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Synthesis of maleic and fumaric acids from furfural in the presence of betaine hydrochloride and hydrogen peroxide

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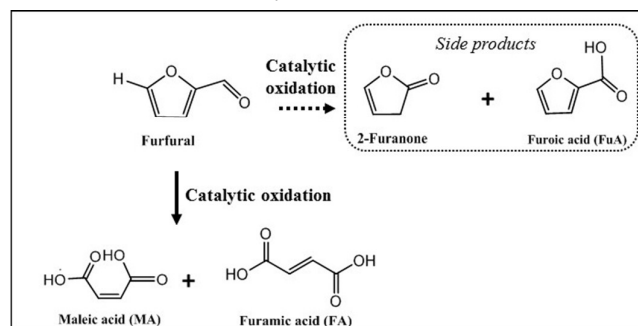
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Here we report the successful valorisation of furfural into maleic acid (MA) and fumaric acid (FA) with a total yield above 90% using an aqueous solution of betaine hydrochloride (BHC) in the presence of hydrogen peroxide. BHC can be recyclable at least 4 cycles and it can be used to directly convert xylose to MA and FA.

A lot of studies are nowadays devoted to the catalytic conversion of biomass to bio-based chemical platforms, which can be further converted to a wide range of valuable products.¹ Among these renewable chemical platforms, furfural, is one of the most important building blocks than can be obtained from hemicellulose.² From this building block, catalytic oxidation affords a number of chemical intermediates and end products.³ Most of the current studies focus on the selective oxidation of furfural to diacids or anhydride acids (succinic acid, fumaric acid (FA), maleic acid (MA) and maleic anhydride). Owing to the fact that MA and FA are used as important intermediates in the chemical industry, their production is constantly increasing, the annual production of MA and FA being 1,800,000 ton/year and 90,000 ton/year, respectively. These two C₄ dicarboxylic acids are involved in the synthesis of copolymers, surface coatings, lubricant, food and beverage additives, plasticizers, resins (paper, alkyd and unsaturated polyester resins), and agricultural chemicals.⁴ Industrially, MA is produced *via* aerobic oxidation of benzene, butane or butadiene.⁵ FA is essentially manufactured by chemical conversion of maleic anhydride or biological conversion by fungi.⁶ The production of MA was previously investigated in aerobic conditions or in the presence of hydrogen peroxide in liquid phase. The exact mechanism of the reaction (role and nature of catalytic sites) are still under discussion.⁷ MA can be synthesized from furfural *via* different

pathways: (1) abstraction of hydrogen to produce furfural radical intermediate affording 4-hydroxyfurfural; (2) decarbonylation of furfural to furan, leading to 2-furanone as an intermediate; or by (3) a Baeyer–Villiger oxidation of furfural to furan-2-ol and then to MA through furan-2(5H)-one intermediate. In all the proposed mechanism, bifunctional catalysts are required to obtained MA and FA from furfural.

The catalytic aerobic oxidation of furfural was studied by Yin *et al.* in the presence of phosphomolybdic acid catalyst (under 20 atm. of O₂, at 383 K and during 14 h).⁸ In this study, 69% of selectivity to MA was reached while the conversion of furfural was 50% in the optimized conditions (*i.e.* 34% yield). In a biphasic system, oxidation reaction occurred in the aqueous phase while the organic phase constituted a reservoir to gradually release the substrate through the phase equilibrium. The same group reported the combination of phosphomolybdic acid with copper nitrate to selectively oxidize furfural into maleic acid with 51% selectivity and 95% conversion of furfural.⁹ However, the recycling of this catalytic system was not reported. Hydrogen peroxide has been also used as an oxidant for the oxidation of furfural in the presence of Cr(VI) and Mo(VI)-based catalysts,¹⁰ or acid catalysts such as Amberlyst-15, Nafion NR50, Nafion SAC13, hydrochloric acid, acetic acid, sulfuric acid or *p*-toluenesulfonic acid.¹¹



Scheme 1. Catalytic oxidation of furfural to MA and FA.

In a previous study carried out in our group, reaction media containing water and betaine hydrochloride (BHC), a co-product of sugar beet industry, were used to produce i)

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furfural from hemicellulose and ii) 5-hydroxymethylfurfural (HMF) from fructose and inulin.¹² High yield to furfural and to HMF were obtained and BHC was recyclable. Based on these results it was interesting to investigate the oxidation of furfural to MA and FA in the presence of an aqueous solution of BHC and hydrogen peroxide.

Here we report that in a BHC/H₂O₂ system, MA and FA can be obtained with a total yield of 92% (Scheme 1). BHC could be recycled 4 times, and used to convert directly xylose to MA and FA with a total yield of 21% from xylose (i.e. 35% of MA and 8% of FA from furfural). These results are highly appealing because (i) BHC contrary to homogeneous mineral acid was recyclable, (ii) BHC is readily available from biomass itself, and the process no longer relies on the use of metals; (iii) access of MA and FA directly from a sugar in the form of xylose allows to skip isolation of furfural. These promising results are very encouraging for the valorization of furfural into valuable acids.¹³

In a first set of experiments, 0.5 g of furfural was added to 5 g of water containing 40 wt% of BHC (2g of BHC, pH = 1), in the presence of 10 eq. of H₂O₂ (35 wt% aqueous solution). The reaction was carried out for 2 h at 90 °C. Yields and conversions were determined by HPLC using commercial standard. Interestingly, the conversion of furfural was total, and 44% of MA and 10% of FA were produced after only 30 min of reaction (Table 1, entry 7) along with 10% of FA and 5% of 2-furanone. These results demonstrated that an aqueous solution of BHC and H₂O₂ is capable of producing C4-dicarboxylic acids from furfural. A kinetic study was then performed (Figure 1).

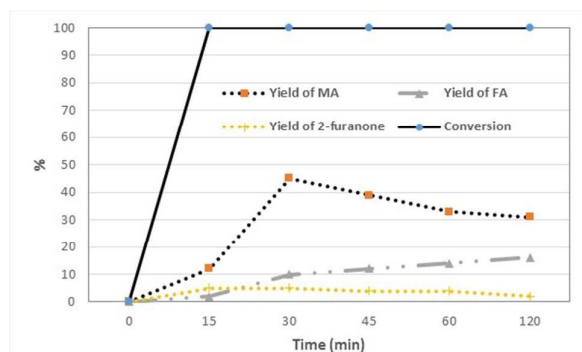


Figure 1. Oxidation of furfural (0.5 g) to MA and FA in the presence of 2.5 eq. of BHC in 5 g of water and 10 eq. of H₂O₂ at 90 °C (2-one: 2-furanone).

In these conditions, the furfural conversion was total and MA was produced with 12% yield after 15 min of reaction. One can note that after 30 min of reaction, the isomerization of the *cis* isomer (MA) into the *trans* isomer (FA) occurred (Figure 1) since it is known that in acidic conditions and with heating, the reversible addition of proton leads to free rotation about central C–C bond and formation of the more stable and less soluble fumaric acid.¹⁴

The effect of the BHC content (Table 1, entries 1–8) was then studied at 90 °C in the presence of 10 eq. of aqueous H₂O₂. In

the presence of an aqueous solution of 10 wt% of BHC, 12% of MA was observed after 30 min of reaction (Table 1, entry 1). Upon prolonged reaction time (up to 120 min) the yield of MA reached 29% along with a FA yield of 6% (Table 1, entries 2 and 3). When the amount of BHC was increased up to 20 wt%, similar yields were observed (Table 1, entries 4–6). However, a further increase of BHC content to 40 wt% afforded 56% of MA and 14% of FA (Table 1, entry 7). If the BHC amount was higher than 40 wt%, a decrease in the MA and FA yields was observed due to dominant side decomposition of furfural (Table 1, entry 8).¹⁵ It can be concluded that an aqueous BHC content of 40 wt% is optimal to produce FA and MA from furfural with the highest yields. Moreover, “2-furanone was detected in all the experiment suggesting that the reaction mechanism could involve the in situ production of furan as described previously¹⁶ In order to confirm this hypothesis, 2-furanone was used as a substrate instead of furfural and after 30 min under optimized conditions, only 6% of MA and 1% of FA. A prolonged reaction time up to 17h led to an increase of the conversion to 50% but only 3% of MA and 7% of FA were observed. These results suggest that 2-furanone is not the main intermediate in the production of MA and FA from furfural using BHC and H₂O₂ aqueous solution.”

Table 1: Effect of the BHC and H₂O₂ content, the reaction temperature in the synthesis of FA and MA from furfural.

| Entry | H ₂ O ₂ (eq.) | BHC (wt.%) | T (°C) | Time (min) | Conv. (%) | Yield (%) | | |
|-------|--|---------------|--------|---------------|--------------|-----------|----|------------|
| | | | | | | MA | FA | 2-Furanone |
| 1 | | | | 30 | 82 | 12 | - | 8 |
| 2 | | 10 | | 60 | 100 | 30 | 5 | 7 |
| 3 | | | | 120 | 100 | 29 | 6 | 5 |
| 4 | | | | 30 | 99 | 26 | 3 | 13 |
| 5 | 10 | 20 | 90 | 60 | 100 | 27 | 8 | 5 |
| 6 | | | | 120 | 100 | 36 | 5 | 4 |
| 7 | | 40 | | 30 | 100 | 44 | 10 | 5 |
| 8 | | 50 | | 30 | 100 | 44 | 4 | 3 |
| 9 | 10 | | 50 | 240 | 100 | 49 | 2 | 1 |
| 10 | 10 | | 100 | 30 | 100 | 61 | 31 | 3 |
| 11 | 5 | 40 | | 100 | 60 | 100 | 36 | 30 |
| 12 | 2 | | 100 | 60 | 100 | 9 | 20 | 4 |
| 13 | 2 | | 100 | 120 | 100 | 10 | 48 | 2 |

The effect of the reaction temperature was also studied (Table 1, entries 9 and 10). It was shown that a decrease of the reaction temperature from 90 to 50 °C led to a similar yield of MA yield (49% vs. 44%) while the yield of FA remained rather low (2% at 50 °C). The reaction time was higher (240 min) at 50 °C than at 90 °C (30 min) to reach similar yield of MA. However, the decrease of the reaction temperature inhibited the formation of FA since the FA yield decreased from 14 to 2 % when going from 90 to 50 °C, the total yield (MA+FA) being smaller at 50 °C than at 90 °C. An increase of the temperature increases the selectivity to the C4 acids. This claim was further supported by the increase of the reaction temperature up to 100 °C which afforded 61% of MA along with 31% of FA. It can be pointed out that at 100 °C a total yield of FA and MA over 90% was observed. If the amount of H₂O₂ is decreased from 10 to 5 eq., the yield to MA was decreased from 61 to 36% while

the yield to FA was similar, around 30%. A further decrease of the amount of H_2O_2 from 5 to 2 eq. led to a decrease of the MA yield to 10% while the FA yield was increased up to 48%, the main product formed being FA. These results show that H_2O_2 plays an important role in the isomerization reaction as well as in the selectivity of the reaction. The decrease of the H_2O_2 content led to a decrease in the selectivity of the reaction to MA and FA with an increase of the FA yield. In order to selectively produce fumaric acid, low amount of hydrogen peroxide and high reaction temperature are required.

To the best of our knowledge, this is the first time that such yields to MA and FA are reported in the literature.

BHC is a strong organic acid, providing pHs around 1 under the studied conditions. In order to understand the role of BHC in the reaction, we compared its activity with two comparable inorganic acids, HCl and H_2SO_4 . All the reactions were carried out under reflux at 90 °C in the presence of 10 eq. of aqueous H_2O_2 for 30 min.

Table 2: Comparison of BHC and HCl in the synthesis of FA and MA from furfural at 90 °C under reflux in the presence of 10 eq. of H_2O_2 .

| Entry | Acid | Yield (%) | |
|-------|-------------------------|-----------|----|
| | | MA | FA |
| 1 | BHC (pH~1) | 44 | 10 |
| 2 | HCl (pH~1) | 35 | 2 |
| 3 | H_2SO_4 | 30 | 7 |

In a reaction test in absence of BHC, the pH was adjusted to 1 by addition of HCl or H_2SO_4 (Table 2, entries 2-3) before reaction was performed. This reaction provided lower yields to MA and FA compared to the ones observed in the presence of BHC. These results suggest the main role of BHC is to act as a strong acid.

The recycling of the BHC was explored, to show the benefit of using BHC instead of HCl (Figure 2). The recycling of BHC was evaluated under optimized conditions ~~90~~ (100 °C under reflux, 10 eq. of H_2O_2 , 2 g of BHC in 5 mL of water (40 wt% of BHC in water)).

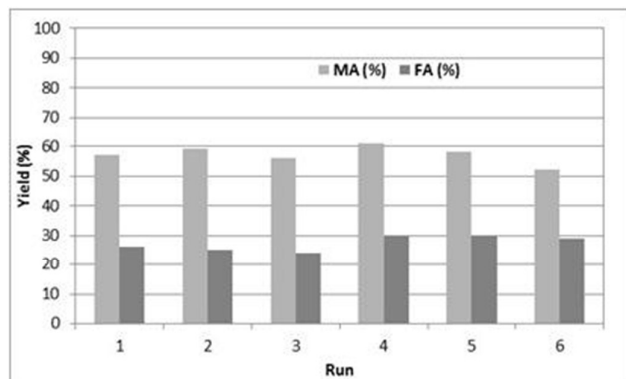
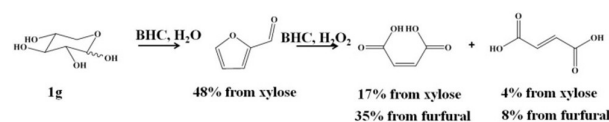


Figure 2. Recyclability of BHC in the oxidation of furfural (0.5 g) to MA and FA in the presence of 2.5 eq. of BHC in 5 g of water and 10 eq. of H_2O_2 at 100 °C.

After 30 min of reaction, BHC was recovered by precipitation upon addition of acetone with high purity in the reaction media. BHC was separated by filtration and reused as collected. We were pleased to see that up to 6 cycles can be

performed without significant loss in MA and FA yields. After the 6th recycling, BHC was recovered and analyzed by ^1H NMR and the pH of the aqueous solution of BHC was measured. Similar NMR spectra were obtained between fresh and used BHC (Figure S1) and the pH was only 1. Moreover, titration of chlorine in BHC was also performed and no change in chlorine content was observed between commercial BHC and recovered BHC. These results show that BHC was not degraded during the reaction.

As we have established before that BHC was efficient towards the conversion of xylose to furfural, we explored the direct transformation of xylose into MA and FA.¹² To this end the dehydration of xylose to furfural was carried out in the presence of 0.1 g of xylose and 1 g of BHC and 5 mL of water at 180 °C according to our previous results. A furfural yield of 48% was obtained after 60 min of reaction. The reaction media was cooled down to room temperature and 5.8 g of H_2O_2 was added as well as 1 g of BHC. The reaction temperature was increased up to 90 °C and 17% of MA along with 4% of FA (yield in accordance with the xylose content) were observed after 30 min of reaction.



Scheme 2. Synthesis of MA and FA from xylose in the presence of BHC and hydrogen peroxide in a one pot two steps process

It can be pointed out that if the yield of MA was calculated from furfural produced from xylose, 35% of MA was obtained, showing a similar yield that those observed from commercial furfural, the BHC content in water being 40 wt%.

Conclusions

Here, we have demonstrated that BHC can be used to selectively convert furfural to maleic and fumaric acids, with high yields (above 90%). BHC yields similar results than HCl, but its main advantage relies on its recyclability. Particularly, BHC was recyclable up to 4th cycle without significant loss in MA and FA yields. The analysis of the BHC after the recycling study has shown that BHC was not decomposed during the reaction proving the interest of this media for this reaction. Moreover, BHC is capable of producing MA and FA directly from xylose in a one pot two steps process, thus streamlining the production of important industrial intermediates directly from sugars.

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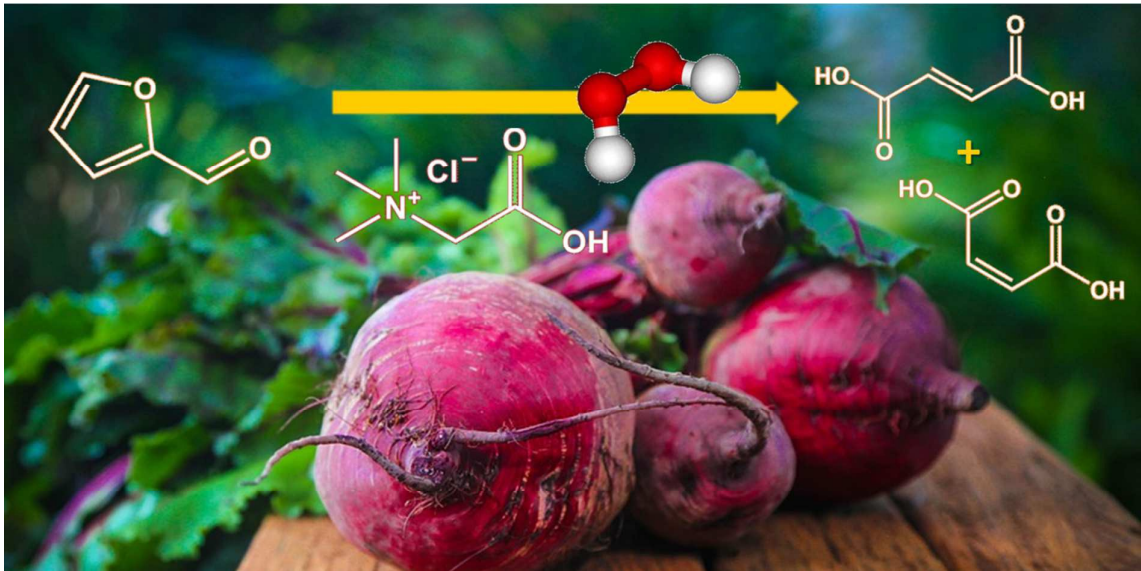
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Graphical abstract



Maleic acid (MA) and fumaric acid (FA) was produced from furfural with a total yield above 90% in the presende of an aqueous solution of betaine hydrochloride (BHC) and hydrogen peroxyde. BHC can be recyclable and can be used to convert xylose to MA and FA.