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Abstract—A concise and flexible stereoselective route to synthesize both enantiomers of the highly functionalized α,β -unsaturated- δ -lactones, altholactone and isoaltholactone, from readily available cinnamyl alcohol is described. This approach derived its asymmetry from Sharpless catalytic asymmetric epoxidation and Sharpless asymmetric dihydroxylation reactions. The resulting diols were produced in high enantiomeric excess and were cyclized in a stereoselective manner in the presence of a catalytic amount of camphor sulphonic acid.

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Altholactone **1a** and isoaltholactone **2a**, furanopyrones of the styryllactone family, were isolated from an unknown *Polythea* (Annonacae) species,¹ and from various *Goniothalamous*.² This family of compounds share a common 5-oxygenated-5,6-dihydro-2*H*-pyran-2-one structural motif. Other members of this family include 5-acetoxygoniothalamin, goniodiol, etc.³ These natural products possess anti-tumor,⁴ anti-fungal⁵ and anti-bacterial properties.⁵

Due to the wide distribution of the styryllactone class of natural products in nature, many synthetic methodologies have been employed to synthesize them.^{6–10} Most syntheses use chiral pool starting materials such as sugars, hydroxy acids and involve 11 to 16 steps. Due to the unusual structure and biological significance of this class of compounds, we were encouraged to design a concise and flexible stereoselective route towards the construction of (+)-altholactone **1a**, its enantiomer (-)-altholactone **1b**, (+)-isoaltholactone **2a** and its enantiomer (-)-isoaltholactone **2b** from the inexpensive and readily available cinnamyl alcohol.¹¹ Retrosynthetically our approach is illustrated in Scheme 1.

The synthesis began with the Sharpless asymmetric epoxidation¹² of cinnamyl alcohol **6** to afford **5a** and **5b** in 82% and 83% yields, respectively. Oxidation of alcohols **5a** and **5b** using the Swern protocol¹³ afforded both aldehydes, which without purification were subjected to Wittig olefination¹⁴ with the stable ylide (ethoxycarbonyl–methylene)triphenylphosphorane to afford epoxy esters **7a** and **7b**, respectively, in 87% and 88% yields (2 steps) Schemes 2 and 3.



Scheme 1.

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 α,β -Unsaturated epoxy ester 7a was subjected to a Sharpless asymmetric dihydroxylation reaction using AD-mix α , to yield **4a** and **4b** in a ratio of 20:1¹⁵ (78%) yield) and treatment with AD-mix β afforded 4a and 4b in a ratio of 1:10¹⁵ (75% yield), whilst treatment with OsO₄, NMO afforded 4a and 4b in a 13:7 ratio (78% yield). The separation of these two isomeric diols 4a and 4b was not feasible through simple column chromatography because of their close $R_{\rm f}$ values. It was therefore decided to purify the mixture in the forthcoming steps. The mixture of 4a and 4b was subjected to treatment with a catalytic amount of CSA to afford 3b and 3c (94% yield) by cyclization. Subsequent treatment of this mixture with 2,2-DMP afforded acetonide 8a and unreacted 3b which were readily separated by column chromatography.

The ester 8a was reduced to the aldehyde, which was subjected to Wittig olefination with the stable ylide (ethoxycarbonylmethylene)triphenylphosphorane in methanol as the solvent to yield the cis-ester 9a (80% yield, 2 steps) predominantly (cis:trans 95:5).¹⁶ The trans-diol 3b was protected with TMSCl to yield 10b in 97% yield. As with 8a, 10b was also reduced with DIBAL-H to afford an aldehyde, which was transformed into the cis-ester 11b (82% yield, 2 steps). Compounds **9a** and **11b** on treatment with a catalytic amount of pTSA in methanol afforded a mixture of diol esters and lactones 2a and 1b. Removal of methanol by concentration under reduced pressure and sonication after diluting the residue with benzene afforded lactones 2a and 1b, respectively (both in 83% yields). Epoxy ester 7b was transformed in a similar fashion to afford 1a and 2b as illustrated in Scheme 3.



Scheme 2. Reagents and conditions: (a) (-)-DET, $Ti(O'Pr)_4$, TBHP, CH_2Cl_2 , $-33^{\circ}C$; (b) $(COCl)_2$, DMSO, Et_3N , CH_2Cl_2 , $-78^{\circ}C$; (c) $Ph_3P=CH-CO_2Et$, benzene, rt; (d) see Schemes 2 and 3; (e) CSA, CH_2Cl_2 , rt; (f) 2,2-DMP, *p*TSA, acetone, rt; (g) DIBAL-H, CH_2Cl_2 , $-78^{\circ}C$; (h) $Ph_3P=CH-CO_2Et$, CH_3OH , rt; (i) *p*TSA, CH_3OH , rt; then benzene, sonication 20–30 min; (j) TMS-Cl, imidazole, CH_2Cl_2 , $0^{\circ}C$ to rt; (k) (+)-DET, $Ti(O'Pr)_4$, TBHP, CH_2Cl_2 , $-33^{\circ}C$.



Scheme 3. Reagents and conditions: (a) (-)-DET, Ti(O'Pr)₄, TBHP, CH₂Cl₂, -33° C; (b) (COCl)₂, DMSO, Et₃N, CH₂Cl₂, -78° C; (c) Ph₃P=CH-CO₂Et, benzene, rt; (d) see Schemes 2 and 3; (e) CSA, CH₂Cl₂, rt; (f) 2,2-DMP, *p*TSA, acetone, rt; (g) DIBAL-H, CH₂Cl₂, -78° C; (h) Ph₃P=CH-CO₂Et, CH₃OH, rt; (i) *p*TSA, CH₃OH, rt; then benzene, sonication 20–30 min; (j) TMS-Cl, imidazole, CH₂Cl₂, 0° C to rt; (k) (+)-DET, Ti(O'Pr)₄, TBHP, CH₂Cl₂, -33° C.

Thus, total syntheses of both enantiomers of altholactone and isoaltholactone were achieved in efficient yields from readily available cinnamyl alcohol **6**. The syntheses required only nine or ten chemical operations and were highly stereoselective. Sharpless asymmetric dihydroxylation reactions of epoxy esters **7a** and **7b** and the CSA catalyzed cyclization of **4a–d** are the key steps of our syntheses. Our route provides a general, efficient and stereoselective access to related α,β -unsaturated- δ lactones.

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Spectral data for selected compounds: Compound 7b (colorless liquid): [α]_D=+121.9 (c 1.4 CHCl₃); IR (KBr): v=2983, 1716, 1656, 1263 cm⁻¹; ¹H NMR (200 MHz, CDCl₃): δ=1.3 (3H, t, J=7.1 Hz), 3.40-3.44 (1H, m), 3.78-3.80 (1H, m), 4.20 (2H, q, J=7.1 Hz), 6.15 (1H, dd, J=15.6, 0.8), 6.78 (1H, dd, J=15.5, 6.8), 7.23-7.26 (5H, m); EI-MS: m/z=218 (M⁺).
Compound 4a (semi solid): [α]_D=+45.7 (c 1.0 CHCl₃); IR (KDR)

(KBr): v = 3474, 2983, 1738, 1376, 1217 cm⁻¹; ¹H NMR (200 MHz, CDCl₃): $\delta = 1.32$ (3H, t, J = 5.9 Hz), 2.71 (1H, bs), 3.13–3.17 (1H, m), 3.32 (1H, bs), 3.89–3.92 (3H, m), 4.23–4.34 (2H, q, J = 7.4 Hz), 7.23–7.29 (5H, m); EI-MS: m/z = 252 (M⁺).

Compound **3c** (semi solid): $[\alpha]_D = -14.5$ (*c* 1.8 CHCl₃); IR (KBr): $\nu = 3357$, 2928, 1759, 1713, 1452, 1372 cm⁻¹; ¹H NMR (200 MHz, CDCl₃): $\delta = 1.34$ (3H, t, J = 6.6 Hz), 3.23–3.48 (2H, m), 3.92–4.02 (1H, m), 4.23–4.33 (2H, q, J = 6.6 Hz), 4.43–4.53 (1H, m), 4.80 (1H, d, J = 5.9 Hz), 5.02 (1H, d, J = 5.9), 7.25-7.34 (5H, m); EI-MS: m/z = 252 (M⁺). Compound **8a** (viscous liquid): $[\alpha]_D = +17.5$ (*c* 1.8 CHCl₃); IR (KBr): $\nu = 2986$, 1761, 1453, 1378, 1207, 1107 cm⁻¹; ¹H NMR (200 MHz, CDCl₃): $\delta = 1.32$ (3H, t, J = 7.4 Hz), 1.33 (3H, s), 1.52 (3H, s), 4.25 (2H, q, J = 7.4 Hz), 4.55 (1H, d, J = 5.2, 0.7 Hz), 4.80–4.98 (2H, m), 5.33 (1H, s), 7.25–7.32 (5H, m); EI-MS: m/z = 292 (M⁺).

Compound **9a** (viscous liquid): $[\alpha]_D = +97.3$ (*c* 1.5 CHCl₃); IR (KBr): $\nu = 2985$, 1716, 1651, 1382, 1195 cm⁻¹; ¹H NMR (200 MHz, CDCl₃): $\delta = 1.29$ (3H, t, J = 7.4 Hz), 1.34 (3H, s), 1.55 (3H, s), 4.15 (2H, q, J = 7.4 Hz), 4.93–5.03 (2H, m), 5.21 (1H, s), 5.34–5.42 (1H, m), 5.95 (1H, dd, J = 11.8, 1.4), 6.42 (1H, dd, J = 11.8, 6.7 Hz), 7.21–7.36 (5H, m); EI-MS: m/z = 318 (M⁺).

Compound **2a** (colorless needles): Mp 102–103°C; $[\alpha]_D^{20} = +$ 34.5 (*c* 0.50 EtOH); IR (KBr): $\nu = 3500$, 3030, 1730, 1645 cm⁻¹; ¹H NMR (200 MHz, CDCl₃): $\delta = 3.31$ (1H, bs), 4.28 (1H, m) 4.78 (1H, d, J = 7.5 Hz), 4.86 (1H, t, J = 5.5, 4.4 Hz), 5.05 (1H, t, J = 5.7 Hz), 6.20 (1H, dd, J = 10.0, 0.7 Hz), 6.85 (1H, dd, J = 9.9, 4.5 Hz), 7.25–7.40 (5H, m). ¹³C NMR (CDCl₃, 50 MHz): $\delta = 161.9$, 141.7, 138.6, 128.5 (2), 128.1, 125.6 (2), 122.4, 83.1, 78.6, 78.4, 67.7; EI-MS: m/z = 232 (M⁺), 126, 122, 107, 97, 91, 77.