

Enantioselective Synthesis and Antimicrobial Activities of Tetrahydro- β -Carboline Diketopiperazines

YANGMIN MA,^{1*} HAO WU,¹ JIN ZHANG,¹ AND YANCHAO LI²

¹Key Laboratory of Auxiliary Chemistry and Technology for Chemical Industry, Ministry of Education, Shaanxi University of Science & Technology, Xi'an, China

²Northwest Institute of Nonferrous Metals, Xi'an, China

ABSTRACT A series of single isomers tetrahydro- β -carboline diketopiperazines were stereoselectively synthesized starting from L-tryptophan methyl ester hydrochloride and six aldehydes through a four-step reaction including Pictet-Spengler reaction, crystallization-induced asymmetric transformations (CIAT), Schotten-Baumann reaction, and intramolecular ester amidation. The chemical structures were characterized by nuclear magnetic resonance (NMR) and elemental analysis, among which two compounds were determined by x-ray single crystal diffraction. Moreover, antimicrobial activities of all the compounds were also tested. *Chirality* 00:000–000, 2013. © 2013 Wiley Periodicals, Inc.

KEY WORDS: antimicrobial activity; configuration; crystallization-induced asymmetric transformations; tetrahydro- β -carboline diketopiperazine; x-ray single crystal diffraction

INTRODUCTION

A series of indole alkaloids have been used on the treatment of many diseases such as cancer and AIDS.¹ Diketopiperazines as the smallest cyclopeptides have two hydrogen-bond donors and two receptors; the hydrogen bonds are the main way for coactions with receptors.² Tetrahydro- β -carboline diketopiperazines, which block cell cycle progression, are attracting much attention as potential therapeutic agents.^{3,4} Tetrahydro- β -carboline diketopiperazines are of wide interest because they exhibit a wide range of biological activities.^{5–7} Verruculogen TR-2A and fumitremorgin C, for example, are selective inhibitors of the breast cancer resistance protein (BCRP/ABCG2).^{3,8,9} In addition, fumitremorgin C can also induce sustained tremors in animals.^{10–16} We have found that they have a tetrahydro- β -carboline diketopiperazines skeleton by comparing the structures, as shown in Fig. 1.

Mostly, a series of tetrahydro- β -carboline diketopiperazines were extracted as secondary metabolites of fungi.¹⁷ For example, fumitremorgin C was isolated from metabolites of *Alternaria* sp. FL25.⁴ Because of the unique structures and important activities, a lot of natural tetrahydro- β -carboline diketopiperazines have been prepared through total synthesis. However, the traditional methods gave lower yield and *cis* and *trans* diastereomeric mixtures. The tetrahydro- β -carboline skeleton is the basic structure unit of tetrahydro- β -carboline diketopiperazines. The first problem to be addressed was the construction of the 1,3-disubstituted-1,2,3,4-tetrahydro- β -carboline framework (shown in Fig. 2) with establishment of the C-1 stereocenter. In 1997, Wang and Ganesan and Hino¹⁸ and Nakagawa¹⁹ used a two-step reaction to synthesize demethoxyfumitremorgin C. In 2001, Bailey et al.²⁰ reported a three-step method of total synthesis of fumitremorgins with yields between 21% and 38% to get diastereomeric mixtures. Both methods were of low yield. One of the most straightforward ways for the construction of the key skeleton was based on the Pictet-Spengler reaction,^{21–24} of which the main advantage was the formation of a product with a stable C–C bond in a single step. However, almost all the approaches inevitably led to the diastereomeric

mixtures of tetrahydro- β -carboline (*cis*- and *trans*-) which are difficult to separate^{25–28} and may accordingly make the reaction hard to scale up. What's more, crystallization-induced asymmetric transformation (CIAT) has been reported in the purification of chiral compounds^{29–31} in recent years. The combination of an asymmetric Pictet-Spengler reaction and CIAT was a very important and useful tool for constructing synthons containing tetrahydro- β -carbolines structural moieties and able to obtain single enantiomers.^{32–37}

In this study, a series of tetrahydro- β -carboline diketopiperazines were synthesized starting from L-tryptophan methyl ester hydrochloride and a series of aldehydes. Instead of the low-productive reaction by preparing Schiff base from L-tryptophan methyl ester first and then ring-closing via protonic acid,³⁸ L-tryptophan methyl ester hydrochloride and aldehydes were directly refluxed in isopropanol. The new one-step reaction had much higher yields. In order to obtain the single chiral isomers, CIAT was used after Pictet-Spengler reaction with high enantiomeric excess (*ee*) values. We synthesized six new tetrahydro- β -carboline diketopiperazines for the first time, and two of them were confirmed by single-crystal x-ray diffraction analysis. Moreover, the antibacterial and antifungal activities of all six final compounds were also determined.

MATERIALS AND METHODS

L-Tryptophan, benzaldehyde, vanillin, 4-hydroxy-benzaldehyde, *i*-butyraldehyde, 4-nitrobenzaldehyde, anisaldehyde, and Fmoc-L-proline were

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*Correspondence to: Y. Ma, Key Laboratory of Auxiliary Chemistry and Technology for Chemical Industry, Ministry of Education, Shaanxi University of Science & Technology, Xi'an 710021, China. E-mail: mym63@sina.com

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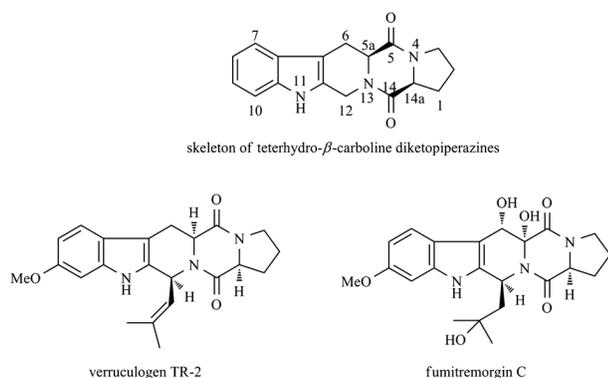


Fig. 1. Skeleton of tetrahydro-β-carboline diketopiperazines and their analogues.

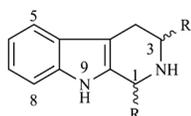


Fig. 2. Basic skeleton of 1,3-disubstituted-1,2,3,4-tetrahydro-β-carboline framework.

purchased from Aladdin Chemical (Shanghai, China). Sodium carbonate, anhydrous calcium chloride and anhydrous magnesium sulfate were purchased from Tianjin Baishi Chemical Engineering (China). Morpholine, dichlorosulfane, and all the solvents were purchased from Tianjin Hongyan Chemical Reagents Factory (China). Silica gel for column chromatography (200–300 mesh) was purchased from Tsingtao Haiyang Silica Gel Desiccant Factory (China). The IR chromatography was recorded with a Bruker VECTOR-22 FT-IR Spectrometer (Billerica, MA). The optical rotations were measured through Wuhan Gelai WZZ-1S polarimeters. 1D and 2D nuclear magnetic resonance (NMR) spectra were recorded on Bruker AVANCE 400 MHz spectrometer with tetramethylsilane (TMS) as internal standard. Deuterated chloroform and deuterated dimethylsulfoxide were purchased from Beijing Boya Dabeil Technological Development (China). The elemental analysis was carried out with an Elementar Vario EL III elemental analyzer. The x-ray single crystal diffraction analysis was carried out with a Bruker BRUKERSMARTAPEXIIICD diffractometer; the data were restored by TEXSAN program, analyzed on an Lx97 system. The bacteria *E. coli*, *P. aeruginosa*, *S. aureus*, *B. subtilis*, and fungi *C. gloeosporioides*, *V. mali*, *A. alternata*, *A. brassicae* were provided from the College of Life Science & Engineering, Shaanxi University of Science & Technology (Xi'an, P.R. China). Both the antibacterial and antifungal activities were determined by the minimum inhibitory concentration (MIC) method on 96-well cell culture cluster, which was made by Costar (Cambridge, MA).

EXPERIMENTAL

Preparation of Basic Materials

L-Tryptophan methyl ester hydrochlorid. Fifty mL of methanol in a dried 3-neck flask with a condenser added and a dry pipe with anhydrous CaCl₂ solid, an isobarical dropping funnel, and a stirrer. An ice bath no more than 5 °C, 15 mL SOCl₂ was slowly dropped in the flask at the rate of 10–15 drops per minute from the isobarical dropping funnel. After dropping, it was stirred for 30 minutes and then withdrawn in an ice-bath and heated to reflux. TLC (ethyl acetate as the developer) should be used to track the reaction process. After that, the solvent was cooled to room temperature, L-tryptophan added (3.06 g, 15 mmol), and then refluxed for 4 h, tracked by TLC (ethyl acetate as the developer), after the reaction, the solvents were removed, recrystallized from methanol-ethyl ether

(5:1, v/v) to give 3.55 g (yield 93%) of **1**. White solid, mp. 217–218 °C. ¹H NMR (400 MHz, DMSO-*d*₆) (ppm, from TMS): 11.15 (s, 1H, NH on the indole ring), 8.65 (s, 3H, -NH₃⁺), 7.51 (d, *J* = 7.96 Hz, 1H, 4-H), 7.38 (d, *J* = 8.04 Hz, 1H, 7-H), 7.26 (s, 1H, 2-H), 7.10 (m, 1H, 6-H), 7.01 (m, 1H, 5-H), 4.22 (t, *J* = 4.06 Hz, 1H, -CH₂-CH-COOCH₃), 3.65 (s, 3H, -COOCH₃), 3.30 (m, 2H, -CH₂-CHCOOCH₃); ¹³C NMR (100 MHz, DMSO-*d*₆) (ppm, from TMS): 170.23, 136.67, 127.35, 122.45, 121.62, 119.07, 118.45, 112.03, 106.77, 53.10, 26.55. IR (KBr) ν/cm⁻¹: 3256, 2955, 1746, 1230. Anal. Calcd. for C₁₂H₁₅N₂O₂Cl: C, 56.59; H, 6.00; N, 11.07. Found: C, 56.58; H, 5.98; N, 11.10%.

Fmoc-L-prolyl chloride (Fmoc-L-Pro-Cl, 6). Fifty mL of CH₂Cl₂ in a dried 3-neck flask with a condenser added and a dry pipe with anhydrous CaCl₂ solid, an isobarical dropping funnel, and a stirrer. Five mL SOCl₂ was slowly dropped in the flask at the rate of 10–15 drops per minute from the isobarical dropping funnel. After that, the solvent was heated and refluxed for about 4 h, tracked by TLC, and reduced pressure distillation to obtain the orange liquid **6**. **6** was dissolved in 50 mL CH₂Cl₂ and sealed to preserve.

One of the six aldehydes (10 mmol) was added with **1** (2.62 g, 12 mmol) into isopropanol (50 mL). The reaction was refluxed for 4 h and then the solvent was removed, washed with toluene, and dried. This was pushed into a toluene-nitromethane mixture, refluxed for 10–22 h, and then filtered with decompression, washed by the mixed solvent, and dried, to afford products **3a–3f**. **3** was added into saturated sodium carbonate aqueous solution (50 mL), stirred for full reaction, extracted by ethyl acetate (30 mL × 3), dried by anhydrous MgSO₄, solvent removed, and recrystallized from methanol to afford crystals **3a–3f**. (The yields for reaction are shown in Table 1.)

(1S,3S)-methyl 1-phenyl-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indole-3-carboxylate (cis-3a). Yield 86.5%, white solid, mp. 223–224 °C, [α]_D²⁰ + 14.2° (c 1.5, CHCl₃), ¹H NMR (400 MHz, CDCl₃) (ppm, from TMS): 7.56–7.52 (m, 1H, Ar-H), 7.45 (s, 1H, NH on the indole ring), 7.40–7.34 (m, 5H, Ar-H), 7.22–7.18 (m, 1H, Ar-H), 7.17–7.10 (m, 2H, Ar-H), 5.23 (s, 1H, 1-H), 3.98 (dd, *J* = 11.2, 4.2 Hz, 1H, 3-H), 3.81 (s, 3H, -COOCH₃), 3.23 (m, 1H, 4-Hβ), 3.05–2.97 (m, 1H, 4-Hα), 2.45 (br s, 1H, 2-H). ¹³C NMR (100 MHz, CDCl₃) (ppm, from TMS): 173.23, 140.72, 136.14, 134.70, 129.01, 128.66, 127.11, 121.99, 119.66, 118.24, 110.96, 108.93, 58.71, 56.91, 52.32, 25.73. IR(KBr) ν/cm⁻¹: 3394, 3332, 2952, 2785, 1740, 1452, 1437, 1357, 1325, 1205, 746, 690. Anal. calcd. for C₁₉H₁₈N₂O₂: C, 74.56; H, 6.03; N, 9.15. Found: C, 74.49; H, 6.02; N, 9.15%.

(1S,3S)-methyl 1-(4-hydroxy-3-methoxyphenyl)-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indole-3-carboxylate (cis-3b). Yield 95.7%, white solid, mp. 177–178 °C, [α]_D²⁰ - 41.0° (c 1.0, CHCl₃), ¹H NMR (400 MHz, CDCl₃) (ppm, from TMS): 7.58 (s, 1H, 2'-H), 7.56 (s, 1H, NH on the indole ring), 7.28–7.22 (m, 1H, Ar-H), 7.20–7.12 (m, 2H, Ar-H), 6.92–6.87 (m, 3H, Ar-H), 5.18 (s, 1H, 1-H), 3.99 (dd, *J* = 11.1, 4.1 Hz, 1H, H-3), 3.84 (s, 3H, -COOCH₃), 3.80 (s, 3H, Ar-OCH₃), 3.25 (dd, *J* = 15.1, 2.5 Hz, 1H, 4-Hβ), 3.08–2.97 (m, 1H, 4-Hα). ¹³C NMR (100 MHz, CDCl₃) (ppm, from TMS): 173.27, 147.07, 145.96, 136.09, 135.02, 132.52, 127.19, 121.91, 121.55, 119.62, 118.20, 114.31, 110.99, 110.64, 108.69, 58.69, 56.98, 56.02, 52.30, 25.63. IR(KBr) ν/cm⁻¹: 3405, 3263, 2931, 1741, 1518, 1449, 1269, 1223, 744. Anal. calcd. for C₂₀H₂₀N₂O₄: C, 68.17; H, 5.72; N, 7.95. Found: C, 68.43; H, 5.74; N, 7.94%.

TABLE 1. Preparation of single enantiomer tetrahydro- β -carboline diketopiperazines from L-tryptophan methyl ester hydrochloride and aldehydes by a four-step synthesis

Entry	R	Step 1 time (h)	Step 2 (CIAT)					Step 3 time (h)	Step 4 time (min)	Yield ^d (%)
			Solvent CH ₃ NO ₂ / Toluene ^a	Time ^b (h)	Configuration (<i>cis</i> -/ <i>trans</i> -) ^c	<i>ee</i> (%)	Yield (%)			
5a	Ph	4	1:10	22	<i>cis</i> - 3a	98	86.5	3	30	92.1
5b	3-OMe-4-OH-C ₆ H ₃	4	1:1	10	<i>cis</i> - 3b	98	95.7	3	30	97.7
5c	4-OH-C ₆ H ₄	4	2:3	10	<i>cis</i> - 3c	99	97.2	3	30	96.5
5d	<i>i</i> -Pr	4	1:1	20	<i>trans</i> - 3d	98	94.6	3	30	95.6
5e	4-NO ₂ -C ₆ H ₄	4	2:3	20	<i>cis</i> - 3e	99	93.5	3	30	91.4
5f	4-OCH ₃ -C ₆ H ₄	4	4:5	12	<i>trans</i> - 3f	99	87.4	3	30	93.8

^aVolume ratio.^bUnder reflux.^cDetermined by ¹H-¹H NOESY.^dTotal yield of Steps 3 and 4.

(1*S*,3*S*)-methyl 1-(4-hydroxyphenyl)-2,3,4,9-tetrahydro-1*H*-pyrido [3,4-*b*]indole-3-carboxylate (*cis*-3c**).** Yield 97.2%, white solid, mp. 227–228 °C, $[\alpha]_D^{20}$ - 33.2° (*c* 1.2, acetone), ¹H NMR (400 MHz, DMSO-*d*₆) (ppm, from TMS): 10.31 (s, 1H, NH on the indole ring), 9.42 (s, 1H, ArOH), 7.42 (d, *J*=7.6 Hz, 1H, Ar-H), 7.21 (d, *J*=7.9 Hz, 1H, Ar-H), 7.14 (d, *J*=8.4 Hz, 2H, Ar-H), 6.97 (dt, *J*=14.7, 7.1 Hz, 2H, Ar-H), 6.75 (d, *J*=8.3 Hz, 2H, Ar-H), 5.11 (s, 1H, 1-H), 3.86 (dd, *J*=11.0, 4.0 Hz, 1H, 3-H), 3.71 (s, 3H, -OCH₃), 3.02 (dd, *J*=14.6, 3.1 Hz, 1H, 4-H β), 2.81 (t, *J*=13.8 Hz, 1H, 4-H α). ¹³C NMR (100 MHz, DMSO-*d*₆) (ppm, from TMS): 173.45, 157.53, 136.74, 136.36, 132.70, 130.17, 127.03, 121.06, 118.80, 117.97, 115.51, 111.66, 107.20, 57.70, 56.74, 52.24, 25.93. IR(KBr) ν /cm⁻¹: 3365, 3300, 3269, 2981, 1730, 1615, 1522, 1458, 1437, 1325, 1278, 1219, 1178, 835, 752, 740. Anal. calcd. for C₁₉H₁₈N₂O₃: C, 70.70; H, 5.62; N, 8.70. Found: C, 70.79; H, 5.63; N, 8.69%.

(1*R*,3*S*)-methyl 1-isopropyl-2,3,4,9-tetrahydro-1*H*-pyrido [3,4-*b*]indole-3-carboxylate (*trans*-3d**).** Yield 94.6%, white solid, mp. 146–147 °C, $[\alpha]_D^{20}$ +53.4° (*c* 1.0, CHCl₃), ¹H NMR (400 MHz, DMSO-*d*₆) (ppm, from TMS): 10.65 (s, 1H, NH on the indole ring), 7.38 (d, *J*=7.7 Hz, 1H, Ar-H), 7.28 (d, *J*=8.0 Hz, 1H, Ar-H), 7.01 (t, *J*=7.3 Hz, 1H, Ar-H), 6.94 (t, *J*=7.3 Hz, 1H, Ar-H), 4.12 (d, *J*=2.7 Hz, 1H, 1-H), 3.97 (t, *J*=5.0 Hz, 1H, 3-H), 3.59 (s, 3H, -OCH₃), 2.91 (d, *J*=4.8 Hz, 2H, 4-H), 2.70 (br s, 1H, 2-H), 2.33–2.11 (m, 1H, -CH(CH₃)₂), 1.05 (d, *J*=6.9 Hz, 3H, -CH-CH₃), 0.73 (d, *J*=6.7 Hz, 3H, -CH-CH₃). ¹³C NMR (100 MHz, DMSO-*d*₆) (ppm, from TMS): 175.03, 136.40, 136.06, 127.13, 120.78, 118.62, 117.79, 111.30, 106.40, 54.48, 53.67, 51.99, 32.19, 24.76, 20.04, 17.24. IR(KBr) ν /cm⁻¹: 3330, 2965, 2880, 1730, 1459, 1457, 1425, 1338, 1271, 1225, 1196, 1135, 1003, 836, 742, 630. Anal. calcd for C₁₆H₂₀N₂O₂: C, 70.56; H, 7.40; N, 10.29. Found: C, 70.47; H, 7.42; N, 10.32%.

(1*S*,3*S*)-methyl 1-(4-nitrophenyl)-2,3,4,9-tetrahydro-1*H*-pyrido [3,4-*b*]indole-3-carboxylate (*cis*-3e**).** Yield 93.5%, yellow solid, mp. 171–172 °C, $[\alpha]_D^{20}$ - 5.4° (*c* 2.5, CHCl₃), ¹H NMR (400 MHz, CDCl₃) (ppm, from TMS): 8.21 (d, *J*=8.6 Hz, 2H, Ar-H), 7.59 (d, *J*=8.5 Hz, 2H, Ar-H), 7.56 (d, *J*=7.2 Hz, 1H, Ar-H), 7.46 (s, 1H, NH on the indole ring), 7.22 (t, *J*=6.2 Hz, 1H, Ar-H), 7.19–7.11 (m, 2H, Ar-H), 5.38 (s, 1H, 1-H), 3.98 (dd, *J*=11.1, 4.1 Hz, 1H, 3-H), 3.83 (s, 3H, -OCH₃), 3.26 (dd, *J*=14.7, 3.1 Hz, 1H, 4-H β), 3.03 (ddd, *J*=15.12, 11.24, 2.16 Hz, 1H, 4-H α), 2.60 (br s, 1H, 2-H). ¹³C NMR (100 MHz, CDCl₃) (ppm, from TMS): 172.92, 148.24, 148.05, 136.36,

132.98, 129.62, 126.87, 124.16, 122.47, 119.98, 118.43, 111.06, 109.53, 58.07, 56.58, 52.46, 25.49. IR(KBr) ν /cm⁻¹: 3397, 3338, 2950, 2789, 1452, 1440, 1357, 650. Anal. calcd. for C₁₉H₁₇N₃O₄: C, 64.95; H, 4.88; N, 11.96. Found: C, 64.86; H, 4.87; N, 12.00%.

(1*R*,3*S*)-methyl 1-(4-methoxyphenyl)-2,3,4,9-tetrahydro-1*H*-pyrido [3,4-*b*]indole-3-carboxylate (*trans*-3f**).** Yield 87.4%, white solid, mp. 195–197 °C, $[\alpha]_D^{20}$ - 44.0° (*c* 2.5, CHCl₃), ¹H NMR (400 MHz, CDCl₃) (ppm, from TMS): 7.73 (br s, 1H, on the indole ring NH), 7.54 (d, *J*=6.96 Hz, 1H, Ar-H), 7.22–7.18 (m, 1H, Ar-H), 7.17–7.09 (m, 4H, Ar-H), 6.82 (d, *J*=8.52 Hz, 2H, Ar-H), 5.30 (s, 1H, 1-H), 3.93 (t, *J*=6.10 Hz, 1H, 3-H), 3.76 (s, 3H, -COOCH₃), 3.69 (s, 3H, -ArOCH₃), 3.24 (dd, *J*=15.36 Hz, 5.24 Hz, 1H, 4-H α), 3.09 (dd, *J*=15.28 Hz, 6.88 Hz, 1H, 4-H β), 2.46 (br s, 1H, 2-H). ¹³C NMR (100 MHz, CDCl₃) (ppm, from TMS): 174.22, 159.43, 136.13, 134.14, 133.63, 129.60, 127.02(2), 121.91, 119.49, 118.24, 114.05(2), 110.93, 108.34, 55.35, 54.31, 52.52, 52.16, 24.68. IR(KBr) ν /cm⁻¹: 3400, 3343, 2791, 1740, 1442, 1205, 710. Anal. calcd. for C₂₀H₂₀N₂O₃: C, 71.41; H, 5.99; N, 8.33. Found: C, 71.35; H, 5.98; N, 8.35%.

Synthesis of Tetrahydro- β -carboline Diketopiperazines (*cis*- or *trans*-**5a–5f**)

Compound **6** (1.01 g, 2.84 mmol) was dissolved in CH₂Cl₂, then compound **3** added (2.4 mmol), which was also dissolved in CH₂Cl₂ under stirring. After that, it was put into saturated sodium carbonate aqueous solution (50 mL) to make a biphasic system. It was stirred for 4 h and then stilled, separated from the CH₂Cl₂ phase, the water phase extracted by CH₂Cl₂ (30 mL \times 3), the organic phases gathered and dried by anhydrous magnesium sulfate, and the solvent removed to give **4**. **4** was resolved in CH₂Cl₂, morpholine added (5 mL), and after stirring for 40 min at room temperature, the solvent removed to obtain the final product. The product was purified by column chromatography with the eluents of petroleum ether, ethyl acetate, and methanol to afford compounds **5a–5f**. (The yields for reaction were shown in Table 1.)

(5a*S*,12*S*,14a*S*)-12-phenyl-1,2,3,5a,6,14a-hexahydropyrrolo [1'',2'':4',5']pyrazino[1',2':1,6]pyrido[3,4-*b*]indole-5,14 (1*H*,12*H*)-dione (*cis*-5a**).** Yield 92.1%, white solid, mp. 329–330 °C, ¹H NMR (400 MHz, DMSO-*d*₆) (ppm, from TMS): 11.25 (s, 1H, NH on the indole ring), 7.58 (d, *J*=7.7 Hz, 1H, Ar-H), 7.35 (d, *J*=8.0 Hz, 1H, Ar-H), 7.29 (m, 4H, Ar-H), 7.17 (t, *J*=7.0 Hz, 1H, Ar-H), 7.08 (t, *J*=7.4 Hz, 1H, Ar-H), 7.02 (t, *J*=7.4 Hz, 1H, Ar-H), 6.36 (s, 1H, 12-H), 4.56 (dd, *J*=11.5, 5.0 Hz, 1H, 5a-H), 4.36 (t, *J*=7.8 Hz, 1H, 14a-H), 3.59–3.48 (m, 2H, 3-H), 3.46 (d, *J*=5.4 Hz, 1H, 6-H β), 3.03 (dd, *J*=15.7,

11.8 Hz, 1H, 6-H α), 2.26–2.14 (m, 1H, 1-H), 2.00–1.90 (m, 1H, 1-H), 1.90–1.79 (m, 2H, 2-H). ¹³C NMR (100 MHz, DMSO-*d*₆) (ppm, from TMS): 170.40, 165.86, 143.25, 136.52, 134.51, 128.94, 127.41, 126.22, 126.19, 121.72, 119.40, 118.62, 111.85, 104.96, 58.88, 56.74, 55.46, 45.35, 28.50, 23.11, 22.04. IR(KBr) ν /cm⁻¹: 3285, 1663, 1456, 1396. Anal. calcd. for C₂₃H₂₁N₃O₂: C, 74.37; H, 5.70; N, 11.31. Found: C, 74.44; H, 5.69; N, 11.28%.

(5aS,12S,14aS)-12-(4-hydroxy-3-methoxyphenyl)-1,2,3,5a,6,14a-hexahydropyrrolo[1'',2'':4',5']pyrazino[1',2':1,6]pyrido[3,4-b]indole-5,14 (11H,12H)-dione (cis-5b). Yield 97.7%, white solid, mp. 254–255 °C, ¹H NMR (400 MHz, DMSO-*d*₆) (ppm, from TMS): 11.22 (s, 1H, NH on the indole ring), 8.90 (s, 1H, Ar-OH), 7.55 (d, *J* = 7.8 Hz, 1H, Ar-H), 7.33 (d, *J* = 8.0 Hz, 1H, Ar-H), 7.06 (t, *J* = 7.5 Hz, 1H, Ar-H), 7.00 (t, *J* = 7.4 Hz, 1H, Ar-H), 6.89 (s, 1H, Ar-H), 6.62 (d, *J* = 8.2 Hz, 1H, Ar-H), 6.55 (d, *J* = 8.1 Hz, 1H, Ar-H), 6.29 (s, 1H, 12-H), 4.52 (dd, *J* = 11.6, 5.3 Hz, 1H, 5a-H), 4.36 (t, *J* = 7.9 Hz, 1H, 14a-H), 3.70 (s, 3H, Ar-OCH₃), 3.55–3.44 (m, 2H, 3-H), 3.41 (dd, *J* = 15.8, 5.4 Hz, 1H, 6-H β), 2.97 (dd, *J* = 15.6, 11.8 Hz, 1H, 6-H α), 2.21 (td, *J* = 12.0, 5.2 Hz, 1H, 1-H), 1.97 (dt, *J* = 11.7, 8.7 Hz, 1H, 1-H), 1.87 (dd, *J* = 12.5, 6.1 Hz, 2H, 2-H). ¹³C NMR (100 MHz, DMSO-*d*₆) (ppm, from TMS): 170.20, 166.13, 147.73, 145.95, 136.33, 134.93, 134.01, 126.18, 121.56, 119.32, 118.54, 117.93, 115.77, 111.82, 110.79, 104.61, 58.93, 56.60, 55.95, 54.56, 45.37, 28.33, 23.27, 21.68. Anal. calcd. for C₂₄H₂₃N₃O₄: C, 69.05; H, 5.55; N, 10.07. Found: C, 69.10; H, 5.54; N, 10.04%.

(5aS,12S,14aS)-12-(4-hydroxyphenyl)-1,2,3,5a,6,14a-hexahydropyrrolo[1'',2'':4',5']pyrazino[1',2':1,6]pyrido[3,4-b]indole-5,14 (11H,12H)-dione (cis-5c). Yield 96.5%, white solid, mp. 278–279 °C, ¹H NMR (400 MHz, DMSO-*d*₆) (ppm, from TMS): 11.13 (s, 1H, NH on the indole ring), 9.30 (s, 1H, Ar-OH), 7.56 (d, *J* = 7.7 Hz, 1H, Ar-H), 7.31 (d, *J* = 8.0 Hz, 1H, Ar-H), 7.08–6.97 (m, 4H, Ar-H), 6.62 (d, *J* = 8.5 Hz, 2H, Ar-H), 6.25 (s, 1H, 12-H), 4.50 (dd, *J* = 11.6, 5.3 Hz, 1H, 5a-H), 4.33 (t, *J* = 7.8 Hz, 1H, 14a-H), 3.54–3.44 (m, 2H, 3-H), 3.41 (dd, *J* = 16.0, 5.3 Hz, 1H, 6-H β), 2.97 (dd, *J* = 15.6, *J* = 11.8 Hz, 1H, 6-H α), 2.25–2.16 (m, 1H, 1-H), 1.95 (dd, *J* = 17.3, 9.1 Hz, 1H, 1-H), 1.86 (dt, *J* = 15.1, 7.6 Hz, 2H, 2-H). ¹³C NMR (100 MHz, DMSO-*d*₆) (ppm, from TMS): 170.33, 165.95, 156.81, 136.43, 135.14, 133.39, 127.73, 126.23, 121.56, 119.30, 118.53, 115.48, 111.79, 104.77, 58.91, 56.75, 54.70, 45.29, 28.41, 23.15, 21.88. Anal. calcd. for C₂₃H₂₁N₃O₃: C, 71.30; H, 5.46; N, 10.85. Found: C, 71.39; H, 5.43; N, 10.82%.

(5aS,12R,14aS)-12-isopropyl-1,2,3,5a,6,14a-hexahydro-pyrrolo[1'',2'':4',5']pyrazino[1',2':1,6]pyrido[3,4-b]indole-5,14 (11H,12H)-dione (tras-5d). Yield 95.6%, white solid, mp. 254–255 °C, ¹H NMR (400 MHz, DMSO-*d*₆) (ppm, from TMS): 10.93 (s, 1H, NH on the indole ring), 7.43 (d, *J* = 7.8 Hz, 1H, Ar-H), 7.34 (d, *J* = 8.0 Hz, 1H, Ar-H), 7.07 (t, *J* = 7.3 Hz, 1H, Ar-H), 6.98 (t, *J* = 7.3 Hz, 1H, Ar-H), 5.45 (d, *J* = 8.0 Hz, 1H, 12-H), 4.59 (dd, *J* = 8.7, 6.2 Hz, 1H, 5a-H), 4.37–4.30 (m, 1H, 14a-H), 3.65 (dt, *J* = 11.5, 7.8 Hz, 1H, 3-H), 3.33–3.23 (m, 2H, 3-H, 6-H α), 2.97 (dd, *J* = 15.7, 9.2 Hz, 1H, 6-H β), 2.25–2.14 (m, 2H, 1-H, -CH(CH₃)₂), 1.93–1.74 (m, 3H, 1-H, 2-H), 1.03 (d, *J* = 6.7 Hz, 3H, -CH-CH₃), 0.94 (d, *J* = 6.8 Hz, 3H, -CH-CH₃). ¹³C NMR (100 MHz, DMSO-*d*₆) (ppm, from TMS): 165.96, 164.80, 136.37, 133.24, 126.44, 121.57, 119.14, 118.19, 111.62, 105.91, 58.77, 54.35, 54.17, 45.17, 33.53, 29.46,

26.73, 21.84, 20.14, 20.07. Anal. calcd. for C₂₀H₂₃N₃O₂: C, 71.19; H, 6.87; N, 12.45. Found: C, 71.28; H, 6.85; N, 12.11%.

(5aS,12S,14aS)-12-(4-nitrophenyl)-1,2,3,5a,6,14a-hexahydropyrrolo[1'',2'':4',5']pyrazino[1',2':1,6]pyrido[3,4-b]indole-5,14 (11H,12H)-dione (cis-5e). Yield 91.4%, yellow solid, mp. 262–264 °C, ¹H NMR (400 MHz, DMSO-*d*₆) (ppm, from TMS): 11.21 (s, 1H, NH on the indole ring), 8.14 (d, *J* = 8.7 Hz, 2H, Ar-H), 7.59 (d, *J* = 8.8 Hz, 3H, Ar-H), 7.33 (d, *J* = 8.0 Hz, 1H, Ar-H), 7.08 (t, *J* = 7.3 Hz, 1H, Ar-H), 7.01 (t, *J* = 7.3 Hz, 1H, Ar-H), 6.35 (s, 1H, 12-H), 4.58 (dd, *J* = 11.5, 4.7 Hz, 1H, 5a-H), 4.37 (t, *J* = 7.3 Hz, 1H, 14a-H), 3.60–3.44 (m, 3H, 6-H β , 3-H), 3.05 (dd, *J* = 15.9, 11.7 Hz, 1H, 6-H α), 2.25–2.14 (m, 1H, 1-H), 1.94–1.82 (m, 3H, 1-H, 2-H). ¹³C NMR (100 MHz, DMSO-*d*₆) (ppm, from TMS): 170.73, 165.40, 150.82, 146.88, 136.79, 132.98, 127.64, 126.13, 124.31, 122.05, 119.52, 118.81, 111.92, 105.65, 58.76, 56.80, 55.82, 45.40, 28.56, 22.90, 22.48. Anal. calcd. for C₂₃H₂₀N₄O₄: C, 66.34; H, 4.84; N, 13.45. Found: C, 66.42; H, 4.85; N, 13.40%.

(5aS,12R,14aS)-12-(4-methoxyphenyl)-1,2,3,5a,6,14a-hexahydropyrrolo[1'',2'':4',5']pyrazino[1',2':1,6]pyrido[3,4-b]indole-5,14 (11H,12H)-dione (trans-5f). Yield 93.8%, white solid, mp. 262–264 °C, ¹H NMR (400 MHz, DMSO-*d*₆) (ppm, from TMS): 10.96 (s, 1H, NH on the indole ring), 7.52 (d, *J* = 7.7 Hz, 1H, Ar-H), 7.30 (d, *J* = 8.0 Hz, 1H, Ar-H), 7.19 (d, *J* = 8.6 Hz, 2H, Ar-H), 7.09 (t, *J* = 7.5 Hz, 1H, Ar-H), 7.02 (t, *J* = 7.4 Hz, 1H, Ar-H), 6.90 (d, *J* = 8.6 Hz, 2H, Ar-H), 6.85 (s, 1H, 12-H), 4.35 (dd, *J* = 10.6, 4.5 Hz, 1H, 5a-H), 4.15 (dd, *J* = 8.5, 6.6 Hz, 1H, 14a-H), 3.72 (s, 3H, Ar-OCH₃), 3.67 (dd, *J* = 13.6, 6.2 Hz, 1H, 3-H), 3.45 (dd, *J* = 15.8, 4.8 Hz, 1H, 2-H), 3.32–3.22 (m, 1H, 3-H), 2.89 (dd, *J* = 15.6, 10.9 Hz, 1H, 2-H), 2.24 (dd, *J* = 9.4, 6.1 Hz, 1H, 1-H), 1.89 (dd, *J* = 11.1, 7.4 Hz, 1H, 1-H), 1.85–1.72 (m, 2H, 6-H). ¹³C NMR (100 MHz, DMSO-*d*₆) (ppm, from TMS): 165.30, 164.00, 159.53, 136.87, 132.38, 131.38, 129.76, 126.33, 121.95, 119.25, 118.52, 114.32, 111.71, 107.11, 59.10, 55.60, 53.25, 51.62, 45.04, 29.77, 27.68, 21.53. Anal. calcd. for C₂₄H₂₃N₃O₃: C, 71.80; H, 5.77; N, 10.47. Found: C, 71.85; H, 5.76; N, 10.45%.

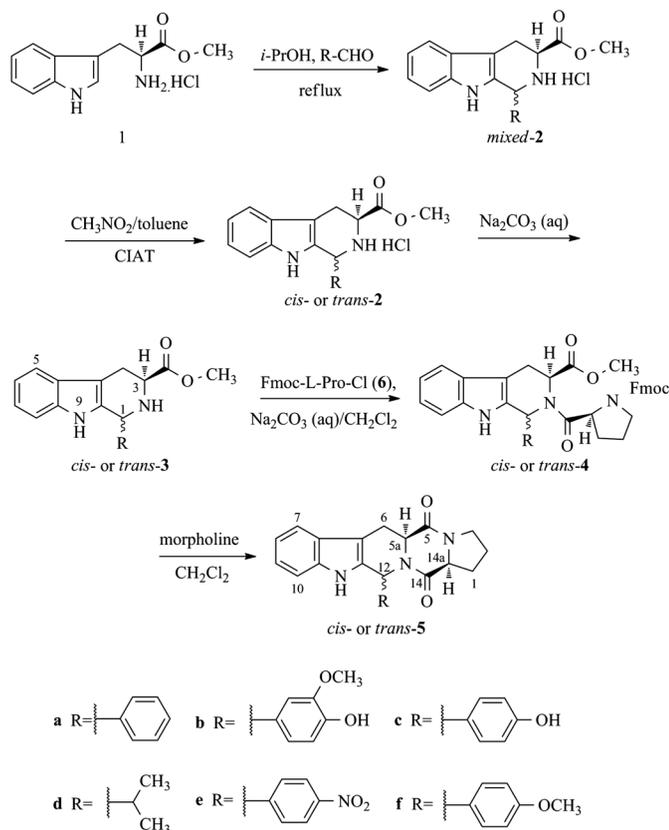
Biological Assay

Both antibacterial and antifungal activities were assayed by the minimum inhibitory concentrations (MICs) method. Each compound was set at 50, 25, 12.5, 6.25, 3.13, 1.56, 0.78, and 0.39 μ g/mL by the continuous dilution method⁴⁴ while the tested strains were incubated in the liquid mediums at the set temperatures. For bacteria, the beef extract peptone medium was made up of beef extract 3.0 g/L, peptone 10 g/L, NaCl 5 g/L, pH 7.2–7.4. The culture temperature was 37 °C. For fungi, the potato-glucose medium was made up of percolate of 200 g potato under boiling for 30 min, glucose 20 g, constant volume to be 1 L by water. The culture temperature was 28 °C. The positive control of Gram-positive bacteria (*S. aureus* and *B. subtilis*) was penicillin sodium, while Gram-negative bacteria (*E. coli* and *P. aeruginosa*) streptomycin sulfate, and fungi ketoconazole. The minimum inhibitory concentrations were defined as the lowest concentration at which no microbial growth could be observed.

RESULTS AND DISCUSSION

Synthesis and Characterization

The four-step synthetic route for tetrahydro- β -carboline diketopiperazines 5 including Pictet-Spengler, CIAT, Schotten-Baumann reactions, and intramolecular ester amidation, starting with L-tryptophan methyl ester hydrochloride and aldehydes, as shown in Scheme 1.

Scheme 1. Synthesis of tetrahydro- β -carboline diketopiperazines.

In this work, we have reported a concise and efficient synthetic route to generate a series of single enantiomers. Six tetrahydro- β -carboline diketopiperazines (**5a–5f**) were synthesized in this way for the first time. Starting from optically pure L-tryptophan methyl ester hydrochloride with various aldehydes in isopropanol, a mixture of the hydrochloride salts of *cis*- and *trans*-tetrahydro- β -carbolines were obtained, and then a process of CIAT was performed in a mixed solvent with different ratios of nitromethane and toluene (Table 1). After dehydrochlorination, the ^1H NMR analysis showed the rings were formed (a single-peak with C-1 proton at between δ 5.11–5.38 ppm except **3d** showed a double-peak at δ 4.12 ppm). The assignment of *cis*/*trans*-stereochemistry in these tetrahydro- β -carbolines relied on the NMR methods established by Cook and colleagues.³⁸ The proton at C-3 position has an obvious correlation with

the proton at C-1 position in the ^1H - ^1H NOESY (nuclear Overhauser enhancement spectroscopy) spectra of the *cis*-isomers, while the proton at C-3 position correlates with the neighboring protons but does not correlate with the proton at C-1 position in the *trans*-isomers. The NMR signals of protons for C-1 and C-3 were assigned by ^1H - ^1H COSY and HSQC experiments.

According to Table 1, there were four *cis*- and two *trans*-compounds (**3a–3f**) after CIAT. The product, whether *cis*- or *trans*-, depended on the solubility between the two configurations in the nitromethane-toluene solvent. The precipitation of the isomer with lower solubility destroyed the balance between the *cis*- and *trans*-isomers, leading to the higher solubility of one transfer into the other. In the end, a single one was acquired as a solid, but the specific of the isomer was uncertain.

Single-crystal structures of compounds **5c** and **5e** were obtained by recrystallizing from methanol, and the further structural information of **5c** and **5e** was confirmed by single-crystal x-ray diffraction analysis (Fig. 3). The structures were solved by direct methods and refined by full-matrix least squares analysis on F2 using SHELXL.^{39–43} Hydrogen atoms were refined on the riding model with isotropic thermal parameters set 20% greater than those of their bonding partners. All other atoms were refined anisotropically.

Antimicrobial Activities

The in vitro antimicrobial activities were tested against two Gram-positive (G^+) bacteria (*Staphylococcus aureus*, *Bacillus subtilis*), two Gram-negative (G^-) bacteria (*Escherichia coli*, *Pseudomonas aeruginosa*), and four plant pathogenic fungi (*Colletotrichum gloeosporioides*, *Valsa mali*, *Alternaria alternata*, *Alternaria brassicae*). The activities were determined at different concentrations of the compounds **5a–5f** (0.39–50 $\mu\text{g}/\text{mL}$) in DMSO and the results were compared with lower concentrations of known antibiotics including penicillin sodium against G^+ bacteria, streptomycin sulfate against G^- bacteria, and ketoconazole against fungi. The microdilution method for estimation of minimum inhibitory concentration (MIC) values was carried out to evaluate the antimicrobial activity. The MIC values were determined on 96-well microdilution plates.

The screening data of the antifungal activity of these series of compounds showed a wide range of antifungal activity with MIC values 6.25–12.5 $\mu\text{g}/\text{mL}$ (ketoconazole showed MIC 12.5 $\mu\text{g}/\text{mL}$). It is interesting that **5b** and **5c** exhibited the most potent in vitro antifungal activity with MIC 6.25 $\mu\text{g}/\text{mL}$ against *A. brassicae*, while **5e** and **5f** exhibited the same MIC value against *C. gloeosporioides* (6.25 $\mu\text{g}/\text{mL}$).

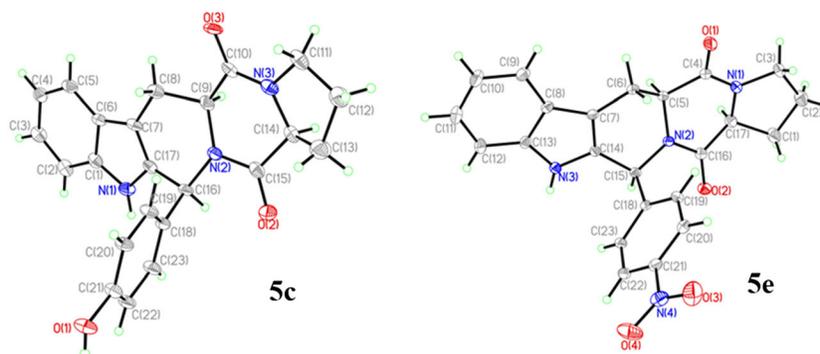
Fig. 3. ORTEP Drawing of Compounds **5c** and **5e**.

TABLE 2. Results of antibacterial and antifungal test of compounds 5a-5f

Compound	MIC ($\mu\text{g/mL}$)							
	<i>S. aureus</i>	<i>B. subtilis</i>	<i>P. aeruginosa</i>	<i>E. coli</i>	<i>C. gloeosporioides</i>	<i>V. mali</i>	<i>A. alternata</i>	<i>A. brassicae</i>
5a	0.78	1.56	1.56	0.78	12.5	12.5	12.5	12.5
5b	0.78	1.56	1.56	0.78	12.5	6.25	12.5	6.25
5c	0.78	1.56	1.56	0.78	12.5	12.5	12.5	6.25
5d	0.39	1.56	1.56	0.78	12.5	12.5	12.5	12.5
5e	0.78	1.56	1.56	0.78	6.25	12.5	12.5	12.5
5f	0.78	1.56	1.56	0.78	6.25	12.5	6.25	12.5
Penicillin sodium	0.78	1.56	—	—	—	—	—	—
Streptomycin sulfate	—	—	1.56	0.78	—	—	—	—
Ketoconazole	—	—	—	—	12.5	12.5	12.5	12.5

Meanwhile, **5b** and **5f** showed pronounced antifungal activity against *V. mali* and *A. alternata* with MIC 6.25 $\mu\text{g/mL}$. Nevertheless, compounds **5a–5f** showed certain satisfactory results as antibacterials with MIC 0.78 ~ 1.56 $\mu\text{g/mL}$ against the four bacteria, similar to that of penicillin sodium and streptomycin sulfate, except **5d** against *S. aureus* (0.39 $\mu\text{g/mL}$). These results compared with known antibiotics as standards are shown in Table 2.

CONCLUSIONS

Six novel tetrahydro- β -carboline diketopiperazines were highly enantioselective synthesized as single enantiomers from L-tryptophan methyl ester hydrochloride and six aldehydes. The single enantiomers were obtained in high yields and two x-ray crystallographic structures were successfully confirmed for the first time to determine the configurations. Their antibacterial and antifungal activities were tested. Particularly the test showed that all activities of the six compounds were similar or a little better than the traditional drugs, and antifungal activities were shown to be better than antibacterial activities. In a further study the present route will be employed to synthesize the derivatives of tetrahydro- β -carboline diketopiperazines.

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