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Functionalities of conjugated compounds of γ -aminobutyric acid with salicylaldehyde or cinnamaldehyde

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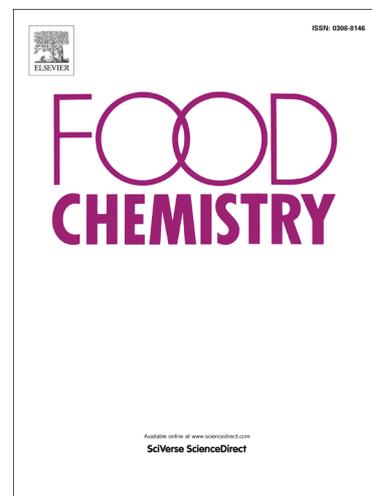
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2 or cinnamaldehyde
3

4 Running title: Functionalities of conjugated compounds of γ -aminobutyric acid...

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20 **ABSTRACT**

21 Aldehydes or ketones can react with amino compounds to form Schiff base adducts, which have been
22 widely studied and shown to exhibit antimicrobial, antioxidant or antiviral activity. Salicylaldehyde
23 (SA) and cinnamaldehyde (CA) are components of plant essential oils. γ -Aminobutyric acid (GA) is
24 an important substance in the mammalian central nervous system and responsible for many
25 bioactivities. This study aimed to synthesize functional Schiff base adducts using GA and SA or CA;
26 to study the antimicrobial activity, antioxidant activity and tyrosinase-inhibition activity of these
27 adducts (SA-GA and CA-GA) and their metal complexes—SA-GA-Cu (or Zn) and CA-GA-Cu (or
28 Zn); and to find their applications in food systems. SA-GA and CA-GA both exhibited good
29 antibacterial effects, and so did their Cu complexes. As for antioxidant activity, SA-GA and CA-GA
30 were superior to their metal complexes in most tests. Regarding inhibition of enzymatic browning of
31 mushrooms, both SA-GA-Cu and CA-GA-Cu could inhibit tyrosinase activity effectively.

32

33 *Keywords* : Salicylaldehyde, Cinnamaldehyde, γ -Aminobutyric acid, Schiff base, Antimicrobial
34 activity, Antioxidant activity, Tyrosinase, Mushroom browning.

35

36

37

38 1. Introduction

39

40 Most foods are susceptible to microbial spoilage, or biochemical or chemical deterioration.
41 Therefore, a variety of synthetic food additives are used to enhance safety and quality of food
42 products in order to ensure consumer acceptance. As many negative effects have been reported about
43 synthetic food additives (Soni, Carabin, & Burdock, 2005), consumers have grown more concerned
44 about the safety of synthetic food additives. Regarding food preservatives, plant essential oils have
45 been investigated and found to have the potential to be used as natural preservatives (Yang, Liou, Hu,
46 Peng, & Liu, 2013). However, essential oils (EOs) generally have strong characteristic odours and
47 are immiscible with water due to hydrophobicity, which may limit their applications in many food
48 systems.

49 Aldehydes or ketones can react with amino compounds or amino groups of food proteins to form
50 Schiff base adducts, such as those in the Maillard reaction, which is commonly encountered in food
51 cooking, processing or storage. Schiff base adducts have been widely studied in organic, inorganic
52 and medicinal chemistry and show many biological activities, such as antimicrobial, antioxidant and
53 antiviral activity (Kumar, Dhar, & Saxena, 2009). Although many Schiff base adducts have been
54 synthesized in the literature, few have found applications in food systems because most of the
55 compounds used for the synthesis are not edible or allowed in foods. A recent study used
56 cinnamaldehyde and various amino acids, excluding γ -aminobutyric acid (GA), to generate Schiff

57 base adducts and investigated their antimicrobial activity *in vitro* and in food systems (Wei, Xiong,
58 Jiang, Zhang, & Ye, 2011). The Schiff base adducts can reduce the odour intensity and increase the
59 solubility of cinnamaldehyde in aqueous systems.

60 Cinnamaldehyde and salicylaldehyde are components of plant EOs, and both are food flavourings
61 assessed by the Flavor and Extraction Manufacturers Association as generally regarded as safe
62 (GRAS) ingredients (Adams et al., 2004; Adams et al., 2005). In addition, Schiff base adducts can be
63 hydrolyzed into their original reactive components in acidic conditions (Cordes & Jencks, 1963).
64 Therefore, the safety of these adducts can be mainly determined by the reactive components after
65 digestion. For example, Schiff base adducts of cinnamaldehyde and amino acids have been
66 investigated and do not show any oral toxicity in animal tests (Wei et al., 2011).

67 GA is a chief inhibitory neurotransmitter in the mammalian central nervous system. It can
68 regulate neuronal excitability throughout the nervous system. In humans, GA is also directly
69 responsible for the regulation of muscle tone (Watanabe, Maemura, Kanbara, Tamayama, &
70 Hayasaki, 2002). In addition, GA shows relaxation and immunity enhancement effects in humans
71 (Abdou, Higashiguchi, Horie, Kim, Hatta, & Yokogoshi, 2006); it can also reduce the blood pressure
72 in animals and humans (Aoki, Furuya, Endo, & Fujimoto, 2003). As a functional ingredient, GA has
73 been formulated into a number of commercial health-oriented products for use as a dietary
74 supplement. Regarding foods, GA tea is commercially produced in Taiwan with an emphasis on the
75 aforementioned bioactivities (Wang, Tsai, Lin, & Ou, 2006).

76 In this study, we aimed to synthesize functional Schiff base adducts using GA and salicylaldehyde
77 or cinnamaldehyde; to study the antimicrobial activity, antioxidant activity and tyrosinase-inhibition
78 activity of these adducts and their metal complexes; and to find their applications in food systems.

79

80 **2. Materials and methods**

81

82 *2.1. Chemicals*

83 γ -Aminobutyric acid (GA), salicylaldehyde (SA), cinnamaldehyde (CA), 2,2'-azino-bis
84 (3-ethylbenzothiazoline-6-sulfonic acid) diammonium salt (ABTS), nicotinamide adenine
85 dinucleotide (NADH), phenazine methosulfate (PMS), nitrotetrazolium blue chloride (NBT),
86 tyrosinase (50 KU) and L-3,4-dihydroxyphenylalanine (L-DOPA) were purchased from
87 Sigma-Aldrich Co. (St. Louis, MO, USA). Potassium peroxodisulfate and ferrous chloride were
88 acquired from Mallinckrodt-Baker, Inc. (Phillipsburg, NJ, USA). Sodium nitroprusside (SNP),
89 sulfanilamide and N-(1-naphthyl)-ethylenediamine dihydrochloride, ferrozine were obtained from
90 Alfa Aesar Co. (Ward Hill, MA, USA). Tryptic soy broth (TSB) (Bacto™), nutrition broth (NB) and
91 agar were obtained from Becton Dickinson & Company (San Jose, CA, USA).

92

93 *2.2. Microbial strains*

94

95 *Staphylococcus aureus* (BCRC10780) and *Escherichia coli* O157:H7 (BCRC14824) were
96 acquired from Bioresource Collection and Research Center (BCRC) at the Food Industry Research
97 and Development Institute, Hsinchu, Taiwan.

98

99 2.3. Preparation of Schiff base adducts

100

101 The preparation of Schiff base adducts followed the methods of Wei, Xiong, Jiang, Zhang and
102 Ye (2011) with some modifications. The KOH (0.38 g) was dissolved in methanol (25 ml) and GA
103 (0.59 g) was added to the solution with stirring for complete dissolution. The above solution was
104 reacted at 50 °C for 2 h in a round-bottomed flask equipped with a condenser. The mixture was
105 cooled and filtered to obtain the GA-containing filtrate. The methanol solution of SA or CA was
106 prepared by dissolving 0.7 ml SA or 0.84 ml CA in 10 ml methanol placed in a round-bottomed flask.
107 Then the GA-containing filtrate was added dropwise to the methanol solution of SA or CA within 1 h
108 under N₂ gas. The precipitate was formed during the reaction and it was collected after the solvent
109 was evaporated by the gas. Anhydrous ether was added to the precipitate to remove residual reactants
110 and the precipitate was dried under vacuum.

111

112 2.4. Preparation of metal complexes of the adducts

113

114 Metal (II) nitrates (1 mmol) were dissolved in methanol (10 ml) and the solution was filtered
115 and added dropwise into a (15 ml) methanolic solution of SA-GA or CA-GA (1 mmol). The above
116 mixture was stirred for 2 h. The metal complex obtained was filtered off, washed with methanol and
117 ether; and then dried under vacuum (Nair & Joseyphus, 2008).

118

119 *2.5. Infrared spectral analysis*

120

121 The sample was mixed with KBr powder at a 1:100 ratio and dried overnight at 50°C. The
122 sample-KBr pellet was prepared by mechanically pressing the powder mixture. The IR spectra were
123 recorded by a FT-IR spectrophotometer (Prestige 21, Shimazu, Tokyo, Japan) with a scan range of
124 400-4000 cm^{-1} using KBr as a reference.

125

126 *2.6. Antimicrobial activity assay for bacteria in vitro*

127

128 A stock solution (7500 $\mu\text{g/ml}$) was prepared with SA-GA or CA-GA and its metal ion complexes
129 (Cu^{2+} and Zn^{2+}) dissolved in DMSO. The bacteria from stock cultures were inoculated in 5 ml broth
130 and incubated at 37°C for 24 h to increase their population. The enriched cultures were controlled
131 with dilution to optical density of 1 at 630 nm, which were further diluted with appropriate media for

132 each microbe to 10^3 - 10^4 colony forming units (CFU/ml) as an inoculum. The media for *S. aureus*, *E.*
133 *coli* O157 were TSB and NB, respectively.

134 A microbial suspension was mixed with an amount of the test solution (20:1, v/v) at a series of
135 concentrations, and then added to a 96-well microplate. The plate was capped and incubated for 48 h
136 at 37°C. The minimal bactericidal concentration (MBC) was determined by visually judging the
137 microbial growth in the tubes with a series of broth dilutions. Then, the existence of viable cells was
138 verified by a plate count method. An inoculated growth medium without the test compound was
139 employed as a control. All of the experiments were performed in triplicate and the average values
140 were reported as MBC. The MBC is defined as the lowest concentration of the test solution that
141 results in no viable cells in a culture plate.

143 2.7. Antimicrobial activity assay in food

144 White button mushrooms were used for evaluating the antimicrobial activity of the SA-GA-Cu
145 or CA-GA-Cu in a real food system. Each mushroom (50 ± 1 g) was weighed and dipped into a
146 SA-GA-Cu or CA-GA-Cu solution at a concentration of 187 or 375 $\mu\text{g/ml}$ for 10 s and held until no
147 water dripped from the surface. Then, the samples were placed into a sterilized plastic bag and
148 zipped, followed by storage in a chamber at room temperature for 6 h. At sampling time, the sample
149 (16 g) and 144 ml PBS (0.85%) were added into a sterilized container with blades and then
150 homogenized. The homogenate was used for antimicrobial assay. The homogenate was taken for a

151 series dilution, 1 ml of which was poured onto plate count agar and incubated for 48 h at 37°C. The
152 population of the microorganisms was determined by counting the number of colonies from the
153 plates with 25-250 colonies and multiplying by the dilution factor. The experiments were performed
154 in triplicate.

155

156 *2.8. Analysis of antioxidant activity*

157

158 *2.8.1. Scavange of ABTS radical*

159 The ABTS radical assay followed the method of Debnath, Park, Nath, Samad, Park and Lim
160 (2011) with some modifications. ABTS was dissolved in water to make a solution of 7 mM. Then 10
161 ml of the ABTS solution was mixed with 2 ml potassium peroxodisulfate (14 mM) and 12 ml sodium
162 phosphate buffer (0.01M, pH7.4) to generate ABTS by allowing the mixture to stand in the dark at
163 room temperature for 12-16 h before use. For sample testing, the ABTS solution was diluted with the
164 sodium phosphate buffer to an absorbance of 1 at 734 nm. The test samples (0.1 ml), each at a
165 different concentration, were mixed with 1 ml ABTS solution and reacted for 10 min in dark. The
166 absorbance was recorded at 734 nm. Each sample was measured in triplicate and averaged. This
167 antioxidant effect is given as percentage ABTS scavenging activity that is calculated by the
168 following formula:

169 $Scavenging\ activity\ (\%) = [(A_c - A_s)/A_c] \times 100$

170 where A_C is the absorbance value of the control and A_S is the absorbance value of the test samples.

171 *2.8.2. Scavenging of nitrite oxide*

172

173 Test samples (0.3 ml) at various concentrations were mixed with 0.88 ml PBS, and sodium
174 nitroprusside (25 mM) in PBS, and then reacted for 2 h at room temperature. A volume of 0.5 ml of
175 the mixture was taken and added to 0.3 ml 5% H_3PO_4 solution containing 1% sulfanilamide, and 0.3
176 ml 0.1% N-(1-naphthyl)-ethylenediamine dihydrochloride solution (Marcocci, Maguire, Droylefaix,
177 & Packer, 1994). The absorbance of the reacted sample solution was measured at 570 nm. The
178 scavenging activity is determined according to the following equation:

179 $Scavenging\ activity\ (\%) = [(A_c - A_s)/A_c] \times 100$

180 where A_C is the absorbance value of the control and A_S is the absorbance value of the test samples.

181

182 *2.8.3. Scavenging of superoxide anion*

183

184 The stock solutions of PMS (30 μ M), NADH (936 μ M) and NBT (300 μ M) were prepared with
185 0.1 M phosphate buffer (pH 7.4). The 50 μ l test solution was added into a 96-well microplate

186 followed by addition of 62.5 μ l NBT and 62.5 μ l NADH. The mixture was left standing for 2 min for
187 concentration balance. The reaction was started by adding 62.5 μ l PMS to the mixture. The reaction
188 mixture was incubated at room temperature for 5 min and absorbance at 560 nm was recorded (Bi et
189 al., 2013). The scavenging activity of superoxide anion is expressed by the following formula:

$$190 \text{ Scavenging activity (\%)} = [(A_c - A_s)/A_c] \times 100$$

191 where A_c is the absorbance value of the control and A_s is the absorbance value of the test samples.

192

193 2.8.4. Ferric ion chelating activity

194

195 In the present assay, 0.5 ml of test solution in a series of concentrations was mixed with 1.6 ml
196 of double de-ionized water, 0.1 ml FeCl_2 (2 mM) and 0.1 ml of 5 mM aqueous ferrozine solution.
197 The mixture was shaken vigorously and left standing at room temperature for 10 min. The
198 absorbance was measured at 562 nm (Demirtas, Erenler, Elmastas, & Goktasoglu, 2013). EDTA was
199 used as a reference compound for comparison. The calculation was performed using the following
200 formula:

$$201 \text{ Chelating activity (\%)} = [(A_c - A_s)/A_c] \times 100$$

202 where A_c is the absorbance value of the control and A_s is the absorbance value of the test sample
203 solution.

204

205 *2.9. Inhibition of tyrosinase in vitro*

206

207 The test sample was dissolved in DMSO and diluted to a series of concentrations. An aliquot of
208 14 μl mushroom tyrosinase (30 U, 40 nM) was pre-incubated with the test sample in 76 μl sodium
209 phosphate buffer (50 mM, pH 6.8) for 10 min at 25°C. Then the 100 μl L-DOPA (4 μM) was added
210 to the sample mixture, which was allowed to stand for 10 min for the formation of dopaquinone. The
211 absorbance was measured at 475 nm by a microplate reader (SpectraMax® M2, Molecular Devices).
212 The inhibition of the enzyme activity was calculated as follows:

213

214 Percent inhibition (%) = $[(B - S)/B] \times 100$, where B and S were the absorbance for the blank and
215 samples, respectively (Kumar, Yang, Chu, Chang, & Wang, 2010). Ascorbic acid (5 $\mu\text{g}/\text{ml}$) was used
216 as a standard tyrosinase inhibitor for comparison.

217

218 *2.10. Inhibition of browning of mushroom*

219

220 White button mushrooms (*Agaricus bisporus*) with similar size (16 ± 0.5 g; 4 ± 0.2 cm, id) were
221 selected and separated into two groups (10 pieces in each group), one for the treatment and the other
222 as a control. The mushrooms were dipped into a SA-GA-Cu or CA-GA-Cu solution at a

223 concentration of 187 or 375 $\mu\text{g/ml}$ for 10 s and held until no water dripped from the surface. Each
224 mushroom was weighed before and after the soaking procedure in order to calculate the amount of
225 the active component absorbed by the mushroom. The samples in the control group were subjected to
226 the same procedures except for the double de-ionized water used as the test solution. Each sample in
227 both groups was put in a 100 ml beaker and stored at room temperature. The colour change of
228 samples was monitored at the time period of 0, 4 and 8 h with a colorimeter (CR-200, Minolta, Japan)
229 using a CIE-L*a*b* system for data expression.

230

231 *2.11. Statistical analysis*

232

233 Statistical analysis of variance (ANOVA) was conducted using Statistica for Windows (StatSoft,
234 Tulsa, OK, USA). Duncan's multiple range test was used to compare the mean values of data for
235 significant difference at a 95% level.

236

237 **3. Results and discussion**

238

239 *3.1. Infrared spectra of Schiff base adduct*

240

241 The IR spectral data of SA-GA or CA-GA and its metal complex are given in Table 1. The C=N

242 bond can be observed at $\nu(\text{C-N})$ 1627-1635 cm^{-1} due to the azomethine stretching vibration, which
243 indicates the successful synthesis of the Schiff base adduct (Guo et al., 2005). Carboxylate (COO^-)
244 stretching occurs at two different spectral regions: an asymmetrical stretching band near 1650-1550
245 cm^{-1} , and weaker symmetric stretching bands near 1400 cm^{-1} (Gnanasambandam & Proctor, 2000).
246 On complexation in this study, the asymmetric carboxylate stretching $\nu_{\text{asym}}(\text{COO}^-)$ is shifted from
247 1610-1618 cm^{-1} to the 1543–1573 cm^{-1} range and the symmetric carboxylate stretching $\nu_{\text{sym}}(\text{COO}^-)$
248 is shifted from 1404-1408 cm^{-1} to 1384 cm^{-1} , indicating the linkage between the metal ion and
249 carboxylate oxygen atom (Nair & Joseyphus, 2008). The spectra of the complexes show broad bands
250 in the 3388–3471 cm^{-1} range, which can be attributed to the stretching vibration of the OH group.
251 This indicates the presence of water molecules in the structure (Hassan, Nassar, Hussien, & Elkmash,
252 2012). In the complexes, the bands in the 565–586 and 439–470 cm^{-1} range can be attributed to
253 $\nu(\text{M-N})$ and $\nu(\text{M-O})$, respectively (Nair & Joseyphus, 2008).

254

255 3.2. Antimicrobial activity assay for bacteria *in vitro*

256

257 The antimicrobial activity of SA-GA or CA-GA and its metal complex against *S. aureus* and *E.*
258 *coli* O157 : H7 is shown in Table 2. Regarding SA-GA and its metal complexes, these compounds
259 exhibited a better antimicrobial effect against *S. aureus* than against *E. coli* O157 : H7. The SA-GA
260 could inhibit *S. aureus* but failed to inhibit *E. coli* O157 : H7 at the test concentration of 375 $\mu\text{g/ml}$. In

261 contrast, both SA-GA-Cu and SA-GA-Zn could eradicate *E. coli* O157 : H7 at 375 µg/ml. This
262 indicates that the metal complex can enhance the antimicrobial activity of SA-GA. Chelation
263 considerably reduces the polarity of the metal ion because of partial sharing of its positive charge
264 with donor groups and possible electron delocalization over the whole chelate ring. Such a chelation
265 could enhance the lipophilic character of the central metal atom, which subsequently favours its
266 permeation through the lipid layer of the cell membrane (Ceyhan, Çelik, Uruş, Demirtaş, Elmastaş,
267 & Tümer, 2011). Moreover, SA-GA-Cu exhibited a greater antimicrobial effect than SA-GA-Zn
268 against *S. aureus*. The reason for the higher antimicrobial activity of Cu²⁺ complex relative to the
269 Zn²⁺ complex may be that Cu²⁺ can form a stronger Cu²⁺-ligand bond than Zn²⁺-ligand bond, which
270 increases the lipophilic character of a Cu²⁺ complex compared to a Zn²⁺ complex. In addition, Cu²⁺
271 has a higher redox activity than Zn²⁺, which may also account for the higher antimicrobial activity of
272 Cu²⁺ complexes (El-Sherif & Eldebss, 2011).

273 As with SA-GA, CA-GA also had a good antimicrobial activity against *S. aureus*, which was
274 stronger than that of SA-GA by giving lower MBC values at each corresponding concentration.
275 However, as for the antimicrobial effect against *E. coli* O157 : H7, CA-GA could inactivate *E. coli*
276 O157 : H7 at 375 µg/ml, but SA-GA could not. The metal complexes of CA-GA also enhanced the
277 antimicrobial activity of CA-GA against *S. aureus*. Noticeably, CA-GA-Cu showed greater
278 antimicrobial activity than CA-GA against *E. coli* O157 : H7. In addition, CA-GA-Cu was superior to
279 SA-GA-Cu in suppressing *E. coli* O157 : H7. From the results, it seems that Gram (+) bacteria such

280 as *S. aureus* is more susceptible to the test compounds than Gram (-) bacteria, namely *E. coli* O157 :
281 H7. The resistance of Gram (-) bacteria toward antibacterial substances is ascribed to the hydrophilic
282 surface of their outer membrane, which is rich in lipopolysaccharide molecules, forming a barrier to
283 the penetration of numerous antibiotic molecules, and is also relevant to the enzymes in the
284 periplasmic space, which can break down the molecules introduced from outside (Nikaido, 1994;
285 Gao, Van Belkum, & Stiles, 1999).

286 Since SA-GA-Cu and CA-GA-Cu showed a better antimicrobial activity than the other test
287 compounds in the SA or CA adduct group, both were selected as a representative for each group to
288 further assay their antimicrobial effect in a real food system - mushroom. As the mushrooms were
289 only very briefly (10 s) dipped into the test solutions, the amount of SA-GA-Cu or CA-GA-Cu
290 absorbed into the mushrooms was much lower than that in the test solution, which is listed in Table 3.
291 The initial microbial population in the untreated mushrooms was approximately 10^2 CFU/g. Two
292 concentration levels, 5.8 and 11.6 $\mu\text{g/g}$, were used for the test. At the concentration of 5.8 $\mu\text{g/g}$,
293 SA-GA-Cu gave an 82% inhibition ratio of total microorganisms at 2 h; however, the ratio decreased
294 to 67% at 6 h. This decrease may be due to the resuscitation of surviving microorganisms after the
295 administration of the test compound. In contrast, CA-GA-Cu exhibited a 99% inhibition ratio at
296 either 2 or 6 h at the same concentration. Namely, CA-GA-Cu gave a better antimicrobial effect than
297 SA-GA-Cu at this concentration.

298 At the concentration of 11.6 $\mu\text{g/g}$, SA-GA-Cu showed a 99% inhibition ratio of the total

299 microorganisms at 2 h and the ratio slightly declined to 93% at 6 h. This result suggests that
300 SA-GA-Cu at this concentration can effectively inhibit >90% microorganisms during 6 h of storage.
301 Comparatively, at the same concentration CA-GA-Cu exhibited a marked antimicrobial effect, which
302 could be observed from the 44% inhibition ratio at the initial time of administrating the test
303 compound (Table 3). CA-GA-Cu could kill or destroy the microorganisms instantly and the damaged
304 organisms seemed to lose their ability to resume growth in the later incubation period. Therefore, the
305 inhibition ratio remains 100% from 2 h to 6 h of storage.

306

307 3.3. Antioxidant activity

308

309 3.3.1. Inhibition of ABTS radical

310 Assays of 2,2'-azino-bis-3-ethylbenzthiazoline-6-sulfonic acid (ABTS) and
311 1,1-diphenyl-2-picrylhydrazyl (DPPH) are the most popular among various spectrophotometric
312 methods for measuring the antioxidant capacity of foods. ABTS assay is superior to DPPH assay
313 when applied to a variety of plant foods containing hydrophilic, lipophilic and high-pigmented
314 antioxidant compounds (Floegel, Kim, Chung, Koo, & Chun, 2011). Therefore, the ABTS assay was
315 used in this study. The inhibition of ABTS radical activity by the metal complexes of SA-GA or
316 CA-GA is shown in Table 4. Generally, SA-GA and its metal complexes had a stronger scavenging
317 effect than CA-GA and its metal complexes. Noticeably, SA-GA seemed comparable with ascorbic

318 acid in this activity. On the other hand, SA-GA-Cu or SA-GA-Zn did not show any better scavenging
319 activity than SA-GA, which reveals that the metal complexes do not enhance the scavenging effect
320 of SA-GA but slightly reduce it.

321 With respect to CA-GA and its metal complexes, CA-GA had a lower IC₅₀ value than that of
322 CA-GA-Cu or CA-GA-Zn, which means that the metal complexes cannot increase the antioxidant
323 activity of CA-GA but slightly decrease it. The effect of metal ions on CA-GA in scavenging ABTS
324 radicals is similar to its effect on SA-GA. In addition, the scavenging activity of CA-GA-Cu is
325 similar to that of CA-GA-Zn. This phenomenon is also applicable to the scavenging activity between
326 SA-GA-Cu and SA-GA-Zn.

327

328 3.3.2. *Inhibition of superoxide anion activity*

329 Inhibition of superoxide anion activity by SA-GA or CA-GA and its metal complexes are listed
330 in Table 4. Regarding SA-GA and its metal complexes, SA-GA exhibited an inhibitory effect with an
331 IC₅₀ value of 764 µg/ml. In contrast, SA-GA-Cu and SA-GA-Zn gave IC₅₀ values of 5.7 and >6000
332 µg/ml, respectively. This result reveals that the metal complexes do not show a consistent trend in
333 enhancing or reducing the scavenging effect of SA-GA, but manifests that this effect is dependent on
334 the species of the metal ion. In this case, Cu²⁺ enhances the scavenging effect of SA-GA, while Zn²⁺
335 decreases it. Similarly, with respect to CA-GA and its metal complexes, CA-GA-Cu showed the
336 strongest scavenging effect (IC₅₀: 5.8 µg/ml) among the test compounds, which is comparable with

337 that (IC_{50} : 5.7 $\mu\text{g/g}$) of SA-GA-Cu. The IC_{50} value for CA-GA for its inhibitory effect was 1482
338 $\mu\text{g/ml}$, which is about twice the value for SA-GA. Meanwhile, as with SA-GA-Zn, CA-GA-Zn did
339 not express any scavenging effect with an IC_{50} value $> 6000 \mu\text{g/ml}$.

340 Superoxide dismutases (SODs) are a diverse group of metalloenzymes, and they can catalyze
341 dismutation of two negatively charged superoxide radicals to molecular oxygen and hydrogen
342 peroxide. The redox potential of SOD containing Cu^{2+} and Zn^{2+} (CuZnSOD) during catalysis has
343 been investigated, and the result indicates that copper is the redox partner of the superoxide radical,
344 whereas the oxidative state of Zn^{2+} does not change during the dismutation reaction (Konecny et al.,
345 1999). Several other studies also showed that Cu^{2+} complex of Schiff base adducts can mimic the
346 function of CuZnSOD in dismutating a superoxide anion (Bian et al., 2015; Patel, Patel, Shukla, &
347 Singh, 2013). From these studies, Cu^{2+} seems to play a more important role than Zn^{2+} in scavenging
348 superoxide anion, which is also evidenced from the results of this research.

349

350 3.3.3. *Inhibition of nitric oxide*

351 Nitric oxide (NO), one of the smallest known bioactive products of mammalian cells, has
352 multiple biological effects. However, a number of disease states are characterized by abnormally
353 high NO production and removing the excess NO could have beneficial effects. In the inhibition of
354 NO radical assay, SA-GA was better than SA-GA-Cu or SA-GA-Zn (Table 4). In contrast,
355 surprisingly CA-GA and its metal complexes did not show any scavenging effect. This may be

356 attributed to the effect of structural difference. The SA has an OH group on the aromatic ring but CA
357 does not. From literature, a study that compares the reactivity of NO with the metal complexes of
358 Schiff base ligand derived from SA or pyridine-2-carboxaldehyde shows that the
359 pyridine-2-carboxaldehyde-derived ligands do not promote reactivity with NO, whereas, the
360 SA-derived ligands do. Therefore, it is concluded that the substituents on the aromatic moiety have a
361 dramatic effect on the reactivity (Coleman & Taylor, 1980).

362

363 3.3.4. Ferrous ion chelating activity

364 The assay is based on the principle that ferrozine can bind Fe^{2+} rather than Fe^{3+} into a complex
365 that absorbs strongly at 562 nm (Im, Lee, & Löffler, 2013). If the Fe^{2+} is chelated by the test
366 compound, the absorption signal will be reduced. SA-GA or CA-GA shows higher Fe^{2+} chelating
367 activity than its metal complexes (Table 4), which is expected because it is a common characteristic
368 of many synthesized Schiff bases in chelating metal ions (Monier, Ayad, & Abdel-Latif, 2012).
369 However, it is noted that the metal complexes of SA-GA or CA-GA also give certain Fe^{2+} chelating
370 activity even though the ability is lower than that of the metal-free adduct. Several possibilities are
371 hypothesized to explain this. First, Fe^{2+} may compete with the metal ions in the complexed adducts
372 because of relatively high concentration gradient, and partly replace Cu^{2+} or Zn^{2+} in the chelated
373 structure, which may decrease the amount of Fe^{2+} available in the solution for binding ferrozine.

374 Second, because the Cu^{2+} can catalyze the autoxidation of Fe^{2+} to Fe^{3+} (Sayin, 1982), SA-GA-Cu or
375 CA-GA-Cu may also have this ability to convert Fe^{2+} into Fe^{3+} , and thus reduce the amount of Fe^{2+}
376 for the reaction with ferrozine. In contrast, Zn^{2+} does not alter the absorbance of the Fe^{2+} -ferrozine
377 complex (Sayin, 1982); therefore, this may account for the fact that SA-GA-Cu or CA-GA-Cu has
378 greater Fe^{2+} chelating activity than SA-GA-Zn or CA-GA-Zn. Comparatively, SA-GA-Zn has a
379 lower IC_{50} value ($207\mu\text{g/ml}$) than that ($4341\mu\text{g/ml}$) of CA-GA-Zn, which may be attributed to the
380 OH group present in the SA structure. The unpaired electrons on the OH group may provide a
381 chelating site for Fe^{2+} , which is not structurally available in the CA.

382

383 3.3.5. Inhibition of tyrosinase activity

384 Tyrosinase is a copper dependent enzyme which catalyzes two different reactions using
385 molecular oxygen: the hydroxylation of monophenols to o-diphenols (monophenolase activity) and
386 the oxidation of the o-diphenols to o-quinones (diphenolase activity) (Chen & Kubo, 2002). With
387 respect to SA-GA and its metal complexes, SA-GA-Cu and SA-GA-Zn exhibited a better inhibitory
388 effect on tyrosinase than SA-GA (Table 5). Moreover, SA-GA-Cu is better than SA-GA-Zn at this
389 effect. Similarly, CA-GA-Cu and CA-GA-Zn also gave a stronger inhibitory effect on tyrosinase than
390 CA-GA. In addition, CA-GA-Cu is superior to CA-GA-Zn in exhibiting this effect. From these
391 results, the metal complexes can reinforce the inhibitory ability of SA-GA or CA-GA on tyrosinase,
392 especially the CA-GA. The reason may be that the Cu^{2+} complex of Schiff base adducts could be

393 attracted to tyrosinase and the Cu^{2+} in the structure could interfere with the electron transferring
394 process at the active site of tyrosinase, which would lead to inactivation of tyrosinase activity. In one
395 study, which used synthetic membranes to immobilize tyrosinase, the researchers found that when
396 Cu^{2+} is chelated on the membrane surfaces, the tyrosinase absorption efficiency can increase due to
397 the effect of binding affinity between the enzyme and the chelated Cu^{2+} (Arica & Bayramoğlu,
398 2004).

399 As for the effect of Zn^{2+} on tyrosinase, it has been suggested in a study that Zn^{2+} can directly
400 form a ligand-binding with tyrosinase at a position near or inside the active site, which induces
401 conformational change that inactivates the enzyme (Han et al., 2007). Although the Zn^{2+} is chelated
402 in the Schiff base adducts, the active site might not be shielded or might be only partially affected by
403 the structure of adducts, depending on the position and orientation of where the Zn^{2+} is present in the
404 structure. Thus, the Zn^{2+} adducts may still retain certain inhibitory effects on the tyrosinase activity.
405 On the other hand, it is probable that the metal ions complexed with the adducts form a more stable
406 or special conformational structure that is favourable for binding tyrosinase and interferes with its
407 activity. The interactive effects of chelators on metal ions have been reported in literature. For
408 example, EDTA and several other metal-ion chelators have been shown to enhance or inhibit
409 iron-dependent lipid peroxidation depending on the types of chelator and the ratio of iron salt to the
410 chelator in the reaction mixture. Regarding the enhancive effect, EDTA can increase the ability of
411 complexed iron to undergo redox cycling and thus accelerate the lipid peroxidation (Gutteridge,

412 1984).

413

414 3.3.6. *Inhibition of mushroom browning*

415 The white button mushroom is widely recognized for its nutritional, organoleptic and medicinal
416 properties, and is highly popular among consumers (Beelman, Royse, & Chikthimmah, 2003).
417 However, the mushrooms are perishable during storage with a shelf life of about 3–4 days. Their
418 commercial value is lost within a few days due to browning, water loss, senescence and microbial
419 attack (Gao, Feng, & Jiang, 2014). As SA-GA-Cu and CA-GA-Cu display good effects on the
420 inhibition of tyrosinase activity *in vitro*, both compounds were used for inhibition of mushroom
421 browning. The colour change of the untreated and treated mushrooms during storage was compared.
422 A colorimeter with a colour scale- CIE-L*a*b* system was used for recording the colour change. L*
423 (0-100) represents lightness from low to high; a* is red, and -a* is green; b* and -b* stand for yellow
424 and blue, respectively. Regarding the colour scale of the control sample, the L* value decreased
425 while the a* and b* values both increased as the storage time increased. Compared with the L*a*b*
426 values of the control sample at the initial time, the colour scale differences (ΔE^*) were 4.42 and 8.32
427 for the same sample measured at 4 and 8 h, respectively (Table 6). This indicates that the colour of
428 mushrooms turns darker and consists of more red and yellow components, which is consistent with
429 the browning effect observed visually. When the mushrooms were treated with SA-GA-Cu at 5.8
430 $\mu\text{g/g}$, the ΔE^* values were reduced to 3.57 and 6.85 at 4 h and 8 h, respectively. In addition, the

431 ΔE^* values were 2.23 and 4.67 at 4 h and 8 h, respectively, for the samples treated with CA-GA-Cu
432 at the same concentration (Table 6). The results show that SA-GA-Cu and CA-GA-Cu can
433 effectively inhibit the mushroom browning and that CA-GA-Cu gives a stronger effect than
434 SA-GA-Cu, which is consistent with the results obtained from the *in vitro* test of tyrosinase
435 inhibition.

436 Zn and Cu are essential trace elements for human health but over intake of them may cause health
437 risks. Regarding the safety of Zn and Cu intakes, the tolerable upper levels for Zn and Cu are 40 mg/d
438 and 10 mg/d, respectively (Goldhaber, 2003). The effective antimicrobial or antibrowning
439 concentration of the metal complexes used in the mushrooms is ca 12 $\mu\text{g/g}$. This means that if 200 g
440 (approximately 12 pieces) of the mushrooms are consumed at a meal, the intake of these elements is
441 approximately 2.4 mg, which is lower than the tolerable upper levels of both elements. It is worth
442 noting that the calculation is based on the raw materials; in practice, the mushrooms must be washed
443 or soaked and boiled in water before consumption, which may lead to a marked loss of the added
444 compounds. Therefore, the actual intake of these elements is much lower than the above estimated
445 value. In addition, Zn can compete with Cu and reduce the Cu absorption in digestion (de Romaña,
446 Olivares, Uauy, & Araya, 2011); as a result, one may also use both of the synthesized compounds
447 together as a mixture and adjust to an appropriate ratio between them by taking advantage of the
448 characteristic of Zn that has a higher tolerable upper level. Accordingly, the safety concern can be
449 eliminated when using these adducts and their metal complexes.

450

451 **4. Conclusions**

452

453 The Schiff base adducts of GA and SA or CA were synthesized and their functionalities were
454 assessed. These adducts and their metal complexes can impart antibacterial, antioxidant, and/or
455 tyrosinase-inhibition activities. Regarding antibacterial activity, SA-GA and CA-GA both exhibit
456 good antibacterial effects, which can be further enhanced by forming a complex with Cu^{2+} or Zn^{2+} .
457 As for antioxidant activity, SA-GA and CA-GA adducts are more effective than their metal
458 complexes in ABTS, nitric oxide, and ferric ion chelating analyses, whereas their Cu metal
459 complexes are superior to these adducts in superoxide anion analysis. With respect to inhibition of
460 enzymatic browning of mushrooms, both SA-GA-Cu and CA-GA-Cu can inhibit tyrosinase activity
461 effectively. The multiple functionalities of these adducts render them very suitable for preservation of
462 foods such as mushrooms in extension of shelf life.

463

464

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466

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469

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589 Table 1

590 Infrared spectral data of SA-GA or CA-GA and its metal complexes (cm⁻¹)

Compound	$\nu(\text{C}=\text{N})$	$\nu(\text{O}-\text{H})$	$\nu_{\text{asym}}(\text{COO}^-)$	$\nu_{\text{sym}}(\text{COO}^-)$	$\nu(\text{M}-\text{N})$	$\nu(\text{M}-\text{O})$
SA-GA	1633	3446	1610	1408	—	—
SA-GA-Cu	1627	3444	1554	1384	574	439
SA-GA-Zn	1631	3427	1543	1384	570	453
CA-GA	1635	3471	1618	1404	—	—
CA-GA-Cu	1633	3388	1570	1384	586	457
CA-GA-Zn	1631	3415	1573	1384	565	470

591 Table 2

592 Antimicrobial activity of SA-GA or CA-GA and its metal complexes against selected bacteria

Compound	MBC ($\mu\text{g}/\text{ml}$)	
	<i>Staphylococcus aureus</i>	<i>Escherichia coli</i> O157 : H7
SA-GA	93	> 375
SA-GA -Cu	23	375
SA-GA -Zn	46	375
CA-GA	46	375
CA-GA-Cu	11	187
CA-GA -Zn	23	375

593 Table 3

594 Inhibition ratios of total microorganisms in the mushrooms by SA-GA or CA-GA and its metal

595 complex

Compound	Compound/ mushroom ($\mu\text{g/g}$)	Inhibition ratio (%)		
		0 h	2 h	6 h
SA-GA-Cu	5.8	0 ^a	82 \pm 5 ^a	67 \pm 2 ^a
SA-GA-Cu	11.6	0 ^a	99 \pm 1 ^b	93 \pm 1 ^b
CA-GA-Cu	5.8	0 ^a	99 \pm 1 ^b	99 \pm 1 ^c
CA-GA-Cu	11.6	44 \pm 8 ^A	100 \pm 0 ^b	100 \pm 0 ^c

596 ¹ Superscripts in the same column with the same letter are not significant different at $p < 0.05$

597

598 Table 4

599 Antioxidant activity of SA-GA or CA-GA and its metal complex expressed as IC_{50} ($\mu\text{g/ml}$)

600 determined by free radical scavenging or metal chelating methods

Compound	ABTS	Superoxide anion	Nitric oxide	Ferric ion
SA-GA	5.7 \pm 0.1	764 \pm 3	908 \pm 23	26 \pm 0.1
SA-GA-Cu	12.4 \pm 0.2	5.7 \pm 0.1	1078 \pm 22	105 \pm 0.4
SA-GA-Zn	16 \pm 0.3	> 6000	1006 \pm 9	207 \pm 0.8
CA-GA	124.4 \pm 1.3	1482 \pm 6	> 6000	83 \pm 0.3
CA-GA-Cu	162.5 \pm 2.8	5.8 \pm 0.1	> 6000	111 \pm 0.1
CA-GA-Zn	165.7 \pm 2.7	> 6000	> 6000	4341 \pm 51

Ascorbic acid	2.7±0.01	NA ^a	NA	NA
EDTA	NA	NA	NA	13.2±0.1

601 ^aNA: not analyzed.

602

603

604 Table 5

605 Inhibition of tyrosinase activity by the metal complexes of SA-GA or CA-GA

Compound	Concentration range(μg/g)	IC ₅₀ (μg/g)	Regression equation	R ²
SA-GA	187-1250	899	y=0.001x+39.16	0.99
SA-GA-Cu	93-750	345	y=0.004x+22.41	0.95
SA-GA-Zn	93-750	556	y=0.003x+16.64	0.96
CA-GA	187-1250	459	y=0.002x+31.63	0.95
CA-GA-Cu	39-312	73	y=0.0071x+39.65	0.98
CA-GA-Zn	39-312	118	y=0.003x+42.92	0.97
Ascorbic acid	12-50	22	y=0.073x+18.16	0.95

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618 Table 6

619 Color change of the mushrooms with or without the treatment of SA-GA-Cu or CA-GA-Cu during
 620 storage at room temperature

Treatment	Concentration ($\mu\text{g/g}$)		Time (h)		
			0	4	8
Control	—	L*	90.81 \pm 0.3 ^{a2}	87.79 \pm 1.12 ^b	84.26 \pm 0.99 ^c
		a*	0.24 \pm 0.03 ^a	0.21 \pm 0.04 ^a	1.41 \pm 0.16 ^b
		b*	12.33 \pm 0.33 ^a	15.55 \pm 0.71 ^b	17.33 \pm 0.64 ^b
		$\Delta E^*{}^1$	—	4.42 \pm 0.29 ^{A3}	8.32 \pm 0.38 ^A
SA-GA-Cu	5.8	L*	90.86 \pm 0.44 ^a	88.43 \pm 0.91 ^a	87.06 \pm 1.51 ^b
		a*	0.00 \pm 0 ^a	0.47 \pm 0.04 ^a	1.40 \pm 0.28 ^b
		b*	11.63 \pm 0.91 ^a	14.2 \pm 0.34 ^b	17.15 \pm 0.37 ^c
		ΔE^*	—	3.57 \pm 0.72 ^A	6.85 \pm 0.97 ^{AB}
SA-GA-Cu	11.6	L*	90.44 \pm 0.2 ^a	88.63 \pm 0.31 ^b	87.09 \pm 0.17 ^c
		a*	-0.24 \pm 0.06 ^a	0.03 \pm 0.01 ^b	0.65 \pm 0.04 ^c
		b*	10.23 \pm 0.33 ^a	13.68 \pm 0.49 ^b	15.89 \pm 0.59 ^c
		ΔE^*	—	3.91 \pm 0.31 ^A	6.64 \pm 0.25 ^B
CA-GA-Cu	5.8	L*	90.88 \pm 0.33 ^a	88.84 \pm 0.98 ^{ab}	87.38 \pm 0.55 ^b
		a*	0.01 \pm 0.03 ^a	0.65 \pm 0.04 ^b	0.71 \pm 0.08 ^b
		b*	12.71 \pm 0.58 ^a	13.33 \pm 0.48 ^a	15.72 \pm 0.65 ^b
		ΔE^*	—	2.23 \pm 0.6 ^B	4.67 \pm 0.08 ^C
CA-GA-Cu	11.6	L*	90.82 \pm 0.03 ^a	90.21 \pm 0.47 ^a	89.03 \pm 0.18 ^b
		a*	-0.03 \pm 0.0 ^a	0.16 \pm 0.06 ^b	0.46 \pm 0.06 ^c
		b*	11.68 \pm 0.08 ^a	13.29 \pm 0.44 ^b	15.37 \pm 1.16 ^b
		ΔE^*	—	1.73 \pm 0.18 ^B	4.13 \pm 0.89 ^C

621 ¹ $\Delta E^* = [(\Delta L^*)^2 + (\Delta a^*)^2 + (\Delta b^*)^2]^{1/2}$

622 ² Superscripts in the same row with the same letter are not significant different at $p < 0.05$

623 ³ Superscripts in the same column for the ΔE^* values with the same capital letter are not significant

624 different at $p < 0.05$

625

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626 >We synthesize adducts using γ -aminobutyric acid and salicylaldehyde or cinnamaldehyde.

627 > These adducts have antibacterial, antioxidant, or tyrosinase-inhibition activity.

628 > These adducts are very suitable for preservation of mushrooms.

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