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1	Characterization of degradation products of regorafenib by LC-QTOF-MS
2	and NMR: Investigation of rearrangement and odd-electron ion formation
3	during collision-induced dissociations under ESI-MS/MS
4	
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2 Regorafenib is an oral multikinase inhibitor, was subjected to stress conditions 3 (hydrolysis, oxidative, thermal and photolytic) as per ICH specified conditions. 4 The drug showed considerable degradation under hydrolysis (acidic, basic and neutral) and oxidative stress conditions, whereas it was stable under other stress 5 conditions. A total of five degradation products (DPs) were observed and these 6 7 were analyzed by using UHPLC-DAD system. The chromatographic separation 8 was achieved on an Acquity CSH C18 ( $100 \times 2.1 \text{ mm}$ ,  $1.7\mu$ ) column using 0.1% 9 formic acid and acetonitrile: methanol (80: 20, %v/v) as mobile phase in 10 gradient mode. All DPs were characterized by LC-MS/MS and major 11 degradation product (DP1) was isolated by using preparative HPLC from 12 degradation mixture and analyzed using NMR (1D and 2D NMR) and IR 13 experiments. It was observed that protonated DP1 and DP3 undergo 14 rearrangement reactions during collision induced dissociations under positive 15 electrospray ionization conditions. Additionally, in silico toxicity of the drug 16 and its degradation products (DP1-DP5) were evaluated using TOPKAT and 17 DEREK toxicity prediction software tools.

18 Keywords: Regorafenib; Stress study; Characterization; Rearrangement
19 reaction; Odd-electron ion

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# 1 Introduction

2 According to the American Cancer Society, cancer is the second most common 3 cause of death and it accounts for nearly one out of every 4 deaths. Cancer is defined as a disease caused by an uncontrolled division of abnormal cells in a 4 part of the body. In this condition, the cells of a specific tissue lose their ability 5 to respond to the signals within the tissue that regulate cellular differentiation, 6 7 survival, proliferation, and death. As a result, these cells accumulate causing 8 local damage and inflammation. There are over 200 different types of cancer, and each is classified by the type of cell that is initially affected.<sup>1</sup> 9

Of all the medications that have come out to treat this condition, kinase inhibitors have played an increasingly prominent role. Phosphorylation regulates many biological processes of our body, and protein kinase inhibitors can be used to treat diseases due to hyperactive protein kinases (including mutant or over expressed kinases in cancer) or to modulate cell functions to overcome other disease drivers.<sup>2</sup>

Regorafenib [4-[4-({[4-Chloro-3-(trifluoromethyl)phenyl]carbamoyl}amino)-3fluorophenoxy]-N-methylpyridine-2-carboxamide hydrate] is a novel oral
multikinase inhibitor that potently inhibits the endothelial cell kinases in
biochemical and cellular kinase phosphorylation assays. It also inhibits
additional angiogenic and the mutant oncogenic kinases (KIT, RET, and BRAF).<sup>3-5</sup>

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1 Forced degradation or stress degradation study include degradation of a new 2 drug substance and drug product under different stress conditions more harsher 3 than accelerated conditions and it provides an insight into determination of 4 degradation pathways, degradation products (DPs), intrinsic stability of a drug substance, structure elucidation of DPs and also essential for demonstrating 5 specificity of stability indicating methods. These forced degradation studies are 6 7 also helpful for a demonstration of the chemical behavior of the drug molecule which in turn used in the formulation development and final package. <sup>6-8</sup> 8

9 The FDA and other regulatory agencies state the stability testing data as a 10 regulatory requirement and it has been extended to generic drugs. <sup>9,10</sup> The 11 degradation products formed under forced degradation studies may or may not 12 mimic process impurities <sup>11-14</sup> and real time storage impurities. However, the 13 developed stability indicating method can also be applied for the analysis of 14 samples generated under long term, accelerated stability studies and real time 15 storage conditions. <sup>6,9</sup>

Recently there have been reports on synthesis of REG,<sup>15,16</sup> HPLC method,<sup>17</sup> and LC-MS assay method for determination of regorafenib in rat plasma.<sup>18-20</sup> However, no work has been published on forced degradation studies of regorafenib and characterization of its degradation products. Hence, the purpose of this study is to identify and characterize the degradation products of regorafenib using LC/ESI/QTOF/MS/MS, NMR and IR experiments and to evaluate *in vitro* toxicities of degradation products.

# 1 Experimental

# 2 Chemicals and reagents

Pure regorafenib was obtained as gratis sample from MSN Laboratories 3 4 (Hyderabad, India). High-performance liquid chromatography (HPLC) grade 5 acetonitrile (ACN) and methanol (MeOH) were purchased from Merck (Merck, 6 Mumbai, India). Buffer salts and all other chemicals such as formic acid, 7 ammonium acetate, hydrochloric acid, sodium hydroxide and 30% (w/w) 8 hydrogen peroxide were purchased from SD Fine Chemicals Pvt. Ltd., 9 (Mumbai, India). Ultra pure water  $(H_2O)$  was obtained from a Millipore Milli-Q 10 plus system (Milford, MA, USA)

#### 11 Instrumentation

UPLC (Ultra Performance Liquid Chromatography) studies were carried out on
Waters UPLC® H-class system (Waters Corp., Milford, MA, USA), which was
equipped with an auto sampler and quaternary gradient pump with an in-line
degasser, multiple column compartments and photodiode array (PDA) detector.
The chromatographic data were acquired using Empower 3 software (Waters
Corp.).

18 LC-HRMS (Liquid Chromatography- High Resolution Mass Spectrometry)
19 studies were carried out using an Agilent Infinity 1290 series instrument
20 (Agilent Technologies, Santa Clara, California, USA) comprising of

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quaternary pump (G4204A), auto sampler (G4226A), column oven (G1316C),

thermostat (G1330B) and PDA detector (G4212B). The HRMS system

consisted of quadrupole time-of-flight (Q-TOF LC-MS 6540) equipped with an 3 4 electrospray ionization (ESI) source. System control and data acquisition were done by Mass Hunter Workstation software. 5 6 7 8 9 NMR (Nuclear magnetic resonance) experiments (1D and 2D NMR) were done 11

Isolation of selected DP was carried out by using semi preparative HPLC instrument (Waters Corp.), which is equipped with a binary pump (515 and 515), a de-gasser, pump control module, manual injector, a diode-array detector (2489 UV-VIS), and fraction collector. The data acquisition was done under the

10 control of Empower 3 software (Waters Corp.).

12 by AVANCE III HD-500 MHz NMR, Bruker (Bruker, Billerica, Massachusetts, USA). DMSO- $d_6$  was used as solvent. <sup>1</sup>H and <sup>13</sup>C chemical shift values were 13 14 reported on the  $\delta$  scale in ppm relative to TMS ( $\delta = 0.00$  ppm) as an internal 15 standard. The data acquisition and processing of NMR spectra was done using 16 Top spin software (3.2 version). The FTIR (Fourier transform infrared 17 spectroscopy) spectra were recorded by using PerkinElmer Spectrum (Two 18 spectrophotometer, PerkinElmer, Inc., Waltham, MA, USA). Photolytic studies 19 were performed in a photostability chamber (Osworld Scientific Pvt. Ltd., 20 Mumbai, India) and thermal degradation studies were carried out in the Osworld 21 laboratory oven (Osworld Scientific Pvt. Ltd.). Eutech pH meter (Eutech 22 Instruments, Singapore) was used to evaluate the pH of all the solutions. To

# 1 dissolve the samples, Power Sonic-405, an ultra-sonicator (Hwashin

2 Technology Co., Seoul, South Korea) was used.

#### **3** Sample preparation

The diluent (ACN: water (80: 20, % v/v)) was used to prepare the standard as
well as stress degradation samples. The acidic and basic stressed degradation
samples were neutralized and diluted to 100ppm with the diluent before UPLC
analysis.

# 8 Forced degradation studies on REG

9 Forced degradation of REG was performed on the bulk drug (API) as per ICH 10 guidelines.<sup>21</sup> REG was subjected to different stress conditions such as 11 hydrolysis (acid, base and neutral), oxidative, photolytic and thermal stress 12 conditions. The drug solutions were prepared at 2.0 mg/mL concentration for all 13 stress samples and diluted with acid, base and 30%  $H_2O_2$  in 1: 1 ratio. All the 14 optimized stress conditions are given in Table 1.

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16 **Table 1** Stress conditions for optimum degradation of REG

Stress condition	Concentration of	Exposed condition	Duration	Degradation products formed
	stressor			
Acid hydrolysis	2 N HCl	80 °C	5 h	DP1, DP2 and DP3
Base hydrolysis	0.1 N NaOH	80 °C	24 h	DP1, DP2 and DP4
Neutral hydrolysis	Diluent	80 °C	3 d	DP1 and DP2
Oxidation	30% H <sub>2</sub> O <sub>2</sub>	RT	6 d	DP3 and DP5
Thermal	Solid state	100 °C	15 d	No degradation
Photolysis	Solid state	40 °C, 75% RH	4 d	No degradation

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#### 18 Enrichment, isolation and NMR studies on major degradation product DP1

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1 The major degradation product DP1 was observed in all the hydrolytic (acid, 2 alkaline and neutral) stress conditions. Therefore neutral hydrolytic condition 3 was tried to enrich major degradation product DP1 by dissolving 150 mg of 4 REG in 50 mL of diluent and refluxed for 120 h at 75 °C, where DP1 was formed in major quantity. The degradation product (DP1) was separated on a 5 preparative column (X-bridge C18 (250 mm  $\times$  19 mm, 5 µm)), by using a 6 7 mobile phase composed of 0.1% formic acid (A) and acetonitrile (B) in an isocratic mode (35: 65, % v/v). The optimized flow rate, injection volume, and 8 9 detection wavelength were 12 mL/min, 1.5 mL and 261 nm, respectively. The 10 fraction (DP1) was collected and concentrated by using rotavapor. The isolated products were dissolved in deuterated DMSO and subjected to <sup>1</sup>H and <sup>13</sup>C NMR 11 12 experiments.

# 13 Fourier transform infrared spectroscopy (FTIR)

The FTIR spectra of REG and DP1were recorded in the range of 4000–400
cm<sup>-1</sup>. A small quantity of dried powder sample of REG and DP1 were placed on
ATR crystal and recorded FTIR spectra for functional group determination.

17 In silico toxicity prediction

*In silico* models offer an economical, fast and easy way of evaluating toxicological properties of a drug molecule prior to synthesis. Several commercial toxicity prediction tools available for toxicity prediction, covering a range of toxicity endpoints such as carcinogenicity, reproductive effects, irritation, hepatotoxicity, teratogenicity, and mutagenicity etc. Two types of

1 approaches are available to predict the toxicity of molecules such as knowledge-2 based and statistically based systems. A knowledge-based system (DEREK, 3 Deductive Estimation of Risk from Existing Knowledge) uses human expert 4 opinion to predict the potential toxicity of new molecules based on relationship between structure and biological activity, whereas statistically based systems 5 (TOPKAT, Toxicity Prediction by Komputer Assisted Technology) use 6 7 application of various statistical methods, calculated parameters, and structural connectivity to derive the toxicity data for molecules.<sup>22,23</sup> In the present study 8 9 two computational tools (TOPKAT (Discovery Studio 2.5, Accelrys, Inc., San 10 Diego, CA, USA) and DEREK (Nexus v2.0, Lhasa Ltd., Leeds, UK)) were used 11 to evaluate the toxicity of REG and its degradation products.

#### 12 **Results and discussion**

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# 13 **Optimization of chromatographic conditions**

14 Initial trials were done with ammonium acetate buffer at different pH (3.5, 4.0, 15 5.0 and 6.5 pH) conditions on BEH C18 column for optimum separation of 16 REG and its DPs. It was observed that peak shapes were not good. Then moved 17 to 0.1% formic acid from ammonium acetate which gave better peak shapes. At 18 this stage DP3 co-eluted with DP5. To get better separation between the DP3 19 and DP5, methanol was added to organic phase B (acetonitrile). Finally REG 20 and its DPs were separated on an Acquity CSH C18 (100 mm  $\times$  2.1 mm, 1.7 21  $\mu$ m) by using mobile phase composed of aqueous phase A (0.1% formic acid) 22 and organic phase B (acetonitrile: methanol, 80: 20 % v/v) in a gradient mode

(Tmin/B; T0/10; T1.5/10;T3/50; T8.5/80; T9.5/80; T11/10; T12 /10). The flow
 rate, injection volume, and detection wavelength were 0.3 mL/min, 1μL and
 261 nm, respectively. The same method was used for LC-HRMS studies.

# 4 Degradation behavior of REG

5 The degradation behavior of REG was monitored using UPLC-PDA and UPLC-MS under various stress degradation conditions. Optimum degradation 6 7 was observed under all stress degradation conditions except photolysis and 8 thermal degradation, where the drug was found to be stable. A total of five DPs 9 (DP1 to DP5) were formed in hydrolysis (acid, base and neutral) and oxidative 10 stress conditions (Fig. 1). Among all the DPs, two major degradation products 11 (DP1 and DP2) were observed as common degradation products in all the 12 hydrolysis (acid, base and neutral) conditions. The degradation product DP3 13 was formed under both acid hydrolysis and oxidation stress conditions. The 14 drug was found to be more stable even after subjecting to thermal degradation at 15 100 °C for 15 days. The overlay chromatograms of all stress degradation 16 samples of REG are given in Fig.1



Fig. 1. Overlaid chromatograms of (a) Blank (ACN: water, 80: 20, %v/v), (b) standard
(unstressed) of REG, (c) acid degradation of REG, (d) oxidative degradation of REG, (e)
neutral degradation of REG, (f) base degradation of REG.

# 5 Characterization of REG and its DPs by LC-MS/MS

6 REG and all the DPs (DP1-5) were well separated by LC and they show
7 abundant protonated molecular ions ([M+H]<sup>+</sup>) in ESI positive ionization mode.

8 The ESI-MS/MS spectra of all the five degradation products are shown in Fig.

9 2, and their elemental compositions and accurate masses are given in Table S1

10 (see the ESI, Electronic Supplementary Information).

# 11 **MS/MS of [M+H]^+ of REG (**m/z 483)

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To understand the fragmentation pathway of protonated REG (*m/z* 483), its MS/MS spectrum was examined. The spectrum showed abundant product ions at *m/z* 465, *m/z* 443, *m/z* 424, and *m/z* 404. Other abundant product ions were observed at *m/z* 288, *m/z* 270, *m/z* 262, *m/z* 244, *m/z* 229, *m/z* 201 and *m/z* 193.

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The ion at m/z 288 can be formed due to cleavage of carbamide bond between
 chlorotrifluoromethyl benzene ring and rest of the moiety of REG. The
 elemental compositions of all above fragment ions (Scheme 1) have been
 confirmed by accurate mass measurements (Table S1).



6 Fig. 2. LC-ESI/MS/MS spectra of  $[M+H]^+$  ions of (a) REG, (b) DP1, (c) DP2, (d) DP3, (e)





3 Scheme 1. Proposed fragmentation pathway of protonated REG

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# 5 MS/MS of $[M+H]^+$ of DP1 (*m/z* 262)

6 The MS/MS spectrum of  $[M+H]^+$  ions (*m/z* 262) of DP1 (Rt= 5.1 min) shows 7 the product ions of *m/z* 244, *m/z* 203, *m/z* 175, *m/z* 155, *m/z* 148, *m/z* 128, *m/z* 8 126, *m/z* 111, *m/z* 101, *m/z* 98, *m/z* 77 and *m/z* 51 (Fig. 2b). It was observed that 9 the  $[M+H]^+$  of REG gives the ion at *m/z* 262 with same elemental composition 10 that of DP1 (Scheme 2). However, the absence of abundant product ions at *m/z* 11 175, 155 and 148 in the MS/MS of protonated REG clearly points to structural

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changes in DP1. Thus the formation of these product ions from DP1 can be

attributed to rearrangement reactions following an ortho-effect in 4-

phenoxypyridine ring moiety of DP1 (Scheme 3) during collision-induced

dissociation (CID). This type of rearrangement reaction was reported in

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aromatic ethers, sulphides, and sulphoxides under EI conditions.<sup>24,25</sup> Based on 5 the above data, the structure of DP1 could be proposed as 4-(4-amino-3-6 7 fluorophenoxy)-N-methylpicolinamide. H<sub>2</sub>N H<sub>2</sub>N



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Scheme 2. Proposed fragmentation pathway of protonated **DP1** 

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2 Scheme 3. Proposed reaction pathway for the formation of rearranged fragment ions (*m/z*3 175, 155, 148 and 101) in DP1 and DP3

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# 5 MS/MS of $[M+H]^+$ of DP2 (*m/z* 196)

The accurate mass of  $[M+H]^+$  of DP2 (Rt= 6.9 min) was determined to be m/z196.0138 (Fig. 2(c)) corresponding to an elemental composition of  $C_7H_6ClF_3N^+$ . The MS/MS spectrum of protonated DP2 is shown in Fig. 2(c). Scheme 4 includes the structure of DP2 and its product ions at m/z 178, m/z 176, m/z 161, m/z 142, m/z 127, m/z 125, m/z 111, m/z 99, m/z 92, m/z 75, m/z 74, m/z 68 and m/z 65. The diagnostic fragment ions at m/z 178, 161, 127 and 68 can be formed from m/z 196 by the loss of NH<sub>3</sub>, Cl, CF<sub>3</sub> and C<sub>6</sub>H<sub>6</sub>ClN, respectively. Formation

of radical fragment ions at m/z 161, 127, 111 and 74 involve homolytic bond cleavage during CIDs,<sup>26</sup> which is generally observed in EI-MS, are less common among CIDs under ESI and APCI conditions.<sup>27</sup> The characteristic product ions at m/z 127 and m/z 68 indicate that 4-chloroaniline and fluoroform moieties are intact in the structure of degradation product DP2. Based on fragmentation of protonated DP2 and accurate mass measurements, the structure of DP 2 could be proposed as 4-chloro-3-(trifluoromethyl)aniline.

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# 12 **MS/MS of [M+H]<sup>+</sup> of DP3 (***m*/*z* **349)**

13 The MS/MS spectrum (Fig. 2(d)) of protonated DP 3 (Rt 7.8 min, m/z 349)

14 shows the product ions at m/z 221, m/z 196, m/z 176, m/z 166, m/z 161, m/z 154,

1 m/z 141, m/z 128, m/z 126, m/z 108, m/z 99, m/z 83 and m/z 53. The generation 2 of intense ions at m/z 196, 176 and 161 indicates the presence of 4-chloro-3-(trifluoromethyl) aniline, whereas ions at m/z 128, 108 and 83 represents 4-3 4 amino-3-fluorophenol moieties are intact in the DP3. The formation of product ions at m/z 221 and m/z 154 displayed urea bond linking between 1-chloro-2-5 (trifluoromethyl)benzene and 3-fluorophenol rings. Based on the fragmentation 6 7 (Scheme 5), DP3 identified 1-(4-chloro-3pattern was as 8 (trifluoromethyl)phenyl)-3-(2-fluoro-4-hydroxyphenyl)urea. The elemental 9 compositions have been confirmed for  $[M+H]^+$  of DP3 and its fragment ions by 10 accurate mass measurements.



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Scheme 5. Proposed fragmentation pathway of protonated **DP3** 

# 1 MS/MS of $[M+H]^+$ of DP4 (*m/z* 304)

2 The MS/MS spectrum of protonated DP4((Rt= 4.9 min, m/z304  $C_{15}H_{15}FN_{3}O_{3}^{+}$ , displayed product ions at m/z 286, m/z 245, m/z 227, m/z 203, 3 m/z 183, m/z 175, m/z 155, m/z 148, m/z 128, m/z 126, m/z 111 and m/z 98. The 4 common fragment ions at m/z 175, 155, 148 (Scheme 3), 126 and 111 were 5 generated from 4-amino-5-fluoro-2-(pyridin-4-yl)phenol moiety and it was 6 7 intact in both the DPs (DP1 and DP4). Based on the fragmentation pattern 8 (Scheme 6) and accurate measurements, DP4 was identified as 4-(4-acetamido-9 3-fluorophenoxy)-*N*-methylpicolinamide.

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Scheme 6. Proposed fragmentation pathway of protonated DP4

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# 1 MS/MS of $[M+H]^+$ of DP5 (*m/z* 499)

The degradation product, DP5 (Rt = 8.1min) was formed under oxidation stress 2 3 condition. The mass difference between the protonated drug (m/z 483) and DP5 4 (m/z 499) is 16 Da, which indicates an addition of oxygen from hydrogen peroxide to REG as hydroxylated form or N-oxide of the drug. The MS/MS 5 spectrum of DP5 displayed product ions at m/z 481, m/z 461, m/z 442, m/z 424, 6 7 m/z 404, m/z 388, m/z 368, m/z 304, m/z 278, m/z 247, m/z 229, m/z 201, m/z8 174, m/z 126 and m/z 111 (Scheme 7). The product ion at m/z 424 indicated the 9 presence of 1-(4-chloro-3-(trifluoromethyl)phenyl)-3-(2-fluoro-4-(pyridin-4-10 yloxy)phenyl)urea moiety which is similar to that of the drug (Scheme 1). 11 However, the presence of product ions at m/z 304 and 278, with a mass of 16 Da 12 more than the corresponding fragment ions of the drug (m/z 288 and 262), 13 showed that a structural change occurred in 4-(4-amino-3-fluorophenoxy)-N-14 methylpicolinamide moiety. The product ions at m/z 278 and 247 suggested that 15 hydroxylation had taken place presumably on the picolinamide ring. 16 Atmospheric pressure chemical ionization (APCI)-MS experiment was 17 performed to distinguish between N-oxide and hydroxylated product. It was 18 observed that there is no instant loss of OH radical, which is generally observed 19 in the case of N-oxide by APCI-MS experiment. It was reported that N-oxides 20 are thermally labile and undergo deoxygenation by thermal decomposition (thermal energy activation in the vaporizer of the APCI source) in the APCI.<sup>28,29</sup> 21



The structure of degradation product DP1 was similar to one of the key intermediate while DP2 mimic the starting material in the synthesis of REG <sup>15,16</sup> and DP3 matched with regorafenib urea impurity (CAS No 1333390-56-9). The DP5 (hydroxylated DP) did not mimic with any of the process impurities or metabolites but closely similar to regorafenib-N-Oxide <sup>19</sup> (M2 Metabolite, CAS No 835621-11-9) and hydroxyregorafenib (M3 Metabolite).

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# 8 NMR structural characterization studies

The degradation product DP1 and REG (Fig. 3) were subjected to 1D NMR (<sup>1</sup>H. 9 <sup>13</sup>C and DEPT) and 2D NMR (COSY, ROESY, HSQC and HMBC) 10 11 experiments to verify the proposed structure of DP1. The data are compiled in Table S2 (see the ESI). <sup>1</sup>H and <sup>13</sup>C NMR experiments in DMSO- $d_6$  reveal that 12 13 REG contained 15 protons and 21 carbons while DP1 contained 12 protons and 13 carbons. Based on DEPT-90, DEPT-135, and <sup>13</sup>C NMR experiments, DP1 14 15 has 1-CH3 (primary), 6-CH (tertiary) and 6-C (quaternary) carbons, while REG 16 has 1-CH3 (primary), 9-CH (tertiary) and 10-C (quaternary) carbons. In <sup>1</sup>H 17 NMR spectrum of DP1, the protons at H-12, H-15, H-16, and H-17 were 18 shielded to 6.99, 6.84, 6.77 and 5.20 ppm when compared with REG this 19 revealed that absence of 1-(4-chloro-3-(trifluoromethyl)phenyl)urea moiety 20 which is present in the parent molecule (Fig. 3).

In addition, <sup>13</sup>C NMR spectra were consistent with above-mentioned
observations, the carbon C-14 was shifted to deshielding as 142.2 ppm from

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124.9 ppm because of –NHCONH group attached to C-14 was replaced by the
 simple NH<sub>2</sub> group. The structure of DP1 was further confirmed by COSY and
 ROESY experiments where all the correlations are in accordance with the
 proposed structure.

Other 2D techniques such as HSQC and HMBC were also performed to verify 5 the structure of DP1. The HSQC spectra of DP1 and REG are shown in Fig. 4. 6 7 HSQC spectra of DP1 shows the presence of correlation of  $CH_3$  (9) and  $CH_3$ 8 (2,4,5,12,15,16) and absence of correlations of CH (21, 24, 25) which were 9 observed in REG HSQC spectra (Fig. 4). In addition, HMBC spectra of DP1 10 and REG were used to verify the correlations between the protons and carbons 11 in the structural framework, which were  $\geq 2$  bonds apart. Moreover, notable 12 correlations observed in HMBC spectra also helped to assign chemical shift 13 values corresponding to protons and carbons. Based on the observations from 1D NMR (<sup>1</sup>H, <sup>13</sup>C, DEPT-90, DEPT-135) and 2D NMR (COSY, ROESY, 14 15 HSQC, and HMBC) spectra, the structure of DP1 was identified as 4-(4-amino-16 3-fluorophenoxy)-N-methylpicolinamide (Fig. 3).



2 Fig. 3. Schematic diagram of chemical structures of REG and DP1 with number depiction



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5 Fig. 4. HSQC spectra of REG and DP1 (500 MHz, DMSO-*d*<sub>6</sub>)

# 1 Mechanistic explanation of formation of degradation products (DP1-DP5)

2 The formation of degradation products (DP1-DP5) was shown in Scheme 8. 3 DP1 and DP2 were formed as major degradation products under acid, base, and 4 neutral hydrolytic condition because of susceptibility of urea bond to all 5 hydrolytic (acid, base and neutral) conditions. DP1 and DP2 were formed by hydrolytic cleavage of urea bond. The degradation product DP3 was formed by 6 7 cleavage of diphenyl ether linkage of REG under acid hydrolysis and oxidative 8 stress conditions. Formation of DP4 can be explained by hydrolysis of urea 9 bond to form carbamic (R-NHCOOH) acid followed by its conversion to 10 acetamide (R-NHCOCH<sub>3</sub>). The degradation product DP5 was formed only 11 under oxidative stress condition and it can be explained by an addition of 12 hydroxyl group to N-methylpicolinamide ring of REG.

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2 Scheme 8. Probable mechanisms of formation of degradation products (DP1-DP5)

# **3 IR structural characterization studies**

The major differences in the functional groups and similarities between REG and DP1were evaluated by comparing the IR spectra of DP1 with that of REG. The major differences found at 3388.92, 3349.84 and 1718.59 cm<sup>-1</sup> (IR spectra of REG) corresponding to NH and C=O stretch of urea bond of REG which is absent in the DP1 spectra. The peaks at 3394.96 and 1567.74 cm<sup>-1</sup> (IR spectra of DP1) represented primary amine (-NH<sub>2</sub>) group which is absent in the spectra of REG. Group of peaks at 1140.05, 1128.94 and 1106.97 cm<sup>-1</sup> (IR spectra of

View Article Online DOI: 10.1039/C7NJ01440F

New Journal of Chemistry Accepted Manuscript

REG) indicates the C-F bond in CF<sub>3</sub> functional group while sharp peak was
 observed at 1140.52 cm<sup>-1</sup> (IR spectra of DP1) represent the C-F bond. The C-Cl
 bond peak was observed at 650.69 cm<sup>-1</sup> (IR spectra of REG) which are absent in
 the spectrum of DP1.

The IR spectra of DP1 compared with REG was utilized to find the similarities 5 at 1655.38 cm<sup>-1</sup> corresponding to C=O stretch of amide group which is present 6 at 1666.09 cm<sup>-1</sup> in the spectra of DP1. The peaks at 1595.21and 1539.27 cm<sup>-1</sup> 7 (IR spectra of REG) indicated NH bending vibration of mono-substituted amide 8 bond while in the spectra of DP1 it was observed at 1535.88 cm<sup>-1</sup>. Other peaks 9 can observe at 1504.48 cm<sup>-1</sup> (IR spectra of REG) and 1507.87 cm<sup>-1</sup> (IR spectra 10 11 of DP1) represented aromatic CH bond. The peaks were observed at 870.62, 835.41, 742.99 cm<sup>-1</sup> (IR spectrum of REG) and 890.08, 848.32, 803.03 cm<sup>-1</sup> (IR 12 spectra of DP1) indicates the 1,2 disubstitution or 1,3 disubstitution, 1,4 13 14 disubstitution and 1,2,4 trisubstitution respectively.

# 15 In silico toxicity prediction of REG and its DPs

The results of toxicity prediction by TOPKAT are shown in Table S3 (see the ESI). Assessment of these results shows similarity in the toxicity profile of the drug and its degradation products. But some of the animal models show the variations for them. DP2 shows a high probability for rat male NTP (National Toxicology Program). All compounds show very high and similar toxicity profile for mouse male NTP, mouse female NTP and ocular irritancy mild *vs* moderate severe (with the exception of DP2 shows non-toxic profile). Also for

rat male FDA (Food and Drug Administration) single *vs* multiple prediction DP4 exceptionally shows non-toxic profile. In a case of skin sensitization weak *vs* strong model, DP2 and DP3 remarkably shows non-toxic endpoints whereas other compounds show a high probability of toxicity. Skin sensitization none *vs* sensitizer model shows extremely high toxicity endpoint for DP2. The DP1 shows extreme high toxicity probability for mouse female FDA none *vs* carcinogen.

Variations have also been observed in the form low toxicity profile. DP1, DP2, and DP4 show low probabilities for rat male FDA none *vs* carcinogen and skin irritancy none *vs* irritant model. DP5 has shown in weight of evidence rodent carcinogenicity model. DP2 and DP3 have been found to be least toxic for developmental toxicity potential. However DP1 and parent drug show intermediate probability for mouse male FDA none *vs* carcinogen. DP4 shows for mouse female FDA none *vs* carcinogen.

15 The qualitative results from DEREK software are shown in Table S4 (see the 16 ESI). Qualitative toxicity profiling of regorafenib and its DPs shows a presence 17 of carcinogenicity, skin sensitization and peroxizome proliferation toxicity end 18 points. The parent drug regorafenib, DP1, DP4 and DP5 have shown 19 carcinogenicity and peroxizome proliferation toxic end points in mouse and rat 20 models. These toxicity end points have been observed due to a presence of 21 alkylaryl or bisaryl carboxylic acid or precursor molety. DP1and DP2 have 22 shown skin sensitization toxicity end point due to the presence of aromatic

primary or secondary amine. This DEREK toxicity profile for DP1 and DP2
 further confirms the results obtained using TOPKAT. DP3 didn't show any
 toxicity profile. Both studies (TOPKAT and DEREK) further confirm the
 carcinogenicity toxicity profile of DP1, DP4, and DP5.

#### 5 Conclusion

In conclusion, a formation of all DPs (DP1-DP5) from REG under hydrolysis 6 (acidic, basic and neutral) and oxidation stress condition was discussed. The 7 8 structure of DPs (DP2-DP5) was elucidated by using LC-MS/MS and the major identified by 9 degradation (DP1)product was using LC-MS/MS, 10 multidimensional NMR and FTIR analytical techniques. The LC-MS/MS and 11 NMR data supported the observed rearrangement reactions in DP1 and DP4. 12 Additionally *in silico* toxicity data were predicted for degradation products by 13 using DEREK and TOPKAT software tools. The parent drug (REG) and DPs 14 (DP1, DP4, and DP5) shows peroxizome proliferation toxic end points and 15 carcinogenicity in mouse and rat models. DP1and DP2 have shown skin 16 sensitization whereas DP3 has not shown any toxicity profile.

- 17 **Conflicts of interest**
- 18 There are no conflicts of interest to declare
- 19 Acknowledgements

20 The authors are thankful to Project Director of NIPER, Hyderabad for facilities

21 and support. B.S.M is thankful to the Department of Pharmaceuticals, Ministry

1	of Chem	icals and	Fertilizers,	New	Delhi,	for	the	awarding	a	Research
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