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Ruthenium-catalyzed Olefin Metathesis Accelerated by Steric Effect of Backbone Substituent in Cyclic (Alkyl)(Amino)Carbenes

Jun Zhang,*^{,†} Shangfei Song,[†] Xiao Wang,[†] Jiajun Jiao,[†] and Min Shi^{*,†,‡}

Received (in XXX, XXX) Xth XXXXXXXX 20XX, Accepted Xth XXXXXXXX 20XX 5 DOI: 10.1039/b000000x

Three ruthenium complexes bearing backbonemonosubstituted CAACs were prepared and displayed considerable improvement on catalytic efficiency not only in RCM reaction but also in the ethenolysis of methyl oleate, 10 compared to those bearing backbone-disubstituted CAACs.

Over the last decade, N-heterocyclic carbenes (NHCs) have received much attention mainly due to their widespread and spectacular applications as organocatalysts and as ligands for organometallic catalysis.¹ The number, electronic nature, 15 steric effect and position of the substituents on both the nitrogen atoms and the backbone of NHCs have played important roles in NHC-promoted reactions.² In 2005, Bertrand etc. synthesized cyclic(alkyl)(amino) carbenes (CAACs), in which one amino group derived from an NHC 20 imidazolidin-2-ylidene has been replaced by a quaternary alkyl group (Figure 1).³ Due to the presence of a quaternary carbon atom in a position α to the carbone center, CAACs featured peculiar electronic and steric properties that are dramatically different from NHCs. Grubbs and Bertrand etc. 25 found that the CAAC-ligated Hoveyda-Grubbs catalysts 1 (1a: $R^{1} = {}^{i}Pr; R^{2}-R^{2} = (CH_{2})_{5}; 1b: R^{1} = {}^{i}Pr; R^{2} = Me; 1c: R^{1} = Et;$ $R^2 = Me$) were very reactive in ring-closing metathesis, and a dramatic increase in catalytic activity was observed after slightly decreasing the steric bulkiness of the N-aryl group 30 from 2,6-diisopropylphenyl group (1a, 1b) to 2,6diethylphenyl group (1c).⁴ Another study showed $1a \sim 1c$ also exhibited high efficiency for the formation of terminal olefins in the ethenolysis of methyl oleate.5 At 100ppm catalyst loading, 1b displayed higher selectivity (92%) and TON

Published on 14 August 2013. Downloaded on 14/08/2013 15:08:34.

^b State Key Laboratory of Organometallic Chemistry, Shanghai Institute of Organic Chemistry, Chinese Academy of Sciences, 354 Fenglin Road, Shanghai 200032 China E-mail: mshi@mail.sioc.ac.cn



Figure 1. Known Ru complexes 1 ligated by backbonedisubstituted CAACs and targeted ruthenium complexes 2 ligated by backbone-monosubstituted CAACs



Scheme 1. Synthesis of ruthenium complexes 2a~2c

- ³⁵ (5600) than **1c** (73% of selectivity and 5300 of TON) did. At a low catalyst loading of 10ppm, only complex **1c** is reactive, though lower selectivity of 83% obtained, and achieves TONs of 35000, the highest TON recorded so far for this reaction.⁵
- Most modifications in CAAC ligands are made on their N-⁴⁰ substituents and/or C-substituents adjacent to the carbene center, and almost CAACs employed are those bearing two methyl groups in their backbone.³⁻⁵ To the best of our knowledge, the effect of backbone substitution of CAAC in catalytic performance is not yet investigated. As part of our
- ⁴⁵ studies on the design and synthesis of backbone-substituted carbenes and their application in catalysis,⁶ we herein report a series of Ru complexes 2 ligated by CAACs bearing a bulky isopropyl group in their backbone. We also demonstrate that, compared to 1, ruthenium complexes 2 displayed considerable
- ⁵⁰ improvement on catalytic efficiency not only in RCM reaction but also in the ethenolysis of methyl oleate. With 10 ppm catalyst loading, complex **2b** ($R^1 = {}^iPr$; $R^2 = Me$) displayed high selectivity (97%) in the ethenolysis of methyl oleate and achieved 26000 TONs.
- ⁵⁵ We first synthesized three new pyrrolidinium salts **3a~3c** according to a published procedure reported by Bertrand

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^a Key Laboratory for Advanced Materials and Institute of Fine Chemicals, School of Chemistry & Molecular Engineering, East China University of Science and Technology, 130 Mei Long Road, Shanghai 200237, China.

E-mail: zhangj@ecust.edu.cn

Tel: +086 021 64252995

Tel: +086 021 64252995

[†] Electronic Supplementary Information (ESI) available: Experimental and spectroscopic data for all compounds. CCDC 887373 (**2a**). For ESI and crystallographic data in CIF or other electronic format See DOI: 10.1039/b000000x/



Figure 2. Left: Molecular structure of 2a. Right: side-view of 2a (cyclohexyl group omitted for clarity).

etc.^{3,4} The corresponding CAACs were generated in situ by treatment of **3a~3c** with potassium hexamethyldisilazide (KHMDS) and then reacted with RuCl₂(PCy₃)(=CH-*o*-O'PrC₆H₄) (**4**) to afford the desired Ru complexes **2a~2c** 5 (Scheme 1). These complexes were isolated as air- and moisture-stable solids by column chromatography. Both mass and NMR spectra, and in particular the X-ray diffraction analyses of **2a** confirmed the formation of these CAAC-Ru complexes (Figure 2). Similar to **1a~1c**,⁴ the solid-state ¹⁰ structure of **2a** adopts a square-pyramidal geometry. The benzylidene moiety is located at the apical position, and the N-aryl ring is situated above the benzylidene moiety.

RCM is the first widely used olefin metathesis reaction in organic synthesis and has been used as the standard reaction ¹⁵ to evaluate the catalytic efficiency of most ruthenium-based complexes.¹ We initially investigated the catalytic activity of **2a~2c** in the RCM of diethyl diallylmalonate **5**, diethyl allylmethallyl malonate **6**, and diethyl dimethallylmalonate **7**, and the catalytic performances are summarized in Table 1. A ²⁰ study reported by Grubbs etc. showed Ru complexes **1a**, **1b** are highly active in RCM of **5** at 60 °C, but a longer reaction time is required for **1a** (Table 1, runs 2 and 3).⁴ The RCM of **6** is more sterically demanding than the corresponding RCM of **5**. At 60 °C, **1b** converted **6** to 95% of trisubstituted olefin **9** in

- ²⁵ 20 h at 60 °C, while **1a** achieved 96% conversion after 48 h (Table 1, runs 11 and 12). We found that, at lower reaction temperature of 30 °C, complex **1b** afforded moderate conversion of 60% after 20 h for the RCM of **5** (Table 1, run 1), and very low conversion of 3% after 23 h for the RCM of **5** (Table 1, run 1), and very low conversion of 3% after 23 h for the RCM of **5** (Table 1).
- ³⁰ 6 (Table 1, run 9). 2a and 2b bearing a backbonemonosubstituted CAAC were identified to be much more efficient in these RCM reactions than their congeners 1a and 1b bearing a backbone-disubstituted CAAC. 2a and 2b achieved 77% and 85% conversion of 5 at 30 °C in 20 h
- ³⁵ (Table 1, runs 4 and 5), and 52% and 90% conversion of **6** at 30 °C in 23 h (Table 1, runs 13 and 15), respectively. At higher reaction temperature of 60 °C, **2a** and **2b** achieved 65% and 90% conversion of **6** in 5 h (Table 1, runs 14 and 16), while **1b** achieved 11% conversion in 5 h (Table 1, run 10).
- ⁴⁰ The reasonable explanation for the improvement on catalytic activities of **2a** and **2b** can be given by invoking steric factors. Grubbs etc. postulated that, in catalyst **1**, catalyst initiation requires dissociation of the ether moiety and rotation of the benzylidene ring into a plane parallel to the N-⁴⁵ aryl group to open a coordination site for incoming olefin.⁴

Table 1. Comparison of Ruthenium Catalysts in the RCMReaction of $5 \sim 7^a$ View Article O

Rea	cuon	01 5~7	View Article Online				
EtO 5: R, 6: R 7: R,	2 ^C C R R' R' = H = Me; I R' = M	CO2Et El 1 mol% cat. 0.1 M, solvent, T ℃ R'=H 9: le 10	10 ₂ C C R F R, R' = H R = Me; F E, R' = I	DOI: 10.1039/C3CC45823G O ₂ Et Mes-N N-Mes ,,CI R' CI H Me Pr Me 11			
Cat.	Run	Conversion $(5 \text{ to } 8)^b$	Run	Conversion (6 to 9) ^{b}			
1b	1	60% (20 h at 30 °C)	9	3 % (23 h at 30 °C)			
			10	11 % (5 h at 60 °C)			
	2^{c}	97 % (3.3 h at 60 °C)	11^{c}	95 % (20 h at 60 °C)			
1a	3 ^{<i>c</i>}	95 % (10 h at 60 °C)	12^{c}	96 % (48 h at 60 °C)			
2a	4	77 % (20 h at 30 °C)	13	52 % (23 h at 30 °C)			
			14	65 % (5 h at 60 °C)			
2b	5	85 % (20 h at 30 °C)	15	90 % (23 h at 30 °C)			
			16	90 % (5 h at 60 °C)			
2c	6	98 % (15 min at 30 °C)) 17	95 % (50 min at 30 °C)			
1c	7^c	95 % (15 min at 30 °C)) 18 ^c	95 % (60 min at 30 °C)			
11	8	78 % (15 min at 30 °C)) 19	98 % (50 min at 30 °C)			
^{<i>a</i>} General conditions: Diolefin (0.1 M), catalyst (1.0 mol%), 30 °C in CD_2Cl_2 or 60 °C in C_6D_6 . ^{<i>b</i>} Determined by NMR. ^{<i>c</i>} Taken from Ref. 4							

For 1a and 1b bearing bulky N-aryl group, this process may be sterically unfavorable, thus resulting in poor initiation. A dramatic increase in catalytic activity was observed using 1c bearing less bulky N-aryl group (Table 1, runs 7 and 18).⁴ In 50 the case of 2a and 2b, due to the repulsion between the backbone isopropyl group and one ortho-isopropyl substituent of N-aryl group in right side, another ortho-isopropyl substituent in left side is rotated away from the metal reactive centre (Figure 2), which could release more space in the left 55 side of CAAC for the dissociation of the ether moiety and subsequent rotation of the benzylidene ring, thus resulting in accelerated catalyst initiation. Complex 2c, which differs from 2b only by replacement of ortho-isopropyl substituent of Naryl group with ortho-ethyl substituent, exhibited dramatically 60 increased catalytic activity in the RCM reaction of 5 and 6. 2c achieved 98% conversion of 5 to cyclopentene 8 in 15 min at 30 °C, and 95% conversion of 6 to trisubstituted olefin 9 at 30 °C in 50 min (Table 1, entries 6 and 17), which is comparable to the catalytic performance of 1c (Table 1, entries 7 and 18) 65 and NHC-Ru catalyst 11 (Table 1, entries 8 and 19). Unfortunately, like 1c, 2c showed no catalytic activity in the RCM of sterically bulky 7 to tetrasubstituted olefin 10.

We next examined the ethenolysis of methyl oleate (12), a process that produces terminal olefin from internal olefins 70 derived from renewable seed oils. Most of catalysts for ethenolysis reactions generally suffered from poor to moderate selectivity, turnover numbers and/or conversion, due to lower stability of catalysts and competing processes taking place.⁷ Self-metathesis of ethenolysis products of terminal Chemical Communications Accepted Manuscript

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Table	2.	Comparison	of	Ruthenium	Catalysts	in	the
Etheno	lysis	of 12^a					

MeO M7 M7	[Ru] (x ppm) 150 psi, 40 °C		+ // H ₇
12		13	14
	+ MeO	7 OMe	+ H7 roley
		15	16

Run	Cat. (ppm)	Time (h)	$\begin{array}{c} \text{Conv.} \\ \left(\%\right)^{b} \end{array}$	Selectivity (%) ^c	Yield $(\%)^d$	TON (%) ^e
1	2a (100)	4	50	97	48	4800
2	2a (15)	4	16	96	15	10000
3	2b (100)	4	60	96	58	5800
4	2b (15)	4	30	96	29	19300
5	2c (50)	1	53	80	42	8000
6	1a (100)	6	36	95	35	3500
7	1a (15)	6	-	-	-	-
8^{f}	2b (10)	4	27	97	26	26000
9 ^{<i>f</i>,<i>g</i>}	1a (100)	6	46	94	43	4200
$10^{f,g}$	1b (100)	22	61	93	56	5600
$11^{f,g}$	1c (100)	< 0.5	73	73	53	5300
$12^{f,g}$	1c (10)	< 0.5	42	83	35	35000

^{*a*} General conditions: neat **12** (96%, 3 g), 150 psi ethylene, 40 °C. ^{*b*} Conversion = 100 – [(final moles of **12**) × 100/(initial moles of **12**)]. ^{*c*} Selectivity = (moles of ethenolysis products **13** + **14**) × 100/(moles of total products **13** + **14** + **15** + **16**). ^{*d*} Yield = (moles of ethenolysis products **13** + **14**) × 100/(initial moles of **12**) = conversion × selectivity/100. ^{*e*} TON = yield × [(moles of **12**)/(moles of cat.)]. ^{*f*} neat **12** (99%, 3 g). ^{*g*} Taken from Ref. 5

olefins 9-methyldecenoate (13) and 1-decene (14) is the primary competing process, which leads to the formation of undesired internal olefins, 1,18-dimethyl 9-octadecenoate (15) and 9-octadecene (16). Several pioneer studies pointed out ⁵ high reagent purity is essential to ensure good conversions with a low catalyst loading, and in most cases, >99% purity of methyl oleate was used.⁵ Considering that purifications are cost-consuming and time-consuming, which is not desired for industrial application, we focused our study on the ¹⁰ development of the efficient catalyst under a low catalyst loading using commercially available methyl oleate with 96%

purity, which is much cheaper than 99% purity methyl oleate. Grubbs etc. reported, among **1a~1c**, **1b** achieved the highest

TON of 5600 with high selectivity of 93% at 100 ppm catalyst 15 loading, but extended reaction time of 22 h was necessary for 1b to reach the maximum conversion of 61% (Table 2, runs 9, 10, and 11).⁵ Complexes 2a and 2b bearing backbonemonosubstituted CAAC were also found to be more efficient in the ethenolysis reaction than their congeners 1a,1b. At 100 20 ppm catalyst loading and using methyl oleate with 96%

purity, **2a** and **2b** achieved TONs of 4800 and 5800 with high

selectivity (97% of selectivity for 2a and 96% of selectivity for 2b) in 4 h, respectively, and moderate conversions were obtained (50% conversion achieved boly 0.1639/32C438236 25 conversion by 2b) (Table 2, runs 1 and 3). At 15 ppm catalyst

loading, complex **2b** exhibits high selectivity (96%) and obtains higher conversion (30%) than **2a** does (16%) (Table 2, runs 2 and 4). Complex **1a** showed no active toward the ethenolysis reaction at 15 ppm catalyst loading (Table 2, run

³⁰ 7). Complex 2c with a less sterically bulky N-aryl substituent exhibited much lower selectivity of 80% compared with that of 2a and 2b (Table 2, runs 5, 1, and 3). The same trend on selectivity is also observed in 1a~1c (Table 2, runs 9, 10, and 11). Using methyl oleate with 99% purity and at 10 ppm
³⁵ catalyst loading, 2b displayed highest selectivity of 97% and achieved high TONs of 26000 (Table 2, Run 8).

In conclusion, compared to their congeners **1a**, **1b** bearing backbone-disubstituted CAACs, **2a**, **2b** ligated by CAACs bearing a sterically bulky isopropyl group on the backbone are ⁴⁰ featured with fast catalyst activation, and displayed considerable improvement on catalytic efficiency not only in ring-closing metathesis but also in the ethenolysis of methyl oleate. With 10 ppm catalyst loading, complex **2b** displayed high selectivity (97%) in the ethenolysis of methyl oleate for ⁴⁵ the formation of terminal olefins with highest TONs (26000).

⁴⁵ the formation of terminal oferms with highest 10148 (20000).
 Financial support from Shanghai Pujiang Talent Program (11PJ1402500), the Fundamental Research Funds for the Central Universities (WK1114014), the Shanghai Municipal Committee of Science and Technology (08dj1400100-2),
 ⁵⁰ National Basic Research Program of China (973)-2010CB833302, and the National Natural Science Foundation of China for financial support (21171056 and 21072206) is greatly acknowledged.

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