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# Molecular cobalt complexes with pendant amines for selective

# electrocatalytic reduction of carbon dioxide to formic acid

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#### Abstract

We report here on a new series of CO<sub>2</sub>-reducing molecular catalysts based on Earth-abundant elements that are very selective for the production of acid formic in DMF/water mixtures (Faradaic efficiency of 90±10%) at moderate overpotentials (500–700 mV in DMF measured at the middle of the catalytic wave). The [CpCo( $P^{R}_{2}NR'_{2}$ )I]<sup>+</sup>compounds contain diphosphine ligands  $P^{R}_{2}NR'_{2}$  with two pendent amine residues that act as proton relays during CO<sub>2</sub> reduction catalysis and tune their activity. Four different  $P^{R}_{2}N^{R'}_{2}$  ligands with cyclohexyl or phenyl substituents on phosphorus and benzyl or phenyl substituents on nitrogen were employed and the compound with the most electron-donating phosphine ligand and the most basic amine functions performs best among the series with turnover frequency >1000 s<sup>-1</sup>. State-of-the-art benchmarking of catalytic performances ranks this new class of cobalt-based complexes among the most promising CO<sub>2</sub>-toformic acid reducing catalysts developed till date, while addressing the stability issues would allow further improvement. Mechanistic studies and DFT simulations confirmed the role of amine groups for stabilizing key intermediates through hydrogen bonding with water molecules during hydride transfer from the Co center to the CO<sub>2</sub> molecule.

## Introduction

Utilization of  $CO_2$  as an economical and renewable  $C_1$  feedstock for production of energy-dense carbon-based liquid and gaseous fuels is emerging as a particularly appealing strategy in the context of developing new energy storage technologies.  $CO_2$  is a quite inert molecule that can only be activated through kinetically constrained multi-electron/multi-proton processes. Therefore, much research has been devoted into developing efficient and scalable catalysts for  $CO_2$  reduction. However, despite promising results, many of these catalysts operate sluggishly with low energy efficiencies and/or poor product selectivity. Molecular homogeneous catalysts

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contrast with their heterogeneous counterparts since they usually display high selectivity but the most effective ones are often based on rare-earth metals (ruthenium, rhodium, rhenium, and iridium).<sup>1,2</sup> Consequently, more work must be done on the development on molecular catalysts using earth-abundant elements.<sup>3-6</sup> Among the products formed upon electrochemical CO<sub>2</sub> reduction, CO and formic acid are the most economically viable ones.<sup>7</sup> Formic acid is a valuable chemical, derived from 2e<sup>-</sup> reduction of CO<sub>2</sub>, which can serve as a suitable energy carrier,<sup>8</sup> a hydrogen storage material,<sup>9</sup> a liquid fuel in formic acid fuel cell applications,<sup>10</sup> or as a raw material for bacteria to generate higher alcohols as liquid fuels.<sup>11</sup> In nature, CO-dehydrogenases (CODH) and formate dehydrogenases (FDH) are the biological catalysts for reversible CO<sub>2</sub> -reduction that contain mono or multimetallic active sites composed of earth-abundant metals ([NiFe]- and [MoSCu]-CODH, and-, W or Mo-FDH).<sup>12-16</sup> In addition to the CO<sub>2</sub>-binding site provided by the metal active site, outer-sphere proton-relays present in the enzyme often play an important role in catalysis. For instance, activation of CO<sub>2</sub> at the bimetallic active site of NiFe-CODH is facilitated by the stabilization of the bridged  $\eta^2$ -CO<sub>2</sub>- $\kappa^2 C_{Ni}$ ,  $O_{Fe}$  adduct through hydrogen bonding interaction with closely-spaced histidine and lysine residues. In a similar fashion, a pendent amine present in the diiron active site of [FeFe]-hydrogenases (H-cluster) has been shown to facilitate hydrogen evolution by shuttling proton to and from the iron centers.<sup>17,18</sup> Inspired by the influence of higher coordination-sphere interactions on enzyme activity, many synthetic molecular catalysts have been developed as functional models of the enzymes. DuBois and coworkers at the Pacific Northwest National Laboratory have developed nickel-diphosphine electrocatalysts for hydrogen evolution and oxidation that feature proton relays in the second coordination sphere. The high activity of these complexes originates from the cooperative interaction of H<sub>2</sub> with both the nickel center and the multiple pendent amine groups in the secondary coordination sphere.<sup>19-23</sup> Similar nickel complexes have also been shown to catalyze electro-oxidation of formate in which the pendent amines of  $P_2N_2$ -ligand act as bases and abstract protons from the Ni-bound formate.<sup>24</sup> The introduction of pendent proton relays also proved instrumental for the design of efficient molecular catalysts for CO<sub>2</sub> reduction. Nickel cyclam is a well-known CO<sub>2</sub>-reducing compound that putatively uses an intramolecular H-bond to stabilize catalytic intermediate during CO production.<sup>25,26</sup> Savéant and coworkers have demonstrated that CO<sub>2</sub>-to-CO reduction performance of iron-tetraphenylporphyrin catalysts can be enhanced by increasing local proton concentration via introduction of phenolic groups in the ortho-positions of the phenyl rings.<sup>27,28</sup> More recently, Chapovetsky *et al.* have reported a cobalt-aminopyridine based electrocatalyst for CO<sub>2</sub>-to-CO reduction which contains outer-sphere secondary-amines.<sup>29</sup> Other designs of catalysts containing pendent proton relays include Ir-, Rh- and Fe-complexes for hydrogenation of CO<sub>2</sub>,<sup>9,30-33</sup> dehydrogenation of formic acid and methanol,<sup>9,34,35</sup> and reduction of O<sub>2</sub>.<sup>36-38</sup>

Building on similar design principle, we report here a new family of cobalt CO<sub>2</sub>-reduction catalysts,  $[CpCo(P_2^RN_2^{R'})I]I$ , where  $P_2^RN_2^{R'}$  denotes a 1,5-diaza-3,7-diphosphacyclooctane ligand. Four different diphosphine ligands were used to prepare a series of cobalt complexes with the general formula  $CpCo(P_2^RN_2^{R'})I_2$  (1:  $P_2^RN_2^{R'} = P_2^{Cy}N_2^{Bn}$ ;<sup>39</sup> 2:  $P_2^RN_2^{R'} = P_2^{Cy}N_2^{Ph}$ ;<sup>40</sup> 3:  $P_2^RN_2^{R'} = P_2^{Ph}N_2^{Ph}N_2^{A1}$  4:  $P_2^RN_2^{R'} = P_2^{Ph}N_2^{Ph}N_2^{A2}$  Cy and Ph denote cyclohexyl and phenyl groups, respectively). These compounds exhibit excellent efficiency in terms of both catalytic rate and low overpotential requirement for reducing CO<sub>2</sub> to formic acid. Importantly, the process is highly selective with little hydrogen and CO produced. These performances rank this series of catalysts among the most promising Earth-abundant molecular catalyst for CO<sub>2</sub> to formic acid conversion.

#### **Results and Discussion**

#### Synthesis and characterization of complexes

The cobalt complexes  $[CpCo(P_2^R N_2^{R'})I]I$  (1:  $P_2^R N_2^{R'} = P_2^{Cy} N_2^{Bn}$ ; 2:  $P_2^R N_2^{R'} = P_2^{Cy} N_2^{Ph}$ ; 3:  $P^{R_2}N^{R_2} = P^{Ph_2}N^{Bn_2}$ ; **4**:  $P^{R_2}N^{R_2} = P^{Ph_2}N^{Ph_2}$ ), were prepared by adding one equivalent of ligand to a solution of CpCo(CO)I<sub>2</sub> in dichloromethane at room temperature (Scheme 1). These complexes were isolated as dark brown air-stable solids in excellent yields (75-88%). To evaluate the influence of the pendent amine groups, 1,3-bis(diphenylphosphino)propane (dppp) was used as the ligand to prepare an analogous cobalt compound ([CpCo(dppp)I]I, 5) that did not contain amines in the outer coordination sphere. The complexes were characterized by <sup>1</sup>H and <sup>31</sup>P NMR, mass spectrometry, and optical spectroscopy. Several unsuccessful attempts to crystallize the iodo-complexes,  $[CpCo(P^{R}_{2}N^{R'}_{2})I]^{+}$ , prompted us to remove both coordinated and noncoordinated iodide ions using silver triflate and generate the  $[CpCo(P^{R}_{2}N^{R'}_{2})(CH_{3}CN)](TfO)_{2}$  (6:  $P^{R}_{2}N^{R'}_{2} = P^{Cy}_{2}N^{Bn}_{2};$  7:  $P^{R}_{2}N^{R'}_{2} = P^{Cy}_{2}N^{Ph}_{2};$  8:  $P^{R}_{2}N^{R'}_{2} = P^{Ph}_{2}N^{Bn}_{2};$  9:  $P^{R}_{2}N^{R'}_{2} = P^{Ph}_{2}N^{Ph}_{2})$ compounds (Scheme 1). Bright red crystals of these triflate derivatives were obtained by slow diffusion of diethyl ether into concentrated acetonitrile (6–8) or dichloromethane (9', see below) solutions of the complexes. X-ray crystal structures of 6 and 7 are shown in Figure 1 and the structures of 8 and 9' are given in the Supporting Information (Figure S1). Molecular geometries of all four complexes are similar with the cobalt center adopting a three-legged piano-stool geometry expected for half-sandwich complexes of this type: the Cp-ring binds in a  $\eta^5$ -manner and the Co-coordinated  $P_{2}^{R}N_{2}^{R'}$  ligands form two six membered rings, one of which is in a chair conformation and the other in a boat confirmation. An acetonitrile molecule occupies the third coordination site, except in the structure of 9', crystallized from 9 in CH<sub>2</sub>Cl<sub>2</sub>, which contains a triflate anion, instead of acetonitrile, coordinated to the cobalt center (Figure S1, Supporting Information). With the notable exception of the positioning of the N and P substituents in the ligands, the four structures are very similar with quasi-superimposable  $[CoP_2((CH_2)_2N)_2]$  cores (Figure S2).

1–4 are soluble in common organic solvents, such as dichloromethane, acetonitrile, and dimethylformamide, yielding brown-yellow solutions that are stable in air. They were further characterized by UV-vis spectroscopy and their optical spectra in DMF (Figure S3, Supporting Information) consist of three absorption maxima at  $\lambda = 370-390$  nm,  $\lambda = 438$  nm, and  $\lambda = 550-560$  nm. The molar extinction coefficient values for the absorption bands at 370–390 and 438 nm ( $\varepsilon_{370-390 nm} = 1870-2670$  M<sup>-1</sup>cm<sup>-1</sup>, and  $\varepsilon_{438 nm} = 1930-2750$  M<sup>-1</sup>cm<sup>-1</sup>, respectively) are considerably larger than that for the absorption band at 550–560 nm ( $\varepsilon_{370-390 nm} = 500-550$  M<sup>-1</sup>cm<sup>-1</sup>).

#### Electrochemical characterization

Electrochemical properties of the complexes were investigated by cyclic voltammetry at a glassy carbon electrode in DMF (0.1 M NBu<sub>4</sub>BF<sub>4</sub> as supporting electrolyte) under an atmosphere of argon (commercially available non-anhydrous DMF was used which contains ~0.2% water). As shown in Figure 2, the voltammograms of **1–4** display two one-electron electrochemical features in the -0.85 to -1.35 V *vs*. Fc<sup>+/0</sup> range (unless otherwise mentioned, all potentials are referenced against the Fc<sup>+/0</sup> redox couple). In analogy to a previous report by Bullock and co-workers, these two couples can be assigned metal-centered Co<sup>III/II</sup> and Co<sup>II/I</sup> redox processes.<sup>43</sup> The half-wave potentials ((E<sub>pa</sub>+E<sub>pc</sub>)/2) of each redox couple are listed in Table 1. The cathodic and anodic peak currents ( $i_p$ ) for **1–4** vary linearly with the square root of the scan rate (v<sup>1/2</sup>) from 0.05 to 0.25 Vs<sup>-1</sup> under Ar, consistent with diffusion-controlled processes as described by the

Randles-Sevcik equation (Figure S4). Diffusion coefficients (D) in the range  $1.5-3.6 \times 10^{-6}$  cm<sup>2</sup> s<sup>-1</sup> were calculated for 1–4 (Table S1). The peak-to-peak separation is consistent with reversible processes ( $\Delta E_n = 62-80$  mV, Table 1) for each redox couple, except the Co<sup>III/II</sup> process for 1 which appears quasi-reversible. The ratios of cathodic- and anodic-peak currents were close to unity, consistent with the chemical reversibility of those redox couples. The latter suggests that dissolution of the complexes is likely associated with the loss of the iodide ligand, resulting in the formation of  $[CpCo(P_2^RN_2^R)]^{2+}$  cations in solution. This is further supported by the similar electrochemical behaviors of the iodo-compounds (1–4) and their triflate-analogs (6–9) (Figures S5 and S6). The Co<sup>III/II</sup> and Co<sup>II/I</sup> couples shift to more positive potentials as the phosphine groups of  $P_2^R N_2^{R'}$  ligand become less electron donating and the amines become less basic. For instance, changing the ligand from  $P^{Cy}_2 N^{Bn}_2$  to  $P^{Ph}_2 N^{Bn}_2$  led to positive shifts of 90 mV and 130 mV for the Co<sup>III/II</sup> and Co<sup>II/I</sup> couples, respectively (Table 1). Similarly, potentials are shifted positively by 60 mV (Co<sup>III/II</sup>) and 70 mV (Co<sup>II/I</sup>) from  $[CpCo(P^{Cy}{_2N^{Bn}}_2)]^{2+}$  to  $[CpCo(P^{Cy}{_2N^{Ph}}_2)]^{2+}$ . The Co<sup>III/II</sup> and Co<sup>II/I</sup> couples for 5, the complex without any pendent amines, appear at less negative potentials compared to 1-4 (Table 1). These results suggest that the pendent amine groups, although not directly coordinated to the cobalt center, have a significant influence on its electronic properties.

#### Electrochemical CO<sub>2</sub> reduction

Complexes 1–4 were evaluated as catalysts for electrochemical CO<sub>2</sub> reduction. The DMF electrolytic solution was saturated with CO<sub>2</sub> gas (~0.20 M) in the presence of various amounts of water.<sup>44</sup> Importantly, hydration of CO<sub>2</sub> forms H<sub>2</sub>CO<sub>3</sub> which act as the proton source with a pKa of 7.37 in DMF.<sup>27</sup> Cyclic voltammograms of 1, 2 and 3 recorded under CO<sub>2</sub> in DMF, displayed cathodic current enhancement (Figure 3, S7). The mid wave potential of this process was found at

-2.08, -2.00, and -1.93 V for 1, 2, and 3, respectively. In contrast, cyclic voltammograms of 4 was unchanged upon addition of CO<sub>2</sub> (1 atm.) in DMF. Incremental addition of water to CO<sub>2</sub>-saturated DMF solutions of 1–3 resulted in increased current densities, before reaching a maximum value and then leveling off with larger concentrations of water (>1.5, 3, and 3.5 M for 1, 2, and 3, respectively). In a control experiment, addition of saturated aqueous CO<sub>2</sub> solution to an Ar-saturated DMF solution of 1 led to current enhancement at the same potential as of CO<sub>2</sub> (see Figure S29). In the case of 4, a weak cathodic wave was observed with mid-wave potential at *ca*. -1.88 V, upon addition of water (Figure S8). Importantly, no current enhancement was observed in DMF-water mixtures in the absence of catalyst or CO<sub>2</sub> (see Supporting Information). Notably, very small catalytic current was observed when 5 was employed as the catalyst in CO<sub>2</sub> saturated DMF/water mixtures (Figure S9), strongly suggesting a critical role of the pendent amine groups during electrocatalysis.

#### Controlled potential electrolysis experiments

The observed current enhancement corresponds to the electrocatalytic reduction of CO<sub>2</sub> to formic acid, as verified by controlled potential electrolysis (CPE) carried out at mercury-pool working electrodes (surface area =  $1.77 \text{ cm}^2$ ) with 0.5 mM catalyst (1–4) in CO<sub>2</sub>-saturated DMF/H<sub>2</sub>O. In general, the CPE experiments were conducted for one hour or up to complete catalyst deactivation. During the course of electrolysis, the current density was initially quite stable at ~0.6–1.8 mA cm<sup>-2</sup> and then slowly decayed until it reached the level of background current. In general, the initial period of stability was shorter for higher current-densities at more negative potentials suggesting catalyst decomposition is faster under more severe conditions. A change of color of the solution from bright brown-yellow to colorless is observed at the end of the CPE experiment. The electrocatalytic current could be restored by addition of fresh catalyst which confirmed that catalyst degradation is the limiting factor (Figure S10). To study the effect

of applied potential on product distribution and rate, different potentials were tested for 1 - 3, in the range -2.00 to -2.25 V vs Fc<sup>+/0</sup> (Figure S11). In general, electrolysis at higher overpotentials led to faster catalysis, however over shorter duration (Figure S11A). The electrolysis results are summarized in Table 2. Analyses of post electrolysis solutions by ionic chromatography revealed the generation of large amounts of formic acid with excellent Faradaic yields (FY = 86-100%) only in the case of 1–3 (Table 2). Small amounts of CO (FY = 0.1%) and H<sub>2</sub> (FY = 2.11%) were also detected as the gaseous products by gas chromatography. Formic acid, CO and H<sub>2</sub> were not detected when the electrolysis of a CO<sub>2</sub>-saturated DMF/H<sub>2</sub>O mixture was carried out in the absence of catalyst. Total catalytic turnover numbers for formic acid  $(TON_{HCOOH})$  were determined from the amount of formic acid produced after 1 h electrolysis. The Faradaic yields for formic acid production remained unaffected, but the  $TON_{HCOOH}$  increased upon applying more negative potentials (Table 2, Entry 1–5 for 1, Entry 6–9 for 2, Figure S12). Catalyst 1 displayed best activity with  $TON_{HCOOH}$  of 23 and 15 in the presence of 1.1 M and 0.56 M water, respectively, after electrolysis at -2.25 V for 1 h (Table 2, Entry 4 and 5). Only 4 displayed poor catalytic performance both in terms of product selectivity ( $FY_{HCOOH} = 38\%$ ) and total catalytic turnover numbers (Table 2, Entry 14), in line with the weak catalytic wave observed in its cyclic voltammograms. Notably, electrolysis with 4 was carried out in the presence of large excess of water (5.6 M) as its voltammograms showed very little electrocatalysis at low water concentration. Consequently, a considerably higher amount of H<sub>2</sub> ( $FY_{H2} = 67\%$ ) was produced during the electrolysis with 4. Catalytic selectivity of 1 was further tested by performing cyclic voltammetry and CPE in presence of  $CO_2$  and  $Et_3NH^+Cl^-$  (Figure S13). Formic acid and hydrogen were produced by CPE with  $48(\pm 5)\%$  and  $52(\pm 5)\%$  Faradaic efficiencies, respectively, corresponding to ~11 turnovers for formic acid (TON<sub>*HCOOH*</sub>) and ~12 turnovers for hydrogen (TON<sub>*H*<sub>2</sub></sub>) after one hour (Table 2, Entry 15).

Notably, similar values for  $TON_{HCOOH}$  was obtained when glassy-carbon or graphite rod was used as working electrodes, but  $FY_{HCOOH}$  was significantly lower (20-50%) because a larger amount of H<sub>2</sub> was produced ( $FY_{H2} = 45-60\%$ ) (Table S2 and Figure S14). In the absence of any catalyst, a small amount of formic acid was produced by direct reduction of CO<sub>2</sub> at the graphite electrode. Deposition of cobalt nanoparticles on carbon electrode through decomposition of the molecular complexes may account for the color change observed in the course of long-term electrolysis and it is well known that such deposits catalyze hydrogen evolution, in addition to H<sub>2</sub> evolution occurring directly at the surface of the carbon electrode.<sup>45,46</sup> On the other hand, use of mercury electrode ensured that metallic cobalt nanoparticles amalgamated and consequently, proton reduction was suppressed.

#### Determination of kinetic data and benchmarking of catalytic performances

The catalytic cyclic voltammograms of **1–4** were further analyzed to probe the kinetics of the electrocatalytic CO<sub>2</sub> reduction. For all complexes, catalytic cyclic voltammograms show typical S-shaped response. The catalytic plateau current ( $i_{cat}$ ) varies linearly with the catalyst concentration, consistent with a mechanism for CO<sub>2</sub> reduction that is first-order in catalyst (Figure S15). Electrocatalytic reactions are also first-order in CO<sub>2</sub> which is evidenced by the linear dependence of normalized peak catalytic current ( $i_{cat}/i_p$ ;  $i_p$  is determined as the Co<sup>II/I</sup> peak current) on the square root of [CO<sub>2</sub>] as the CO<sub>2</sub> partial pressure was varied between 0.2 and 1 atm in N<sub>2</sub>/CO<sub>2</sub> gas mixtures (Figure S16). No saturation of the catalytic current with increasing CO<sub>2</sub> concentration was detected, indicating that CO<sub>2</sub> is involved in the rate-limiting step. Additionally,  $i_{cat}$  reaches a limiting value (Figure 3, S7) at high water concentration (>1.5, 3, and

3.5 M for 1, 2, and 3, respectively) suggesting saturation kinetics in which concentration of water is sufficiently high that it is not depleted during the course of the experiment. KIE analysis was carried out within a concentration range of water suitable to get a reaction order of two in protons, *i.e.* linear dependence of  $i_{cat}/i_p$  on water concentration (0–1.5 M). Normal H/D kinetic isotope effects (KIEs) of 5.0 (±0.4), 10.4 (±0.7), and 5.7 (±0.6) were found for 1, 2, and 3, respectively (Figure 4 and Figure S17). The catalytic current plateaus in catalytic voltammograms are scan rate-independent for each complex (Figure S18), indicating catalysis in the pure kinetic regime.<sup>47</sup> Under such conditions, the normalized peak catalytic current ( $i_{cat}/i_p$ ) is related to the maximum turnover frequency ( $TOF_{max}$ ) of the electrocatalysis by equation 1,<sup>27,48</sup> accounting for a simplified 2-electron mechanism with a single rate determining step:

$$i_{\text{cat}}/i_{\text{p}} = 4.484 \sqrt{\frac{\text{RT}}{\text{F}}} \cdot \sqrt{TOF_{max}} \cdot \nu^{-1/2}$$
 Equation 1

*TOF<sub>max</sub>* values for 1–4 under various water concentrations were calculated (Figure S19 and S20, and Supporting Information for more details) and summarized in Table 3. Catalyst 1 operates at highest rate with *TOF<sub>max</sub>* values estimated to be ~1000 s<sup>-1</sup> at >1.5 M water. Catalyst 4 showed the lowest activity and the estimated *TOF<sub>max</sub>* was below 1 s<sup>-1</sup> in up to 4 M water-DMF mixtures.<sup>49</sup> At any fixed water concentration, a clear trend for the rate of electrocatalysis rate was observed: 1 >>2 >3 >>4. It follows the evolution of the overpotential value for CO<sub>2</sub> to formic acid conversion at which the plateau value is reached:  $E_{cat}^{pl}(1) < E_{cat}^{pl}(2) < E_{cat}^{pl}(3) < E_{cat}^{pl}(4)$ . This interplay between turnover frequency and applied potential is better illustrated in the catalytic Tafel plots shown in Figure 5. To build such plots, the standard reduction potential of the CO<sub>2</sub>/HCOOH

couple in DMF/H<sub>2</sub>O solutions  $(E_{CO_2/HCOOH (DMF)}^0 = -1.45 \text{ V})^{50,51, 52}$  was subtracted from the applied potential to give the overpotentials ( $\eta$ ).

Turnover frequencies  $(TOF_{HCOOH}^{CPE})$  could also be obtained from the preparative-scale electrolyses at different operating overpotential, using the equations reported by Savéant and coworkers (see the Supporting Information for details).<sup>3,27,28,48,53</sup> The values are listed in Table 2. It should be noted that the ohmic drop is much more important in the case of CPE experiments relative to cyclic voltammetry measurements. It has a direct effect on the current measured, and explains why  $TOF_{max}$  and  $TOF_{HCOOH}^{CPE}$  values differ. We nevertheless note that they have the same order of magnitude. The  $TOF_{HCOOH}^{CPE}$  value for 1, derived from the electrolysis data, is 650 s<sup>-1</sup> at 1.1 M water which corresponds to generation of formic acid with a catalytic turnover number of 780,000 within 20 min (TON =  $TOF_{HCOOH}^{CPE} \times t$ , where t = 1200 s).  $TOF_{CPE}$  values determined for 1– 3 are presented as crosses within the same catalytic Tafel plot. As shown in Figure 5, they satisfactorily match the logTOF-n plots derived from the cyclic voltammetry measurements. The logTOF-n plots generated from cyclic voltammetry data in DMF-water (1.1 M) mixtures provide a direct comparison of all four cobalt-catalysts reported here. These catalysts are benchmarked against an iridium-hydride electrocatalyst (Ir-PCP; PCP denotes a pincer ligand), previously reported by Meyer and co-workers, that selectively reduces CO<sub>2</sub> to formic acid.<sup>54,55</sup> The most active cobalt catalysts in our series of complexes (1 and 2) display faster rate and greater  $TOF_{max}$ relative to Ir-PCP. Importantly, the overpotential required to achieve maximum TOF for 1 is similar to that for Ir-PCP. The overpotential requirement for 2 is ca. 150 mV lower than that for Ir-PCP while their  $TOF_{max}$  values are similar. We also benchmarked the performances of 1-4  $[Fe(N5)Cl_2]^{2+}$ (2,13-dimethyl-3,6,9,12,18-pentaazabicycloof (N5 that = against [12.3.1]octadeca-1(18),2,12,14,16-pentaene), which displays good selectivity for CO<sub>2</sub>-to-formic

acid conversion with TOF values more than three orders of magnitude lower than 1, and an overpotential requirement of only ~100 mV lower.<sup>50</sup> Berben and coworkers described another iron-based catalyst [Fe<sub>4</sub>N(CO)<sub>12</sub>]<sup>-</sup> which is selective to formic acid formation and operates at a more positive potential (-1.6 V vs Fc<sup>+</sup>/Fc) in CH<sub>3</sub>CN/H<sub>2</sub>O (95:5 v/v), corresponding to an overpotential of ~200 mV, however, with a slower kinetics ( $TOF_{CV} = 10s^{-1}$ ) compared to the cobalt catalysts presented herein.<sup>6,56-58</sup>

#### DFT calculations and mechanistic considerations

Scheme 2 shows a proposed mechanism for electrocatalytic CO<sub>2</sub> reduction that is consistent with the electrochemical studies, and is supported by DFT calculations performed on two different models of the acetonitrile derivative of 1 (real system - 1<sup>MeCN</sup>-Real - $[CpCo(P^{Cy}_2N^{Bn}_2)(MeCN)]^{2+}$ ; model system -  $1^{MeCN}$ -Model -  $[CpCo(P^{Me}_2N^{Me}_2)L]^{2+}$ ) and the acetonitrile derivative of 5:  $5^{MeCN}$  ([CpCo(dppp)(MeCN)]<sup>2+</sup>) shown in Figure 5. The optimized structures of key catalytic intermediates of 1<sup>MeCN</sup>-Model, and the transition state for CO<sub>2</sub> insertion reaction (1<sup>MeCN</sup>-Real, 1<sup>MeCN</sup>-Model and 5<sup>MeCN</sup>) are shown in Supporting Information (Figure S21 and S22). Indeed, experiments have shown that a  $Co^{II}$ -H intermediate generated near -2.0 V vs  $Fc^{+}/Fc$  is competent for catalytic H<sub>2</sub> evolution (see the Supporting Information, Figure S23 and S24), in line with previous reports on comparable complexes.<sup>43,59,60</sup> Upon addition of CO<sub>2</sub>, enhanced currents are also observed at this potential. This suggests that the same Co<sup>II</sup>-H intermediate (V) is involved in both H<sub>2</sub> evolution and CO<sub>2</sub> reduction. According to DFT calculations, V can be produced from the initial Co<sup>III</sup> species I through three different pathways as shown in Scheme 2 and detailed below. After two successive electron transfers, a Co<sup>I</sup> species (III) is generated. Then, this species is protonated and further reduced to form a cobalt(II)hydride (Co<sup>II</sup>–H) species (V, Scheme 2). DFT calculations suggest a concerted proton-coupled electron transfer (PCET) process, as our calculation for the redox potential of a Co<sup>III</sup>-H/Co<sup>II</sup>-H

couple (-2.5 V vs Fc<sup>+</sup>/Fc), that would involve a sequential pathway (Scheme S2, top panel), was systematically more negative than the experimental potential ( $-2.1 \text{ V vs Fc}^+/\text{Fc}$ ) at which both H<sub>2</sub> evolution and CO<sub>2</sub> reduction reactions are catalyzed. Instead, the computed redox potential (-2.0 V vs  $Fc^{+}/Fc$ ) of the (Co<sup>I</sup>, R<sub>3</sub>NH<sup>+</sup>)/(Co<sup>II</sup>-H,R<sub>3</sub>N) couple (R<sub>3</sub>N denotes an pendent amine) was found in line with the experimental observation (Note that H<sub>2</sub>CO<sub>3</sub> has a pKa value similar to Et<sub>3</sub>NH<sup>+</sup> in DMF). DFT calculations indicated that protonation of the pendent amine either in the Co<sup>II</sup> or Co<sup>I</sup> states (Scheme S2, middle and bottom panels) allows two other pathways through intermediates III' and IV, respectively, through intramolecular proton transfer to cobalt concerted with reduction of the metal center. While no Co<sup>II</sup>-H species with similar coordination spheres have been isolated so far, they have been evidenced in studies on Co<sup>III</sup>-H derivatives by Kölle and Paul.<sup>59</sup> and Dubois. Bullock and co-workers.<sup>43</sup> The Co<sup>II</sup>–H species V then reacts with CO<sub>2</sub> generating VI. Internal hydride transfer from cobalt to CO<sub>2</sub> then yields VII.<sup>61</sup> The extrusion of formic acid from VII regenerates the Co<sup>II</sup> species II and completes the catalytic cycle. The Co<sup>III</sup>-complexes are air-stable and easy to handle. They are however better described as precatalysts for CO<sub>2</sub> reduction as they require an additional electron to generate the catalytically competent Co<sup>II</sup>-species.<sup>62,63</sup>

DFT calculations indicate that addition of water to the system is advantageous, thanks to the formation of intramolecular hydrogen bonding involving the bound CO<sub>2</sub>/formate molecules and one pendent amine residue. First, hydride transfer (Scheme 2, **VI** to **VII**) is thermodynamically more favorable when the amines are present (Figure 5) because the  $[Co^{--}HCO_2^{--}]$  species (**VII**, Scheme 2) is stabilized by H-bonding with the amine-bound water molecule. Second, calculated barriers are relatively small, irrespective of the model employed to describe the transfer of hydrogen to CO<sub>2</sub> (Figure 5). The prominent role of amine residues is further supported by DFT calculation on **5**<sup>MeCN</sup> that lacks such pendent amine groups. In that

case, significantly higher activation energy is observed for the CO<sub>2</sub> insertion step (Figure 5 and Table S3).

Such a mechanistic scheme provides a rationale for the faster electrocatalytic rates observed for **1** and **3** with the more basic benzylic amine groups compared to the corresponding complexes **2** and **4** containing less basic aniline residues. In organic solvents, free benzylamine is a stronger base than aniline ( $pK_a^{CH_3CN} = 16.91$  and 10.62 for BnNH<sub>3</sub><sup>+</sup> and PhNH<sub>3</sub><sup>+</sup>, respectively)<sup>64,65</sup> and a similar trend would be expected for the pendent bases in **1**–**4**. The stronger benzylamine base (complexes **1** and **3**) would provide greater stabilization to the transition state during hydride transfer (**TS VI-VII**, Figure 5) through H-bonding.

The mechanism shown in Scheme 2 also agrees with the experimentally determined first order electrocatalytic rate in the catalyst and CO<sub>2</sub> concentration, under the assumption that the intermediate  $CO_2$  adduct exists in rapid equilibrium with the  $Co^{II}$ -H species and  $CO_2$ . This type of kinetics is at variance with that previously proposed by Ahn et al. for CO<sub>2</sub> electro-reduction by pincer iridium catalysts involving formate release as the rate determining step.<sup>30</sup> In the proposed mechanism, CO<sub>2</sub> does not interact directly with the metal center (C-coordination of CO<sub>2</sub> usually leads to CO formation),<sup>66</sup> and instead, the whole catalyst acts as a hydride transfer reagent. A similar mechanism, based on computation, has been recently proposed for putative  $CO_2$ hydrogenation by an iron catalyst containing a similar diphosphine ligand with pendent amine residues.<sup>67</sup> Such a pathway is however at variance with that based on CO<sub>2</sub> insertion in a metalhydride bond reported for other catalysts for CO<sub>2</sub> hydrogenation catalysts<sup>31,68-70</sup> or electrocatalysts for formic acid production.<sup>30,55</sup> In this mechanism, as well as in the reverse mechanism proposed for formate oxidation catalyzed by nickel bisdiphosphine complexes with similar pendent amine residues,<sup>24,41,71</sup> formate binds to the metal center through an oxygen atom and formate release has sometimes been found as the rate determining step.<sup>30</sup> Rather, the catalytic

pathway proposed here resembles the mechanism followed by catalytic hydride donors based on dihydropyridine moieties,<sup>72,73</sup> in which hydride transfer can be assisted by hydrogen-bonded water molecules. It should also be noted that similar mechanism is operative for several stoichiometric CO<sub>2</sub>-reducing organohydride reagents including hydrosilanes,<sup>74</sup> hydroboranes,<sup>75,76</sup> and ammonia boranes.<sup>77</sup> The fairly large (>5) H/D KIE values observed for these catalysts support hydride transfer from Co to CO<sub>2</sub>, involving concomitant cleavage of Co-H and formation C-H bonds in the rate-determining step. For comparison, KIE values of ~2 have been reported for CO-producing mechanisms, involving proton transfers only, catalyzed by rhenium and iron complexes.<sup>78,79</sup>

Another prominent feature is the excellent selectivity of the catalysts towards  $CO_2$  over proton reduction. We used DFT to compute the activation barriers for  $CO_2$  reduction and  $H_2$ evolution from the  $Co^{II}$ -H intermediate in the absence and presence of water molecules. In line with experimental observations, additional DFT calculations suggested that the presence of water favors  $CO_2$  reduction by stabilizing the  $Co^{II}$ -H by hydrogen bonding. It leads to an increase in the activation barrier for  $H_2$  evolution to ~10 kcal mol<sup>-1</sup> which is considerably higher than the ~3 kcal mol<sup>-1</sup> barrier computed for  $CO_2$  reduction (Figure 5). In the absence of water, the barriers for  $CO_2$  reduction (Table S4) and  $H_2$  evolution (Scheme S3 are ~4.7 and ~5.2 kcal mol<sup>-1</sup>, respectively and), thus in line with the lower selectivity experimentally observed when  $[Et_3NH]^+$ is used as a proton source.

## Conclusion

Most molecular CO<sub>2</sub>-reducing catalysts produce CO. In addition to enzymes, there are few synthetic catalysts that generate formic acid,  $^{30,50,54-58,80-90}$  and among them only two are selective

and based on an Earth-abundant metal.<sup>50,56,57</sup> Nickel bis-diphosphine complexes bearing pendent amine groups  $[Ni(P_2^R N_2^{R'})_2]^{2+}$  have been shown to catalyze the reverse reaction, i.e. formate oxidation, guite efficiently<sup>24,41,71</sup> and this was related to the fact that the corresponding Ni<sup>II</sup>hydride species have lower hydridicities<sup>91</sup> than formic acid ( $\Delta G^{\circ}_{H^{-}} = 44 \text{ kcal mol}^{-1}$  in CH<sub>3</sub>CN).<sup>57</sup> Such low hydridicities explain also why  $[Ni(P^{R}_{2}N^{R'}_{2})_{2}]^{2+}$  complexes require strong acids to evolve hydrogen in CH<sub>3</sub>CN under electro-assisted conditions. By contrast, cyclopentadienyl diphosphine cobalt-complexes have previously been reported as electrocatalysts for hydrogen evolution that involves reduction of an intermediate hydride, CpCo<sup>III</sup>(diphosphine)–H.<sup>43,59,60</sup> The introduction of pendent amines proved beneficial for H<sub>2</sub> evolution catalysis although still with large overvoltage requirement. We reasoned that such a lower activity for proton reduction probably results in higher hydridicity for the intermediate CpCo<sup>II</sup>(diphosphine)-H, making hydride transfer to CO<sub>2</sub> favorable. Actually, this class of cobalt based molecular compounds proved as quite active pre-catalysts for electrochemical CO<sub>2</sub> reduction reported so far, with two particularly notable features: (1) their excellent selectivity for reduction of CO<sub>2</sub> to formic acid and (2) the ability to tune their activity via ligand design. Four different  $P^{R_2}N^{R_2}$  ligands with cyclohexyl or phenyl substituents on phosphorus ( $P^{Cy}$  or  $P^{Ph}$ ) and benzyl or phenyl substituents on nitrogen (N<sup>Bn</sup> or N<sup>Ph</sup>) were indeed employed and all complexes display catalytic activity towards electrochemical reduction of CO<sub>2</sub> to formic acid with excellent Faradaic efficiency (90±10%) and good turnover numbers at moderate overpotentials (400-600 mV in DMF). Complex 1 that contains the ligand with the most electron-donating phosphine and most basic amine  $(P^{Cy}_{2}N^{Bn}_{2})$ , performs best among the series with a remarkable turnover frequency (>1000 s<sup>-1</sup>). Comparison of the catalytic activity of the cobalt complexes with  $P_2^R N_2^{R'_2}$  ligands (1–4) and that of the related complex 5, which does not contain the pendent amines, clearly indicates that the nitrogen substituents of the  $P_2^R N_2^R$  ligands play an important role in the catalytic activity for these

complexes and suggest that more basic amines favor catalytic CO<sub>2</sub> reduction. Mechanistic studies indicated that such amine groups are not involved in direct proton transfer to CO<sub>2</sub> but rather in the stabilization of key intermediates through hydrogen bonding with water molecules during hydride transfer. Only three CO<sub>2</sub>-reducing molecular electrocatalysts (one based on iridium and the other two based on iron) were known so far to selectively produce formic acid.<sup>50,54,56,57</sup> Stateof-the-art benchmarking of performances clearly revealed that these new cobalt-catalysts outcompete all of them in terms of maximum turnover frequency even if the  $[Fe_4N(CO)_{12}]^{-1}$ cluster reported by Berben and coworkers displays much lower overpotential requirement.<sup>56</sup> The highest overall  $TON_{HCOOH}$  of 23 (electrolysis at -2.2 V for 1 h) observed for 1 is comparable to that obtained for other three formate producing electrocatalysts (Ir-PCP: TON ~40 after 25 h electrolysis;<sup>54</sup>  $[Fe(N5)Cl_2]^{2+}$ : TON ~3.6 after 3 h electrolysis;<sup>50</sup>  $[Fe_4N(CO)_{12}]^{-}$ : TON ~15 ± 3 after 1 h electrolysis<sup>5</sup>). However, in contrast to Ir-PCP and  $[Fe_4N(CO)_{12}]^-$ , the cobalt catalysts are limited by their stability under prolonged electrolysis as complete loss of activity is observed after one hour. Still, the straightforward synthesis of such complexes, combined with the large possibility offered by diphosphine ligands in terms of ligand tuning and outer-sphere control.<sup>92</sup> hold promise for optimization of performances and the preparation of molecular-engineered electrode and photoelectrode materials.<sup>93</sup>

## **Experimental Section**

#### **Reagents and Materials**

The ligands P<sup>Cy</sup><sub>2</sub>N<sup>Bn</sup><sub>2</sub>, P<sup>Cy</sup><sub>2</sub>N<sup>Ph</sup><sub>2</sub>, P<sup>Ph</sup><sub>2</sub>N<sup>Bn</sup><sub>2</sub>, and P<sup>Ph</sup><sub>2</sub>N<sup>Ph</sup><sub>2</sub> were prepared according to reported procedures.<sup>39-41,94</sup> The precursor CpCo(CO)I<sub>2</sub> was synthesized by literature method.<sup>95</sup> All other reagents including cyclopentadienylcobalt dicarbonyl (CpCo(CO)<sub>2</sub>, 95%), tetrabutylammonium tetrafluoroborate (<sup>n</sup>Bu<sub>4</sub>NBF<sub>4</sub>, 99%), are commercially available and were used as received. Acetonitrile, dichloromethane, diethyl ether, and tetrahydrofuran were dried and distilled using

common techniques. All manipulations of phosphine-containing compounds were carried out under an atmosphere of purified argon in a glovebox or by standard Schlenk techniques.

#### General procedure for the synthesis of CpCo(diphosphine)I<sub>2</sub> compounds

The diphosphine ligand (0.2 mmol) was added to a black brown solution of  $CpCo(CO)I_2$  (0.081 g, 0.2 mmol) in anhydrous  $CH_2Cl_2$  (15 mL) and evolution of CO was observed immediately. After stirring the solution for 4 h at room temperature, the volatiles were removed under reduced pressure. The residue was subjected to silica gel-column chromatography with 1%  $CH_3OH/CH_2Cl_2$  to give the pure product as a dark brown solid.

 $CpCo(P^{Cy}_2N^{Bn}_2)I_2$ , (1). Yield 0.129 g (74%). <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{ppm}$ : 1.1-1.98 (m, 18H), 2.46-2.62 (m, 4H), 2.84 (m, 2H), 3.20 (m, 2H), 3.34 (m, 2H), 3.54 (s, 2H), 3.87 (m, 2H), 4.27 (s, 2H), 5.67 (s, 5H), 6.96 (m, 2H), 7.26-7.47 (m, 8H). <sup>31</sup>P-NMR (CDCl<sub>3</sub>): 41.6 (s) ppm. Analysis Calculated for C<sub>35</sub>H<sub>49</sub>CoI<sub>2</sub>N<sub>2</sub>P<sub>2</sub>: C, 48.18; H, 5.66; N, 3.21. Found: C, 49.80; H, 5.97; N, 3.38. SIM-MS: 745.2 [M-I]<sup>+</sup>.  $\lambda_{max}$ , nm ( $\epsilon$ , M<sup>-1</sup>cm<sup>-1</sup>): 372 (1900), 438 (1900), 560 (400).

 $CpCo(P^{Cy}_2N^{Ph}_2)I_2$ , (2). Yield 0.120 g (70%). <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{ppm}$ : 1.2-1.9 (m, 10H), 1.90 (m, 8H) 2.20 (m, 2H), 2.68 (m, 2H), 3.43 (m, 2H), 4.04-4.28 (m, 6H), 3.56 (s, 2H), 3.91 (m, 2H), 4.29 (s, 2H), 5.85 (s, 5H), 6.97 (d, 2H), 7.06 (m, 2H), 7.35 (q, 4H), 7.55 (d, 2H). <sup>31</sup>P-NMR (CDCl<sub>3</sub>): 42.4 (s) ppm. Analysis Calculated for C<sub>33</sub>H<sub>45</sub>CoI<sub>2</sub>N<sub>2</sub>P<sub>2</sub>: C, 46.94; H, 5.37; N, 3.32. Found: C, 47.60; H, 5.39; N, 3.32. SIM-MS: 717.2 [M-I]<sup>+</sup>.  $\lambda_{max}$ , nm ( $\epsilon$ , M<sup>-1</sup>cm<sup>-1</sup>): 385 (2400), 438 (2800), 554 (500).

 $CpCo(P^{Ph}_2N^{Bn}_2)I_2$ , (**3**). Yield 0.130 g (76%). <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{ppm}$ : 3.25 (m, 4H), 3.66 (s, 2H), 3.88 (d, 2H), 4.27 (m, 2H), 4.64 (s, 2H), 5.36 (s, 5H), 7.03 (m, 2H), 7.26 (2H, masked by CDCl<sub>3</sub> peak), 7.41 (q, 4H) 7.56 (s, 6H), 7.65 (d, 2H), 8.03 (br, 4H). <sup>31</sup>P-NMR (CDCl<sub>3</sub>): 31.7 (s) ppm. Analysis Calculated for C<sub>35</sub>H<sub>37</sub>CoI<sub>2</sub>N<sub>2</sub>P<sub>2</sub>: C, 48.86; H, 4.33; N, 3.26. Found: C, 49.79; H, 4.59; N, 3.37. SIM-MS: 733.1 [M-I]<sup>+</sup>.  $\lambda_{max}$ , nm ( $\epsilon$ , M<sup>-1</sup>cm<sup>-1</sup>): 373 (2600), 438 (2500), 556 (500).

 $CpCo(P^{Ph}_2N^{Ph}_2)I_2$ , (4). Yield 0.133 g (80%). <sup>1</sup>H-NMR (300 MHz, CD<sub>2</sub>Cl<sub>2</sub>)  $\delta_{ppm}$ : 4.12 (m, 2H), 4.30 (s, 2H), 4.55 (d, 2H), 4.72 (m, 2H), 5.51 (s, 5H), 7.05 (m, 2H), 7.05 (m, 4H), 7.28-7.53 (m, 6H), 7.72 (br, 6H), 8.05 (br, 4H). <sup>31</sup>P-NMR (CD<sub>2</sub>Cl<sub>2</sub>): 33.3 (s) ppm. Analysis Calculated for

 $C_{33}H_{33}CoI_2N_2P_2$ : C, 47.62; H, 4.00; N, 3.37. Found: C, 47.56; H, 4.23; N, 3.42. SIM-MS: 705.3  $[M-I]^+$ .  $\lambda_{max}$ , nm ( $\epsilon$ ,  $M^{-1}cm^{-1}$ ): 380 (2200), 438 (2400), 550 (600).

*CpCo(dppp)I*<sub>2</sub>, (**5**). Yield 0.14 g (90%). <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>)  $\delta_{ppm}$ : 1.65 (br, 2H), 2.93 (br, 4H), 5.49 (s, 5H), 7.26-7.77 (m, 20H). <sup>31</sup>P-NMR (CDCl<sub>3</sub>): 27.6 (s) ppm. Analysis Calculated for C<sub>32</sub>H<sub>31</sub>CoI<sub>2</sub>P<sub>2</sub>: C, 48.63; H, 3.95. Found: C, 48.28; H, 4.08. SIM-MS: 663.2 (20%) [M-I]<sup>+</sup>, 536.5 [M-2I]<sup>+</sup> (45%).  $\lambda_{max}$ , nm ( $\epsilon$ , M<sup>-1</sup>cm<sup>-1</sup>): 460 (2100), 580 (600).

#### General procedure for the synthesis of CpCo(diphosphine)(OTf)<sub>2</sub> compounds

A brown solution of CpCo(diphosphine)I<sub>2</sub> (0.05 mmol) in anhydrous CH<sub>2</sub>Cl<sub>2</sub> (15 mL) was cannula-transferred into a suspension of AgOTf (38.5 mg, 0.15 mmol) in anhydrous CH<sub>2</sub>Cl<sub>2</sub> (10 mL). Pale yellow precipitate of AgI formed within 5 min. After stirring the green-brown suspension for 2 h at room temperature, the reaction mixture was filtered to remove AgI. The filtrate was evaporated under reduced pressure to yield a dark green solid. The residue was dissolved in minimum volume of dry CH<sub>3</sub>CN (~5 mL) and filtered via cannula to give a red solution. This solution was then layered with Et<sub>2</sub>O (10-15 mL) and cooled to  $-20^{\circ}$ C to yield the product as red solid. X-ray quality crystals were grown by slow diffusion of Et<sub>2</sub>O into CH<sub>3</sub>CN or CH<sub>2</sub>Cl<sub>2</sub> solution of the complex.

 $CpCo(P^{Cy}_2N^{Bn}_2)(OTf)_2$ , (6). Yield 63%. <sup>1</sup>H-NMR (300 MHz, CD<sub>3</sub>CN)  $\delta_{ppm}$ : 1.28-1.60 (m, 11H), 1.83-1.91 (m, 7H), 2.39-2.46 (m, 5H), 2.74-2.84 (m, 4H), 3.14 (m, 2H), 3.31 (m, 2H), 3.72 (s, 2H), 3.91 (s, 2H), 5.71 (s, 5H), 7.12 (m, 2H), 7.35 (m, 5H), 7.48 (m, 3H), 7.71-7.83 (m, 10H). <sup>31</sup>P-NMR (CD<sub>3</sub>CN): 45.7 (s) ppm.

 $CpCo(P^{Cy}_2N^{Ph}_2)(OTf)_2$ , (7). Yield 70%. <sup>1</sup>H-NMR (300 MHz, CD<sub>3</sub>CN)  $\delta_{ppm}$ : 1.30-2.15 (m, 19H), 2.46 (m, 3H), 3.01 (m, 2H), 3.26-3.30 (m, 2H), 3.62 (d, 2H), 4.01-4.08 (m, 4H), 5.87 (s, 5H), 7.12 (t, 2H), 7.25 (t, 4H), 7.56-7.41 (m, 4H), 7.71-7.83 (m, 10H). <sup>31</sup>P-NMR (CD<sub>3</sub>CN): 46.9 (s) ppm.

 $CpCo(P^{Ph}_2N^{Bn}_2)(OTf)_2$ , (8). Yield 65%. <sup>1</sup>H-NMR (300 MHz, CD<sub>3</sub>CN)  $\delta_{ppm}$ : 3.02 (m, 2H), 3.15 (m, 2H), 3.44 (m, 2H), 3.74 (s, 2H), 3.90 (m, 2H), 4.18 (s, 2H), 5.50 (s, 5H), 7.08 (m, 2H), 7.32 (m, 3H), 7.52 (m, 5H), 7.71-7.83 (m, 10H). <sup>31</sup>P-NMR (CD<sub>3</sub>CN): 35.0 (s) ppm. SIM-MS/MeCN: 755.3 (100%) [M-TfO]<sup>+</sup>

 $CpCo(P^{Ph}_2N^{Ph}_2)(OTf)_2$ , (9). 9 was precipitated from CH<sub>2</sub>Cl<sub>2</sub>/Et<sub>2</sub>O mixture. Yield 78%. <sup>1</sup>H-NMR (300 MHz, CD<sub>2</sub>Cl<sub>2</sub>)  $\delta_{ppm}$ : 3.80 (m, 4H), 4.39 (d, 2H), 4.83 (m, 2H), 5.71 (s, 5H), 7.10-8.16 (m, 20H). <sup>31</sup>P-NMR (CD<sub>3</sub>CN): 33.5 (s) ppm. SIM-MS: 727.3 (20%) [M-TfO]<sup>+</sup>, 578.4 (45%) [M-2TfO]<sup>2+</sup>.

 $CpCo(dppp)(OTf)_2$ , (5'). Yield 84%. <sup>1</sup>H-NMR (300 MHz, CD<sub>2</sub>Cl<sub>2</sub>)  $\delta_{ppm}$ : 2.70-2.81 (m, 6H), 5.74 (s, 5H), 7.29 (m, 4H), 7.51 (t, 4H), 7.74 (m, 12H). <sup>31</sup>P-NMR (CD<sub>2</sub>Cl<sub>2</sub>: 30.8 (s) ppm. SIM-MS: 571.3 (100%) [M-2TfO+Cl]<sup>+</sup>.

#### Instruments and methods

Electrochemical analysis was performed using a Bio-Logic science instrument SP300 potentiostat. Electrochemical experiments in DMF (tetrabutylammonium tetrafluoroborate <sup>n</sup>Bu<sub>4</sub>NBF<sub>4</sub>, 0.1 M, as the supporting electrolyte) were carried out in a three-electrode electrochemical cell using a glassy carbon working electrode (1.6 mm diameter), a platinum wire as the auxiliary electrode and a Ag/AgCl/3M KCl reference electrode. For electrochemical analyses, the DMF was directly used from a freshly opened bottle which contained  $\sim 0.1-0.2\%$ water. Only before H/D KIE studies, the DMF was dried over molecular sieves (3 Å) for 24-48 h. All potentials given in this work are reported with respect to  $Fc^{+/0}$  couple. The voltammograms were referenced by addition of ferrocene as an internal standard after the final experiment. The potential of the Fc<sup>+/0</sup> couple was found to be 0.53 V vs. Ag/AgCl/3M KCl in DMF. During cyclic voltammetry, the solutions were degassed using solvent saturated Ar and CO<sub>2</sub>. Cyclic voltammograms under different partial pressure of CO<sub>2</sub> were recorded by sparging the solution with  $N_2/CO_2$  gas mixtures (flow = 20 mL min<sup>-1</sup>). A mass flow controller was used to mix  $N_2$  and CO<sub>2</sub> in various ratios. During the electrocatalysis, aliquots of water was added using a gas-tight Hamilton syringe (1% v/v water/DMF = 0.56 M water in DMF). Bulk electrolysis experiments and coulometry were carried out using a mercury pool cathode with an active surface area of  $\sim 1.77$  cm<sup>2</sup>. The platinum-grid counter electrode was placed in a separate compartment connected by a glass-frit. Prior to electrolysis, the electrolyte solution was saturated with CO<sub>2</sub> and then the electrochemical cell was kept closed and gas-tight during the electrolysis. Typically the volume of electrolyte working compartment was 8 mL and that in the counter compartment was 2 mL. Hydrogen produced during electrolysis was quantified with a Perkin-Elmer Clarus 500 gas chromatography equipped with a porapack Q 80/100 column (6' 1/8") thermostated at 40°C and a TCD detector thermostated at 100°C. Carbon monoxide, methane and other volatile hydrocarbons from the gas phase were analyzed using a flame induction detector (FID). Formic acid concentrations were determined by ionic exchange chromatography (883 Basic IC, Metrohm). UV-vis absorption spectra of compounds were recorded on an Agilent Technologies Cary 60 UV-Vis spectrometer in a cuvette with 1 cm path length.

**Computational details.** All calculations were carried out using TURBOMOLE (version 6.5) package.<sup>96</sup> Geometry optimizations of all the species have been carried out using the B3LYP functional,<sup>97-99</sup> complemented by the empirical dispersion scheme D3 developed by Grimme,<sup>100</sup> in conjunction with the def2-SV(P) basis set.<sup>101</sup> Considering the size of the real system we opted for a model system where the benzyl and cyclohexyl groups are replaced by methyl groups as shown in Figure 5 in order to investigate various mechanistic options. Transition states (TS) were characterized by the presence of one and only one imaginary frequency for the desired reaction coordinate. Since the experiments were carried out using acetonitrile, the COSMO implicit solvation scheme was employed to mimic the environment.<sup>102</sup> Redox potentials were calculated using a thermodynamic cycle, as described elsewhere.<sup>103</sup> Previous studies have shown that computed redox potentials depend quite significantly on the DFT functional that was used, and the solvent model that was employed (±0.35 V).<sup>104,105</sup> Multiple studies by Roy *et al.* and others have reported similar or even larger discrepancies (±0.5 V) for the calculation of redox potentials by using both hybrid and non-hybrid functionals.<sup>106-108</sup>

**Crystal Structure Analysis – X-ray crystallography:** Diffraction data (Table S4) were collected using an Oxford Diffraction XCallibur S Kappa area detector four-circle diffractometer (Mo-K $\alpha$  radiation  $\lambda = 0.71073$  Å, graphite monochromator), controlled by the Oxford Diffraction CrysAlis CCD software.<sup>109</sup> Unique intensities with I > 10 $\sigma$  (I) detected on all frames using the Oxford Diffraction RED were used to refine the values of the cell parameters.

The substantial redundancy in data allows analytical absorption corrections to be applied using crystal shape determination for complex **7**, **8** and **9**', and empirical absorption correction for complex **6**. The space group was determined from systematic absences, and it was confirmed by the successful resolution of the structure. The structure was solved by charge flipping method using superflip software for complex **7**, ShelXT direct method resolution program for complex **6** and **8** and ShelXS for complex **9**', in Olex1.2 environment.<sup>109-113</sup> All the atoms were found by

difference Fourier syntheses. All non-hydrogen atoms were anisotropically refined on F2 using ShelXL program. Hydrogen atoms were fixed in ideal positions for complex **6**, **8** and **9**' and found by fourier transformation and refined isotropically for complex 7.

For complex **6**; two different orientations were found in the crystal. Twinned crystal (ratio of 0.87 and 0.13) was used to deconvoluate both contributions during the data reduction, but only the first one was taken in account for structure resolution.

CCDC 1504837 (9'), 1504838 (7), 1504839 (6), and 1504840 (8) contain the supplementary crystallographic data for this paper. These data can be obtained free of charge from the Cambridge Crystallographic Data Center via <u>www.ccdc.cam.ac.uk/datarequest/cif</u>.

## **Associated Content**

**Supporting Information.** Calculations for TOFs/TONs and overpotentials, additional electrochemical data, DFT calculated structures and energies, crystallographic data.

#### Acknowledgements

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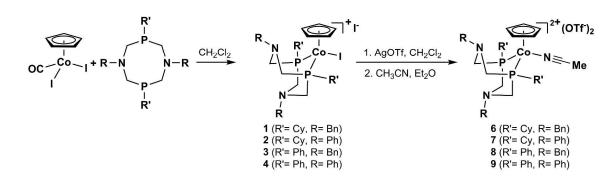
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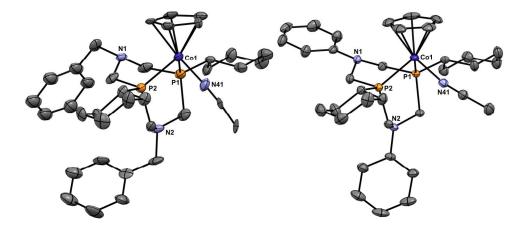
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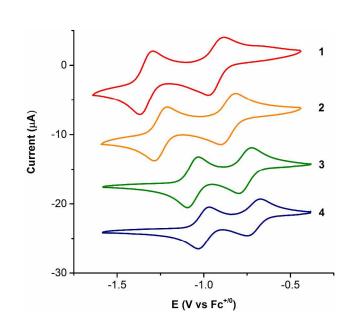
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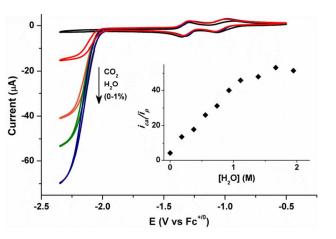
Scheme 1. Synthesis of cobalt-diphosphine complexes



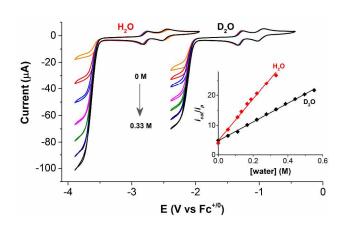
**Figure 1.** ORTEP diagrams of cations in **6** (left) and **7** (left) with ellipsoids shown at 50% probability. Hydrogen atoms are omitted for clarity.



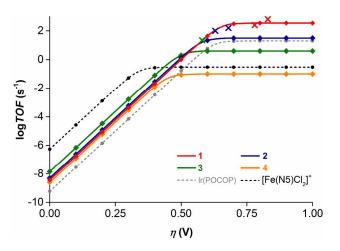
**Figure 2.** Cyclic voltammograms of **1** (red, 0.5 mM), **2** (orange, 0.5 mM), **3** (green, 0.6 mM), and **4** (blue, 0.6 mM) under Ar ( $v = 0.1 \text{ Vs}^{-1}$  in DMF, 0.1 M NBu<sub>4</sub>BF<sub>4</sub>, glassy carbon electrode). The Y-axis is offset for clarity.



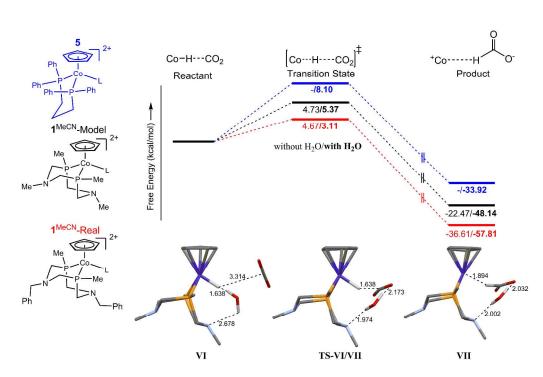
**Figure 3.** Cyclic voltammograms of **1** (1 mM) under Ar saturation (black), under CO<sub>2</sub> saturation (red), and under CO<sub>2</sub> saturation in the presence of increasing amount of water; 0.33% (v/v): orange, 0.67% (v/v): green, 1% (v/v): blue. The inset shows the influence of the concentration of water on the catalytic current. Voltammograms were recorded at  $0.1 \text{ Vs}^{-1}$  in  $0.1 \text{ M NBu}_4\text{BF}_4$  in DMF using a glassy carbon electrode.



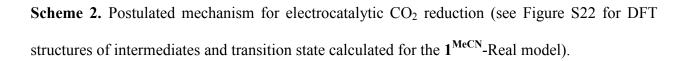
**Figure 4.** KIE is demonstrated by the cyclic voltammograms of **1** (0.6 mM) recorded in CO<sub>2</sub> saturated DMF in the presence of varying amount Brönsted acid (H<sub>2</sub>O or D<sub>2</sub>O). The x-axis is offset by -1.5 V for clarity. Linear dependence of  $i_{cat}/i_p$  on concentration of water (analogous to plotting  $k_{CO_2}^{1/2} vs$ . [water]) is shown in inset. Cyclic voltammograms were recorded in DMF (0.1 M NBu<sub>4</sub>BF<sub>4</sub>) using a glassy carbon electrode at 0.1 V s<sup>-1</sup>.



**Figure 5.** Benchmarking of the catalysts based on catalytic Tafel plots derived from the cyclic voltammograms in 1.1 M water-DMF mixtures. The crosses indicate the TOF values obtained from chronoamperometric data from the initial 20 min of electrolyses in 1.1 M water-DMF mixtures. Plots for the Ir and Fe catalysts was derived from the data reported by Kang *et al* <sup>54</sup> and Taheri *et al*, <sup>56</sup> respectively.



**Figure 5**. Free energy diagram for the CO<sub>2</sub> reduction step involving Co<sup>II</sup>-hydride species in gas phase in the presence and absence of water. The relative free energies were calculated for  $1^{MeCN}$ using two different systems:  $[CpCo(P^{Cy}_2N^{Bn}_2)(MeCN)]^{2+}$  ( $1^{MeCN}$ -Real, red) and  $[CpCo(P^{Me}_2N^{Me}_2)$ (MeCN)]<sup>2+</sup> ( $1^{MeCN}$ -Model, black). The calculated relative free energies for  $5^{MeCN}$  are shown in blue. The structures of hydride-intermediate and transition-state calculated using  $1^{MeCN}$ -Real system (gas-phase) are shown in the bottom panel (phenyl and cyclohexyl groups are omitted for clarity). All distances and energies are in Å and kcal.mol<sup>-1</sup> respectively.



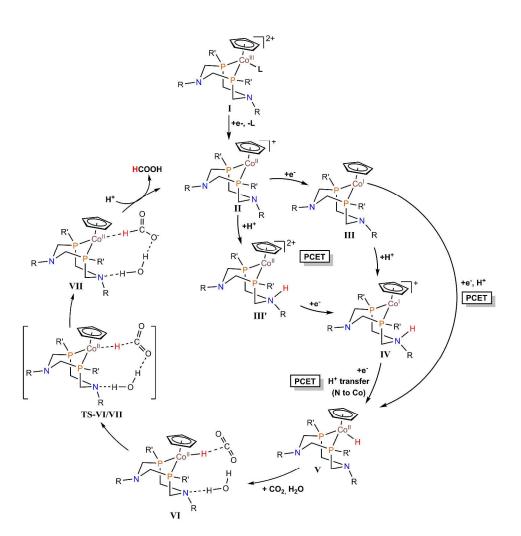


 Table 1. Electrochemical characterization of the cobalt complexes (1–4) in DMF (0.1 M

#### NBu<sub>4</sub>BF<sub>4</sub>)

Complay	$(E_{pa} + E_{pc})/2$ , V ( $\Delta E_p^a$ , mV)			
Complex	Co <sup>III/II</sup>	Co <sup>II/I</sup>		
1	-0.97 (90)	-1.31 (64)		
2	-0.90 (80)	-1.23 (64)		
3	-0.88 (68)	-1.18 (64)		
4	-0.86 (76)	-1.14 (62)		
5	-0.75 (83)	-1.04 (60)		

<sup>*a*</sup> peak-to-peak separation between the cathodic and the anodic waves determined at 100 mV/s (under same condition,  $\Delta E_p(Fc^+/Fc) = 75 \text{ mV}$ ).

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Table 2. Conditions for the controlled potential et	electrolyses and product analyses
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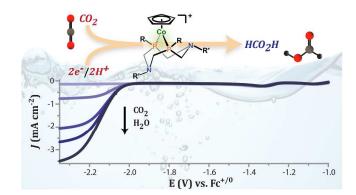
Entry Catalyst				Faradaic Yields, %			TON <sub>HCOOH</sub> <sup>a</sup>	$\mathrm{TOF}_{HCOOH}^{CPE}$ ,	$\eta$ (applied) <sup><i>c</i></sup> ,
Entry	(0.5 mM)	E, V/Fc <sup>+/0</sup>	[H <sub>2</sub> O], M	HCOOH (± 8)	CO (± 1)	H <sub>2</sub> (± 5)	$(1 h) (\pm 20\%)$	s <sup>-1</sup> (± 20%)	V
1	1	-2.10	1.1	92	<1	5	8	70	0.65
2	1	- 2.15	1.1	88	<1	3	10	150	0.70
3	1	- 2.20	1.1	86	<1	4	12	250	0.75
4	1	- 2.25	1.1	98	<1	5	23	650	0.80
5	1	- 2.25	0.56	92	<1	10	15	-	0.80
6	2	- 2.05	1.1	94	1	3	5	60	0.6
7	2	-2.10	1.1	91	1	3	7	100	0.65
8	2	- 2.15	1.1	99	<1	3	9	180	0.7
9	2	- 2.20	1.1	98	<1	4	9	180	0.75
10	2	- 2.20	2.8	95	<1	3	8	-	0.75
11	3	- 2.00	1.1	88	<1	8	2	20	0.55
12	3	- 2.05	1.1	86	<1	6	4	40	0.60
13	3	- 2.15	2.8	92	<1	11	7	-	0.75
14	4	-2.1	5.6	38	1	67	1.5	-	0.65
15	1	- 2.15	$\begin{array}{c} 20 \text{ mM } [\text{Et}_3\text{NH}]^{+} \\ (0 \text{ M } \text{H}_2\text{O}) \end{array}$	48	<1	52	11	-	0.70

<sup>*a*</sup>  $TON_{HCOOH}$  is the total turnover number for formic acid after one hour. <sup>*b*</sup> TOF<sub>HCOOH</sub><sup>*CPE*</sup> is the turnover frequency for formic acid generation, derived from electrolyses data using the equations described by Savéant *et al.* (see Supporting Information).<sup>3,27,28,53</sup> <sup>*c*</sup> Overpotentials are calculated using E<sup>0</sup>(CO<sub>2</sub>/HCOOH) = -1.45 V vs Fc<sup>+/0</sup> (see Supporting Information).

[H <sub>2</sub> O],	$TOF_{max}^{CV}$ or $k_{cat}^{CV}$ , s <sup>-1</sup>						
M	$\frac{1}{\eta = (0.60 - 0.72) \mathrm{V}^a}$	$\frac{2}{\eta = (0.55 - 0.61) \mathrm{V}^a}$	$\frac{3}{\eta = (0.50 - 0.54) \mathrm{V}^a}$	$\frac{4}{\eta = (0.36 - 0.44)  \text{V}^2}$			
0	1(1)	<1	<1	<1			
0.56	$2(0.4) \times 10^2$	$2(0.5) \times 10^{1}$	1(1)	<1			
1.11	$4(0.5) \times 10^2$	$3(0.2) \times 10^{1}$	4(1)	<1			
1.67	$1(0.1) \times 10^3$	7(0.5)×10 <sup>1</sup>	$1(0.2) \times 10^{1}$	<1			
2.23	$\sim 10^3 (0.72)^{b}$	8(0.5)×10 <sup>1</sup>	$2(0.2) \times 10^{1}$	<1			
3.34	$\sim 10^3 (0.72)^b$	$3(0.2) \times 10^2$	$7(0.5) \times 10^{1}$	<1			
larger or	4	$\frac{Cat}{2}$ became more negat rater concentrations, the cise.					

**Table 3**. Turnover frequencies from CV experiments  $(TOF_{max}^{CV})$  for the cobalt complexes (1–4) in the presence of different concentrations of water

### **TOC Graphic**



ACS Paragon Plus Environment