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¹ Interaction between DNA and cationic diester bonded Gemini surfactants

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ABSTRACT

The formation of the polyion-complex between three cationic diester bonded Gemini surfactants and DNA has 17 been demonstrated systematically. This was studied through the electrostatic attraction between ammonium 18 head groups of Gemini surfactants and the phosphate groups of DNA. Ethidium bromide exclusion assay indicates 19 the interaction between DNA and diester bonded Gemini surfactants. DNA binding abilities with the Gemini surfactant depends on tail length which has been demonstrated by agarose gel electrophoresis and circular dichro-120 surfactants can induce the collapse of DNA into densely packed bead-like structures with smaller size. Molecular docking technique was also utilized to understand the mode and mechanism of interaction between DNA and the Gemini surfactants (pre-micellar form). In addition to electrostatic interactions between the negatively charged phosphate backbone of DNA and positively charged head groups of Gemini surfactants, self-association due to hydrophobic interactions between the alkyl tails of surfactant and the hydrogen bonding between the ester group of surfactant and nitrogenous bases, results in the compaction of nucleotides. 28

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34 1. Introduction

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The modern treatment protocol, known as gene therapy, is an ap-35 proach to treat the inherited disease by transfection that is transferring 36 a correct copy of the defective gene into the cells. In the field of 37 bionanotechnology, nonviral gene delivery has been a goal for many 38 years [1]. The inherent immunological risks with viral vectors provide 39 large incentives towards the development of nonviral vectors capable 40 of targeted and triggered release of DNA [2,3]. Various complex agents 41 42 such as cationic surfactants, lipids, poly-electrolytes, multivalent ions and alcohols have been used in the development of new nonviral trans-43fection agents for decades [4]. Earlier, in vitro and in vivo delivery of inter-44 feron- γ plasmid was achieved by using the m-s-m type model Gemini 45 46 surfactants, the so-called alkanediyl- α , ω -bis(dimethylalkylammonium bromide), where m represents the carbon chain length of the alkyl tail 47 and s the number of carbon atom in the spacer and the dependence of 48 49 the carbon number (m) of the alkyl side chain and spacer on the transfection efficiency was determined [5]. Bhadani and Singh [6] reported 50the binding affinity of thioester spacers of imidazolium Gemini 5102 surfactants by agarose gel electrophoresis and ethidium bromide exclu-53sion experiments. Despite remarkable progress of cationic surfactants 54in gene delivery, a number of limitations preclude enthusiasm including 55cytotoxicity, environmental concerns and aquatic toxicity which limit

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http://dx.doi.org/10.1016/j.molliq.2014.05.013 0167-7322/© 2014 Published by Elsevier B.V. the practical usage of these surfactants [7]. Therefore, it is worthwhile 56 to develop biodegradable, eco-friendly and biocompatible surfactants 57 and study their interaction with DNA in aqueous solution in order to 58 check their potential in gene delivery. 59

The architecture of surfactant plays vital role in controlling the interac- 60 tion between DNA and surfactant. Incorporation of esters as the labile 61 linker in variety of lipids results in successful transfection [8-10]. It has 62 been shown that the orientation of the ester linkage can have significant 63 effect on the transfection efficiency [11]. Cationic Gemini surfactants, 64 structurally analogous to complex cationic lipids used for transfection 65 studies, have received increasing attention as simpler models for transfec- 66 tion complexes [12–17]. An in-depth study of these systems could be 67 beneficial for the better understanding of DNA-surfactant complex for- 68 mation. The first important step for any agent to prove its transfection po- 69 tential depends on its binding affinity, and mode of binding with DNA. 70 Recently synthesized Gemini surfactants [18-20] (Scheme 1), containing 71 cleavable diester group in the spacer part, referred to as m-E2-m type sur-72 factant where m = 12, 14, and 16 is the number of carbon atoms in alkyl Q3 tail and E2 represents the diester group in the spacer part of Gemini sur-74 factants (ethane-1,2-diyl bis(N,N-dimethyl-N-alkylammoniumacetoxy) 75 dichloride) have special importance due to having two ester groups 76 (E2). The surfactants m-E2-m have shown promising potential in solubi-77 lization of various polyaromatic carcinogenic materials [19,20]. These 78 new diester-group-containing Gemini surfactants have low cmc (critical 79 micelle concentration) values, with cleavable nature, and low cytotoxicity 80 [19–21], which can be utilized in several technical areas including the 81 biomedical application of gene delivery. 82

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Scheme 1. Chemical structures of the ester bonded Gemini surfactants used in the present study.

In the present studies, we have investigated the interaction between 83 dicationic ester bonded Gemini surfactants m-E2-m and DNA. The ulti-84 85 mate objective is to find out the mode of binding of these surfactants 86 with DNA and also to relate the correlation between the alkyl tail length 87 and DNA binding affinities. To achieve a deeper understanding of the in-88 teraction, a host of techniques have been employed to obtain broader and more integrated information. Based on these studies, we have 89 90 shown herein that there exists a strong interaction between the diester-bonded Gemini surfactants and DNA. These studies reveal as 91how the hydrophobic effects and the specific surface charges play im-92portant roles in bringing about profound changes in DNA structures. 93

94 2. Experimental

95 2.1. Materials

Ethylene glycol, N, N-dimethylalkylamine, calf thymus DNA and 96 ethidium bromide (EB) were purchased from Sigma Aldrich (St. Louis, 97 USA). Plasmid pUC19 DNA (0.5 µg/µL, 2686 bp) was purchased 98 99 from GeNei (India). Tris buffer was purchased from Fisher Scientific. The dimeric Gemini surfactants m-E2-m. 2Cl⁻ were synthesized by 100 101 following a procedure described in the literature [18]. In brief, chloroacetyl chloride (0.22 mol) was added drop wise to ethylene glycol 102(0.1 mol) and the reaction mixture was heated at 50 °C for 8 h to obtain 103

ethane-1,2-diylbis(chloroacetate). Ether was used in separation of 104 ethane-1,2-diylbis(chloroacetate), followed by washing with satu- 105 rated solution of sodium chloride. The organic phase was dried 106 over magnesium sulfate. In the next step, a mixture of ethane-1,2- 107 diylbis(chloroacetate) and N,N-dimethylalkylamine (molar ratio = 108 1:2,1) was refluxed for 10 h in ethyl acetate. Finally, the solvent was re- 109 moved under vacuum and white crystalline solid of the cationic Gemini 110 surfactants was obtained (Scheme 2). After recrystallization, the three 111 surfactants were characterized by ¹H NMR and FT-IR [19–21]. The 112 data were in agreement with the literature values. The purity was 113 further ascertained on the basis of absence of minima in their surface 114 tension-concentration isotherms [22]. 115

2.2. Sample preparation

1 mg of calf thymus DNA was dissolved in 1 mL of 0.1 M Tris buffer 117 (pH = 7.2) at 298 K and kept for 24 h with occasional stirring to ensure 118 formation of a homogenous solution. The concentration of the DNA was 119 determined spectrophotometrically using molar extinction coefficient of 120 6600 M⁻¹ cm⁻¹ at 260 nm [23]. The purity of the DNA solution was 121 checked from the absorbance ratio A_{260}/A_{280} . Since the attenuance ratio 122 of the above purified DNA lied in the range of $1.8 < A_{260}/A_{280} < 1.9$, no 123 further purification was needed.



 $R = C_m H_{2m+1}$ (m=12, 14, 16)

Scheme 2. Synthesis protocol of ethane-1,2-diyl bis(N,N-dimethyl-N-alkylammoniumacetoxy) dichloride Gemini surfactants.

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125 2.3. Steady state fluorescence measurements

Fluorescence measurements were recorded on a Shimadzu spectrofluorimeter-5000 (Japan). In EB exclusion assay, the excitation with EB was set at 473 nm and emission in the range of 550–625 nm. 5 µg of pUC19 DNA in a volume of 10 µL was used for each experiment and DNA was directly mixed with 1 µL of EB in the fluorescence cell;

131 buffer was added to make the final volume 3 mL.

132 2.4. Agarose gel electrophoresis

Different concentrations of surfactants, 3 µL of 0.5 µg/µL of pUC19 DNA
 and 2 µL of EB were mixed and incubated at room temperature for 1 h in a
 total volume of 10 µL. Samples were electrophoresed using 1% agarose gel
 and the DNA bands were visualized under UV transilluminator.

137 2.5. Circular dichroism measurements

Far UV-CD spectra were recorded on an applied Photo physics (U.K.) 138 (model CIRASCAN) spectrophotometer equipped with a Peltier temper-139140 ature controller using a rectangular quartz cuvette of path length 141 10 mm. The spectra shown are average of three successive scans recorded at a scan speed 200 nm/min. The contribution of buffer on the mea-142sured ellipticity was subtracted as blank. The data were subjected to 143noise reduction analysis. All the experiments were performed at ambi-144 145ent temperature (298 K) with air-equilibrated solutions and in Tris buffer of pH 7.0. 146

147 2.6. Dynamic light scattering measurements

Dynamic light scattering (DLS) measurements were performed 148 using a Laser-Spectroscatter 201 by RiNA GmbH, Berlin, Germany. 149In DLS measurements, a beam of laser is guided towards the sample 150under investigation, with a fixed detection arrangement of 90° to 151152 the center of the cell area and the fluctuation in the intensity of the scattered light is measured. DNA and Gemini surfactant solu-153tions were dissolved in Tris-HCl buffer and then mixed to obtain dif-154ferent DNA/surfactant molar ratios ($[DNA] = 1 \mu M$, [Gemini] = 0.2155156to 5 μM).

157 2.7. Molecular docking

158The rigid molecular docking studies were performed by using HEX 6.1 software [24], and PATCHDOCK [25]. HEX 6.1 is an interactive molec-159ular graphics program for calculating and displaying feasible docking 160 modes of DNA. The HEX 6.1 performs protein docking using Spherical 161 Polar Fourier Correlations. It necessitates the ligand and the receptor 162163as input in PDB format. The parameters used for docking include: corre-164lation type – shape only, FFT mode – 3D, grid dimension – 0.6, receptor range – 180, ligand range – 180, twist range – 360, and distance 165range – 40. PATCHDOCK is an algorithm for molecular docking. The 166input is two molecules in PDB format and the output is a list of poten-167 168 tial complexes sorted by shape complementary criteria. The crystal structure of the B DNA dodecamer d(CGCGAATTCGCG)₂ (PDB ID: 1691BNA) was downloaded from the protein data bank. The initial struc-170 tures of the surfactant molecules were generated by molecular 171modeling software Avogadro 1.01 using MMFF94 force field. The mole-172cules of surfactants were optimized for use in the present docking 173study. They had their minimized total energy of 8.5–9.9 kcal mol⁻ 174respectively. Visualization of the docked pose has been done by 175using PyMol [26] (http://pymol.sourceforge.net/) molecular graphic or 176 177 graphics program.

3. Results and discussion

3.1. Effect of Gemini surfactants on EB displacement assay

Ethidium bromide (EB) [27], a phenathridine fluorescence dye, is a 180 typical indicator of intercalation that forms soluble complexes with 181 nucleic acids and emits intense fluorescence in the presence of DNA 182 due to the intercalation of the planar ring between the nucleotide 183 base pairs of DNA. To study the interaction between DNA and other 184 compounds, the changes in the spectra of EB on its binding to DNA are 185 often used. Fig. 1 shows the fluorescence emission spectra of DNA/EB 186 complex and the spectra after gradual addition of the Gemini surfac- 187 tants. The progressive addition of surfactant into the premixed DNA-188 EB solution results in the displacement of intercalated EB from the 189 DNA/EB complexes, leading to gradual fluorescence quenching. The 190 concentrations of the surfactants (12-E2-12, 14-E2-14, 16-E2-16) re- 191 quired to displace ethidium bromide from the DNA, which brings de- 192 crease in fluorescence intensity, have been determined by plotting 193 relative fluorescence intensity F/F_o, at 590 nm vs. concentration of 194 surfactant (in mM), as shown in Fig. 1 (where Fo is the fluorescence in- 195 tensity of DNA-EB complex and F is fluorescence intensity after adding 196 surfactant to DNA-EB complex). It has been observed that guenching in 197 fluorescence intensity is less in case of 12-E2-12; however, the behavior 198 of decrease is almost similar in case of 14-E2-14 and 16-E2-16, though 199 14-E2-14 shows more quenching efficiency as compared to 16-E2-16. 200 Increasing tail length of the surfactant results in an increase in hydro- 201 phobicity of the surfactant and, as a consequence, increased compaction 202 efficiency. However, surfactants with too long chains may also provide 203 sufficient amount of steric strains to get adjusted on the helical structure 204 of DNA and also make the surfactant insoluble, therefore, be less effi- 205 cient in DNA compaction [28]. 206

The DNA–Gemini surfactant mixtures were made by mixing equal 207 amount of pUC19 DNA with different concentrations of the Gemini sur-208 factants and incubated for 60 min at room temperature. These mixtures 209 were then subjected to electrophoresis after adding EB and the gel 210 images were captured on UV transilluminator. As shown in Fig. 2 211 (lane a), pUC 19 DNA was run without any surfactant as control. The 212 DNA + 12-E2-12 mixtures show noticeable fading of the bands and 213 the band intensity shows consistent decrease with increasing concen-214 tration of the 12-E2-12 surfactant from 10 μ M to 50 μ M (lanes b–e). 215



Fig. 1. Relative fluorescence intensity (F/F_o) observed at 590 nm vs. log concentration (mM) plot of DNA-EB-surfactant and DNA-EB complexes ($\lambda_{exc} = 473$ nm).

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Fig. 2. Agarose gel electrophoresis of reaction mixtures containing DNA and cationic Gemini surfactants. The concentration of plasmid DNA was 150 ng/μL (A) Lane a, DNA only; lanes b–e, DNA and 12-E2-12 (10, 20, 30, 50 μM); lanes f–i, DNA and 14-E2-14 (10, 20, 30, 50 μM); and lanes j–m, DNA and 16-E2-16 (10, 20, 30, 50 μM).

DNA + 16-E2-16 mixtures (Fig. 2, lanes j-k) also show slight reduction 216 in the intensity of bands as compared to control and the band intensity 217decreases with increase in concentration of the surfactant. However, 218 DNA + 14-E2-14 mixtures (Fig. 2, lanes f-i) display noticeable fading 219in the intensity of bands and there is almost complete disappearance 220of the DNA band at 50 µM of the surfactant. Thus, all the DNA-Gemini 221 surfactant complexes exhibit a change in the intensity when compared 222223with the control. The faint or invisibility of the DNA bands in the pres-224ence of Gemini surfactants in the agarose gel even after long ethidium bromide staining indicated that DNA-Gemini surfactant complexes 225lost the ability of intercalation towards the intercalator ethidium bro-226mide. This may be assumed due to the compaction between DNA and 227Gemini surfactants. The DNA compaction may lead to alteration in the 228229native structure of DNA in water. However, this form of DNA can still retain the double-stranded structure [29]. Such condensation of DNA 230231 leaves insufficient space available for ethidium bromide to intercalate 232 and hence lose the fluorescence. The driving force for the compaction 233of DNA is electrostatic in nature, where positively charged head group 234of the surfactant interacts with negatively charged phosphate group of DNA. A possible explanation for the interaction between DNA and 235 Gemini surfactants in concentration dependent manner is that the 236 head group of Gemini surfactant itself experiences entropy loss due to 237238 electrostatic interactions with DNA. In order to compensate this loss, a large number of surfactant molecules have to be present to gain in hy-239 drophobic interactions by self-association. This results in a highly com-240pact DNA-Gemini surfactant complex and makes itself inaccessible to 241 intercalators such as ethidium bromide. 242

243To investigate the changes in the secondary structure of DNA upon binding with cationic Gemini surfactants, circular dichroism experi-244 ments were performed. This technique is useful to probe non-covalent 245246DNA-ligand interactions [30,31]. The secondary structure of DNA is perturbed markedly by the intercalation of small molecules leaving its 247248signature through the changes in the intrinsic CD spectra of DNA. Fig. 3 shows the CD spectra of DNA in buffer at pH 7, having a positive 249peak at ~277 nm and a negative peak at ~244 nm, characteristic of the 250right handed B form [32]. The peak position at 244 nm corresponds to 251the helical superstructures of the polynucleotide that provide an asym-252253metric environment for the nucleotide bases of DNA whereas peak at 254position 277 nm occurs due to stacking interaction between the bases of DNA. It is evident from Fig. 3 that there is noticeable change in the 255negative peak at 244 nm, with only a slight change in the intensity of 256the positive peak at 277 nm as the concentration of Gemini surfactants 257258increased from 20 to 60 µM, indicating change in the conformation of DNA. Slight change in the intensity of the CD peak at 277 nm has been 259associated with alteration of hydration layer of the helix in the vicinity 260 of phosphate or the ribose ring as the concentration of surfactant in-261creased. On progressive addition of surfactants, the Tris (buffer) ions 262near the hydration layer of DNA helix may get exchanged with surfac-263tant molecules. Hydrophobic alkyl chain of surfactants changes the ex-264tent of hydration near the phosphate group of DNA double helix and 265hence results in little perturbation in DNA helix. The peak at 244 nm 266 267becoming more negative with progressive addition of surfactants indicates the change in helicity of DNA double helix. This suggests that 268 Gemini surfactant molecules get adsorbed on the surface of DNA and 269 result in its compaction. It is evident from the Fig. 3 that the change in 270 ellipticity of DNA double helix in presence of 14-E2-14 is more in 271 comparison to 12-E2-12 and 16-E2-16, suggesting its stronger binding 272 affinity with DNA. 273

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3.2. DNA–surfactant interaction and hydrodynamic diameter

Dynamic light scattering (DLS) gives direct observation of the 275 surfactant induced conformational changes in DNA chain, and size dis- 276 tribution of DNA/surfactant complexes could be obtained [33]. The var- 277 iation in the hydrodynamic diameter as a function of the concentration 278 of Gemini surfactant is shown in Fig. 4. DLS measurements could not be 279 carried out for pure Gemini surfactants due to low scattering intensity. 280 To avoid interaction between the DNA molecules, low concentration 281 of DNA (1 μ M) was used. A single peak in the intensity weighted size 282 distribution of the DNA solution is attributed to the translational mode 283 of the molecules and resulting in a mean hydrodynamic radius of 284 about 300 nm. It was observed that with the addition of 0.2 μ M of 285 Gemini surfactant, there was a significant decrease in the size of DNA- 286 Gemini complexes. As can be seen, with further addition of Gemini sur- 287 factants, a progressive decrease of the hydrodynamic diameter appears 288 and finally becomes almost constant. Such an enormous decrease in the 289 hydrodynamic diameter of the DNA-Gemini complexes indicates that 290 the DNA undergoes a discrete conformational change from extended 291 coiled state to a compact state by the addition of Gemini surfactants. 292 The DNA molecule undergoes compaction that leads to a shift in the 293 translational mode of DNA to lower hydrodynamic radius by the addi- 294 tion of surfactant molecules. Dias et al. [34] reported a gradual change 295 of the DNA size in presence of CTAB (cetyltrimethyl ammonium bro-296 mide) and the existence of two populations in the sample, one of ex- 297 tended DNA coil coexisting with the DNA compacted molecules. 298 However, in our case we have not found existence of two populations 299 because of the abrupt change in hydrodynamic diameter of DNA, at 300 very low surfactant concentrations. This may be attributed to the higher 301 compaction efficiency of the ester bonded Gemini surfactants as com- 302 pared to the conventional one head/one tail CTAB. Cationic surfactants 303 interact with DNA by a combination of initial electrostatic interaction 304 followed by a cooperative binding of surfactant ligands to the same 305 DNA molecules (driven by hydrophobic forces). The diester group con- 306 taining Gemini surfactants m-E2-m has cmc (critical micelle concentra- 307 tion) values in a range from 1.3 to 1.6 µM [19-21]. In the presence of a 308 polyelectrolyte, surfactants show the aggregational behavior much 309 below their cmc values. The compaction of DNA is depicted due to the 310 surfactant self-assembly in the vicinity of the macromolecule; the sur- 311 factant self-assemblies are multivalent counterions which induce elec- 312 trostatic attractions between different parts of a DNA molecule due to 313 ion correlation effects [35,36]. In addition to this, ester bonded Gemini 314 surfactants also have tendency to participate in hydrogen bonding be- 315 tween the oxygen atom of the ester group in the spacer part of Gemini 316

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Fig. 4. Average hydrodynamic diameter $D_{\rm h}$ of DNA–Gemini surfactant complexes as a function of the surfactant concentration.

and the nitrogen bases in the nucleotide of DNA, leading to stronger in- **351** teraction between the two components. 352

3.3. Computational analysis of DNA–surfactant interaction 353

Molecular docking technique is an attractive tool in order to under- 354 stand the mechanistic details and mode of interaction between biopoly-355 mers and ligands, which can corroborate the experimental results. In 356 this context docking studies were performed in an attempt to ascertain 357 the type and the amount of interaction between the Gemini surfactants 358 (in monomer form) and the DNA. as surfactant molecules bind to the 359 polymer in pre-micellar region. In our experiments rigid molecular 360 structure of DNA duplex with a sequence d(CGCGAATTCGCG)₂ 361 dodecamer (PDB ID: 1BNA) was taken and the ligand has been made 362 flexible to attain different conformations in order to predict the best 363 fit orientation, and the best energy docked structure was analyzed. 364 The docked structure, as shown in Fig. 5, suggests that the Gemini sur- 365 factants could bind to DNA by interacting with the phosphate backbone. 366 The molecular-modeling predicted lowest energy conformation in 367 which the head group of Gemini surfactant fits snugly into the curved 368 contour of the targeted DNA in the minor groove and the tails of the sur- 369 factant molecules align themselves in parallel fashion to the DNA helix. 370 Moreover, the ester groups of spacer part of the Gemini surfactants are 371 situated near the Adenine ↔ Thymine region of DNA double helix. 372 The ester groups in Gemini surfactant were stabilized by hydrogen 373 bonding between the oxygen atoms and the hydrogen atoms of deoxy 374 adenosine (DA5 and DA6 of strand A) of dodecamer. The resulting rela- 375 tive binding energy of docked DNA-Gemini surfactant complex was 376 found to be ~ -160-180 kJ mol⁻¹, the negative values indicate higher 377 binding potential of the Gemini surfactants with DNA. For comparison 378 purpose, we have also run the docking program (HEX 6.1) on 379 $C_{12}H_{25}N^+(CH_3)_3$. Br⁻, monomeric analog of 12-E2-12, and the Gemini 380 surfactant $C_{12}H_{25}N^+(CH_3)_2(CH_2)_8(CH_3)_2N^+C_{12}H_{25}\cdot 2Br^-$ and the ener- 381 gies evaluated were -114.2 and -140.11 kJ/mol, respectively. Thus 382 more binding energies in case of m-E2-m surfactants with DNA give 383 evidence of hydrogen bonding between the ester bonded Gemini 384

Fig. 3. Circular dichroism spectra of ct-DNA in presence of different concentrations of (a) 12-E2-12, (b) 14-E2-14, and (c) 16-E2-16 Gemini surfactants.

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Fig. 5. Poses of molecular docked model of 12-E2-12 Gemini surfactant with DNA [dodecamer duplex of sequence d(CGCGAATTCGCG)2 (PDB ID: 1BNA)].

surfactants and DNA. We see that there is a mutual complement be-385 386 tween spectral techniques and molecular modeling, which provides 387 valuable information about the mode of interaction of the Gemini surfactants with DNA. 388

4. Conclusions 389

The present work reports a study of the interaction of diester bonded 390 cationic Gemini surfactants with DNA. Intercalation ability of ethidium 391 bromide decreases in presence of the Gemini surfactants in ethidium 392 bromide exclusion assay, which indicates the compaction potential of 393 394 Gemini surfactants. This is also in agreement with the results obtained from gel electrophoresis. The outcome from circular dichroism mea-395 396 surements establishes that the Gemini surfactants interact with DNA in a groove binding fashion. These Gemini surfactants show chain 397 length dependent binding capability as evidenced by fluorescence, elec-398 trophoresis and CD studies. The extent of interaction with DNA in case 399 400 of 14-E2-14 is more as compared to 12-E2-12 and 16-E2-16. Due to 401 the presence of positive charge on the head groups of Gemini surfactants, electrostatic binding of these self-organizing molecules to the an-402 ionic DNA phosphate is facilitated. Although the binding leads to 403entropy loss that is compensated due to hydrophobic interaction be-404 tween the alkyl tails of surfactant, results in compaction of DNA are 405also revealed from DLS study. Large negative values of binding energy 406 evaluated from molecular docking shed light on the possibility of hydro-407 gen bonding also between the ester group of Gemini surfactants and 408 nitrogenous bases of DNA. 409

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