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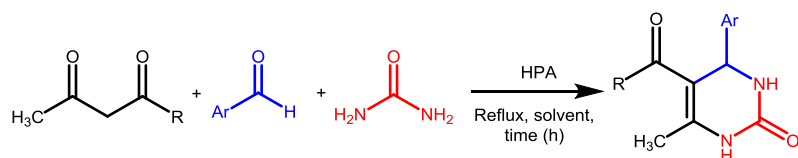


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3,4-Dihydropyrimidinones were synthesized by the Biginelli condensation using, using Keggin and Dawson type polyoxometalates as catalysts in acetonitrile and ethanol.

Graphical Abstract

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Keggin and Dawson-type polyoxometalates as efficient catalysts for the synthesis of 3,4-dihydropyrimidinones: experimental and theoretical studies

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ABSTRACT

3,4-Dihydropyrimidinones were synthesized by a multicomponent condensation of an aldehyde, a β -keto ester and urea, in acetonitrile and ethanol using Keggin and Dawson type polyoxometalates as catalysts. Keggin heteropolyacid, $H_4SiMo_{12}O_{40}$, is more efficient compared to Keggin and Dawson salts and to the Biginelli classical reaction conditions. It leads to good yields and short reaction times. Theoretical calculations let us to confirm the reaction mechanism.

Keywords:

Biginelli reaction

Dihydropyrimidinones

Polyoxometalates (POMs)

Multicomponent reactions (MCR)

Environmentally friendly processes

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1. Introduction *

Multicomponent reactions (MCRs) are of great importance in both organic and medicinal chemistry for various reasons.¹ They offer significant advantages compared to conventional synthesis. Thus, MCR condensations involve three or more compounds that react in a one-pot reaction to form a new product. The Biginelli reaction is one of the most important multicomponent reactions for the synthesis of dihydropyrimidinones, consisting in the acid-catalyzed cyclocondensation reaction of an aldehyde, a β -keto ester, and a urea (or thiourea).²

Over the past decade, dihydropyrimidin-2(1H)-ones (DHPMs) and derivatives have attracted considerable attention in organic and medicinal chemistry because of their pharmacological and therapeutic properties.³ Certain derivatives have emerged due to their potential antiviral, antitumor, antibacterial and anti-inflammatory activities.³⁻⁵ More recently, functionalized DHPMs are considered potent calcium channel blockers,⁶ antihypertensive agents,⁷ α -1a adrenergic antagonists⁸ and neuropeptide Y (NPY) antagonists.⁹

The original Biginelli protocol for the DHPMs preparation consisted in heating a mixture of the three components (1 equiv of an aldehyde **1**, 1 equiv of a β -keto ester **2**, and 1.5 equiv of urea **3**), in ethanol with a catalytic amount of HCl.^{2,4} This procedure leads in one-pot reaction to the desired DHPMs, but in low yields, particularly for substituted aromatic and aliphatic aldehydes.⁷ This drawback led to modifications of the classical Biginelli's protocol in the development of multistep synthetic strategies involving Lewis acids, e.g. $BF_3 \cdot OEt_2$, polyphosphate esters, and reagents like $InCl_3$, $Mn(OAc)_3$, trimethylsilyltriflate, $LaCl_3 \cdot 7H_2O$, $CeCl_3 \cdot 7H_2O$, $LiClO_4$, $Yb(OTf)_3$, $ZrCl_4$ or $ZrOCl_2$ clays, among others.^{8,10-24} However, many of these methods use longer reaction times, strong acidic conditions, and stoichiometric amounts of catalysts, and in addition are difficult to handle especially on a large scale.

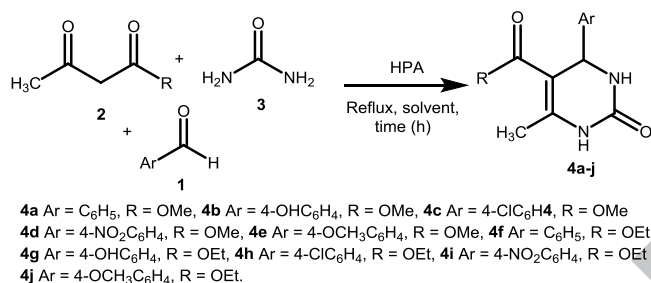
The Keggin-type polyoxometalates are believed to have extensive prospects of application in synthetic chemistry. Their acidic and oxidizing properties are dependent of the composition and nature of components and can be modified according to the reaction needs. Moreover, they are easily to handle, non-volatile and non-explosive. Heteropolyacids (HPA) have been extensively studied as acid catalysts for many reactions in our laboratory,²⁵⁻²⁷ and have also found industrial applications in several processes.²⁸ Herein we will report on the synthesis of DHPMs by the Biginelli reaction, in the presence of an acid

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catalyst of Keggin-type ($\text{H}_4\text{SiMo}_{12}\text{O}_{40}$) and of Dawson-type ($\alpha\text{-K}_6\text{P}_2\text{W}_{18}\text{O}_{62}$, $\beta\text{-(NH}_4)_6\text{P}_2\text{W}_{18}\text{O}_{62}$, $\text{K}_6\text{P}_2\text{W}_{12}\text{Mo}_6\text{O}_{62}$) and in the presence of a series of Keggin-type phosphomolybdates ($\text{H}_3\text{PMo}_{12}\text{O}_{40}$, $\text{H}_4\text{PMo}_{11}\text{VO}_{40}$, $\text{K}_3\text{HPMo}_{11}\text{VO}_{40}$ and $(\text{NH}_4)_3\text{PMo}_{12}\text{O}_{40}$).

2. Results and discussion

Biginelli protocol was carried out using two solvents EtOH (polar protic) and MeCN (polar aprotic) and HPA catalysts under reflux conditions (Scheme 1). The condensation of methyl/ethyl acetoacetate, benzaldehyde and urea in refluxing ethanol (or acetonitrile), for 1.5 h in the presence of $\text{H}_4\text{SiMo}_{12}\text{O}_{40}$ catalyst (5 mol %), yielded various 3,4-dihydropyrimidin-2(1H)-ones **4a-j** in moderate to good yield (52-82%) (Table 1). Higher yields were obtained in the case of using aldehydes with electron-withdrawing **4i,j** and electron-donating **4d,e** substituents (Entries 4, 5, 9 and 10) and also using acetonitrile as the solvent. These results show that this method using $\text{H}_4\text{SiMo}_{12}\text{O}_{40}$ as the catalyst is effective and permit an improvement in the classical Biginelli's methodology.



Scheme 1. Synthesis of various substituted 3,4-dihydropyrimidin-2(1H)-ones using heteropolyacid catalyst, using refluxing EtOH or CH $_3$ CN.

Table 1. Synthesis of 3,4-dihydropyrimidin-2(1H)-ones **4a-j** (structural characterisation at SI) using $\text{H}_4\text{SiMo}_{12}\text{O}_{40}$ (5 mol%) heteropolyacid as catalyst, under refluxing ethanol or MeCN.

| Entry | Compound (solvent) | Ar | R | Yield (%) | Mp ($^{\circ}\text{C}$) (Mp) ^{Lit} |
|-------|----------------------------|-----------------------------|-----|-----------|-----------------------------------------------|
| 1 | 4a (EtOH) (MeCN) | C_6H_5 | OMe | 52 64 | 207-209 (209-211) ³² |
| 2 | 4b (EtOH) (MeCN) | 4-OHC $_6\text{H}_4$ | OMe | 70 76 | 238-240 - |
| 3 | 4c (EtOH) (MeCN) | 4-ClC $_6\text{H}_5$ | OMe | 73 77 | 201-203 (204-207) ³² |
| 4 | 4d (EtOH) (MeCN) | 4-NO $_2$ C $_6\text{H}_4$ | OMe | 78 80 | 233-236 (236-238) ³² |
| 5 | 4e (EtOH) (MeCN) | 4-OCH $_3$ C $_6\text{H}_3$ | OMe | 74 76 | 194-195 (192-194) ³² |
| 6 | 4f (EtOH) (MeCN) | C_6H_5 | OEt | 60 65 | 203-205 (202-204) ³⁰ |
| 7 | 4g (EtOH) (MeCN) | 4-OHC $_6\text{H}_4$ | OEt | 63 70 | 225-228 (228-230) ³¹ |
| 8 | 4h (EtOH) (MeCN) | 4-ClC $_6\text{H}_5$ | OEt | 65 68 | 210-212 (209-211) ³¹ |
| 9 | 4i (EtOH) (MeCN) | 4-NO $_2$ C $_6\text{H}_4$ | OEt | 79 82 | 207-209 (209-212) ³¹ |
| 10 | 4j (EtOH) (MeCN) | 4-OCH $_3$ C $_6\text{H}_3$ | OEt | 69 75 | 200-202 (199-202) ³¹ |

^a Isolated yields. ^b All the compounds are known and were characterized by NMR and IR spectroscopy and mass spectrometry.

In order to evaluate the effect of the catalyst the synthesis of 3,4-dihydropyrimidin-2(1H)-ones **4a-j** was also performed with $\text{H}_3\text{PMo}_{12}\text{O}_{40}$. In this case the highest yields were obtained in MeCN and using electron-withdrawing **4i,j** and electron-donating **4d,e** substituents (Table 2, entries 4, 5, 9 and 10). However, these results were lower than those obtained with $\text{H}_4\text{SiMo}_{12}\text{O}_{40}$. The difference in the obtained yields are more pronounced (up to 10%) in the case of other substituents, showing that $\text{H}_4\text{SiMo}_{12}\text{O}_{40}$ is more active than $\text{H}_3\text{PMo}_{12}\text{O}_{40}$ (Table 2). This catalytic behaviour could be explained by the number of protons existing in $\text{SiMo}_{12}\text{O}_{40}$ (4H^+) that is greater than that of $\text{PMo}_{12}\text{O}_{40}$ (3H^+) and not by the acidity strength. In the Keggin-type heteropolyacid, all protons are equivalent and have the same strength unlike conventional acids (H_2SO_4 , H_3PO_4).

Table 2. Synthesis of DHPMs **4a-j** using $\text{H}_3\text{PMo}_{12}\text{O}_{40}$ (5 mol%) as catalyst, under refluxing ethanol or MeCN.

| Entry | Product | Yield (%) | |
|-------|-----------|-----------|------|
| | | EtOH | MeCN |
| 1 | 4a | 51 | 61 |
| 2 | 4b | 61 | 65 |
| 3 | 4c | 62 | 66 |
| 4 | 4d | 75 | 77 |
| 5 | 4e | 70 | 72 |
| 6 | 4f | 58 | 59 |
| 7 | 4g | 62 | 68 |
| 8 | 4h | 61 | 68 |
| 9 | 4i | 77 | 78 |
| 10 | 4j | 67 | 70 |

All the aforementioned reactions delivered excellent product yields and accommodated a wide range of aromatic aldehydes bearing both, electron-donating and electron-withdrawing substituents. Substrates with electron-withdrawing groups gave relatively higher yields (Table 1 and 2), and this fact was confirmed by theoretical calculations using the PM6 semi-empirical Hamiltonian method,²⁹ which proved to give useful evidence for the yields by estimating the Mulliken charges. The results show that the aldehyde carbon (CHO) is more positive in both solvents when the substituent is an electron-withdrawing group (Table 3). Hence the ranking of increasing reactivity of the aldehyde carbonyl group are as follows: Ph < 4-ClPh < 4-OHPh < 4-OCH $_3$ Ph < 4-NO $_2$ Ph. Therefore the obtained yields have varied in the same direction.

Table 3: Carbon Mulliken charge of the aldehyde group in the two different solvents.

| Aldehyde | Substituent R | Charge of CHO | |
|------------------|---------------|---------------|--------|
| | | MeCN | EtOH |
| 4-NO $_2$ PhCHO | 4-NO $_2$ | 0.192 | 0.192 |
| PhCHO | H | -0.039 | -0.039 |
| 4-ClPhCHO | 4-Cl | -0.019 | -0.019 |
| 4-OHPhCHO | 4-OH | 0.041 | 0.041 |
| 4-OCH $_3$ PhCHO | 4-OCH $_3$ | 0.095 | 0.095 |

In order to evaluate the effect of other catalysts the synthesis of **4i** was also carried out in MeCN under the same conditions in the presence of $\text{K}_3\text{HPMo}_{11}\text{VO}_{40}$, $\text{H}_4\text{PMo}_{11}\text{VO}_{40}$ and $(\text{NH}_4)_3\text{PMo}_{12}\text{O}_{40}$ (5mol %) (Table 4). The results evidenced the efficiency (82%) of the $\text{H}_4\text{SiMo}_{12}\text{O}_{40}$ catalyst compared to the phosphomolybdate-based catalysts $\text{H}_3\text{PMo}_{12}\text{O}_{40}$ and $\text{H}_4\text{PMo}_{11}\text{VO}_{40}$ (78 and 56%, respectively). $\text{K}_3\text{HPMo}_{11}\text{VO}_{40}$ and $(\text{NH}_4)_3\text{PMo}_{12}\text{O}_{40}$ salts were found inactive toward **4i** formation. These results show that the synthesis of DHPM **4i** requires a strong medium acidity.

Table 4. Synthesis of **4i** as function of POM composition.

| Catalyst (5%mol) | Yield % |
|-------------------------------------------------------------------|---------|
| H ₃ PMo ₁₂ O ₄₀ | 78 |
| H ₄ SiMo ₁₂ O ₄₀ | 82 |
| H ₄ PMo ₁₁ VO ₄₀ | 56 |
| K ₃ HPMo ₁₁ VO ₄₀ | < 10 |
| (NH ₄) ₃ PMo ₁₂ O ₄₀ | Traces |

We have also evaluated the effect of the catalyst amount (2-8 mol %) on the synthesis of DHPMs **4a** (EtOH) and **4j** (MeCN) using H₄SiMo₁₂O₄₀ and H₃PMo₁₂O₄₀ (Table 5). In the presence of H₄SiMo₁₂O₄₀, the yields of **4a** and **4j** increase from 18 to 74% and from 25 to 78% respectively, with the increase of catalyst from 2 to 8 mol%, unlike to H₃PMo₁₂O₄₀ where the highest yields towards **4a** (51%) and **4j** (70%) were obtained with an amount of 5 mol% and decreases with an amount of 8 mol%, which is probably due to the oxidizing power of H₃PMo₁₂O₄₀ that is higher than that of H₄SiMo₁₂O₄₀.

Table 5. Effect of the amount of catalysts on the synthesis of DHPMs **4a** (EtOH) and **4j** (MeCN).

| | Yields (%) at 2%mol | | Yields (%) at 5%mo | | Yields (%) at 8%mol | |
|-------------------|--------------------------------------------------|---------------------------------------------------|--------------------------------------------------|---------------------------------------------------|--------------------------------------------------|---------------------------------------------------|
| | H ₃ PMo ₁₂ O ₄₀ | H ₄ SiMo ₁₂ O ₄₀ | H ₃ PMo ₁₂ O ₄₀ | H ₄ SiMo ₁₂ O ₄₀ | H ₃ PMo ₁₂ O ₄₀ | H ₄ SiMo ₁₂ O ₄₀ |
| 4a EtOH | 12 | 18 | 51 | 52 | 24 | 74 |
| 4j MeCN | 21 | 25 | 70 | 75 | 50 | 78 |

The influence of stoichiometric amounts of the reactants on the synthesis of **4j** was also examined; being the reaction carried in reflux MeCN and using H₃PMo₁₂O₄₀ as the catalyst (5 mol%) (Table 6). An excess of ethyl acetoacetate (EtAcOAc) does not affect the yield, while an aldehyde excess increases the yield of **4j** in 12%.

Table 6. Effect of the reagents amount on the yields of DHPM **4j**.

| Reagents amounts | Yield (%) |
|------------------------------------------------------------------------------------------------|-----------|
| 1 mmol 4-OCH ₃ C ₆ H ₄ CHO + 1 mmol EtAcOAc + 1.5 mmol urea | 70 |
| 1 mmol 4-OCH ₃ C ₆ H ₄ CHO + 1.5 mmol EtAcOAc + 1.5 mmol urea | 69 |
| 1.5 mmol 4-OCH ₃ C ₆ H ₄ CHO + 1 mmol EtAcOAc + 1.5 mmol urea | 82 |

The catalytic effect of tungsten-based Dawson polyoxometalates (α -K₆P₂W₁₈O₆₂, β -(NH₄)₆P₂W₁₈O₆₂, K₆P₂W₁₂Mo₆O₆₂), on the synthesis of **4j** in refluxing MeCN for 1.5 h [4-nitrobenzaldehyde (1.5 mmol), ethyl acetoacetate (1 mmol) and urea (1.5 mmol)] was also studied (Table 7). The experimental results show that α -K₆P₂W₁₈O₆₂, β -(NH₄)₆P₂W₁₈O₆₂ and K₆P₂W₁₂Mo₆O₆₂ are much less efficient compared to Keggin POMs. We found that the yields are too low because the Dawson-type salts does not present the required Bronsted acidity [such as the case of (NH₄)₃PMo₁₂O₄₀], which plays a major role in this type of reaction as opposed to its presence in Keggin-type catalysts giving them a greater advantage.

The mechanism of this reaction was studied by several researchers,³³⁻⁴⁵ and each of them gave arguments for the formation of an intermediate from which DHPMs are formed. In order to explain the formation of the DHPMs, we have calculated the charges of the electrophilic and nucleophilic sites of the reagents via theoretical calculations using the PM6 semi-

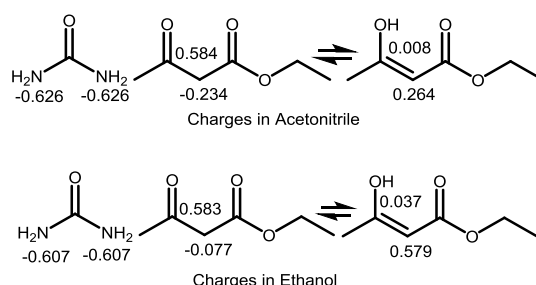
empirical Hamiltonian method to identify the entities that react first and deduced the most likely intermediate (Figure 1 reports the charges of each atom that participate in the reaction).

Table 7. Synthesis of **4i** (yield, %) using Dawson type POMs α -K₆P₂W₁₈O₆₂, β -(NH₄)₆P₂W₁₈O₆₂, K₆P₂W₁₂Mo₆O₆₂ as catalysts.

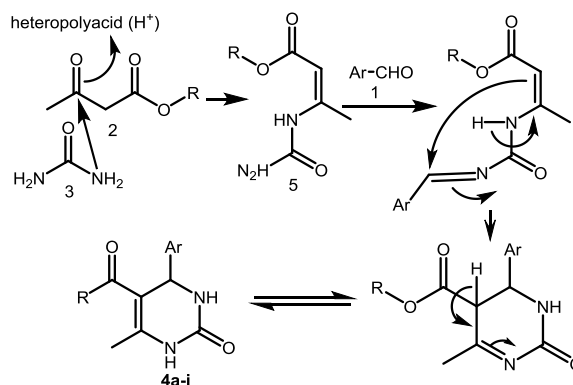
| Catalyst | 5%mol | 8%mol |
|-----------------------------------------------------------------------------------------|-------|-------|
| α -K ₆ P ₂ W ₁₈ O ₆₂ | 20 | 30 |
| β -(NH ₄) ₆ P ₂ W ₁₈ O ₆₂ | 35 | 60 |
| K ₆ P ₂ W ₁₂ Mo ₆ O ₆₂ | 17 | 27 |

In our previous work,⁴⁶ we have shown that β -diketones can exist in a tautomeric equilibrium, being the diketo form more stable in polar solvents against the keto-enol form that is more stable in non-polar solvents. Here we have chosen two polar solvents, being one protic (EtOH) and the other aprotic (MeCN). The protic solvent can replace the hydrogen of the enol function by a hydrogen bond with the β -diketone which can give the keto enol form, unlike in acetonitrile diketone form is more abundant.

The calculations of the atom loads of each reagent in both solvents show firstly that the urea nitrogen atoms do not change much in both EtOH and MeCN solvents, being slightly more negative in MeCN than in EtOH, and that the carbon of ethyl acetoacetate of the diketo form is more positive than in the keto-enol form in both solvents which increases the reactivity and the yield in MeCN (Figure 1).

**Figure 1.** Charges of urea and ethyl acetoacetate in ethanol and acetonitrile using the Gaussian calculations.

On the other hand, the ketone carbon of ethyl acetoacetate in the diketo form is more positive (Figure 1) than the carbon of the aldehyde function in both solvents (Table 2), suggesting that the reactivity of urea **3** with methyl/ethyl acetoacetate **2** is the first step of the reaction, then intermediate **5** reacts with the aldehydes **1** to give 3,4-dihydropyrimidinones **4a-j**, confirming the mechanism proposed by Cepanec⁴¹ and Litvic,⁴⁵ thus opposing to that proposed by Folkers and Johnson³³ and Kappe.³⁸

**Scheme 2.** Proposed mechanism for the synthesis of 3,4-dihydropyrimidin-2(1H)-ones **4a-j** using heteropolyacids as catalysts.

3. Conclusion

Keggin-type polyoxometalates (phospho and silicomolybdic based HPAs) in an amount of 5 mol% have shown to be excellent acid catalysts for the one-pot synthesis of 3,4-dihydropyrimidinones in good yields, using an excess of aldehyde. The theoretical calculations have confirmed the mechanism of the reaction including the formation of the so called 'ureido-crotonate'. Tungsten-based Dawson POMs α - $K_6P_2W_{18}O_{62}$, β - $K_6P_2W_{18}O_{62}$ and $K_6P_2W_{12}Mo_6O_{62}$, in an amount of 5-8 mol% are less acidic and give lower yields than the Keggin-type POMs.

4. Experimental

4.1. Materials and Method

Melting points were determined on a Stuart scientific SPM3 apparatus fitted with a microscope and are uncorrected. 1H and ^{13}C NMR spectra were recorded in DMSO- d_6 solutions on Bruker Avance 300 (300.13 MHz for 1H and 75.47 MHz for ^{13}C) spectrometer. Chemical shifts are reported in ppm (δ) using TMS as internal reference and coupling constants (J) are given in Hz. ^{13}C assignments were made using NOESY, HSQC, and HMBC (delays for one bond and long-range J_{CH} couplings were optimized for 145 and 7 Hz, respectively) experiments. Mass spectra are obtained with ESI⁺. Positive-ion ESI mass spectra were acquired using a Q-TOF 2 instrument [diluting 1 μ L of the sample chloroform solution ($\sim 10^{-5}$ M) in 200 μ L of 0.1% trifluoroacetic acid/methanol solution. Nitrogen was used as the nebulizer gas and argon as the collision gas. The needle voltage was set at 3000 V, with the ion source at 80°C and desolvation temperature at 150°C. Cone voltage was 35 V].

4.2. Synthesis of Polyoxometalates

Pure Keggin-type heteropolyacids $H_3PMo_{12}O_{40}$, $H_4PMo_{11}VO_{40}$ and $H_4SiMo_{12}O_{40}$ were prepared according to the classic methods [47-49]. $(NH_4)_3PMo_{12}O_{40}$ was precipitated at pH < 1 as described by Cavani et al.⁵⁰ $K_6P_2W_{18}O_{62}$ and $(NH_4)_6P_2W_{18}O_{62}$ Dawson-type heteropoly-salts were synthesized according to the literature.⁵¹ Mixed polyoxometalate $K_6P_2Mo_6W_{12}O_{62}$ was obtained from the hexavacant anion $[H_2P_2W_{12}O_{56}]^{12-}$ according to the method described by Contant et al.⁵²

4.3. General procedure for the synthesis of 3,4-dihydropyrimidinones

In the presence of POM, the reaction of methyl/ethyl acetoacetate **1** (1 mmol), aldehydes **2** (1 mmol) and urea **3** (1.5 mmol) were carried out in refluxing MeCN (or ethanol) (10 mL) for 1.5 h. After the reaction was completed, as indicated by TLC analysis, the solvent was evaporated, the residue was dried and washed with water and the resulting solid was treated with hot ethanol and filtered again. The filtrate was concentrated to afford the recrystallized product. The products were characterized by IR, 1H and ^{13}C NMR spectral data, mass spectrometry and by comparison with melting points of the reported compounds.

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Supplementary Material

Experimental procedures and structural characterization of 3,4-dihydropyrimidin-2(1H)-ones **4a-4j**.

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Highlights

- 3,4-Dihydropyrimidinones were synthesized by a multicomponent condensation reaction
- Keggin-type polyoxometalates (5 mol%) were excellent acid catalysts for synthesis
- The semi-empirical theoretical calculations have confirmed the reaction mechanism