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Biocatalytic Asymmetric Michael Additions of Nitromethane to α,β -Unsaturated Aldehydes via Enzyme-bound Iminium Ion Intermediates

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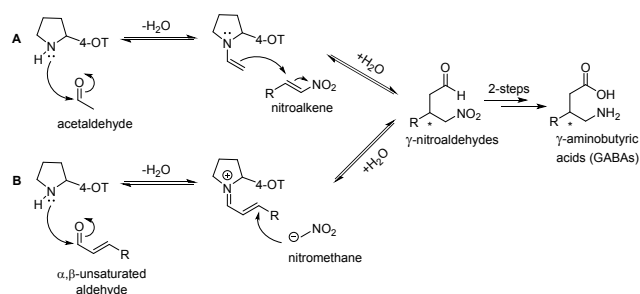
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ABSTRACT: The enzyme 4-oxalocrotonate tautomerase (4-OT) exploits an N-terminal proline as main catalytic residue to facilitate several promiscuous C-C bond-forming reactions via enzyme-bound enamine intermediates. Here we show that the active site of this enzyme can give rise to further synthetically useful catalytic promiscuity. Specifically, the F50A mutant of 4-OT was found to efficiently promote asymmetric Michael additions of nitromethane to various α,β -unsaturated aldehydes to give γ -nitroaldehydes, important precursors to biologically active γ -aminobutyric acids. High conversions, high enantiocontrol and good isolated product yields were achieved. The reactions likely proceed via iminium ion intermediates formed between the catalytic Pro-1 residue and the α,β -unsaturated aldehydes. In addition, a cascade of three 4-OT(F50A)-catalyzed reactions followed by an enzymatic oxidation step enables assembly of γ -nitrocarboxylic acids from three simple building blocks in one pot. Our results bridge organo- and biocatalysis, and emphasize the potential of enzyme promiscuity for the preparation of important chiral synthons.

KEYWORDS: biocatalysis • Michael addition • asymmetric synthesis • enzyme catalysis • protein engineering

γ -Nitroaldehydes are important chiral building blocks for the preparation of biologically active γ -aminobutyric acids. The asymmetric synthesis of γ -nitroaldehydes from simple starting materials has become feasible due to outstanding developments within the organocatalysis field, particularly fueled by aminocatalysis.^[1] This is nicely illustrated by the work of Hayashi and co-workers, who reported that diphenylprolinol silyl ether can promote the asymmetric synthesis of γ -nitroaldehydes through alternative Michael-type reactions: enamine-mediated addition of aldehydes to nitroalkenes, and nitroalkane addition to α,β -unsaturated aldehydes activated as iminium ions.^[1d-f]

Inspired by these developments in the organocatalysis field, work from our laboratory focused on the development of a biocatalytic procedure for asymmetric synthesis of γ -nitroaldehydes. We reported that 4-oxalocrotonate tautomerase (4-OT), which utilizes a unique N-terminal proline as key catalytic residue, can promiscuously catalyze the Michael addition of acetaldehyde (as well as various other aldehydes) to nitroalkenes yielding enantioenriched γ -nitroaldehydes (Scheme 1A).^[2] The catalytic mechanism involves the formation of an enamine species between acetaldehyde and the Pro-1 residue ($pK_a \sim 6.4$).^[3,4] Hilvert and coworkers have reported a highly engineered computationally designed artificial aldolase, RA95.5-8, which can catalyze the asymmetric synthesis of γ -nitroketones (but



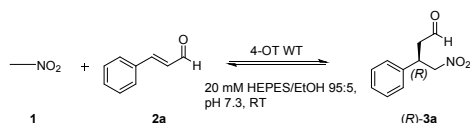
Scheme 1. Proposed mechanisms for the 4-OT catalyzed Michael additions of acetaldehyde to nitroalkenes (A) and nitromethane to α,β -unsaturated aldehydes (B) to yield γ -nitroaldehydes.

not γ -nitroaldehydes) via acetone addition to nitrostyrenes, and nitroalkane addition to conjugated ketones.^[5] However, a biocatalytic methodology for nitroalkane addition to α,β -unsaturated aldehydes to yield enantioenriched γ -nitroaldehydes is an as yet unmet challenge.

Here, we report that the F50A mutant of the 4-OT enzyme can efficiently promote asymmetric Michael additions of nitromethane to α,β -unsaturated aldehydes, yielding various γ -nitroaldehydes with high enantiopurity

(e.r. up to >99:1) and in high isolated yield (61-96%). The catalytic mechanism appears to involve formation of enzyme-bound iminium ion intermediates in a manner reminiscent of organocatalysis (Scheme 1B).

We previously reported that 4-OT catalyzes the aldol condensation of acetaldehyde with benzaldehyde to yield cinnamaldehyde.^[3,6] Considering that the active site of 4-OT can accommodate cinnamaldehyde, this aromatic α,β -unsaturated aldehyde was tested as potential Michael acceptor substrate. Cinnamaldehyde was expected to react with Pro-1, the catalytic amine, to form a covalently bound iminium ion intermediate, which could be attacked by nitromethane (Scheme 1B). This Michael reaction was performed in the presence of wild-type 4-OT in HEPES buffer (pH 7.3), containing 5% (v/v) EtOH, 200 mM of nitromethane (**1**, Scheme 2) and 3 mM of cinnamaldehyde (**2a**), and reaction progress was monitored by following the depletion of **2a** by UV-VIS spectrophotometry. Under these conditions, 50% of substrate **2a** was consumed in 24 h and the corresponding product **3a** was obtained in 35% isolated yield (as confirmed by ¹H NMR spectroscopy). Analysis of product **3a** by chiral HPLC revealed high enantiocontrol at the site of addition with formation of the (*R*)-configured product (e.r. of 86:14). Interestingly, we earlier reported that wild-type 4-OT catalyzes the Michael addition of acetaldehyde to *trans*- β -nitrostyrene to yield (*S*)-**3a** with an e.r. of 95:5.^[2a] Hence, 4-OT catalyzes the synthesis of γ -nitroaldehyde **3a** via two enantiocomplementary Michael reactions: enamine-mediated addition of acetaldehyde to *trans*- β -nitrostyrene, and nitromethane addition to cinnamaldehyde likely activated as iminium ion.



Scheme 2. Wild-type 4-OT catalyzed Michael addition of nitromethane (**1**) to cinnamaldehyde (**2a**) to yield γ -nitroaldehyde (*R*)-**3a**.

Encouraged by these initial findings, a systematic mutagenesis approach was applied to enhance this promiscuous Michael addition activity of 4-OT. For this, an earlier constructed collection of 4-OT genes coding for almost all possible single-mutant variants of 4-OT was used.^[7] Improved variants (>2-fold increase in activity) were identified by monitoring the depletion of **2a** in a spectrophotometric kinetic assay in multi-well plates. Given that several mutations at positions Met-45 and Ala-46 (M45G, M45H, M45S, A46H and A46S) result in a slight improvement in activity (~3-fold), three mutations at position Phe-50 (F50I, F50V and F50A) significantly enhanced the Michael addition activity. Assays with the purified mutant enzymes showed a 6-fold, 8-fold and 15-fold increase in activity for F50I, F50V and F50A, respectively (Figure S1). Further characterization of the Michael reaction between **1** and **2a** catalyzed by the best 4-OT variant (F50A) showed that besides increased activity, this mutant enzyme also has enhanced stereoselectivity,

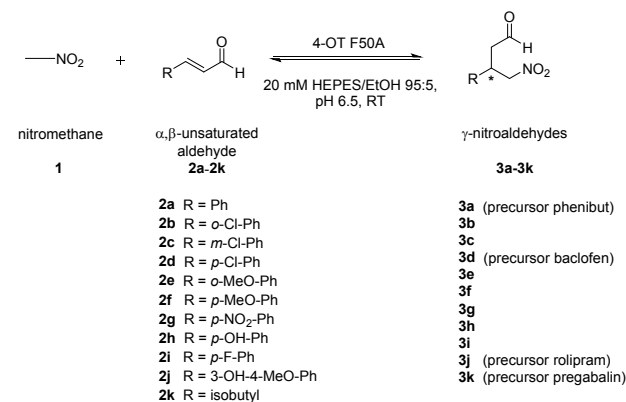
allowing the production of optically pure (*R*)-**3a** (e.r. 99:1) in high isolated yield of 92% (Table 1, entry 1; Figure S2-S4). These results underscore the potential of the highly promiscuous 4-OT enzyme for evolutionary optimization. At semi-preparative scale, the 4-OT(F50A) catalyzed Michael addition of **1** (50 mM, 152 mg in 50 mL) to **2a** (25 mM, 157 mg in 50 mL) gave product (*R*)-**3a** (96% conversion in 11 h) in good isolated yield (204.7 mg, 85% yield) and with high e.r. of 98:2 (Figure S34, S35).

Notably, the mutation F50A was previously found to improve the aldol condensation activity of 4-OT.^[6a] This mutation makes the active site pocket of 4-OT more accessible to the outside aqueous environment, without changing the pK_a of Pro-1 too much, and likely enhances the aldol condensation activity of 4-OT by promoting the final hydrolysis step in which product is released from Pro-1, which has been suggested to be rate-limiting.^[6a] Similarly, the F50A mutation may increase the Michael addition activity of 4-OT by making the active site more amenable for hydrolytic cleavage of the covalent enzyme-product intermediate.

Having established that 4-OT(F50A) can efficiently promote the asymmetric Michael addition of **1** to **2a**, a set of α,β -unsaturated aldehydes was prepared (see Support Information for details) and tested as Michael acceptor substrates. The results demonstrate that the 4-OT(F50A) enzyme has a broad substrate scope, accepting both aromatic and aliphatic Michael acceptor substrates, and catalyzes the addition of **1** to the α,β -unsaturated aldehydes **2b-k** to yield the corresponding γ -nitroaldehydes **3b-k** with excellent enantiopurity (e.r. up to >99:1) and in good isolated yield (61-96%) (Table 1, Figure S5-S33). Interestingly, the enzymatic Michael reactions with *meta*- and *para*-substituted cinnamaldehydes (**2c,d** and **2f-j**) provided the corresponding products as the (*R*)-configured enantiomers, while those with the *ortho*-substituted cinnamaldehydes (**2b** and **2e**) yielded the (*S*)-configured product enantiomers (Table 1, entries 2 and 5). This suggests that positioning substituents on the *ortho* position of the substrate promoted steric effects, which caused either substrate relocation in the enzyme active site or a stereofacial shielding effect. Notably, the consequence of *ortho*-substituents on the stereochemical outcome of organo- and biocatalytic reactions with aromatic aldol and Michael acceptor substrates has been observed before.^[2d,8]

We next investigated whether the mechanism of the 4-OT(F50A) catalyzed Michael reaction proceeds via iminium ion formation between Pro-1 and the α,β -unsaturated aldehyde substrate. A 4-OT(F50A) sample modified by **2a** in the presence of NaBH₄ and an unmodified 4-OT(F50A) sample were digested with Glu-C (an endoproteinase from *Staphylococcus aureus* V8), and the generated peptides were characterized by LC-MS (Figure S43-S45). Comparing the peaks of the modified 4-OT(F50A) sample to those of the nonmodified 4-OT(F50A) sample revealed a modification of the fragment PIAQHILE by a species with a mass of 116 Da. This corresponds to labeling by one cinnamaldehyde molecule. Characterization of the remaining peaks revealed no

labeling of other fragments (Figure S44, S45). Within the N-terminal fragment Pro-1 to Glu-9, the most probable positions for alkylation are Pro-1 and His-6. To identify the



labeled residue, the modified and unmodified peptides were analyzed by LC-MS/MS (Figure S46).

Table 1. 4-OT(F50A)-catalyzed nitromethane addition to α,β -unsaturated aldehydes **2a-2k** using optimized reaction conditions^a

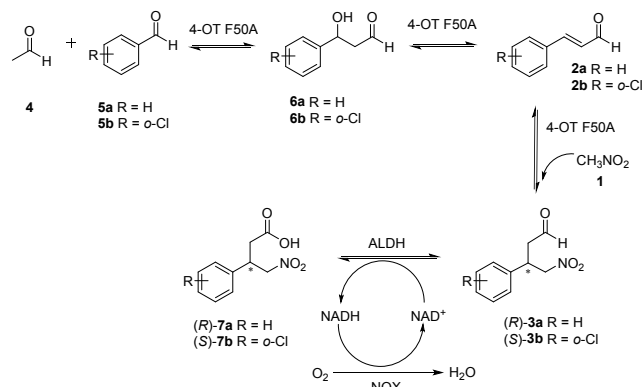
Ent ry	α,β -unsaturat ed aldehyde	Produ ct	t [h]	Conv. ^b (Yield) ^c	e.r. ^d	Abs. confi g. ^e
1	2a		7	99 (92)	99:1	R
2	2b		2	99 (90) ^f	>99:1	S
3	2c		6	98 (93)	98:2	R
4	2d		24	98 (93)	98:2	R
5	2e		10	99 (96)	86:14	S
6	2f		18	90 (80) ^g	98:2	R
7	2g		18	85 (75) ^g	98:2	R
8	2h		20	98 (71)	97:3	R
9	2i		8	97 (89) ^g	>99:1	R
10	2j		20	84 (73) ^g	91:9	R
11	2k		18	95 (61) ^g	93:7	S

^aAll the reactions were performed in buffer [20 mM HEPES/5% (v/v) ethanol] at pH 6.5 with 4-OT F50A (72 μ M, except for **2g** and **2i** for which 36 μ M enzyme was used), **1** (25 mM) and **2a-k** (3 mM, except for **2g** which was used at 2 mM); ^bDetermined

by ¹H NMR analysis; ^cIsolated yield (%). ^dDetermined by chiral HPLC or GC. ^eThe absolute configuration was determined by comparison of chiral HPLC or GC data with those previously reported (see Supporting Information for details). ^fApparent kinetic parameters determined with this substrate at a fixed nitromethane concentration of 25 mM: $k_{\text{cat}} = 0.05 (\pm 0.002) \text{ s}^{-1}$; $K_m = 367 (\pm 37) \mu\text{M}$. ^gFurther purified using flash column chromatography.

The spectrum of the ion corresponding to the unlabeled PIAQHILE peptide showed the characteristic b₅ ion resulting from the peptide fragment PIAQI. MS/MS analysis of the modified PIAQHILE peptide revealed a mass increase of 116 Da for this b₅ ion. Therefore, it can be concluded that Pro-1 is the sole site of modification by **2a**. This result supports the hypothesis that Pro-1 functions as an amine catalyst in the enzymatic addition reaction, increasing the electrophilicity of the Michael acceptor through Schiff base formation (Scheme 1B). Replacement of Pro-1 with an alanine in wild-type 4-OT led to a 32-fold decrease in activity for the addition of **1** to **2a** (Figure S1), providing further support for this mechanism. Work is in progress to determine the structure of 4-OT(F50A) covalently modified by **2a**.

We have previously reported that 4-OT(F50A) can catalyze the aldol condensation of acetaldehyde with benzaldehyde to give cinnamaldehyde.^[3,6] Here we show that the three different activities observed for the 4-OT(F50A) enzyme can be used to prepare γ -nitroaldehydes in a biocatalytic cascade involving sequential aldol addition of acetaldehyde to a suitable aromatic aldehyde, dehydration, and Michael addition of nitromethane. Inclusion of a suitable aldehyde dehydrogenase and cofactor-recycling NADH oxidase^[9] in the reaction mixture enabled efficient one-pot synthesis of γ -nitrocarboxylic acids (Scheme 3). Using acetaldehyde (**4**), benzaldehyde (**5a**) and nitromethane (**1**) as starting substrates, product (*R*)-**7a** was obtained in 53% isolated yield (65% overall conversion) and with an excellent e.r. of 99:1 (Figure S36-S38). Replacing substrate **5a** with **5b**, yielded product (*S*)-**7b** in 80% isolated yield (>99% overall conversion) and with an excellent e.r. of 99:1 (Figure S39-S42). This simple and effective cascade further demonstrates the tremendous potential of combining different enzymes to construct simple synthetic routes for preparation of important chemical products.



Scheme 3. Four-step biocatalytic cascade synthesis of γ -nitrobutyric acids **7a** and **7b** in one pot. The cascade reactions were performed with **1** (50 mM), **4** (150 mM) and either **5a** or **5b** (3 mM).

In summary, our results indicate that the active site of 4-OT can give rise to synthetically useful promiscuous activities. Like proline-based organocatalysts, 4-OT utilizes a prolyl amine to attack diverse aldehydes forming reactive enamine and iminium ion intermediates. Hence, this natural enzyme with its unique catalytic amino-terminal proline could possibly accelerate many of the bond-forming reactions promoted by organocatalysts. We have therefore initiated studies aimed at exploring alternative nucleophiles for addition to α,β -unsaturated aldehydes, which would allow for the enzymatic synthesis of additional products.

In contrast to difficult to prepare proline- and peptide-based organocatalysts, the enzyme 4-OT can be produced in large amounts by simple bacterial fermentation. Moreover, the enzymatic reaction proceeds in eco-friendly aqueous buffer rather than in organic solvent. In previous work, we have demonstrated that 4-OT can be engineered into a more efficient biocatalyst for the aldol condensation of acetaldehyde with benzaldehyde to yield cinnamaldehyde, with a >5000-fold enhancement in catalytic efficiency (k_{cat}/K_m) and a > 10^7 -fold change in reaction specificity.^[6b] It is therefore conceivable that the promiscuous activity of 4-OT(F50A) for the Michael addition of nitromethane to α,β -unsaturated aldehydes can be optimized by directed evolution to generate novel biocatalysts for practical synthesis of chiral precursors for important pharmaceuticals.

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Author Contributions

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ASSOCIATED CONTENT

Supporting Information. Additional experimental procedures and compound characterization.

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