generality and scope of the process. Typical results are shown in Table 2.

51 <<Table 2>>

52 Indoles and aldehydes bearing different functional groups yielded the desired products. To the best
53 of our knowledge, this is the first report on the one-pot application of zirconium(IV) oxynitrate
54 hydrate in the condensation reaction of indoles with aldehydes and subsequent oxidation of the
55 products with DDQ. Oxidation of the aliphatic C-H bond at the *meso* position of bis- and
56 tris(indolyl)methanes is associated with deep color changes due to the formation of a highly
57 conjugated chromophore system. Thus we can propose products **4a-j** as potential dye molecules.
58 The structures of the products were established from their spectra (FTIR, ¹H-NMR and ¹³C-NMR).
59 Generally, the bis- and tris(indolyl)methanes exhibited a singlet for the aliphatic C-H proton (*meso*
60 position) at about 5.5-6.0 ppm,⁹ which disappeared upon oxidation. Since all of the products were
61 soluble in DMSO-*d*₆, the broad singlets for the NH protons were diminished due to exchange with

62 residual water present in DMSO. Intermolecular hydrogen bonding may be responsible for the
63 reduced intensity of the NH stretching vibrations in the FTIR spectra. The presence of both an anion
64 receptor subunit⁵ (NH) and a cation receptor moiety (N) prompted us to evaluate the behavior of the
65 products as potential pH indicators. Many dyes have been used as pH indicators due to the
66 reversible action of acids and bases. It was found that our products could act as pH indicators in the
67 range 9.0-10.5 with an extremely sharp and clear color change. For example, the color transition
68 along with the conjugated acid-base structures of compound **4d**, are depicted in Figure 1.

69 <<Figure 1>>

70 A dilute solution of **4d** (5×10^{-6} M, EtOH 70%), appeared red in color and displayed strong
71 absorption bands at 400 and 550 nm, which may be attributable to the intramolecular charge transfer
72 (ICT) arising from the π -extended conjugated structure of this compound, according to Shao et al.⁵
73 Upon addition of dilute acid (0.1 M HCl) or alkali (0.1 M NH₄OH) to this solution, however, color
74 changes were observed. Figure 2 shows the spectroscopic behavior of **4d** at different pH values.
75 While decreasing the pH only increased the intensities of the above mentioned bands, increasing the
76 pH resulted in the disappearance of these bands and the appearance of a new band at 450 nm.

77 <<Figure 2>>

78 To determine the color transition range of the indicator, the absorption was measured at 450 nm
79 with addition of dilute alkali solution (NH₄OH). The result is shown in Figure 3. As is apparent, a
80 sharp color transition was observed in the pH range of 8.0 to 10.0, which is comparable to thymol
81 blue and phenolphthalein.¹⁴

82 <<Figure 3>>

83 Encouraged by these results, we decided to study the ability of our products sense transition metal
84 cations. It was found that these conjugated chromophores interact with transition metal cations and
85 exhibit spectral changes. For example, compound **4d** exhibited hypsochromic effects in the presence
86 of M^{2+} transition metal cations (where $M=Ni, Co, Mn$ and Hg). The most intense color change was
87 observed for Co^{2+} in acetonitrile solution. The UV-Vis spectrum of **4d** (5×10^{-6} M) and Co^{2+}
88 (1.25×10^{-4} M) solutions in CH_3CN , before and after mixing, are shown in Figure 4. An excess
89 amount of Co^{2+} (25 equivalents) was selected to ensure maximum interaction.

90 <<Figure 4>>

91 As is shown in Figure 4, Co^{2+} shows classic transitions of a d^7 cation in an octahedral field
92 corresponding to transitions from the ${}^4T_{1g}(F)$ ground state to the ${}^4T_{1g}(P)$, ${}^4A_{2g}$ and ${}^4T_{2g}$ excited states
93 of the metal cation. After mixing **4d** and Co^{2+} solutions, a blue shift of about 30 nm for the 414 nm
94 band of **4d** was observed that might be due to N-bonding of **4d** to the metal center.

95 The spectral responses of **4d** to other M^{2+} transition metal cations (where $M=Ni, Co, Mn$ and Hg)
96 are depicted in Figure 5.

97 <<Figure 5>>

98 In order to gain insight into the interaction mechanism between the cation and the receptors, a
99 similar experiment with Co^{2+} was conducted in acetonitrile at acidic pH (pH=2.0). In this case, the
100 blue-shifted band was not observed upon addition of the metal, revealing that no interaction took
101 place, due to the fact that the indol-3-ylidene moiety of **4d** was N-protonated. A 4:1 stoichiometry

102 of the complex as indicated by the changes in the colorimetric response of **4d**, in the presence of the
103 varying concentrations of Co^{2+} (Figure 6), is also in agreement with N-coordination of the metal.

104 <<Figure 6>>

105
106 In conclusion, the efficient one-pot formation and dehydrogenation of bis- and tris(indolyl)methanes
107 catalyzed by zirconium(IV) oxynitrate hydrate as a homogeneous catalyst and DDQ as the oxidant
108 has been described. The products showed applications as pH indicators with excellent sensitivity.
109 The UV-Vis spectroscopy analyses of mixtures of these conjugated structures with various
110 transition metal cations revealed that these conjugated compounds can be utilized as effective
111 chromophores for sensing these cations. We are currently undertaking further studies on the
112 conjugated structures shown in this work as well as similar systems.

113 **General procedure for the preparation of 4a-j**

114 Indole **1** (2.00 mmol), $\text{ZrO}(\text{NO}_3)_2 \cdot \text{H}_2\text{O}$ (12.46 mg, 0.05 mmol), aldehyde **2** (1.05 mmol) and MeCN
115 (5 mL) were added to a flask and the resulting mixture stirred at room temperature until the
116 disappearance of the starting indole was complete (monitored by TLC). This step typically took
117 between 3 to 10 min. After completion of the first step, a solution of DDQ (1.5 equiv.) in MeCN
118 was added dropwise over 10 min. Upon addition of the oxidant, the color of the solution turned deep
119 red. After completion of the oxidation step (see Table 2, indicated by TLC), the mixture was
120 purified by short column chromatography (*n*-hexane/EtOAc, 10:4) to provide the desired products.
121 *2-Methoxy-4-[(2-methyl-1H-indol-3-yl)(2-methyl-3H-indol-3-ylidene)methyl]phenol (4a):*

122 Dark red solid, m.p. 259-261 °C, IR (KBr): ν (cm⁻¹); 3408, 1483, 1371, 1346, 1226, 1120, 746. ¹H
123 NMR (400 MHz, DMSO-*d*₆, 25 °C): δ = 2.07 (s, 6H, CH₃), 3.46 (s, 3H, -OCH₃), 6.30 (d, 1H, *J* = 9.6
124 Hz), 6.63 (s, 1H), 6.71-6.87 (m, 4H), 7.05 (t, 2H, *J* = 7.2 Hz), 7.21 (d, 1H, *J* = 8.8 Hz), 7.38 (d, 2H,
125 *J* = 7.8 Hz), 11.9 (bs, 1H, NH) ppm. ¹³C NMR (100 MHz, DMSO-*d*₆, 25 °C): δ = 13.0, 54.4, 111.4,
126 112.5, 118.8, 120.3, 121.6, 124.2, 124.8, 128.5, 131.0, 135.9, 137.2, 141.0, 148.8, 151.8. Anal.
127 Calcd. for C₂₆H₂₂N₂O₂; C, 79.16; H, 5.62; N, 7.10; found: C, 79.15; H, 5.65; N, 7.14.

128

129 **Acknowledgements**

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131 acknowledged.

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153

154 **Table 1.** The effect of experimental parameters on the one-pot condensation and oxidation reaction
 155 between 2-methylindole and 3-phenoxybenzaldehyde ^a

Entry	Catalyst	Loading (mol%)	Temp (°C)	Solvent	Oxidant	Yield (%)
1	None	-	RT	CH ₃ CN	DDQ	0
2	Cu ²⁺	5	RT	CH ₃ CN	DDQ	45
3	ZrO ²⁺	5	RT	CH ₃ CN	DDQ	77
4	I ₂	20	RT	CH ₃ CN	DDQ	32
5	ZrO ²⁺	5	RT	1,4-Dioxane	DDQ	38
6	ZrO ²⁺	5	RT	CH ₂ Cl ₂	DDQ	<5
7	ZrO ²⁺	5	RT	CH ₃ CN	H ₂ O ₂	37
8	ZrO ²⁺	5	RT	CH ₃ CN	O ₂	18
9	ZrO ²⁺	5	50	CH ₃ CN	DDQ	73

156 ^a The reaction was carried out according to the general experimental procedure.

157

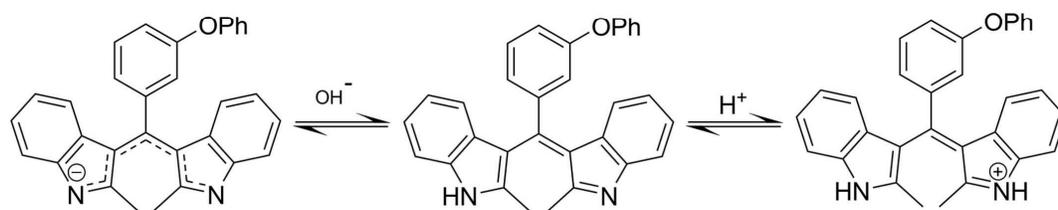
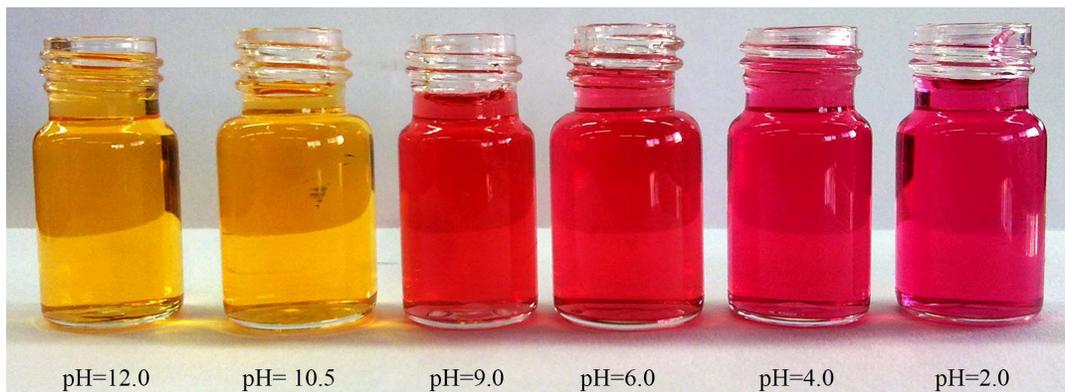
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159 **Table 2.** ZrO(NO₃)₂.H₂O-catalyzed formation of bis- and tris(indolyl)methanes, and their oxidation
 160 to conjugated chromophores ^a

Entry	Indole	Aldehyde	Dehydrogenated product	Time (min), Yield ^b (%)
1	2-methylindole	vanillin	4a	160, 73
2	2-methylindole	3-nitrobenzaldehyde	4b	130, 65
3	2-methylindole	3,4-dimethoxybenzaldehyde	4c	120, 56
4	2-methylindole	3-phenoxybenzaldehyde	4d	175, 77
5	2-methylindole	2-nitrobenzaldehyde	4e	210, 65
6	2-methylindole	4-chlorobenzaldehyde	4f	250, 47
7	2-methylindole	indole-3-carbaldehyde	4g	150, 55
8	5-nitroindole	indole-3-carbaldehyde	4h	150, 42
9	5-methoxyindole	indole-3-carbaldehyde	4i	150, 57
10	5-bromoindole	indole-3-carbaldehyde	4j	90, 43

161 ^a All products were characterized by ¹H NMR, ¹³C NMR and IR data. ^b Isolated yields.

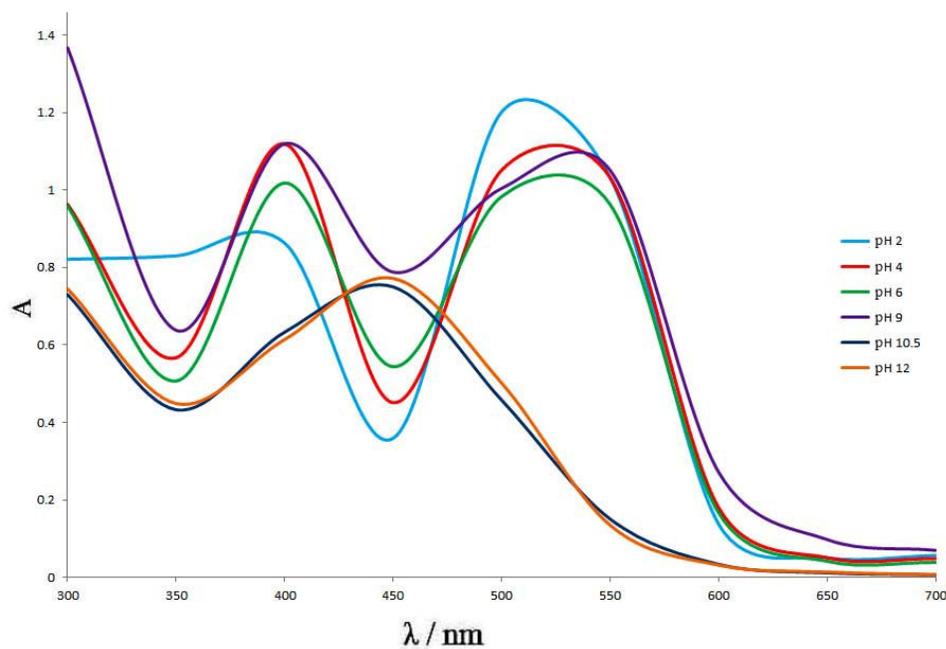
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164 **Figure 1.** pH indication using **4d**

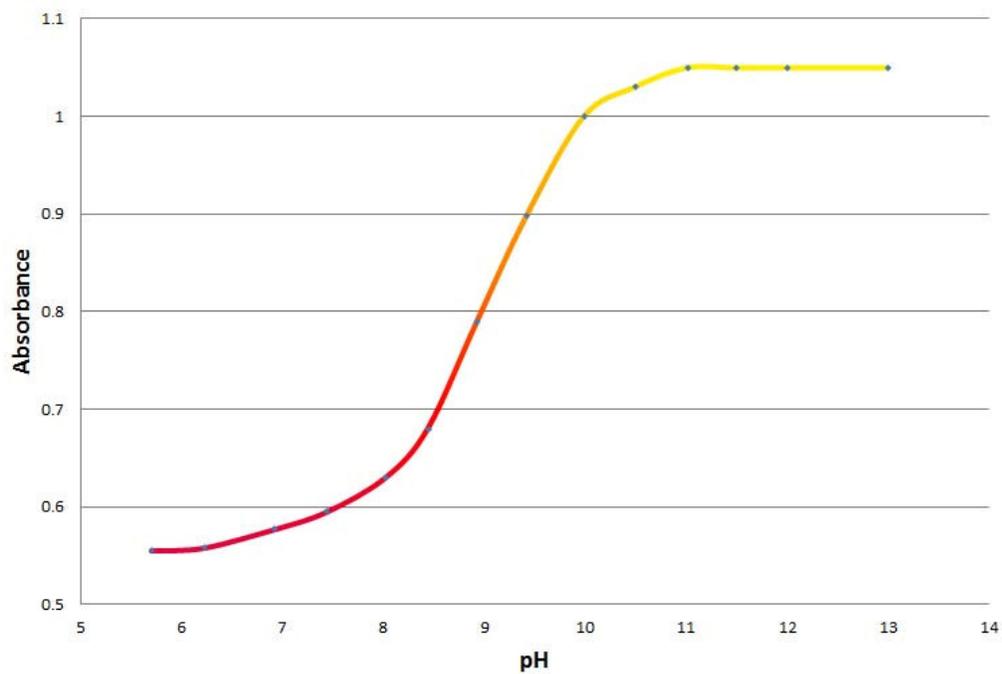
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167 **Figure 2.** Spectral changes of **4d** (5×10^{-6} M, EtOH 70%) at different pH values.

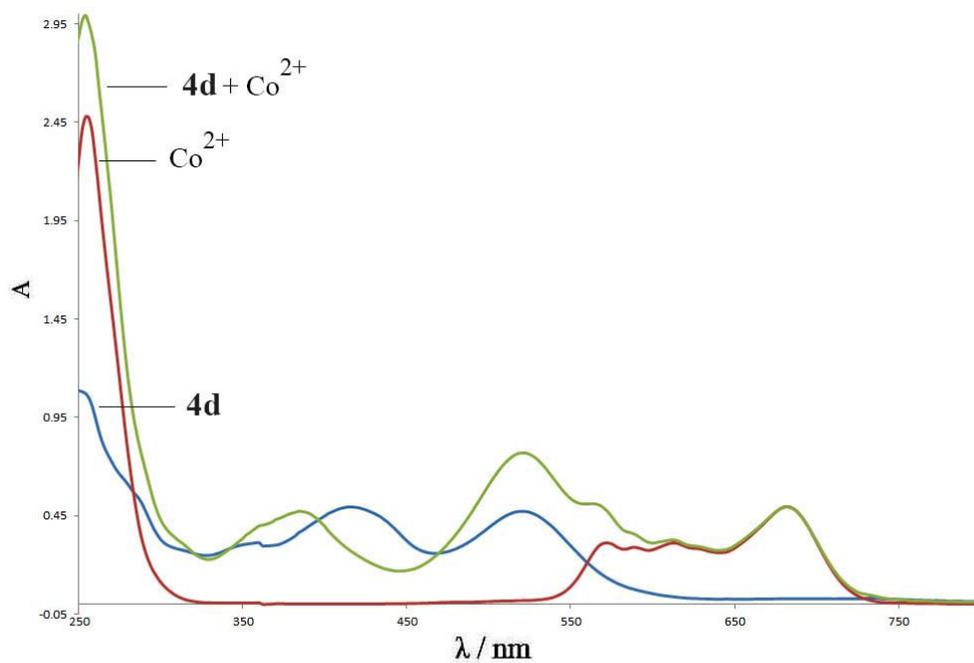
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170 **Figure 3.** Effect of pH on the absorption intensity titration of **4d**: λ_{\max} 450 nm.

171

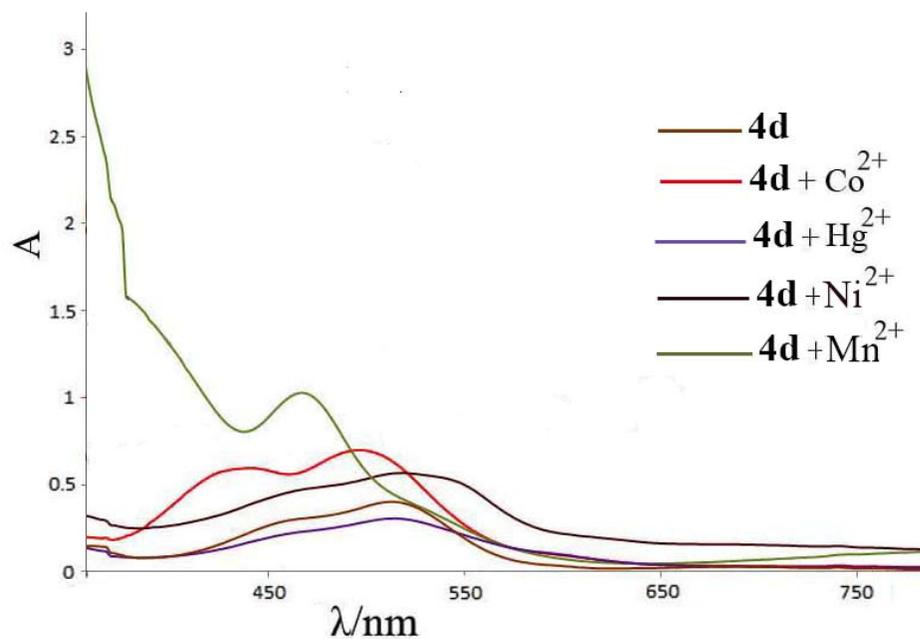


172

173 **Figure 4.** UV-Vis spectra of **4d** (5×10^{-6} M), Co^{2+} (1.25×10^{-4} M), and a 1:1 mixture of **4d** and Co^{2+}

174 solutions in acetonitrile.

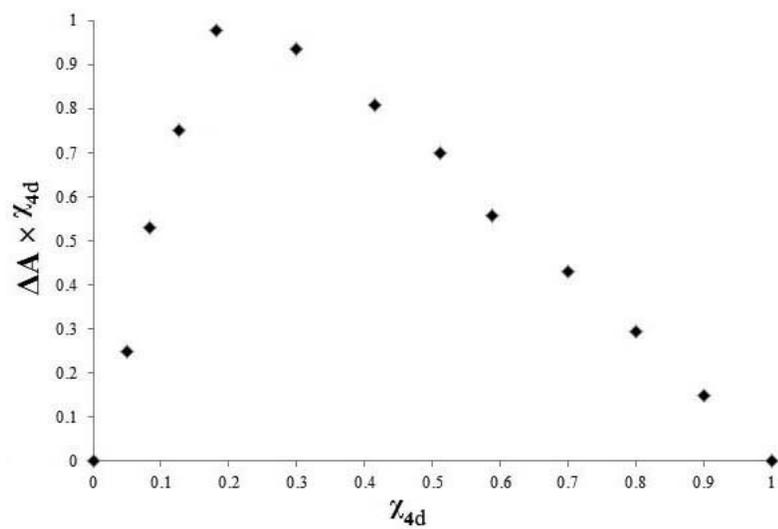
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177 **Figure 5.** The spectral responses of **4d** to other M^{2+} transition metal cations (where $M=Ni, Co, Mn$

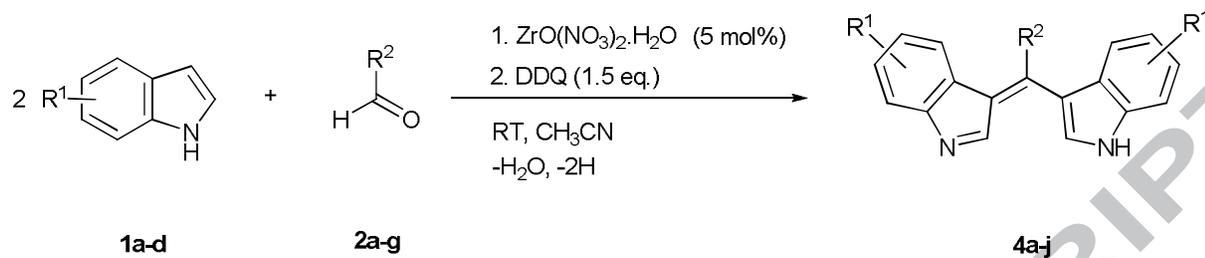
178 and Hg)



179

180 **Figure 6.** A Job plot for the complexation of **4d** with Co^{2+} indicating the formation of a 4:1 complex

181



182

183 **Scheme 1.** One-pot synthesis and oxidation of bis- and tris(indolyl)methanes into π -conjugated
184 products

185